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**HOUSE BILL NO. 1211**

Offered January 17, 2014

A *BILL to amend and reenact §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115, 2.2-3117, 2.2-3118.1, 2.2-3121, 30-101, 30-110, 30-111, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, relating to the State and Local Government Conflict of Interests Act and General Assembly Conflicts of Interests Act; establishing the Virginia Conflict of Interest and Ethics Advisory Council.*

Patrons—Gilbert, Albo, McClellan, Toscano, Anderson, Austin, Bell, Richard P., Bell, Robert B., Berg, Byron, Campbell, Comstock, Cox, Davis, Fariss, Fowler, Greason, Habeeb, Head, Helsel, Herring, Hodges, Ingram, Jones, Keam, Knight, Landes, LaRock, Leftwich, Lingamfelter, Loupassi, Minchew, Morris, O'Bannon, O'Quinn, Orrock, Ramadan, Ransone, Robinson, Rush, Rust, Stolle, Torian, Wilt, Wright, Yancey and Yost

Referred to Committee for Courts of Justice

**Be it enacted by the General Assembly of Virginia:**

**1. That §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115, 2.2-3117, 2.2-3118.1, 2.2-3121, 30-101, 30-110, 30-111, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, as follows:**

**§ 2.2-419. Definitions.**

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
4. A stock, bond, note, or other investment interest in an entity;
5. A receipt given for the payment of money or other property;
6. A right in action;
7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
8. A loan or forgiveness of indebtedness;
9. A work of art, antique, or collectible;
10. An automobile or other means of personal transportation;
11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
12. An honorarium or compensation for services;
13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
14. A promise or offer of employment; or
15. Any other thing of value that is pecuniary or compensatory in value to a person.

"Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of

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55 indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of  
56 value, for services rendered or to be rendered.

57 "Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the  
58 amount actually expended for the expenses and it is substantiated by an itemization of expenses.

59 "Executive action" means the proposal, drafting, development, consideration, amendment, adoption,  
60 approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or  
61 official of legislation or executive orders issued by the Governor.

62 "Executive agency" means an agency, board, commission, or other body in the executive branch of  
63 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'  
64 Compensation Commission, and the State Lottery Department.

65 "Executive official" means:

- 66 1. The Governor;
- 67 2. The Lieutenant Governor;
- 68 3. The Attorney General;
- 69 4. Any officer or employee of the office of the Governor or Lieutenant Governor other than a  
70 clerical or secretarial employee;
- 71 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each  
72 executive agency; or
- 73 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,  
74 however selected.

75 "Expenditure" means:

- 76 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third  
77 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything  
78 of value for any purpose;
- 79 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person  
80 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other  
81 persons;
- 82 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct  
83 payment of expenses incurred at the request or suggestion of the lobbyist;
- 84 4. A payment that directly benefits an executive or legislative official or a member of the official's  
85 immediate family;
- 86 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses  
87 of an employee for or in connection with direct communication with an executive or legislative official;
- 88 6. A payment for or in connection with soliciting or urging other persons to enter into direct  
89 communication with an executive or legislative official; or
- 90 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to  
91 this chapter.

92 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to  
93 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

94 "Fair market value" means the price that a good or service would bring between a willing seller and  
95 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the  
96 actual price paid for the good or service shall be given consideration.

97 "Gift" means anything of value to the extent that a consideration of equal or greater value is not  
98 received.

99 "Gift" does not mean:

- 100 1. Printed informational or promotional material;
- 101 2. A gift that is not used and, no later than sixty days after receipt, is returned to the donor or  
102 delivered to a charitable organization and is not claimed as a charitable contribution for federal income  
103 tax purposes;
- 104 3. A gift, devise, or inheritance from an individual's spouse, child, parent, grandparent, brother, sister,  
105 parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of  
106 that individual, if the donor is not acting as the agent or intermediary for someone other than a person  
107 covered by this subdivision; or
- 108 4. A gift of a value of \$25 \$50 or less.

109 "Immediate family" means (i) the spouse and (ii) any ~~other person~~ *child* who resides in the same  
110 household as the executive or legislative official and is the dependent of the official.

111 "Legislative action" means:

- 112 1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval,  
113 passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion,  
114 report, nomination, appointment, or other matter by the General Assembly or a legislative official;
- 115 2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by  
116 the General Assembly; or

117 3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering  
 118 amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of  
 119 the Governor.

120 "Legislative official" means:

121 1. A member or member-elect of the General Assembly;

122 2. A member of a committee, subcommittee, commission, or other entity established by and  
 123 responsible to the General Assembly or either house of the General Assembly; or

124 3. Persons employed by the General Assembly or an entity established by and responsible to the  
 125 General Assembly.

126 "Lobbying" means:

127 1. Influencing or attempting to influence executive or legislative action through oral or written  
 128 communication with an executive or legislative official; or

129 2. Solicitation of others to influence an executive or legislative official.

130 "Lobbying" does not mean:

131 1. Requests for appointments, information on the status of pending executive and legislative actions,  
 132 or other ministerial contacts if there is no attempt to influence executive or legislative actions;

133 2. Responses to published notices soliciting public comment submitted to the public official  
 134 designated in the notice to receive the responses;

135 3. The solicitation of an association by its members to influence legislative or executive action; or

136 4. Communications between an association and its members and communications between a principal  
 137 and its lobbyists.

138 "Lobbyist" means:

139 1. An individual who is employed and receives payments, or who contracts for economic  
 140 consideration, including reimbursement for reasonable travel and living expenses, for the purpose of  
 141 lobbying;

142 2. An individual who represents an organization, association, or other group for the purpose of  
 143 lobbying; or

144 3. A local government employee who lobbies.

145 "Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or  
 146 attempts to influence executive or legislative action. An organization whose employees conduct lobbying  
 147 activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or  
 148 association that employs or retains others to conduct lobbying activities on behalf of its membership, the  
 149 principal is the coalition or association and not its individual members.

150 "Local government" means:

151 1. Any county, city, town, or other local or regional political subdivision;

152 2. Any school division;

153 3. Any organization or entity that exercises governmental powers that is established pursuant to an  
 154 interstate compact; or

155 4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of  
 156 this definition.

157 "Local government employee" means a public employee of a local government.

158 "Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company,  
 159 syndicate, business trust, estate, company, corporation, association, club, committee, organization, or  
 160 group of persons acting in concert.

161 "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the  
 162 fair market value cannot be determined, the actual amount paid for the item or items shall be given  
 163 consideration.

164 **§ 2.2-423. Contents of registration statement.**

165 A. The registration statement shall be on a form provided by the ~~Secretary of the Commonwealth~~  
 166 *Virginia Conflict of Interest and Ethics Advisory Council* and include the following information:

167 1. The name and business address and telephone number of the lobbyist;

168 2. The name and business address and telephone number of the person who will keep custody of the  
 169 lobbyist's and the lobbyist's principal's accounts and records required to comply with this article, and the  
 170 location and telephone number for the place where the accounts and records are kept;

171 3. The name and business address and telephone number of the lobbyist's principal;

172 4. The kind of business of the lobbyist's principal;

173 5. For each principal, the full name of the individual to whom the lobbyist reports;

174 6. For each principal, a statement whether the lobbyist is employed or retained and whether  
 175 exclusively for the purpose of lobbying;

176 7. The position held by the lobbyist if he is a part-time or full-time employee of the principal;

177 8. The full name and business address and telephone number of each lobbyist employed by or

178 representing the lobbyist's principal;

179 9. An identification of the subject matter (with as much specificity as possible) with regard to which  
180 the lobbyist or lobbyist's principal will engage in lobbying; and

181 10. The statement of the lobbyist, which shall be signed either originally or by electronic signature as  
182 authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.), that the information  
183 contained on the registration statement is true and correct; and

184 11. A statement by which a principal may elect to waive the principal signature requirement on  
185 disclosure filings submitted by their registered lobbyist after the filing of the registration statement.

186 B. Whenever any change, modification or addition to his status as a lobbyist is made, the lobbyist  
187 shall, within one week of such change, modification or addition, furnish full information regarding the  
188 same to the Secretary of the Commonwealth Council on forms provided by the Secretary Council.

189 C. The Secretary of the Commonwealth Council shall furnish a copy of this article to any individual  
190 offering to register as a lobbyist and shall mail by certified mail a copy of this article and a copy of the  
191 information furnished by the lobbyist to the person whom the lobbyist represents to be his principal.

192 D. If the principal to whom the information is sent under subsection C does not, within 10 days of  
193 such mailing, file an affidavit, signed by the person or duly authorized agent of the person, denying that  
194 the lobbyist appears on his behalf, such person shall be deemed to have appointed the Secretary of the  
195 Commonwealth Virginia Conflict of Interest and Ethics Advisory Council his agent for service of  
196 process in any prosecution arising for violation of this article. If such affidavit is filed, the Secretary  
197 Council shall notify the attorney for the Commonwealth of the City of Richmond.

198 **§ 2.2-426. Lobbyist reporting; penalty.**

199 A. Each lobbyist shall file with the Virginia Conflict of Interest and Ethics Advisory Council a  
200 separate annual semiannual report of expenditures, including gifts, for each principal for whom he  
201 lobbies by July April 1 for the preceding 12-month six-month period complete through April 30 the last  
202 day of February and by October 1 for the preceding six-month period complete through the last day of  
203 August.

204 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be  
205 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the  
206 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting  
207 requirements of this section.

208 C. The report shall be on a form provided by the Secretary of the Commonwealth Virginia Conflict  
209 of Interest and Ethics Advisory Council, which shall be substantially as follows and shall be  
210 accompanied by instructions provided by the Secretary Council.

211 LOBBYIST'S DISCLOSURE STATEMENT

212 PART I:

- 213 (1) PRINCIPAL: .....
- 214 In Part I, item 2a, provide the name of the individual
- 215 authorizing your employment as a lobbyist. The lobbyist filing
- 216 this statement MAY NOT list his name in item 2a. THE INDIVIDUAL
- 217 LISTED IN PART I, ITEM 2A, MUST SIGN THE PRINCIPAL'S STATEMENT.
- 218 (2a) Name: .....
- 219 (2b) Permanent Business Address: .....
- 220 (2c) Business Telephone: .....
- 221 (3) Provide a list of executive and legislative actions (with as
- 222 much specificity as possible) for which you lobbied and a
- 223 description of activities conducted.
- 224 .....
- 225 .....
- 226 .....
- 227 (4) INCORPORATED FILINGS: If you are filing an incorporated
- 228 disclosure statement, please complete the following:
- 229 Individual filing financial information: .....
- 230 Individuals to be included in the filing: .....
- 231 .....
- 232 (5) Please indicate which schedules will be attached to your
- 233 disclosure statement:
- 234 [ ] Schedule A: Entertainment Expenses
- 235 [ ] Schedule B: Gifts
- 236 [ ] Schedule C: Other Expenses

237 (6) EXPENDITURE TOTALS:

238 a) ENTERTAINMENT ..... \$ .....

239 b) GIFTS ..... \$ .....

240 c) OFFICE EXPENSES ..... \$ .....

241 d) COMMUNICATIONS ..... \$ .....

242 e) PERSONAL LIVING AND TRAVEL EXPENSES ..... \$ .....

243 f) COMPENSATION OF LOBBYISTS ..... \$ .....

244 g) HONORARIA ..... \$ .....

245 h) REGISTRATION COSTS ..... \$ .....

246 i) OTHER ..... \$ .....

247 TOTAL ..... \$ .....

248 PART II:

249 (1a) NAME OF LOBBYIST: .....

250 (1b) Permanent Business Address: .....

251 (1c) Business Telephone: .....

252 (2) As a lobbyist, you are (check one)

253 [ ] EMPLOYED (on the payroll of the principal)

254 [ ] RETAINED (not on the payroll of the principal, however

255 compensated)

256 [ ] NOT COMPENSATED (not compensated; expenses may be reimbursed)

257 (3) List all lobbyists other than yourself who registered to

258 represent your principal.

259 .....

260 .....

261 .....

262 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,

263 provide your job title.

264 .....

265 PLEASE NOTE: Some lobbyists are not individually compensated for

266 lobbying activities. This may occur when several members of a firm

267 represent a single principal. The principal, in turn, makes a single

268 payment to the firm. If this describes your situation, do not answer

269 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

270 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?

271 (If you have job responsibilities other than those involving

272 lobbying, you may have to prorate to determine the part of your

273 salary attributable to your lobbying activities.) Transfer your

274 answer to this item to Part I, item 6f.

275 (5b) Explain how you arrived at your answer to Part II, item 5a.

276 .....

277 .....

278 .....

279 PART III:

280 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT

281 complete this section.

282 (1) List all members of your firm, organization, association,

283 corporation, or other entity who furnished lobbying services to

284 your principal.

285 .....

286 .....

287 .....

288 (2) Indicate the total amount paid to your firm, organization,

289 association, corporation or other entity for services rendered.

290 Transfer your answer to this item to Part I, item 6f.....

291 SCHEDULE A

292 ENTERTAINMENT EXPENSES

293 PLEASE NOTE: Any single entertainment event included in the expense  
 294 totals of the principal, with a value greater than \$50, should be  
 295 itemized below. Transfer any totals from this schedule to Part I,  
 296 item 6a. (Please duplicate as needed.)  
 297 Date and Location of Event:  
 298 .....  
 299 .....  
 300 Description of Event:  
 301 .....  
 302 .....  
 303 Total Number of Persons Attending:  
 304 .....  
 305 Names of Legislative and Executive Officials Attending: (List names  
 306 only if the average value for each person attending the event was  
 307 greater than \$50.)  
 308 .....  
 309 .....  
 310 .....  
 311 .....  
 312 Food ..... \$ .....  
 313 Beverages ..... \$ .....  
 314 Transportation of Legislative and Executive Officials ..... \$ .....  
 315 Lodging of Legislative and Executive Officials ..... \$ .....  
 316 Performers, Speakers, Etc. .... \$ .....  
 317 Displays ..... \$ .....  
 318 Rentals ..... \$ .....  
 319 Service Personnel ..... \$ .....  
 320 Miscellaneous ..... \$ .....  
 321 TOTAL ..... \$ .....

322 SCHEDULE B

323 GIFTS

324 PLEASE NOTE: Any single gift reported in the expense totals of the  
 325 principal, with a value greater than \$50, should be itemized below.  
 326 (Report meals, entertainment and travel under Schedule A.) Transfer  
 327 any totals from this schedule to Part I, item 6b. (Please duplicate  
 328 as needed.)

329	Name of each			
330	legislative or			
331	executive official			Cost of
332	Date	Description	who is a recipient	individual
333	of gift:	of gift:	of a gift:	gift:
334	.....	.....	.....	\$ .....
335	.....	.....	.....	\$ .....
336	.....	.....	.....	\$ .....
337	.....	.....	.....	\$ .....
338	TOTAL COST TO PRINCIPAL .....			\$ .....

339 SCHEDULE C

340 OTHER EXPENSES

341 PLEASE NOTE: This section is provided for any lobbying-related  
 342 expenses not covered in Part I, items 6a - 6h. An example of an  
 343 expenditure to be listed on schedule C would be the rental of a  
 344 bill box during the General Assembly session. Transfer the total  
 345 from this schedule to Part I, item 6i. (Please duplicate as needed.)

346	DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
347	.....	.....	\$ .....
348	.....	.....	\$ .....
349	.....	.....	\$ .....

350	.....	\$	.....
351	.....	\$	.....
352	.....	\$	.....
353	.....	\$	.....
354	.....	\$	.....
355	.....	\$	.....
356	TOTAL "OTHER" EXPENSES .....	\$	.....

357 PART IV: STATEMENTS

358 Both the lobbyist and principal officer must sign the disclosure  
359 statement, attesting to its completeness and accuracy. The following  
360 items are mandatory and if they are not properly completed, the  
361 entire filing will be rejected and returned to the lobbyist:

- 362 (1) All signatures on the statement must be ORIGINAL in the format
- 363 specified in the instructions provided by the Secretary that
- 364 accompany this form. No stamps, or other reproductions of the
- 365 individual's signature will be accepted.
- 366 (2) An individual MAY NOT sign the disclosure statement as lobbyist
- 367 and principal officer.

368 STATEMENT OF LOBBYIST

369 I, the undersigned registered lobbyist, do state that the information  
370 furnished on this disclosure statement and on all accompanying  
371 attachments required to be made thereto is, to the best of my  
372 knowledge and belief, complete and accurate.

.....  
Signature of lobbyist

.....  
Date

378 STATEMENT OF PRINCIPAL

379 I, the undersigned principal (or an authorized official thereof), do  
380 state that the information furnished on this disclosure statement  
381 and on all accompanying attachments required to be made thereto is,  
382 to the best of my knowledge and belief, complete and accurate.

.....  
Signature of principal

.....  
Date

388 D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact  
389 shall be *is* guilty of a Class 5 felony.

390 E. Each lobbyist shall send to each legislative and executive official who is required to be identified  
391 by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a  
392 summary of the information pertaining to that official. Copies or summaries shall be provided to the  
393 official by ~~December 15~~ *March 10* for the preceding ~~12-month~~ *six-month* period complete through  
394 ~~November 30~~ *the last day of February* and by *September 10* for the preceding *six-month* period  
395 complete through the last day of August.

396 **§ 2.2-428. Standards for automated preparation and transmittal of lobbyists' disclosure**  
397 **statements; database.**

398 A. The ~~Secretary~~ *Virginia Conflict of Interest and Ethics Advisory Council* shall accept any lobbyist's  
399 disclosure statement required by § 2.2-426 filed by computer or electronic means in accordance with the  
400 standards approved by the ~~Secretary~~ *Council* and using software meeting standards approved by the  
401 ~~Secretary~~ *Council*. The ~~Secretary~~ *Council* may provide software to filers without charge or at a  
402 reasonable cost. The ~~Secretary~~ *Council* may prescribe the method of execution and certification of  
403 electronically filed statements and the procedures for receiving statements in the office of the ~~Secretary~~  
404 *Council*.

405 B. The ~~Secretary~~ *Council* shall establish a lobbyist disclosure database, available to the public, from  
406 required disclosure statements filed electronically and may enter into that database information from  
407 required disclosure statements filed by other methods.

**408 § 2.2-3101. Definitions.**

**409** As used in this chapter:

**410** "Advisory agency" means any board, commission, committee or post which does not exercise any  
**411** sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for  
**412** the purpose of making studies or recommendations, or advising or consulting with a governmental  
**413** agency.

**414** "Affiliated business entity relationship" means a relationship, other than a parent-subsidary  
**415** relationship, that exists when (i) one business entity has a controlling ownership interest in the other  
**416** business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or  
**417** (iii) there is shared management or control between the business entities. Factors that may be considered  
**418** in determining the existence of an affiliated business entity relationship include that the same person or  
**419** substantially the same person owns or manages the two entities, there are common or commingled funds  
**420** or assets, the business entities share the use of the same offices or employees, or otherwise share  
**421** activities, resources or personnel on a regular basis, or there is otherwise a close working relationship  
**422** between the entities.

**423** "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
**424** association, trust or foundation, or any other individual or entity carrying on a business or profession,  
**425** whether or not for profit.

**426** "Contract" means any agreement to which a governmental agency is a party, or any agreement on  
**427** behalf of a governmental agency that involves the payment of money appropriated by the General  
**428** Assembly or political subdivision, whether or not such agreement is executed in the name of the  
**429** Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the  
**430** contract of which it is a part is with the officer's or employee's own governmental agency.

**431** "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in §  
**432** 30-348.

**433** "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether or not  
**434** related by blood or marriage, if such person receives from the officer or employee, or provides to the  
**435** officer or employee, more than one-half of his financial support.

**436** "Employee" means all persons employed by a governmental or advisory agency, unless otherwise  
**437** limited by the context of its use.

**438** "Financial institution" means any bank, trust company, savings institution, industrial loan association,  
**439** consumer finance company, credit union, broker-dealer as defined in § 13.1-501, or investment company  
**440** or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

**441** "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
**442** having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and  
**443** meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
**444** expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass  
**445** unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from  
**446** relatives. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt,  
**447** niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's  
**448** parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse both tangible  
**449** and intangible gifts. A "tangible gift" means a physical item, object, currency, or other negotiable  
**450** instrument of value that upon the happening of a certain event or expiration of a given date retains its  
**451** form or value. An "intangible gift" means a physical item or object of value that upon the happening of  
**452** a certain event or expiration of a given date loses its form or value. "Intangible gifts" include, but are  
**453** not limited to, entertainment, food, and tickets or other access to social or recreational events.

**454** "Governmental agency" means each component part of the legislative, executive or judicial branches  
**455** of state and local government, including each office, department, authority, post, commission,  
**456** committee, and each institution or board created by law to exercise some regulatory or sovereign power  
**457** or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by  
**458** the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

**459** "Immediate family" means (i) a spouse and (ii) any other person child residing in the same  
**460** household as the officer or employee, who is a dependent of the officer or employee or of whom the  
**461** officer or employee is a dependent.

**462** "Officer" means any person appointed or elected to any governmental or advisory agency including  
**463** local school boards, whether or not he receives compensation or other emolument of office. Unless the  
**464** context requires otherwise, "officer" includes members of the judiciary.

**465** "Parent-subsidary relationship" means a relationship that exists when one corporation directly or  
**466** indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

**467** "Personal interest" means a financial benefit or liability accruing to an officer or employee or to a  
**468** member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the  
**469** ownership interest exceeds three percent of the total equity of the business; (ii) annual income that

470 exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal  
 471 property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of  
 472 property, or any combination thereof, paid or provided by a business or governmental agency that  
 473 exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or  
 474 personal property if the interest exceeds ~~\$10,000~~ \$5,000 in value and excluding ownership in a business,  
 475 income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal  
 476 liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset  
 477 value of the business; or (vi) an option for ownership of a business or real or personal property if the  
 478 ownership interest will consist of (i) or (iv) above.

479 "Personal interest in a contract" means a personal interest that an officer or employee has in a  
 480 contract with a governmental agency, whether due to his being a party to the contract or due to a  
 481 personal interest in a business that is a party to the contract.

482 "Personal interest in a transaction" means a personal interest of an officer or employee in any matter  
 483 considered by his agency. Such personal interest exists when an officer or employee or a member of his  
 484 immediate family has a personal interest in property or a business or governmental agency, or represents  
 485 or provides services to any individual or business and such property, business or represented or served  
 486 individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable  
 487 direct or indirect benefit or detriment as a result of the action of the agency considering the transaction.  
 488 Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a)  
 489 an elected member of a local governing body serves without remuneration as a member of the board of  
 490 trustees of a not-for-profit entity and such elected member or member of his immediate family has no  
 491 personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a  
 492 local governing body is appointed by such local governing body to serve on a governmental agency, or  
 493 an officer, employee, or elected member of a separate local governmental agency formed by a local  
 494 governing body is appointed to serve on a governmental agency, and the personal interest in the  
 495 transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or  
 496 benefits provided by the local governing body or the separate governmental agency to the officer,  
 497 employee, elected member, or member of his immediate family.

498 "State and local government officers and employees" shall not include members of the General  
 499 Assembly.

500 "State filer" means those officers and employees required to file a disclosure statement of their  
 501 personal interests pursuant to subsection A or B of § 2.2-3114.

502 "Transaction" means any matter considered by any governmental or advisory agency, whether in a  
 503 committee, subcommittee, or other entity of that agency or before the agency itself, on which official  
 504 action is taken or contemplated.

505 **§ 2.2-3103.1. Certain gifts prohibited.**

506 *A. No officer or employee of a state or local governmental or advisory agency or candidate required*  
 507 *to file the disclosure form prescribed in § 2.2-3117 shall solicit, accept, or receive within any calendar*  
 508 *year any single gift with a value in excess of \$250 from any lobbyist registered pursuant to Article 3*  
 509 *(§ 2.2-418 et seq.) of Chapter 4. The provisions of this section apply to gifts reportable on Schedule E-2*  
 510 *of the disclosure form prescribed in § 2.2-3117. The provisions of this section do not apply to items*  
 511 *reportable on Schedule D or E-1 of the disclosure form prescribed in § 2.2-3117.*

512 *B. The \$250 limitation imposed in accordance with this section shall be adjusted by the Virginia*  
 513 *Conflict of Interest and Ethics Advisory Council every five years, as of January 1 of that year, in an*  
 514 *amount equal to the annual increases for that five-year period in the United States Average Consumer*  
 515 *Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics*  
 516 *of the U.S. Department of Labor.*

517 **§ 2.2-3104. Prohibited conduct for certain officers and employees of state government.**

518 For one year after the termination of public employment or service, no state officer or employee  
 519 shall, before the agency of which he was an officer or employee, represent a client or act in a  
 520 representative capacity on behalf of any person or group, for compensation, on matters related to  
 521 legislation, executive orders, or regulations promulgated by the agency of which he was an officer or  
 522 employee. This prohibition shall be in addition to the prohibitions contained in § 2.2-3103.

523 For the purposes of this section, "state officer or employee" shall mean (i) the Governor, Lieutenant  
 524 Governor, Attorney General, and officers appointed by the Governor, whether confirmation by the  
 525 General Assembly or by either house thereof is required or not, who are regularly employed on a  
 526 full-time salaried basis; those officers and employees of executive branch agencies who report directly to  
 527 the agency head; and those at the level immediately below those who report directly to the agency head  
 528 and are at a payband 6 or higher and (ii) the officers and professional employees of the legislative  
 529 branch designated by the joint rules committee of the General Assembly. For the purposes of this  
 530 section, the General Assembly and the legislative branch agencies shall be deemed one agency.

531 Any person subject to the provisions of this section may apply to the *Council or Attorney General*,  
 532 as provided in § 2.2-3121 or 2.2-3126, for an advisory opinion as to the application of the restriction  
 533 imposed by this section on any post-public employment position or opportunity.

534 **§ 2.2-3114. Disclosure by state officers and employees.**

535 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of  
 536 the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,  
 537 members of the State Corporation Commission, members of the Virginia Workers' Compensation  
 538 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees  
 539 of the Virginia Retirement System, and members of the State Lottery Board and other persons  
 540 occupying such offices or positions of trust or employment in state government, including members of  
 541 the governing bodies of authorities, as may be designated by the Governor or, in the case of officers or  
 542 employees of the legislative branch, by the Joint Rules Committee of the General Assembly, shall file  
 543 *with the Council*, as a condition to assuming office or employment, a disclosure statement of their  
 544 personal interests and such other information as is specified on the form set forth in § 2.2-3117 and  
 545 thereafter shall file such a statement ~~annually on or before January 15~~ *semiannually by April 1 for the*  
 546 *preceding six-month period complete through the last day of February and by October 1 for the*  
 547 *preceding six-month period complete through the last day of August.* When the filing deadline falls on a  
 548 Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a  
 549 Saturday, Sunday, or legal holiday.

550 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in  
 551 the executive branch of state government, other than the Commonwealth Transportation Board, members  
 552 of the Board of Trustees of the Virginia Retirement System, and the State Lottery Board, shall file *with*  
 553 *the Council*, as a condition to assuming office, a disclosure form of their personal interests and such  
 554 other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form  
 555 annually on or before January 15. When the filing deadline falls on a Saturday, Sunday, or legal  
 556 holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal  
 557 holiday. Nonsalaried citizen members of other boards, commissions and councils, including advisory  
 558 boards and authorities, may be required to file a disclosure form if so designated by the Governor, in  
 559 which case the form shall be that set forth in § 2.2-3118.

560 C. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~  
 561 ~~Commonwealth Council~~ to each officer and employee so designated, including officers appointed by  
 562 legislative authorities, ~~not later than November 30 of each year~~ *at least 30 days prior to the filing*  
 563 *deadline.* Disclosure forms shall be filed and maintained as public records for five years in the ~~Office~~  
 564 *office of the Secretary of the Commonwealth Council.*

565 D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a  
 566 disclosure statement of their personal interests as required by § 24.2-502.

567 E. Any officer or employee of state government who has a personal interest in any transaction before  
 568 the governmental or advisory agency of which he is an officer or employee and who is disqualified  
 569 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to  
 570 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full  
 571 name and address of the business and the address or parcel number for the real estate if the interest  
 572 involves a business or real estate, and his disclosure shall also be reflected in the public records of the  
 573 agency for five years in the office of the administrative head of the officer's or employee's governmental  
 574 agency or advisory agency or, if the agency has a clerk, in the clerk's office.

575 F. An officer or employee of state government who is required to declare his interest pursuant to  
 576 subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the  
 577 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a  
 578 member of a business, profession, occupation, or group the members of which are affected by the  
 579 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public  
 580 interest. The officer or employee shall either make his declaration orally to be recorded in written  
 581 minutes for his agency or file a signed written declaration with the clerk or administrative head of his  
 582 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for  
 583 public inspection such declaration for a period of five years from the date of recording or receipt. If  
 584 reasonable time is not available to comply with the provisions of this subsection prior to participation in  
 585 the transaction, the officer or employee shall prepare and file the required declaration by the end of the  
 586 next business day.

587 G. An officer or employee of state government who is required to declare his interest pursuant to  
 588 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a  
 589 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide  
 590 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in  
 591 the public interest. The officer or employee shall either make his declaration orally to be recorded in  
 592 written minutes for his agency or file a signed written declaration with the clerk or administrative head

593 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make  
 594 available for public inspection such declaration for a period of five years from the date of recording or  
 595 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to  
 596 participation in the transaction, the officer or employee shall prepare and file the required declaration by  
 597 the end of the next business day.

598 **§ 2.2-3115. Disclosure by local government officers and employees.**

599 A. The members of every governing body and school board of each county and city and of towns  
 600 with populations in excess of 3,500 shall file *with the Council*, as a condition to assuming office or  
 601 employment, a disclosure statement of their personal interests and other information as is specified on  
 602 the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January~~  
 603 ~~15~~ *semiannually by April 1 for the preceding six-month period complete through the last day of*  
 604 *February and by October 1 for the preceding six-month period complete through the last day of August.*

605 The members of the governing body of any authority established in any county or city, or part or  
 606 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any  
 607 fiscal year, shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to  
 608 assuming office, a disclosure statement of their personal interests and other information as is specified  
 609 on the form set forth in § 2.2-3118 and thereafter shall file such a statement annually on or before  
 610 January 15, unless the governing body of the jurisdiction that appoints the members requires that the  
 611 members file the form set forth in § 2.2-3117 *semiannually by April 1 for the preceding six-month*  
 612 *period complete through the last day of February and by October 1 for the preceding six-month period*  
 613 *complete through the last day of August.*

614 Persons occupying such positions of trust appointed by governing bodies and persons occupying such  
 615 positions of employment with governing bodies as may be designated to file by ordinance of the  
 616 governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a  
 617 condition to assuming office or employment, a disclosure statement of their personal interests and other  
 618 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement  
 619 ~~annually on or before January 15~~ *semiannually by April 1 for the preceding six-month period complete*  
 620 *through the last day of February and by October 1 for the preceding six-month period complete through*  
 621 *the last day of August.*

622 Persons occupying such positions of trust appointed by school boards and persons occupying such  
 623 positions of employment with school boards as may be designated to file by an adopted policy of the  
 624 school board shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition  
 625 to assuming office or employment, a disclosure statement of their personal interests and other  
 626 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement  
 627 ~~annually on or before January 15~~ *semiannually by April 1 for the preceding six-month period complete*  
 628 *through the last day of February and by October 1 for the preceding six-month period complete through*  
 629 *the last day of August.*

630 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by  
 631 the governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a  
 632 condition to assuming office, a disclosure form of their personal interests and such other information as  
 633 is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before  
 634 January 15.

635 C. No person shall be mandated to file any disclosure not otherwise required by this article.

636 D. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~  
 637 ~~Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory Council* to the clerks of the governing  
 638 bodies and school boards ~~not later than November 30 of each year at least 30 days prior to the filing~~  
 639 ~~deadline~~, and the clerks of the governing body and school board shall distribute the forms to designated  
 640 individuals ~~no later than December 10 of each year at least 20 days prior to the filing deadline~~. Forms  
 641 shall be filed and maintained as public records for five years in the office of the ~~clerk of the respective~~  
 642 ~~governing body or school board~~ *Virginia Conflict of Interest and Ethics Advisory Council*. Forms filed  
 643 by members of governing bodies of authorities shall be filed and maintained as public records for five  
 644 years in the office of the ~~clerk of the governing body of the county or city~~ *Virginia Conflict of Interest*  
 645 *and Ethics Advisory Council*.

646 E. Candidates for membership in the governing body or school board of any county, city or town  
 647 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests  
 648 as required by § 24.2-502.

649 F. Any officer or employee of local government who has a personal interest in any transaction before  
 650 the governmental or advisory agency of which he is an officer or employee and who is disqualified  
 651 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to  
 652 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full  
 653 name and address of the business and the address or parcel number for the real estate if the interest

654 involves a business or real estate, and his disclosure shall be reflected in the public records of the  
655 agency for five years in the office of the administrative head of the officer's or employee's governmental  
656 or advisory agency.

657 G. In addition to any disclosure required by subsections A and B, in each county and city and in  
658 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,  
659 real estate assessors, and all county, city and town managers or executive officers shall make annual  
660 disclosures of all their interests in real estate located in the county, city or town in which they are  
661 elected, appointed, or employed. Such disclosure shall include any business in which such persons own  
662 an interest, or from which income is received, if the primary purpose of the business is to own, develop  
663 or derive compensation through the sale, exchange or development of real estate in the county, city or  
664 town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter  
665 shall be filed annually with the ~~clerk of the governing body of such county, city or town~~ *Virginia*  
666 *Conflict of Interest and Ethics Advisory Council* on or before January 15. Such disclosures shall be filed  
667 and maintained as public records for five years. Forms for the filing of such reports shall be prepared  
668 and distributed by the ~~Secretary of the Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory*  
669 *Council* to the clerk of each governing body.

670 H. An officer or employee of local government who is required to declare his interest pursuant to  
671 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the  
672 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a  
673 member of a business, profession, occupation, or group the members of which are affected by the  
674 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public  
675 interest. The officer or employee shall either make his declaration orally to be recorded in written  
676 minutes of his agency or file a signed written declaration with the clerk or administrative head of his  
677 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for  
678 public inspection such declaration for a period of five years from the date of recording or receipt. If  
679 reasonable time is not available to comply with the provisions of this subsection prior to participation in  
680 the transaction, the officer or employee shall prepare and file the required declaration by the end of the  
681 next business day. The officer or employee shall also orally disclose the existence of the interest during  
682 each meeting of the governmental or advisory agency at which the transaction is discussed and such  
683 disclosure shall be recorded in the minutes of the meeting.

684 I. An officer or employee of local government who is required to declare his interest pursuant to  
685 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a  
686 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide  
687 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in  
688 the public interest. The officer or employee shall either make his declaration orally to be recorded in  
689 written minutes for his agency or file a signed written declaration with the clerk or administrative head  
690 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make  
691 available for public inspection such declaration for a period of five years from the date of recording or  
692 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to  
693 participation in the transaction, the officer or employee shall prepare and file the required declaration by  
694 the end of the next business day.

695 **§ 2.2-3117. Disclosure form.**

696 The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and  
697 subsections A and E of § 2.2-3115 shall be substantially as follows:

698 STATEMENT OF ECONOMIC INTERESTS.

- 699 Name .....
- 700 Office or position held or sought .....
- 701 Address .....
- 702 Names of members of immediate family .....

703 DEFINITIONS AND EXPLANATORY MATERIAL.

704 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
705 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
706 whether or not for profit.

707 "Close financial association" means an association in which the person filing shares significant  
708 financial involvement with an individual and the filer would reasonably be expected to be aware of the  
709 individual's business activities and would have access to the necessary records either directly or through  
710 the individual. "Close financial association" does not mean an association based on (i) the receipt of  
711 retirement benefits or deferred compensation from a business by which the person filing this statement is  
712 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an  
713 independent contractor of a business that represents an entity before any state governmental agency  
714 when the person filing has had no communications with the state governmental agency.

715 "Contingent liability" means a liability that is not presently fixed or determined, but may become  
716 fixed or determined in the future with the occurrence of some certain event.

717 "Dependent" means any person, whether or not related by blood or marriage, who receives from the  
718 officer or employee, or provides to the officer or employee, more than one-half of his financial support.

719 "Gift" means any ~~gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item~~  
720 ~~having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and~~  
721 ~~meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the~~  
722 ~~expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass~~  
723 ~~unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from~~  
724 ~~relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom~~  
725 ~~the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother,~~  
726 ~~or sister; or the donee's brother's or sister's spouse. tangible or intangible gift. A "tangible gift" means a~~  
727 ~~physical item, object, currency, or other negotiable instrument of value that upon the happening of a~~  
728 ~~certain event or expiration of a given date retains its form or value. An "intangible gift" means a~~  
729 ~~physical item or object of value that upon the happening of a certain event or expiration of a given date~~  
730 ~~loses its form or value. "Intangible gifts" include, but are not limited to, entertainment, food, and tickets~~  
731 ~~or other access to social or recreational events.~~

732 "Immediate family" means (i) a spouse and (ii) any ~~other person~~ *child* residing in the same  
733 household as the officer or employee, who is a dependent of the officer or employee ~~or of whom the~~  
734 ~~officer or employee is a dependent.~~

735 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,  
736 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional  
737 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if  
738 you and your immediate family have a one-third interest in a trust, complete your Statement as if you  
739 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust  
740 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

741 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this  
742 Statement must be provided on the basis of the best knowledge, information and belief of the individual  
743 filing the Statement as of the date of this report unless otherwise stated.

744 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

745 You may attach additional explanatory information.

746 1. Offices and Directorships.

747 Are you or a member of your immediate family a paid officer or paid director of a business?

748 EITHER check NO / / OR check YES / / and complete Schedule A.

749 2. Personal Liabilities.

750 Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor  
751 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens  
752 on property at least equal in value to the loan.)

753 EITHER check NO / / OR check YES / / and complete Schedule B.

754 3. Securities.

755 Do you or a member of your immediate family, directly or indirectly, separately or together, own  
756 securities valued in excess of ~~\$10,000~~ \$5,000 invested in one business? Account for mutual funds,  
757 limited partnerships and trusts.

758 EITHER check NO / / OR check YES / / and complete Schedule C.

759 4. Payments for Talks, Meetings, and Publications.

760 During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of  
761 value with a combined value exceeding \$200 for a single talk, meeting, or published work in your  
762 capacity as an officer or employee of your agency?

763 EITHER check NO / / OR check YES / / and complete Schedule D.

764 5. 5A. Entertainment and Intangible Gifts.

765 During the past ~~12~~ six months did a business, government, or individual other than a relative or  
766 personal friend (i) furnish you *or a member of your immediate family* with any ~~gift or~~ entertainment at a  
767 single event, *or intangible gift* and the value received ~~by you~~ exceeded \$50 ~~in value~~ or (ii) furnish you  
768 ~~with gifts or such~~ entertainment *or intangible gifts* in any combination and the *total* value received ~~by~~  
769 ~~you~~ exceeded \$100 ~~in total value~~; and for which you *or the member of your immediate family* neither  
770 paid nor rendered services in exchange? Account for entertainment events only if the average value per  
771 person attending the event exceeded \$50 ~~in value~~. Account for all business entertainment (except if  
772 related to ~~your~~ *the private profession or occupation of you or the member of your immediate family who*  
773 *received such business entertainment*) even if unrelated to your official duties. *For the purposes of this*  
774 *Item, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business,*  
775 *government, or individual that is transacting or seeking to transact business with the Commonwealth or*

776 *its agencies, departments, or political subdivisions within 12 months from the date of the gift that the*  
 777 *filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or*  
 778 *individual that is transacting or seeking to transact business with the Commonwealth or its agencies,*  
 779 *departments, or political subdivisions within 12 months from the date of the gift.*

780 EITHER check NO / / OR check YES / / and complete Schedule E-1.

781 5B. Tangible Gifts.

782 *During the past six months did a business, government, or individual other than a relative or*  
 783 *personal friend (i) furnish you or a member of your immediate family with any tangible gift and the*  
 784 *value received exceeded \$50 or (ii) furnish you or a member of your immediate family with such*  
 785 *tangible gifts in any combination and the total value received exceeded \$100, and for which you or the*  
 786 *member of your immediate family neither paid nor rendered services in exchange? For the purposes of*  
 787 *this Item, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business,*  
 788 *government, or individual that is transacting or seeking to transact business with the Commonwealth or*  
 789 *its agencies, departments, or political subdivisions within 12 months from the date of the gift that the*  
 790 *filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or*  
 791 *individual that is transacting or seeking to transact business with the Commonwealth or its agencies,*  
 792 *departments, or political subdivisions within 12 months from the date of the gift.*

793 EITHER check NO / / OR check YES / / and complete Schedule E-2.

794 6. Salary and Wages.

795 List each employer that pays you or a member of your immediate family salary or wages in excess  
 796 of ~~\$10,000~~ \$5,000 annually. (Exclude state or local government or advisory agencies.)

797 If no reportable salary or wages, check here / /.

798 \_\_\_\_\_  
 799 \_\_\_\_\_

800  
 801 7. Business Interests.

802 Do you or a member of your immediate family, separately or together, operate your own business, or  
 803 own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

804 EITHER check NO / / OR check YES / / and complete Schedule F.

805 8. Payments for Representation and Other Services.

806 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any  
 807 state governmental agencies, excluding courts or judges, for which you received total compensation  
 808 during the past ~~12~~ six months in excess of \$1,000, excluding compensation for other services to such  
 809 businesses and representation consisting solely of the filing of mandatory papers and subsequent  
 810 representation regarding the mandatory papers? (Officers and employees of local governmental and  
 811 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

812 EITHER check NO / / OR check YES / / and complete Schedule G-1.

813 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial  
 814 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,  
 815 any businesses before any state governmental agency for which total compensation was received during  
 816 the past ~~12~~ six months in excess of \$1,000? (Officers and employees of local governmental and advisory  
 817 agencies do NOT need to answer this question or complete Schedule G-2.)

818 EITHER check NO / / OR check YES / / and complete Schedule G-2.

819 8C. Did you or persons with whom you have a close financial association furnish services to  
 820 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between  
 821 persons with whom you have a close financial association and such businesses for which total  
 822 compensation in excess of \$1,000 was received during the past ~~12~~ six months?

823 EITHER check NO / / OR check YES / / and complete Schedule G-3.

824 9. Real Estate.

825 9A. State Officers and Employees.

826 Do you or a member of your immediate family hold an interest, including a partnership interest,  
 827 valued at ~~\$10,000~~ or more than \$5,000 in real property (other than your principal residence) for which  
 828 you have not already listed the full address on Schedule F? Account for real estate held in trust.

829 EITHER check NO / / OR check YES / / and complete Schedule H-1.

830 9B. Local Officers and Employees.

831 Do you or a member of your immediate family hold an interest, including a partnership interest, or  
 832 option, easement, or land contract, valued at ~~\$10,000~~ or more than \$5,000 in real property (other than  
 833 your principal residence) for which you have not already listed the full address on Schedule F? Account  
 834 for real estate held in trust.

835 EITHER check NO / / OR check YES / / and complete Schedule H-2.

836 10. Real Estate Contracts with Governmental Agencies.

837 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000

838 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,  
 839 which real estate is the subject of a contract, whether pending or completed within the past 12 six  
 840 months, with a governmental agency? If the real estate contract provides for the leasing of the property  
 841 to a governmental agency, do you or a member of your immediate family hold an interest in the real  
 842 estate valued at more than \$1,000? Account for all such contracts whether or not your interest is  
 843 reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply  
 844 to an interest derived through an ownership interest in a business unless the ownership interest exceeds  
 845 three percent of the total equity of the business.

846 EITHER check NO / / OR check YES / / and complete Schedule I.

847 Statements of Economic Interests are open for public inspection.

848 AFFIRMATION BY ALL FILERS.

849 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

850 Signature .....

851 (Return only if needed to complete Statement.)

852 SCHEDULES

853 to

854 STATEMENT OF ECONOMIC INTERESTS.

855 NAME .....

856 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

857 Identify each business of which you or a member of your immediate family is a paid officer or paid  
 858 director.

859 \_\_\_\_\_

860	Name of Business	Address of Business	Position Held and by Whom
861	_____	_____	_____
862	_____	_____	_____
863	_____	_____	_____
864	_____	_____	_____
865	_____	_____	_____

866 RETURN TO ITEM 2

867 SCHEDULE B - PERSONAL LIABILITIES.

868 Report personal liability by checking each category. Report only debts in excess of \$10,000 \$5,000.  
 869 Do not report debts to any government. Do not report loans secured by recorded liens on property at  
 870 least equal in value to the loan.

871 Report contingent liabilities below and indicate which debts are contingent.

872 1. My personal debts are as follows:

873 \_\_\_\_\_

874	Check	Check one	
875	appropriate	\$10,001	More than
876	categories	\$5,001 to	\$50,000
877		\$50,000	\$50,000
878	Banks	_____	_____
879	Savings institutions	_____	_____
880	Other loan or finance companies	_____	_____
881	Insurance companies	_____	_____
882	Stock, commodity or other brokerage companies	_____	_____
883	Other businesses:		
884	(State principal business activity for each		
885	creditor and its name.)	_____	_____
886		_____	_____
887		_____	_____
888	Individual creditors:		
889	(State principal business or occupation of		
890	each creditor and its name.)	_____	_____
891		_____	_____
892		_____	_____
893		_____	_____
894		_____	_____
895		_____	_____

896 2. The personal debts of the members of my immediate family are as follows:

897 \_\_\_\_\_

898

899 Check one

900 Check ~~\$10,001~~

901 appropriate \$5,001 to More than

902 categories \$50,000 \$50,000

903 Banks \_\_\_\_\_

904 Savings institutions \_\_\_\_\_

905 Other loan or finance companies \_\_\_\_\_

906 Insurance companies \_\_\_\_\_

907 Stock, commodity or other brokerage companies \_\_\_\_\_

908 Other businesses:

909 (State principal business activity for each

910 creditor *and its name.*) \_\_\_\_\_

911 \_\_\_\_\_

912 \_\_\_\_\_

913 Individual creditors:

914 (State principal business or occupation of

915 each creditor *and its name.*) \_\_\_\_\_

916 \_\_\_\_\_

917 \_\_\_\_\_

918 \_\_\_\_\_

919 RETURN TO ITEM 3

920 SCHEDULE C - SECURITIES.

921 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES

922 mutual funds, limited partnerships, certificates of deposit,

923 and commodity futures contracts. money market funds, annuity

924 contracts, and insurance policies.

925 Identify each business or Virginia governmental entity in which you or a member of your immediate

926 family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000.

927 Name each entity and type of security individually.

928 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia

929 or its authorities, agencies, or local governments. Do not list organizations that do not do business in

930 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held

931 in trust.

932 If no reportable securities, check here / /.

933 \_\_\_\_\_

934

935 Check one

936 ~~\$10,001~~

937 Type of Security \$5,001 \$50,001 More

938 Type of (stocks, bonds, mutual to to than

939 Name of Issuer Entity funds, etc.) \$50,000 \$250,000 \$250,000

940 \_\_\_\_\_

941 \_\_\_\_\_

942 \_\_\_\_\_

943 \_\_\_\_\_

944 \_\_\_\_\_

945 RETURN TO ITEM 4

946 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

947 List each source from which you received during the past ~~12~~ six months lodging, transportation,

948 money, or any other thing of value (~~excluding meals or drinks coincident with a meeting~~) with

949 combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or

950 publication of a work in your capacity as an officer or employee of your agency.

951 List payments or reimbursements by an advisory or governmental agency only for meetings or travel

952 outside the Commonwealth.

953 List a payment even if you donated it to charity.

954 Do not list information about a payment if you returned it within 60 days or if you received it from  
 955 an employer already listed under Item 6 or from a source of income listed on Schedule F.  
 956 If no payment must be listed, check here / /.

957 \_\_\_\_\_

958

959 \_\_\_\_\_ Type of payment  
 960 (e.g. honoraria,  
 961 travel reimburse-  
 962 ment, etc.)

963 Payer	964 Approximate Value	965 Circumstances	966
967 _____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

RETURN TO ITEM-5 5A

968 SCHEDULE E-1 - ENTERTAINMENT AND INTANGIBLE GIFTS.

969 List each business, governmental entity, or individual that, during the past ~~12~~ six months, (i)  
 970 furnished you or a member of your immediate family with any gift or entertainment at a single event, or  
 971 intangible gift and the value received by you exceeded \$50, in value or (ii) furnished you or a member  
 972 of your immediate family with gifts or such entertainment or gifts in any combination and the total value  
 973 received by you exceeded \$100 in total value, and for which you or the member of your immediate  
 974 family neither paid nor rendered services in exchange. List each such gift or event. Do not list  
 975 entertainment events unless the average value per person attending the event exceeded \$50 in value. Do  
 976 not list business entertainment related to you or the private profession or occupation of you or the member  
 977 of your immediate family who received such business entertainment. Do not list gifts or other things of  
 978 value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not  
 979 list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title  
 980 24.2 of the Code of Virginia. For the purposes of this Schedule, "personal friend" does not include any  
 981 lobbyist, any lobbyist's principal, or any business, government, or individual that is transacting or  
 982 seeking to transact business with the Commonwealth or its agencies, departments, or political  
 983 subdivisions within 12 months from the date of the gift that the filer knows or has reason to know is a  
 984 lobbyist, lobbyist's principal, or business, government, or individual that is transacting or seeking to  
 985 transact business with the Commonwealth or its agencies, departments, or political subdivisions within  
 986 12 months from the date of the gift.  
 987

988 \_\_\_\_\_

989

990 Name of	991 Name of Business,	992 City or	993 Exact	994
995 Recipient	996 Organization, or	997 County	998 Gift or	999 Approximate
	Individual	and State	Event	Value
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

RETURN TO ITEM-6 5B

1000 SCHEDULE E-2 - TANGIBLE GIFTS.

1001 List each business, governmental entity, or individual that, during the past six months, (i) furnished  
 1002 you or a member of your immediate family with any tangible gift and the value received exceeded \$50  
 1003 or (ii) furnished you or a member of your immediate family with such gifts in any combination and the  
 1004 total value received exceeded \$100, and for which you or the member of your immediate family neither  
 1005 paid nor rendered services in exchange. List each such gift. Do not list gifts given by a relative or  
 1006 personal friend for reasons clearly unrelated to your public position. For the purposes of this Schedule,  
 1007 "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or  
 1008 individual that is transacting or seeking to transact business with the Commonwealth or its agencies,  
 1009 departments, or political subdivisions within 12 months from the date of the gift that the filer knows or  
 1010 has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is  
 1011 transacting or seeking to transact business with the Commonwealth or its agencies, departments, or  
 1012 political subdivisions within 12 months from the date of the gift.

1013					
1014		<i>Name of Business,</i>	<i>City or</i>	<i>Exact</i>	
1015	<i>Name of</i>	<i>Organization, or</i>	<i>County</i>	<i>Gift or</i>	<i>Approximate</i>
1016	<i>Recipient</i>	<i>Individual</i>	<i>and State</i>	<i>Event</i>	<i>Value</i>
1017	_____	_____	_____	_____	_____
1018	_____	_____	_____	_____	_____
1019	_____	_____	_____	_____	_____
1020	_____	_____	_____	_____	_____
1021	_____	_____	_____	_____	_____

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000 \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

1033	Name of Business,			Gross Income		
1034	Corporation,					
1035	Partnership,	City or	Nature of Enterprise	\$50,001	More	
1036	Farm; Address of	County	(farming, law, rental	\$50,000	to	than
1037	Rental Property	and State	property, etc.)	or less	\$250,000	\$250,000
1038	_____	_____	_____	_____	_____	_____
1039	_____	_____	_____	_____	_____	_____
1040	_____	_____	_____	_____	_____	_____
1041	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

1056			Amount Received						
1057	Pur-								
1058	Name	Type	of	Name					
1059	of	of	Repre-	of	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
1060	Busi-	Busi-	enta-	Agen-	to	to	to	to	and
1061	ness	ness	tion	cy	\$10,000	\$50,000	\$100,000	\$250,000	over
1062	_____	_____	_____	_____	_____	_____	_____	_____	_____
1063	_____	_____	_____	_____	_____	_____	_____	_____	_____
1064	_____	_____	_____	_____	_____	_____	_____	_____	_____
1065	_____	_____	_____	_____	_____	_____	_____	_____	_____

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: \_\_\_\_\_.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1071 List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419,  
 1072 before any state governmental agency, excluding any court or judge, by persons who are your partners,  
 1073 associates or others with whom you have a close financial association and who received total  
 1074 compensation in excess of \$1,000 for such representation during the past ~~12~~ six months, excluding  
 1075 representation consisting solely of the filing of mandatory papers and subsequent representation  
 1076 regarding the mandatory papers filed by your partners, associates or others with whom you have a close  
 1077 financial association.

1078 Identify such businesses by type and also name the state governmental agencies before which such  
 1079 person appeared on behalf of such businesses.

1080 Only STATE officers and employees should complete this Schedule.

1081 \_\_\_\_\_

1082

1083 Type of business	Name of state governmental agency
1084 _____	_____
1085 _____	_____
1086 _____	_____
1087 _____	_____

1088

1089 **SCHEDULE G-3 - PAYMENTS FOR SERVICES GENERALLY.**

1090 Indicate below types of businesses that operate in Virginia to which services were furnished by you  
 1091 or persons with whom you have a close financial association pursuant to an agreement between you and  
 1092 such businesses, or between persons with whom you have a close financial association and such  
 1093 businesses and for which total compensation in excess of \$1,000 was received during the past ~~12~~ six  
 1094 months.

1095 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of  
 1096 service rendered and (iii) the value by dollar category of the compensation received for all businesses  
 1097 falling within each category.

1098 \_\_\_\_\_

1099

	Check	if	Type	Value of Compensation				
1100								
1101								
1102								
1103								
1104				\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
1105				to	to	to	to	and
1106				\$10,000	\$50,000	\$100,000	\$250,000	over
1107				_____	_____	_____	_____	_____
1108				_____	_____	_____	_____	_____
1109				_____	_____	_____	_____	_____
1110				_____	_____	_____	_____	_____
1111				_____	_____	_____	_____	_____
1112				_____	_____	_____	_____	_____
1113				_____	_____	_____	_____	_____
1114				_____	_____	_____	_____	_____
1115				_____	_____	_____	_____	_____
1116				_____	_____	_____	_____	_____
1117				_____	_____	_____	_____	_____
1118				_____	_____	_____	_____	_____
1119				_____	_____	_____	_____	_____
1120				_____	_____	_____	_____	_____
1121				_____	_____	_____	_____	_____
1122				_____	_____	_____	_____	_____
1123				_____	_____	_____	_____	_____
1124				_____	_____	_____	_____	_____
1125				_____	_____	_____	_____	_____
1126				_____	_____	_____	_____	_____
1127				_____	_____	_____	_____	_____
1128				_____	_____	_____	_____	_____

1129	furniture, etc.)	_____	_____	_____	_____	_____	_____
1130	Mining companies	_____	_____	_____	_____	_____	_____
1131	Life insurance	_____	_____	_____	_____	_____	_____
1132	companies	_____	_____	_____	_____	_____	_____
1133	Casualty insurance	_____	_____	_____	_____	_____	_____
1134	companies	_____	_____	_____	_____	_____	_____
1135	Other insurance	_____	_____	_____	_____	_____	_____
1136	companies	_____	_____	_____	_____	_____	_____
1137	Retail companies	_____	_____	_____	_____	_____	_____
1138	Beer, wine or liquor	_____	_____	_____	_____	_____	_____
1139	companies or	_____	_____	_____	_____	_____	_____
1140	distributors	_____	_____	_____	_____	_____	_____
1141	Trade associations	_____	_____	_____	_____	_____	_____
1142	Professional	_____	_____	_____	_____	_____	_____
1143	associations	_____	_____	_____	_____	_____	_____
1144	Associations of	_____	_____	_____	_____	_____	_____
1145	public employees	_____	_____	_____	_____	_____	_____
1146	or officials	_____	_____	_____	_____	_____	_____
1147	Counties, cities	_____	_____	_____	_____	_____	_____
1148	or towns	_____	_____	_____	_____	_____	_____
1149	Labor organizations	_____	_____	_____	_____	_____	_____
1150	Other	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

**SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.**

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$40,000 or more than \$5,000. Each parcel shall be listed individually.

1157	_____		
1158	_____		
1159	Describe the type of real		
1160	List each location	estate you own in each	If the real estate is
1161	(state, and county	location (business, recre-	owned or recorded in
1162	or city) where you	ational, apartment, com-	a name other than your
1163	own real estate.	mercial, open land, etc.).	own, list that name.
1164	_____	_____	_____
1165	_____	_____	_____
1166	_____	_____	_____
1167	_____	_____	_____
1168	_____	_____	_____

**SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.**

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at \$40,000 or more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

1175	_____		
1176	_____		
1177	Describe the type		
1178	of real estate		
1179	you own in		
1180	each location	If the real estate	
1181	List each location (business,	is owned or rec-	
1182	(state, and county recreational,	orded in a name	
1183	or city) where apartment, com-	other than your	List the names
1184	you own real mercial, open	own, list that	of any co-owners,
1185	estate. land, etc.).	name.	if applicable.

1186 \_\_\_\_\_  
 1187 \_\_\_\_\_  
 1188 \_\_\_\_\_  
 1189 \_\_\_\_\_  
 1190 \_\_\_\_\_  
 1191 \_\_\_\_\_

1192 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

1193 List all contracts, whether pending or completed within the past ~~12~~ *six* months, with a governmental  
 1194 agency for the sale or exchange of real estate in which you or a member of your immediate family  
 1195 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,  
 1196 valued at *more than* \$10,000 ~~or more~~. List all contracts with a governmental agency for the lease of real  
 1197 estate in which you or a member of your immediate family holds such an interest valued at *more than*  
 1198 \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not apply to an interest derived  
 1199 through an ownership interest in a business unless the ownership interest exceeds three percent of the  
 1200 total equity of the business.

1201 State officers and employees report contracts with state agencies.  
 1202 Local officers and employees report contracts with local agencies.

1203 \_\_\_\_\_  
 1204 \_\_\_\_\_

1205 List your real estate  
 1206 interest and the  
 1207 person or entity,  
 1208 including the type  
 1209 of entity, which  
 1210 is party to  
 1211 the contract.

1212 Describe any  
 1213 management role and  
 1214 the percentage  
 1215 ownership  
 1216 interest you or your  
 1217 immediate family  
 1218 member has in the real  
 1219 estate or entity.

List each governmental  
 agency which is a  
 party to the contract  
 and indicate the  
 county or city where  
 the real estate  
 is located.

State the annual  
 income from the  
 contract, and the  
 amount, if any, of  
 income you or any  
 immediate family  
 member derives  
 annually from the  
 contract.

1220 \_\_\_\_\_  
 1221 \_\_\_\_\_  
 1222 \_\_\_\_\_  
 1223 \_\_\_\_\_  
 1224 \_\_\_\_\_  
 1225 \_\_\_\_\_

1226 § 2.2-3118.1. Special provisions for individuals serving in or seeking multiple positions or  
1227 offices; reappointees.

1228 A. The filing of a single current statement of economic interests by a state officer or employee  
 1229 required to file the form prescribed in § 2.2-3117 shall suffice for the purposes of this chapter as filing  
 1230 for all state positions or offices held or sought by such individual during a single reporting period. The  
 1231 filing of a single current financial disclosure statement by a state officer or employee required to file the  
 1232 form prescribed in § 2.2-3118 shall suffice for the purposes of this chapter as filing for all state  
 1233 positions or offices held or sought by such individual and requiring the filing of the § 2.2-3118 form  
 1234 during a single reporting period.

1235 B. Any individual who has met the requirement for ~~annually~~ *periodically* filing a statement provided  
 1236 in § 2.2-3117 or 2.2-3118 shall not be required to file an additional statement upon such individual's  
 1237 reappointment to the same office or position for which he is required to file, provided such  
 1238 reappointment occurs within *six months after filing a statement pursuant to § 2.2-3117 and within 12*  
 1239 *months after the annual filing a statement pursuant to § 2.2-3118.*

1240 § 2.2-3121. Advisory opinions.

1241 A. A state officer or employee shall not be prosecuted for a knowing violation of this chapter if the  
 1242 alleged violation resulted from his good faith reliance on a written opinion of the Attorney General *or*  
 1243 *the Virginia Conflict of Interest and Ethics Advisory Council* made in response to his written request for  
 1244 such opinion and the opinion was made after a full disclosure of the facts.

1245 B. A local officer or employee shall not be prosecuted for a knowing violation of this chapter if the  
 1246 alleged violation resulted from his good faith reliance on a written opinion of the attorney for the  
 1247 Commonwealth *or the Council* made in response to his written request for such opinion and the opinion  
 1248 was made after a full disclosure of the facts. The written opinion shall be a public record and shall be  
 1249 released upon request.

1250 C. If any officer or employee serving at the local level of government is charged with a knowing  
 1251 violation of this chapter, and the alleged violation resulted from his reliance upon a written opinion of  
 1252 his city, county or town attorney *or the Council*, made after a full disclosure of the facts, that such  
 1253 action was not in violation of this chapter, then the officer or employee shall have the right to introduce  
 1254 a copy of the opinion at his trial as evidence that he did not knowingly violate this chapter.

1255 **§ 30-101. Definitions.**

1256 As used in this chapter, unless the context requires a different meaning:

1257 "Advisory agency" means any board, commission, committee or post which does not exercise any  
 1258 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for  
 1259 the purpose of making studies or recommendations, or advising or consulting with a governmental  
 1260 agency.

1261 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
 1262 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
 1263 whether or not for profit.

1264 "Contract" means any agreement to which a governmental agency is a party, or any agreement on  
 1265 behalf of a governmental agency which involves the payment of money appropriated by the General  
 1266 Assembly or a political subdivision, whether or not such agreement is executed in the name of the  
 1267 Commonwealth of Virginia, or some political subdivision thereof. "Contract" includes a subcontract only  
 1268 when the contract of which it is a part is with the legislator's own governmental agency.

1269 "*Council*" means the Virginia Conflict of Interest and Ethics Advisory Council established in §  
 1270 30-348.

1271 "Financial institution" means any bank, trust company, savings institution, industrial loan association,  
 1272 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or  
 1273 investment company or advisor registered under the federal Investment Advisors Act or Investment  
 1274 Company Act of 1940.

1275 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
 1276 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and  
 1277 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
 1278 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass  
 1279 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from  
 1280 relatives. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt,  
 1281 niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's  
 1282 parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse *both tangible*  
 1283 *and intangible gifts. A "tangible gift" means a physical item, object, currency, or other negotiable*  
 1284 *instrument of value that upon the happening of a certain event or expiration of a given date retains its*  
 1285 *form or value. An "intangible gift" means a physical item or object of value that upon the happening of*  
 1286 *a certain event or expiration of a given date loses its form or value. "Intangible gifts" include, but are*  
 1287 *not limited to, entertainment, food, and tickets or other access to social or recreational events.*

1288 "Governmental agency" means each component part of the legislative, executive or judicial branches  
 1289 of state and local government, including each office, department, authority, post, commission,  
 1290 committee, and each institution or board created by law to exercise some regulatory or sovereign power  
 1291 or duty as distinguished from purely advisory powers or duties.

1292 "Immediate family" means (i) a spouse and (ii) any ~~other person~~ *child* residing in the same  
 1293 household as the legislator, who is a dependent of the legislator ~~or of whom the legislator is a~~  
 1294 ~~dependent.~~ "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether  
 1295 or not related by blood or marriage, if such person receives from the legislator, ~~or provides to the~~  
 1296 ~~legislator,~~ more than one-half of his financial support.

1297 "Legislator" means a member of the General Assembly.

1298 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his  
 1299 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership  
 1300 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may  
 1301 reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal property or a  
 1302 business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any  
 1303 combination thereof, paid or provided by a business that exceeds, or may reasonably be anticipated to  
 1304 exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds  
 1305 ~~\$10,000~~ \$5,000 in value and excluding ownership in a business, income, or salary, other compensation,  
 1306 fringe benefits or benefits from the use of property; or (v) personal liability incurred or assumed on

1307 behalf of a business if the liability exceeds three percent of the asset value of the business.

1308 "Personal interest in a contract" means a personal interest which a legislator has in a contract with a  
1309 governmental agency, whether due to his being a party to the contract or due to a personal interest in a  
1310 business which is a party to the contract.

1311 "Personal interest in a transaction" means a personal interest of a legislator in any matter considered  
1312 by the General Assembly. Such personal interest exists when an officer or employee or a member of his  
1313 immediate family has a personal interest in property or a business, or represents any individual or  
1314 business and such property, business or represented individual or business (i) is the subject of the  
1315 transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result  
1316 of the action of the agency considering the transaction. A "personal interest in a transaction" exists only  
1317 if the legislator or member of his immediate family or an individual or business represented by the  
1318 legislator is affected in a way that is substantially different from the general public or from persons  
1319 comprising a profession, occupation, trade, business or other comparable and generally recognizable  
1320 class or group of which he or the individual or business he represents is a member.

1321 "Transaction" means any matter considered by the General Assembly, whether in a committee,  
1322 subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which  
1323 official action is taken or contemplated.

1324 **§ 30-103.1. Certain gifts prohibited.**

1325 A. No legislator or candidate for the General Assembly required to file the disclosure form  
1326 prescribed in § 30-111 shall solicit, accept, or receive within any calendar year any single gift with a  
1327 value in excess of \$250 from any lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter  
1328 4 of Title 2.2. The provisions of this section apply to gifts reportable on Schedule E-2 of the disclosure  
1329 form prescribed in § 30-111. The provisions of this section do not apply to items reportable on Schedule  
1330 D-1, D-2, or E-1 of the disclosure form prescribed in § 30-111.

1331 B. The \$250 limitation imposed in accordance with this section shall be adjusted by the Virginia  
1332 Conflict of Interest and Ethics Advisory Council every five years, as of January 1 of that year, in an  
1333 amount equal to the annual increases for that five-year period in the United States Average Consumer  
1334 Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics  
1335 of the U.S. Department of Labor.

1336 **§ 30-110. Disclosure.**

1337 A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure  
1338 statement of his personal interests and such other information as is specified on the form set forth in  
1339 § 30-111 and thereafter shall file such a statement ~~annually on or before January 8~~ *semiannually by*  
1340 *April 1 for the preceding six-month period complete through the last day of February and by October 1*  
1341 *for the preceding six-month period complete through the last day of August.* When the filing deadline  
1342 falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that  
1343 is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be provided by the ~~clerk of the~~  
1344 *appropriate house to each legislator and legislator-elect not later than November 30 of each year*  
1345 *Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline.*  
1346 ~~Members of the Senate shall file their disclosure forms with the Clerk of the Senate and members of the~~  
1347 ~~House of Delegates shall file their disclosure forms with the Clerk of the House of Delegates~~ *Virginia*  
1348 *Conflict of Interest and Ethics Advisory Council.* The disclosure forms of the members of the General  
1349 Assembly shall be maintained as public records for five years in the office of the ~~clerk of the~~  
1350 *appropriate house Virginia Conflict of Interest and Ethics Advisory Council.*

1351 B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as  
1352 required by §§ 24.2-500 through 24.2-503.

1353 C. Any legislator who has a personal interest in any transaction pending before the General  
1354 Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the  
1355 rules of his house shall disclose his interest in accordance with the applicable rule of his house.

1356 **§ 30-111. Disclosure form.**

1357 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be  
1358 substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

- 1359 Name .....
- 1360 Office or position held or sought .....
- 1361 Home address .....
- 1362 Names of members of immediate family .....

1363 **DEFINITIONS AND EXPLANATORY MATERIAL.**

1364 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
1365 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
1366 whether or not for profit.  
1367

1368 "Close financial association" means an association in which the filer shares significant financial  
 1369 involvement with an individual and the filer would reasonably be expected to be aware of the  
 1370 individual's business activities and would have access to the necessary records either directly or through  
 1371 the individual. "Close financial association" does not mean an association based on (i) the receipt of  
 1372 retirement benefits or deferred compensation from a business by which the legislator is no longer  
 1373 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent  
 1374 contractor of a business that represents an entity before any state governmental agency when the  
 1375 legislator has had no communications with the state governmental agency.

1376 "Contingent liability" means a liability that is not presently fixed or determined, but may become  
 1377 fixed or determined in the future with the occurrence of some certain event.

1378 "Dependent" means any person, whether or not related by blood or marriage, who receives from the  
 1379 legislator, or provides to the legislator, more than one-half of his financial support.

1380 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
 1381 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and  
 1382 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
 1383 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass  
 1384 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from  
 1385 relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom  
 1386 the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother,  
 1387 or sister; or the donee's brother's or sister's spouse. *both tangible and intangible gifts. A "tangible gift"*  
 1388 *means a physical item, object, currency, or other negotiable instrument of value that upon the happening*  
 1389 *of a certain event or expiration of a given date retains its form or value. An "intangible gift" means a*  
 1390 *physical item or object of value that upon the happening of a certain event or expiration of a given date*  
 1391 *loses its form or value. "Intangible gifts" include, but are not limited to, entertainment, food, and tickets*  
 1392 *or other access to social or recreational events.*

1393 "Immediate family" means (i) a spouse and (ii) any ~~other person~~ *child* residing in the same  
 1394 household as the legislator, who is a dependent of the legislator ~~or of whom the legislator is a~~  
 1395 ~~dependent.~~

1396 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal  
 1397 services, consulting services, or public relations services, whether gratuitous or for compensation,  
 1398 between a member or member-elect and any person who is, or has been within the prior calendar year,  
 1399 registered as a lobbyist with the ~~Secretary of the Commonwealth~~ *Virginia Conflict of Interest and Ethics*  
 1400 *Advisory Council*, or (ii) a greater than three percent ownership interest by a member or member-elect in  
 1401 a business that employs, or engages as an independent contractor, any person who is, or has been within  
 1402 the prior calendar year, registered as a lobbyist with the ~~Secretary of the Commonwealth~~ *Council*. The  
 1403 disclosure of a lobbyist relationship shall not (i) constitute a waiver of any attorney-client or other  
 1404 privilege, (ii) require a waiver of any attorney-client or other privilege for a third party, or (iii) be  
 1405 required where a member or member-elect is employed or engaged by a person and such person also  
 1406 employs or engages a person in a lobbyist relationship so long as the member or member-elect has no  
 1407 financial interest in the lobbyist relationship.

1408 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,  
 1409 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional  
 1410 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if  
 1411 you and your immediate family have a one-third interest in a trust, complete your Statement as if you  
 1412 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust  
 1413 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1414 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this  
 1415 Statement must be provided on the basis of the best knowledge, information and belief of the individual  
 1416 filing the Statement as of the date of this report unless otherwise stated.

1417 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

1418 You may attach additional explanatory information.

1419 1. Offices and Directorships.

1420 Are you or a member of your immediate family a paid officer or paid director of a business?

1421 EITHER check NO / / OR check YES / / and complete Schedule A.

1422 2. Personal Liabilities.

1423 Do you or a member of your immediate family owe more than ~~\$10,000~~ *\$5,000* to any one creditor  
 1424 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens  
 1425 on property at least equal in value to the loan.)

1426 EITHER check NO / / OR check YES / / and complete Schedule B.

1427 3. Securities.

1428 Do you or a member of your immediate family, directly or indirectly, separately or together, own  
 1429 securities valued in excess of ~~\$10,000~~ *\$5,000* invested in one business? Account for mutual funds,

1430 limited partnerships and trusts.

1431 EITHER check NO / / OR check YES / / and complete Schedule C.

1432 4. Payments for Talks, Meetings, and Publications.

1433 During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of  
1434 value with a combined value exceeding \$200 for a single talk, meeting, or published work in your  
1435 capacity as a legislator? Do not include payments and reimbursements from the Commonwealth for  
1436 meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such  
1437 meetings.

1438 EITHER check NO / / OR check YES / / and complete Schedule D.

1439 ~~5.~~ 5A. Entertainment and Intangible Gifts.

1440 During the past ~~12~~ six months did a business, government, or individual other than a relative or  
1441 personal friend (i) furnish you or a member of your immediate family with any ~~gift or~~ entertainment at a  
1442 single event, or intangible gift and the value received by you exceeded \$50 in value or (ii) furnish you  
1443 or a member of your immediate family with ~~gifts or~~ such entertainment or gifts in any combination and  
1444 the total value received by you exceeded \$100 in total value, and for which you or the member of your  
1445 immediate family neither paid nor rendered services in exchange? Account for entertainment events only  
1446 if the average value per person attending the event exceeded \$50 in value. Account for all business  
1447 entertainment (except if related to ~~you~~ the private profession or occupation of you or the member of  
1448 your immediate family who received such business entertainment) even if unrelated to your official  
1449 duties. For the purposes of this Item, "personal friend" does not include any lobbyist, any lobbyist's  
1450 principal, or any business, government, or individual that is transacting or seeking to transact business  
1451 with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from  
1452 the date of the gift that the filer knows or has reason to know is a lobbyist, lobbyist's principal, or  
1453 business, government, or individual that is transacting or seeking to transact business with the  
1454 Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of  
1455 the gift.

1456 EITHER check NO / / OR check YES / / and complete Schedule ~~E~~ E-1.

1457 5B. Tangible Gifts.

1458 During the past six months did a business, government, or individual other than a relative or  
1459 personal friend (i) furnish you or a member of your immediate family with any tangible gift and the  
1460 value received exceeded \$50 or (ii) furnish you or a member of your immediate family with such gifts in  
1461 any combination and the total value received exceeded \$100, and for which you or the member of your  
1462 immediate family neither paid nor rendered services in exchange? For the purposes of this Item,  
1463 "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or  
1464 individual that is transacting or seeking to transact business with the Commonwealth or its agencies,  
1465 departments, or political subdivisions within 12 months from the date of the gift that the filer knows or  
1466 has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is  
1467 transacting or seeking to transact business with the Commonwealth or its agencies, departments, or  
1468 political subdivisions within 12 months from the date of the gift.

1469 EITHER check NO / / OR check YES / / and complete Schedule E-2.

1470 6. Salary and Wages.

1471 List each employer that pays you or a member of your immediate family salary or wages in excess  
1472 of ~~\$10,000~~ \$5,000 annually. (Exclude any salary received as a member of the General Assembly  
1473 pursuant to § 30-19.11.)

1474 If no reportable salary or wages, check here / /.

1475

1476

1477

1478 7. Business Interests and Lobbyist Relationships.

1479 7A. Do you or a member of your immediate family, separately or together, operate your own  
1480 business, or own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

1481 EITHER check NO / / OR check YES / / and complete Schedule F-1.

1482 7B. Do you have a lobbyist relationship as that term is defined above?

1483 EITHER check NO / / OR check YES / / and complete Schedule F-2.

1484 8. Payments for Representation and Other Services.

1485 8A. Did you represent any businesses before any state governmental agencies, excluding courts or  
1486 judges, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000,  
1487 excluding compensation for other services to such businesses and representation consisting solely of the  
1488 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1489 EITHER check NO / / OR check YES / / and complete Schedule G-1.

1490 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial

1491 association (partners, associates or others) represent any businesses before any state governmental agency  
1492 for which total compensation was received during the past ~~12~~ six months in excess of \$1,000?

1493 EITHER check NO // OR check YES // and complete Schedule G-2.

1494 8C. Did you or persons with whom you have a close financial association furnish services to  
1495 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between  
1496 persons with whom you have a close financial association and such businesses for which total  
1497 compensation in excess of \$1,000 was received during the past ~~12~~ six months? Services reported under  
1498 this provision shall not include services involving the representation of businesses that are reported  
1499 under question 8A or 8B above.

1500 EITHER check NO // OR check YES // and complete Schedule G-3.

1501 9. Real Estate.

1502 Do you or a member of your immediate family hold an interest, including a partnership interest,  
1503 valued at ~~\$10,000~~ or more than \$5,000 in real property (other than your principal residence) for which  
1504 you have not already listed the full address on Schedule F? Account for real estate held in trust.

1505 EITHER check NO // OR check YES // and complete Schedule H.

1506 10. Real Estate Contracts with State Governmental Agencies.

1507 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000  
1508 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,  
1509 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six  
1510 months, with a state governmental agency?

1511 If the real estate contract provides for the leasing of the property to a state governmental agency, do  
1512 you or a member of your immediate family hold an interest in the real estate, including a corporate,  
1513 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for  
1514 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to  
1515 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a  
1516 business unless the ownership interest exceeds three percent of the total equity of the business.

1517 EITHER check NO // OR check YES // and complete Schedule I.

1518 11. Payments by the Commonwealth for Meetings.

1519 During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of  
1520 value with a combined value exceeding \$200 from the Commonwealth for a single meeting attended  
1521 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for  
1522 meetings attended in the Commonwealth.

1523 EITHER check NO // OR check YES // and complete Schedule D-2.

1524 For Statements filed in January 2016 and each two years thereafter, complete the following  
1525 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

1526 I certify that I completed ethics training as required by § 30-129.1. YES // or NO //.

1527 Statements of Economic Interests are open for public inspection.

1528 AFFIRMATION.

1529 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure  
1530 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond  
1531 promptly to the request. I understand that if a determination is made that the statement is insufficient, I  
1532 will satisfy such request or be subjected to disciplinary action of my house.

1533 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1534 Signature \_\_\_\_\_

1535 Commonwealth of Virginia

1536 \_\_\_\_\_ of \_\_\_\_\_ to wit:

1537 ~~The foregoing disclosure form was acknowledged before me~~

1538 ~~This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by \_\_\_\_\_~~

1539 \_\_\_\_\_ Notary Public

1540 ~~My commission expires \_\_\_\_\_~~

1541 (Return only if needed to complete Statement.)

1542 SCHEDULES

1543 to

1544 STATEMENT OF ECONOMIC INTERESTS.

1545 NAME \_\_\_\_\_

1546 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1547 Identify each business of which you or a member of your immediate family is a paid officer or paid  
1548 director.

1549 \_\_\_\_\_

1550  
1551 Name of Business Address of Business Position Held and by Whom

1552 \_\_\_\_\_  
 1553 \_\_\_\_\_  
 1554 \_\_\_\_\_  
 1555 \_\_\_\_\_  
 1556 \_\_\_\_\_

RETURN TO ITEM 2

1557 SCHEDULE B - PERSONAL LIABILITIES.

1558 Report personal liability by checking each category. Report only debts in excess of \$10,000 \$5,000.  
1559 Do not report debts to any government. Do not report loans secured by recorded liens on property at  
1560 least equal in value to the loan.  
1561

1562 Report contingent liabilities below and indicate which debts are contingent.

1563 1. My personal debts are as follows:

1564 \_\_\_\_\_

1565

Check	Check one	
appropriate	<del>\$10,001</del>	
categories	\$5,001 to	More than
	\$50,000	\$50,000
1566 Banks	_____	_____
1567 Savings institutions	_____	_____
1568 Other loan or finance companies	_____	_____
1569 Insurance companies	_____	_____
1570 Stock, commodity or other brokerage	_____	_____
1571 companies	_____	_____
1572 Other businesses:		
1573 (State principal business activity for each		
1574 creditor <i>and its name.</i> )	_____	_____
1575	_____	_____
1576	_____	_____
1577 Individual creditors:		
1578 (State principal business or occupation of		
1579 each creditor <i>and its name.</i> )	_____	_____
1580	_____	_____
1581	_____	_____
1582	_____	_____
1583	_____	_____
1584	_____	_____
1585	_____	_____
1586	_____	_____

1587 2. The personal debts of the members of my immediate family are as follows:

1588 \_\_\_\_\_

1589

Check	Check one	
appropriate	<del>\$10,001</del>	
categories	\$5,001 to	More than
	\$50,000	\$50,000
1590 Banks	_____	_____
1591 Savings institutions	_____	_____
1592 Other loan or finance companies	_____	_____
1593 Insurance companies	_____	_____
1594 Stock, commodity or other brokerage	_____	_____
1595 companies	_____	_____
1596 Other businesses:		
1597 (State principal business activity for each		
1598 creditor <i>and its name.</i> )	_____	_____
1599	_____	_____
1600	_____	_____
1601 Individual creditors:		
1602 (State principal business or occupation of		
1603 each creditor <i>and its name.</i> )	_____	_____
1604	_____	_____
1605	_____	_____
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RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts. "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

Check one

Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual funds, etc.)	Value		
			\$10,001 to \$50,000	\$50,001 to \$250,000	More than \$250,000
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

RETURN TO ITEM 4

SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past ~~12~~ six months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with a combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you donated it to charity. Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

Type of Payment (e.g., Honoraria, Travel reimbursement, etc.)

Payer	Approximate Value	Circumstances	Type of Payment
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

RETURN TO ITEM 5A

SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

List each meeting for which the Commonwealth provided payments or reimbursements during the past ~~12~~ six months to you for lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with a combined value exceeding \$200 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here / /.

1668  
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Payer	Approximate Value	Circumstances	Type of Payment (e.g., Travel reimbursement, etc.)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

RETURN TO ITEM 5A

1678  
1679

**SCHEDULE E-1 - ENTERTAINMENT AND INTANGIBLE GIFTS.**

1680  
1681  
1682  
1683  
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List each business, governmental entity, or individual that, during the past 12 ~~six~~ months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, or intangible gift and the value received by you exceeded \$50, in value or (ii) furnished you or a member of your immediate family with gifts or such entertainment or intangible gifts in any combination and the total value received by you exceeded \$100 in total value; and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event.

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Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia. For the purposes of this Schedule, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift that the filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift.

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Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Gift or Event	Approximate Value
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

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1709

**SCHEDULE E-2 - TANGIBLE GIFTS.**

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List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any tangible gift and the value received by you exceeded \$50 or (ii) furnished you with such gifts in any combination and the total value received by you exceeded \$100, and for which you neither paid nor rendered services in exchange. List each such gift. Do not list gifts given by a relative or personal friend for reasons clearly unrelated to your public position. For the purposes of this Schedule, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift that the filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift.

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Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

1727 \_\_\_\_\_  
 1728 \_\_\_\_\_  
 1729 \_\_\_\_\_  
 1730 \_\_\_\_\_

RETURN TO ITEM 6

1731  
1732 SCHEDULE F-1 - BUSINESS INTERESTS.

1733 Complete this Schedule for each self-owned or family-owned business (including rental property, a  
1734 farm, or consulting work), partnership, or corporation in which you or a member of your immediate  
1735 family, separately or together, own an interest having a value in excess of \$10,000 \$5,000.

1736 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;  
1737 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a  
1738 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.  
1739 Account for business interests held in trust.

1740 \_\_\_\_\_

1741

1742	Name of				Gross income	
1743	Business					
1744	Corporation,		Nature of			
1745	Partnership,		Enterprise			
1746	Farm;		(farming,		\$50,001	More
1747	Address of	City or	law, rental	\$50,000	to	than
1748	Rental	County	property, etc.)	or less	\$250,000	\$250,000
1749	Property	and State				
1750	_____	_____	_____	_____	_____	_____
1751	_____	_____	_____	_____	_____	_____
1752	_____	_____	_____	_____	_____	_____
1753	_____	_____	_____	_____	_____	_____

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1754  
1755  
1756 SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

1757 Complete this Schedule for each lobbyist relationship with the following:

1758 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the  
1759 Secretary of the Commonwealth Virginia Conflict of Interest and Ethics Advisory Council, or

1760 (ii) any business in which you have a greater than three percent ownership interest and that business  
1761 employs, or engages as an independent contractor, any person who is, or has been within the prior  
1762 calendar year, registered as a lobbyist with the of the Commonwealth Council.

1763 \_\_\_\_\_

1764

1765				Payments to	
1766				Lobbyist	
1767					More than
1768	List each person	Describe each	Dates of	\$10,000	\$10,001
1769	or business	relationship	relationship	or less	\$10,000
1770	_____	_____	_____	_____	_____
1771	_____	_____	_____	_____	_____
1772	_____	_____	_____	_____	_____
1773	_____	_____	_____	_____	_____
1774	_____	_____	_____	_____	_____

1775  
1776 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A  
1777 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF  
1778 ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE  
1779 REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A  
1780 PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST  
1781 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL  
1782 INTEREST IN THE LOBBYIST RELATIONSHIP.

1783 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1784 List the businesses you represented before any state governmental agency, excluding any court or  
1785 judge, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000,



1844	Telephone utilities	_____	_____	_____	_____	_____	_____
1845	Water utilities	_____	_____	_____	_____	_____	_____
1846	Cable television	_____	_____	_____	_____	_____	_____
1847	companies	_____	_____	_____	_____	_____	_____
1848	Interstate	_____	_____	_____	_____	_____	_____
1849	transportation	_____	_____	_____	_____	_____	_____
1850	companies	_____	_____	_____	_____	_____	_____
1851	Intrastate	_____	_____	_____	_____	_____	_____
1852	transportation	_____	_____	_____	_____	_____	_____
1853	companies	_____	_____	_____	_____	_____	_____
1854	Oil or gas retail	_____	_____	_____	_____	_____	_____
1855	companies	_____	_____	_____	_____	_____	_____
1856	Banks	_____	_____	_____	_____	_____	_____
1857	Savings	_____	_____	_____	_____	_____	_____
1858	institutions	_____	_____	_____	_____	_____	_____
1859	Loan or finance	_____	_____	_____	_____	_____	_____
1860	companies	_____	_____	_____	_____	_____	_____
1861	Manufacturing	_____	_____	_____	_____	_____	_____
1862	companies (state	_____	_____	_____	_____	_____	_____
1863	type of product,	_____	_____	_____	_____	_____	_____
1864	e.g., textile,	_____	_____	_____	_____	_____	_____
1865	furniture, etc.)	_____	_____	_____	_____	_____	_____
1866	Mining companies	_____	_____	_____	_____	_____	_____
1867	Life insurance	_____	_____	_____	_____	_____	_____
1868	companies	_____	_____	_____	_____	_____	_____
1869	Casualty insurance	_____	_____	_____	_____	_____	_____
1870	companies	_____	_____	_____	_____	_____	_____
1871	Other insurance	_____	_____	_____	_____	_____	_____
1872	companies	_____	_____	_____	_____	_____	_____
1873	Retail companies	_____	_____	_____	_____	_____	_____
1874	Beer, wine or	_____	_____	_____	_____	_____	_____
1875	liquor companies	_____	_____	_____	_____	_____	_____
1876	or distributors	_____	_____	_____	_____	_____	_____
1877	Trade associations	_____	_____	_____	_____	_____	_____
1878	Professional	_____	_____	_____	_____	_____	_____
1879	associations	_____	_____	_____	_____	_____	_____
1880	Associations of	_____	_____	_____	_____	_____	_____
1881	public employees	_____	_____	_____	_____	_____	_____
1882	or officials	_____	_____	_____	_____	_____	_____
1883	Counties, cities	_____	_____	_____	_____	_____	_____
1884	or towns	_____	_____	_____	_____	_____	_____
1885	Labor organizations	_____	_____	_____	_____	_____	_____
1886	Other	_____	_____	_____	_____	_____	_____
1887		_____	_____	_____	_____	_____	_____

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1888  
1889 **SCHEDULE H - REAL ESTATE.**

1890 List real estate other than your principal residence in which you or a member of your immediate  
1891 family holds an interest, including a partnership interest, option, easement, or land contract, valued at  
1892 \$40,000 \$5,000 or more. Each parcel must be listed individually.

1893	_____	
1894	_____	
1895	Describe the type of real	
1896	estate you own in each	
1897	location (business,	If the real estate is
1898	recreational, apartment,	owned or recorded in
1899	commercial, open land,	a name other than your
1900	etc.)	own, list that name

1901	_____	_____	_____
1902	_____	_____	_____
1903	_____	_____	_____
1904	_____	_____	_____
1905	_____	_____	_____
1906	_____	_____	_____

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SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1907  
 1908 List all contracts, whether pending or completed within the past ~~12~~ *six* months, with a state  
 1909 governmental agency for the sale or exchange of real estate in which you or a member of your  
 1910 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,  
 1911 or land contract, valued at *more than* \$10,000 ~~or more~~. List all contracts with a state governmental  
 1912 agency for the lease of real estate in which you or a member of your immediate family holds such an  
 1913 interest valued at *more than* \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not  
 1914 apply to an interest derived through an ownership interest in a business unless the ownership interest  
 1915 exceeds three percent of the total equity of the business.  
 1916

1917	_____		
1918	List your real		
1919	estate interest and		
1920	the person or entity,		
1921	including the type of		
1922	entity, which is		
1923	party to the contract.		
1924	Describe any	List each	State the annual
1925	management role and	governmental agency	income from the
1926	the percentage	which is a party to	contract, and the
1927	ownership interest	the contract and	amount, if any, of
1928	you or your immediate	indicate the county	income you or any
1929	family member has in	or city where the	immediate family
1930	the real estate	real estate is located.	member derives
1931	or entity.		annually from
1932			the contract.
1933	_____	_____	_____
1934	_____	_____	_____
1935	_____	_____	_____
1936	_____	_____	_____
1937	_____	_____	_____

1938  
 1939 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of  
 1940 Economic Interests shall be subject to disciplinary action for such violations by the house in which the  
 1941 legislator sits.

1942 C. ~~In accordance with the rules of each house, the~~ *The* Statement of Economic Interests of all  
 1943 members of each house shall be reviewed *by the Council*. If a legislator's Statement is found to be  
 1944 inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement  
 1945 correcting the indicated deficiencies, and a time shall be set within which such amendment shall be  
 1946 filed. If the Statement of Economic Interests, in either its original or amended form, is found to be  
 1947 adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the  
 1948 information disclosed thereon.

1949 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing  
 1950 request the house in which those members sit, in accordance with the rules of that house, to review the  
 1951 Statement of Economic Interests of another member of that house in order to determine the adequacy of  
 1952 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be  
 1953 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator  
 1954 whose Statement is in issue. Should it be determined that the Statement requires correction,  
 1955 augmentation or revision, the legislator involved shall be directed to make the changes required within  
 1956 such time as shall be set under the rules of each house.

1957 If a legislator, after having been notified in writing in accordance with the rules of the house in  
 1958 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into  
 1959 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he

1960 sits. No legislator shall vote on any question relating to his own Statement.

1961 **§ 30-114. Filing of complaints; procedures; disposition.**

1962 A. In response to the signed and sworn complaint of any citizen of the Commonwealth, which is  
 1963 subscribed by the maker as true under penalty of perjury, submitted to the Panel, the Panel shall inquire  
 1964 into any alleged violation of Articles 2 (§ 30-102 et seq.) through 5 (§ 30-109 et seq.) of this chapter by  
 1965 any member of the respective house of the General Assembly in his current term or his immediate prior  
 1966 term. Complaints shall be filed with the ~~Director of the Division of Legislative Services Virginia~~  
 1967 *Conflict of Interest and Ethics Advisory Council*, ~~who~~ which shall promptly (i) submit the complaint to  
 1968 the chairman of the appropriate Panel and (ii) forward a copy of the complaint to the legislator named  
 1969 in the complaint. The chairman shall promptly notify the Panel of the complaint. No complaint shall be  
 1970 filed with the Panel 60 or fewer days before a primary election or other nominating event or before a  
 1971 general election in which the cited legislator is running for office, and the Panel shall not accept or act  
 1972 on any complaint received during this period.

1973 B. The Panel shall determine, during its preliminary investigation, whether the facts stated in the  
 1974 complaint taken as true are sufficient to show a violation of Articles 2 (§ 30-102 et seq.) through 5 (§  
 1975 30-109 et seq.) of this chapter. If the facts, as stated in the complaint, fail to give rise to such a  
 1976 violation, then the Panel shall dismiss the complaint. If the facts, as stated in the complaint, give rise to  
 1977 such a violation, then the Panel shall request that the complainant appear and testify under oath as to the  
 1978 complaint and the allegations therein. After hearing the testimony and reviewing any other evidence  
 1979 provided by the complainant, the Panel shall dismiss the complaint if the Panel fails to find by a  
 1980 preponderance of the evidence that such violation has occurred. If the Panel finds otherwise, it shall  
 1981 proceed with the inquiry.

1982 C. If after such preliminary investigation, the Panel determines to proceed with an inquiry into the  
 1983 conduct of any legislator, the Panel (i) shall immediately notify in writing the individual who filed the  
 1984 complaint and the cited legislator as to the fact of the inquiry and the charges against the legislator and  
 1985 (ii) shall schedule one or more hearings on the matter. The legislator shall have the right to present  
 1986 evidence, cross-examine witnesses, face and examine the accuser, and be represented by counsel at any  
 1987 hearings. In its discretion, the Panel may grant the legislator any other rights or privileges not  
 1988 specifically enumerated in this subsection. Once the Panel has determined to proceed with an inquiry, its  
 1989 meetings and hearings shall be open to the public.

1990 D. Once the Panel determines to proceed with an inquiry into the conduct of any legislator, the Panel  
 1991 shall complete its investigations and dispose of the matter as provided in § 30-116 notwithstanding the  
 1992 resignation of the legislator during the course of the Panel's proceedings.

1993 **§ 30-117. Confidentiality of proceedings.**

1994 All proceedings during the investigation of any complaint by the Panel shall be confidential. This  
 1995 rule of confidentiality shall apply to Panel members and their staff and, the Committee on Privileges and  
 1996 Elections and its staff, *and the Virginia Conflict of Interest and Ethics Advisory Council.*

1997 **§ 30-118. Staff for Panel.**

1998 The Panel may hire staff and outside counsel to assist the Panel and to conduct examinations of  
 1999 witnesses, subject to the approval of the President Pro Tempore of the Senate for the Senate Ethics  
 2000 Advisory Panel and subject to the approval of the Speaker of the House of Delegates for the House  
 2001 Ethics Advisory Panel. ~~The Panel may have the Director of the Division of Legislative Services, and~~  
 2002 ~~such additional staff as he may assign, assist the Panel during its preliminary investigation and during its~~  
 2003 ~~proceedings.~~

2004 **§ 30-124. Advisory opinions.**

2005 A legislator shall not be prosecuted or disciplined for a violation of this chapter if his alleged  
 2006 violation resulted from his good faith reliance on a written opinion of a committee on standards of  
 2007 conduct established pursuant to § 30-120, *a formal opinion of the Virginia Conflict of Interest and*  
 2008 *Ethics Advisory Council established pursuant to § 30-348*, or an opinion of the Attorney General as  
 2009 provided in § 30-122, and the opinion was made after his full disclosure of the facts.

2010 *Article 6.*

2011 *Ethics Orientation Sessions.*

2012 **§ 30-129.1. Orientation sessions on ethics and conflicts of interests.**

2013 *The Virginia Conflict of Interest and Ethics Advisory Council shall conduct an orientation session for*  
 2014 *new and returning General Assembly members preceding each even-numbered year regular session.*  
 2015 *Attendance at the full orientation session shall be mandatory for newly elected members. Attendance at*  
 2016 *a refresher session lasting at least two hours shall be mandatory for returning members and may be*  
 2017 *accomplished by online participation. There shall be no penalty for the failure of a member to attend*  
 2018 *the full or refresher orientation session, but the member must disclose his attendance pursuant to §*  
 2019 *30-111.*

2020 **§ 30-129.2. Content of orientation sessions.**

2021 *The orientation session shall provide information and training for the members on ethics and*

2022 conflicts of interests, on the provisions of the General Assembly Conflicts of Interests Act (§ 30-100 et  
2023 seq.), on relevant federal law provisions, and on related issues involving lobbying. Refresher sessions  
2024 may be offered online.

2025 **§ 30-129.3. Orientation session preparations.**

2026 Those conducting the orientation sessions may call on other agencies in the legislative or executive  
2027 branches for assistance, may invite experts to assist in the sessions, and shall apply for mandatory  
2028 continuing legal education credits for the sessions.

2029 **CHAPTER 55.**

2030 **VIRGINIA CONFLICT OF INTEREST AND ETHICS ADVISORY COUNCIL.**

2031 **§ 30-348. Virginia Conflict of Interest and Ethics Advisory Council; membership; terms; quorum;**  
2032 **expenses.**

2033 A. The Virginia Conflict of Interest and Ethics Advisory Council (the Council) is hereby created as  
2034 an advisory council in the legislative branch to encourage and facilitate compliance with the State and  
2035 Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) and the General Assembly Conflicts of  
2036 Interests Act (§ 30-100 et seq.) (hereafter the Acts) and the lobbying laws in Article 3 (§ 2.2-418 et  
2037 seq.) of Chapter 4 of Title 2.2 (hereafter Article 3).

2038 B. The Council shall consist of 14 members as follows: four members appointed by the Speaker of  
2039 the House of Delegates, two of whom shall be members of the House of Delegates and two of whom  
2040 shall be nonlegislative citizen members; four members appointed by the Senate Committee on Rules, two  
2041 of whom shall be members of the Senate and two of whom shall be nonlegislative citizen members; four  
2042 members appointed by the Governor, two of whom shall be executive branch employees and two of  
2043 whom shall be nonlegislative citizen members; one member designated by the Attorney General; and one  
2044 member appointed by the Speaker of the House of Delegates from a list of three nominees submitted by  
2045 the Virginia Association of Counties and a list of three nominees submitted by the Virginia Municipal  
2046 League.

2047 C. All appointments following the initial staggering of terms shall be for terms of four years, except  
2048 that appointments to fill vacancies shall be for the unexpired terms in the same manner as the original  
2049 appointment. No nonlegislative citizen member shall be eligible to serve for more than two successive  
2050 four-year terms. However, after the expiration of a term of three years or less, or after the expiration of  
2051 the remainder of a term to which appointed to fill a vacancy, two additional terms may be served by  
2052 such member if appointed thereto. Legislative members and other state government officials shall serve  
2053 terms coincident with their terms of office. Legislative members may be reappointed for successive  
2054 terms.

2055 D. The members of the Council shall elect from among their membership a chairman and a  
2056 vice-chairman for two-year terms. The chairman and vice-chairman may not succeed themselves to the  
2057 same position. The Council shall hold meetings quarterly or upon the call of the chairman. A majority  
2058 of the Council shall constitute a quorum.

2059 E. Members of the Council shall receive no compensation for their services but shall be reimbursed  
2060 for all reasonable and necessary expenses incurred in the performance of their duties as provided in  
2061 §§ 2.2-2813, 2.2-2825, and 30-19.12, as appropriate. Funding for expenses of the members shall be  
2062 provided from existing appropriations to the Council.

2063 **§ 30-349. Powers and duties of the Council.**

2064 The Council shall:

2065 1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local  
2066 government officers and employees and legislators pursuant to the Acts. The Council's review shall  
2067 include the reading of all disclosure forms for completeness and accuracy and be followed by requests  
2068 for amendments to assure the completeness of and correction of errors in the forms;

2069 2. Accept any disclosure forms by computer or electronic means in accordance with the standards  
2070 approved by the Council and using software meeting standards approved by it. The Council shall  
2071 provide software to filers without charge and may prescribe the method of execution and certification of  
2072 electronically filed forms and the procedures for receiving forms in the office of the Secretary;

2073 3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising  
2074 disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, and 30-111. Such database shall be available to  
2075 the public through the Council's official website;

2076 4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,  
2077 including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to  
2078 any person or to any agency of state or local government, in an expeditious manner. Informal advice  
2079 given by the Council shall be confidential, protected by the attorney-client privilege, and excluded from  
2080 the provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

2081 5. Conduct training seminars and educational programs for lobbyists, state and local government  
2082 officers and employees and legislators, and other interested persons on the requirements of Article 3

2083 *and the Acts and provide ethics orientation sessions for legislators in compliance with Article 6*  
2084 *(§ 30-129.1 et seq.) of Chapter 13;*  
2085 *6. Publish such educational materials as it deems appropriate on the provisions of Article 3 and the*  
2086 *Acts;*  
2087 *7. Review actions taken in the General Assembly with respect to the discipline of its members for the*  
2088 *purpose of offering nonbinding advice;*  
2089 *8. Request from any agency of state or local government such assistance, services, and information*  
2090 *as will enable the Council to effectively carry out its responsibilities. Information provided to the*  
2091 *Council by an agency of state or local government shall not be released to any other party unless*  
2092 *authorized by such agency; and*  
2093 *9. Report on or before December 1 of each year on its activities and findings regarding Article 3*  
2094 *and the Acts, including recommendations for changes in the laws, to the General Assembly and the*  
2095 *Governor. The annual report shall be submitted by the chairman as provided in the procedures of the*  
2096 *Division of Legislative Automated Systems for the processing of legislative documents and reports and*  
2097 *shall be published as a state document.*  
2098 **§ 30-350. Staff.**  
2099 *The Council shall designate its executive director. Staff assistance to the Council shall be provided*  
2100 *by the Division of Legislative Services. Staff shall perform those duties assigned to it by the Council,*  
2101 *including those duties enumerated in § 30-349.*  
2102 **§ 30-351. Cooperation of agencies of state and local government.**  
2103 *Every department, division, board, bureau, commission, authority, or political subdivision of the*  
2104 *Commonwealth shall cooperate with, and provide such assistance to, the Council as the Council may*  
2105 *request.*  
2106 **2. That the initial terms of the nonlegislative citizen members of the Virginia Conflict of Interest**  
2107 **and Ethics Advisory Council appointed pursuant to this act shall be staggered as follows: (i) two**  
2108 **members, one appointed by the Speaker of the House of Delegates and one appointed by the**  
2109 **Senate Committee on Rules, for a term of two years; (ii) two members, one appointed by the**  
2110 **Speaker of the House of Delegates and one appointed by the Governor, for a term of three years;**  
2111 **(iii) two members, one member appointed by the Senate Committee on Rules and one appointed**  
2112 **by the Governor, for a term of four years; and (iv) the designee of the Attorney General and the**  
2113 **appointed representative of the Virginia Association of Counties and Virginia Municipal League**  
2114 **for a term of one year. Thereafter, the terms of members shall be for four years.**  
2115 **3. That the provisions of this act may result in a net increase in periods of imprisonment or**  
2116 **commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation is \$0**  
2117 **for periods of imprisonment in state adult correctional facilities and is \$0 for periods of**  
2118 **commitment to the custody of the Department of Juvenile Justice.**