

VIRGINIA ACTS OF ASSEMBLY — CHAPTER

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An Act to amend and reenact §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3100, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115 through 2.2-3118.1, 2.2-3121, 2.2-3131, 30-100, 30-101, 30-110, 30-111, 30-112, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, relating to the State and Local Government Conflict of Interests Act and General Assembly Conflicts of Interests Act; establishing the Virginia Conflict of Interest and Ethics Advisory Council.

[H 1211]

Approved

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3100, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115 through 2.2-3118.1, 2.2-3121, 2.2-3131, 30-100, 30-101, 30-110, 30-111, 30-112, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, as follows:

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
4. A stock, bond, note, or other investment interest in an entity;
5. A receipt given for the payment of money or other property;
6. A right in action;
7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
8. A loan or forgiveness of indebtedness;
9. A work of art, antique, or collectible;
10. An automobile or other means of personal transportation;
11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
12. An honorarium or compensation for services;
13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
14. A promise or offer of employment; or
15. Any other thing of value that is pecuniary or compensatory in value to a person.

"Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
 2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.
- "Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.

57 "Executive action" means the proposal, drafting, development, consideration, amendment, adoption,
58 approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or
59 official of legislation or executive orders issued by the Governor.

60 "Executive agency" means an agency, board, commission, or other body in the executive branch of
61 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'
62 Compensation Commission, and the State Lottery Department.

63 "Executive official" means:

- 64 1. The Governor;
- 65 2. The Lieutenant Governor;
- 66 3. The Attorney General;
- 67 4. Any officer or employee of the office of the Governor or Lieutenant Governor other than a
68 clerical or secretarial employee;
- 69 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
70 executive agency; or
- 71 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
72 however selected.

73 "Expenditure" means:

- 74 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
75 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
76 of value for any purpose;
- 77 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
78 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
79 persons;
- 80 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
81 payment of expenses incurred at the request or suggestion of the lobbyist;
- 82 4. A payment that directly benefits an executive or legislative official or a member of the official's
83 immediate family;
- 84 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
85 of an employee for or in connection with direct communication with an executive or legislative official;
- 86 6. A payment for or in connection with soliciting or urging other persons to enter into direct
87 communication with an executive or legislative official; or
- 88 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
89 this chapter.

90 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
91 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

92 "Fair market value" means the price that a good or service would bring between a willing seller and
93 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the
94 actual price paid for the good or service shall be given consideration.

95 "Gift" means anything of value to the extent that a consideration of equal or greater value is not
96 received.

97 "Gift" does not mean:

- 98 1. Printed informational or promotional material;
- 99 2. A gift that is not used and, no later than ~~sixty~~ 60 days after receipt, is returned to the donor or
100 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
101 tax purposes;
- 102 3. A gift, devise, or inheritance from an individual's spouse, child, parent, grandparent, brother, sister,
103 parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of
104 that individual, if the donor is not acting as the agent or intermediary for someone other than a person
105 covered by this subdivision; or
- 106 4. A gift of a value of \$25 \$50 or less.

107 "Immediate family" means (i) the spouse ~~and~~, (ii) any ~~other person~~ *child* who resides in the same
108 household as the executive or legislative official and ~~who is the~~ *a* dependent of the official.

109 "Legislative action" means:

- 110 1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval,
111 passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion,
112 report, nomination, appointment, or other matter by the General Assembly or a legislative official;
- 113 2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by
114 the General Assembly; or
- 115 3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering
116 amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of
117 the Governor.

118 "Legislative official" means:

- 119 1. A member or member-elect of the General Assembly;
 120 2. A member of a committee, subcommittee, commission, or other entity established by and
 121 responsible to the General Assembly or either house of the General Assembly; or
 122 3. Persons employed by the General Assembly or an entity established by and responsible to the
 123 General Assembly.

124 "Lobbying" means:

125 1. Influencing or attempting to influence executive or legislative action through oral or written
 126 communication with an executive or legislative official; or

127 2. Solicitation of others to influence an executive or legislative official.

128 "Lobbying" does not mean:

129 1. Requests for appointments, information on the status of pending executive and legislative actions,
 130 or other ministerial contacts if there is no attempt to influence executive or legislative actions;

131 2. Responses to published notices soliciting public comment submitted to the public official
 132 designated in the notice to receive the responses;

133 3. The solicitation of an association by its members to influence legislative or executive action; or

134 4. Communications between an association and its members and communications between a principal
 135 and its lobbyists.

136 "Lobbyist" means:

137 1. An individual who is employed and receives payments, or who contracts for economic
 138 consideration, including reimbursement for reasonable travel and living expenses, for the purpose of
 139 lobbying;

140 2. An individual who represents an organization, association, or other group for the purpose of
 141 lobbying; or

142 3. A local government employee who lobbies.

143 "Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or
 144 attempts to influence executive or legislative action. An organization whose employees conduct lobbying
 145 activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or
 146 association that employs or retains others to conduct lobbying activities on behalf of its membership, the
 147 principal is the coalition or association and not its individual members.

148 "Local government" means:

149 1. Any county, city, town, or other local or regional political subdivision;

150 2. Any school division;

151 3. Any organization or entity that exercises governmental powers that is established pursuant to an
 152 interstate compact; or

153 4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of
 154 this definition.

155 "Local government employee" means a public employee of a local government.

156 "Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company,
 157 syndicate, business trust, estate, company, corporation, association, club, committee, organization, or
 158 group of persons acting in concert.

159 "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the
 160 fair market value cannot be determined, the actual amount paid for the item or items shall be given
 161 consideration.

162 **§ 2.2-423. Contents of registration statement.**

163 A. The registration statement shall be on a form provided by the Secretary of the Commonwealth
 164 and include the following information:

165 1. The name and business address and telephone number of the lobbyist;

166 2. The name and business address and telephone number of the person who will keep custody of the
 167 lobbyist's and the lobbyist's principal's accounts and records required to comply with this article, and the
 168 location and telephone number for the place where the accounts and records are kept;

169 3. The name and business address and telephone number of the lobbyist's principal;

170 4. The kind of business of the lobbyist's principal;

171 5. For each principal, the full name of the individual to whom the lobbyist reports;

172 6. For each principal, a statement whether the lobbyist is employed or retained and whether
 173 exclusively for the purpose of lobbying;

174 7. The position held by the lobbyist if he is a part-time or full-time employee of the principal;

175 8. The full name and business address and telephone number of each lobbyist employed by or
 176 representing the lobbyist's principal;

177 9. An identification of the subject matter (with as much specificity as possible) with regard to which
 178 the lobbyist or lobbyist's principal will engage in lobbying; and

179 10. The statement of the lobbyist, which shall be signed either originally or by electronic signature as
180 authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.), that the information
181 contained on the registration statement is true and correct; and

182 11. A statement by which a principal may elect to waive the principal signature requirement on
183 disclosure filings submitted by its registered lobbyist after the filing of the registration statement.

184 B. Whenever any change, modification or addition to his status as a lobbyist is made, the lobbyist
185 shall, within one week of such change, modification or addition, furnish full information regarding the
186 same to the Secretary of the Commonwealth on forms provided by the Secretary.

187 C. The Secretary of the Commonwealth shall furnish a copy of this article to any individual offering
188 to register as a lobbyist and shall mail by certified mail a copy of this article and a copy of the
189 information furnished by the lobbyist to the person whom the lobbyist represents to be his principal.

190 D. If the principal to whom the information is sent under subsection C does not, within 10 days of
191 such mailing, file an affidavit, signed by the person or duly authorized agent of the person, denying that
192 the lobbyist appears on his behalf, such person shall be deemed to have appointed the Secretary of the
193 Commonwealth his agent for service of process in any prosecution arising for violation of this article. If
194 such affidavit is filed, the Secretary shall notify the attorney for the Commonwealth of the City of
195 Richmond.

196 **§ 2.2-426. Lobbyist reporting; penalty.**

197 A. Each lobbyist shall file with the Virginia Conflict of Interest and Ethics Advisory Council a
198 separate annual semiannual report of expenditures, including gifts, for each principal for whom he
199 lobbies by July 4 December 15 for the preceding six-month period complete through the last day of
200 October and June 15 for the preceding 12-month six-month period complete through April 30 the last
201 day of April.

202 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be
203 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the
204 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting
205 requirements of this section.

206 C. The report shall be on a form provided by the Secretary of the Commonwealth Virginia Conflict
207 of Interest and Ethics Advisory Council, which shall be substantially as follows and shall be
208 accompanied by instructions provided by the Secretary Council.

209 LOBBYIST'S DISCLOSURE STATEMENT

210 PART I:

211 (1) PRINCIPAL:

212 In Part I, item 2a, provide the name of the individual
213 authorizing your employment as a lobbyist. The lobbyist filing
214 this statement MAY NOT list his name in item 2a. ~~THE INDIVIDUAL~~
215 ~~LISTED IN PART I, ITEM 2A, MUST SIGN THE PRINCIPAL'S STATEMENT.~~

216 (2a) Name:

217 (2b) Permanent Business Address:

218 (2c) Business Telephone:

219 (3) Provide a list of executive and legislative actions (with as
220 much specificity as possible) for which you lobbied and a
221 description of activities conducted.

222

223

224

225 (4) INCORPORATED FILINGS: If you are filing an incorporated
226 disclosure statement, please complete the following:

227 Individual filing financial information:

228 Individuals to be included in the filing:

229

230 (5) Please indicate which schedules will be attached to your
231 disclosure statement:

232 [] Schedule A: Entertainment Expenses

233 [] Schedule B: Gifts

234 [] Schedule C: Other Expenses

235 (6) EXPENDITURE TOTALS:

236 a) ENTERTAINMENT \$

237 b) GIFTS \$

238	e) OFFICE EXPENSES	\$
239	d c) COMMUNICATIONS	\$
240	e d) PERSONAL LIVING AND TRAVEL EXPENSES	\$
241	f e) COMPENSATION OF LOBBYISTS	\$
242	g f) HONORARIA	\$
243	h) REGISTRATION COSTS	\$
244	i g) OTHER	\$
245	TOTAL	\$

- 246 PART II:
- 247 (1a) NAME OF LOBBYIST:
- 248 (1b) Permanent Business Address:
- 249 (1c) Business Telephone:
- 250 (2) As a lobbyist, you are (check one)
- 251 [] EMPLOYED (on the payroll of the principal)
- 252 [] RETAINED (not on the payroll of the principal, however
- 253 compensated)
- 254 [] NOT COMPENSATED (not compensated; expenses may be reimbursed)
- 255 (3) List all lobbyists other than yourself who registered to
- 256 represent your principal.
- 257
- 258
- 259
- 260 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,
- 261 provide your job title.
- 262

263 PLEASE NOTE: Some lobbyists are not individually compensated for

264 lobbying activities. This may occur when several members of a firm

265 represent a single principal. The principal, in turn, makes a single

266 payment to the firm. If this describes your situation, do not answer

267 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

268 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?

269 (If you have job responsibilities other than those involving

270 lobbying, you may have to prorate to determine the part of your

271 salary attributable to your lobbying activities.) Transfer your

272 answer to this item to Part I, item 6f 6e.

273 (5b) Explain how you arrived at your answer to Part II, item 5a.

274

275

276

- 277 PART III:
- 278 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT
- 279 complete this section.
- 280 (1) List all members of your firm, organization, association,
- 281 corporation, or other entity who furnished lobbying services to
- 282 your principal.
- 283
- 284
- 285
- 286 (2) Indicate the total amount paid to your firm, organization,
- 287 association, corporation or other entity for services rendered.
- 288 Transfer your answer to this item to Part I, item 6f 6e.

289 SCHEDULE A

290 ENTERTAINMENT EXPENSES

291 PLEASE NOTE: Any single entertainment event included in the expense

292 totals of the principal, with a value greater than \$50, should be

293 itemized below. Transfer any totals from this schedule to

294 Part I, item 6a. (Please duplicate as needed.)
 295 Date and Location of Event:
 296
 297
 298 Description of Event:
 299
 300
 301 Total Number of Persons Attending:
 302
 303 Names of Legislative and Executive Officials Attending: (List names
 304 only if the average value for each person attending the event was
 305 greater than \$50.)
 306
 307
 308
 309
 310 Food \$
 311 Beverages \$
 312 Transportation of Legislative and Executive Officials \$
 313 Lodging of Legislative and Executive Officials \$
 314 Performers, Speakers, Etc. \$
 315 Displays \$
 316 Rentals \$
 317 Service Personnel \$
 318 Miscellaneous \$
 319 TOTAL \$

SCHEDULE B

GIFTS

322 PLEASE NOTE: Any single gift reported in the expense totals of the
 323 principal, with a value greater than \$50, should be itemized below.
 324 (Report meals, entertainment and travel under Schedule A.)
 325 Transfer any totals from this schedule to Part I, item 6b.
 326 (Please duplicate as needed.)

		Name of each legislative or executive official who is a recipient of a gift:	Cost of individual gift:
327	Date	Description	
328	of gift:	of gift:	
329	\$
330	\$
331	\$
332	\$
333	\$
334	\$
335	\$
336	TOTAL COST TO PRINCIPAL		\$

SCHEDULE C

OTHER EXPENSES

339 PLEASE NOTE: This section is provided for any lobbying-related
 340 expenses not covered in Part I, items 6a - ~~6h~~ 6f. An example of an
 341 expenditure to be listed on schedule C would be the rental of a
 342 bill box during the General Assembly session. Transfer the total
 343 from this schedule to Part I, item ~~6i~~ 6g. (Please duplicate as needed.)

DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
344	\$
345	\$
346	\$
347	\$
348	\$
349	\$

350 \$
 351 \$
 352 \$
 353 \$
 354 TOTAL "OTHER" EXPENSES \$

355 PART IV: STATEMENTS

356 ~~Both the lobbyist and principal officer must sign the disclosure~~
 357 ~~statement, attesting to its completeness and accuracy.~~ The following
 358 items are mandatory and if they are not properly completed, the
 359 entire filing will be rejected and returned to the lobbyist:

- 360 (1) All signatures on the statement must be ORIGINAL in the format
 361 specified in the instructions provided by the ~~Secretary~~ Council
 362 that accompany this form. No stamps, or other reproductions of
 363 the individual's signature will be accepted.
 364 (2) An individual MAY NOT sign the disclosure statement as lobbyist
 365 and principal officer.

366 STATEMENT OF LOBBYIST

367 I, the undersigned registered lobbyist, do state that the information
 368 furnished on this disclosure statement and on all accompanying
 369 attachments required to be made thereto is, to the best of my
 370 knowledge and belief, complete and accurate.

371
 372 Signature of lobbyist
 373
 374
 375 Date

376 STATEMENT OF PRINCIPAL

377 I, the undersigned principal (or an authorized official thereof), do
 378 state that the information furnished on this disclosure statement
 379 and on all accompanying attachments required to be made thereto is,
 380 to the best of my knowledge and belief, complete and accurate.

381
 382 Signature of principal
 383
 384
 385 Date

386 D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact
 387 shall be *is* guilty of a Class 5 felony.

388 E. Each lobbyist shall send to each legislative and executive official who is required to be identified
 389 by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a
 390 summary of the information pertaining to that official. Copies or summaries shall be provided to the
 391 official by ~~December 15~~ *November 21* for the preceding ~~12-month~~ *six-month* period complete through
 392 ~~November 30~~ *the last day of October* and by *May 21* for the preceding six-month period complete
 393 *through the last day of April.*

394 **§ 2.2-428. Standards for automated preparation and transmittal of lobbyist's disclosure**
 395 **statements; database.**

396 A. The ~~Secretary~~ *Virginia Conflict of Interest and Ethics Advisory Council* shall accept any lobbyist's
 397 disclosure ~~statement~~ *statements* required by § 2.2-426 filed by computer or electronic means in
 398 accordance with the standards approved by the ~~Secretary~~ *and using software meeting standards approved*
 399 *by the Secretary Council pursuant to the provisions of § 30-349.* The Secretary may provide software to
 400 filers without charge or at a reasonable cost. The Secretary may prescribe the method of execution and
 401 certification of electronically filed statements and the procedures for receiving statements in the office of
 402 the Secretary.

403 B. The Secretary shall establish a lobbyist disclosure database, available to the public, from required
 404 disclosure statements filed electronically and may enter into that database information from required
 405 disclosure statements filed by other methods. *The Secretary shall maintain such database until January*
 406 *1, 2016.*

407 **§ 2.2-3100. Policy; application; construction.**

408 The General Assembly, recognizing that our system of representative government is dependent in
 409 part upon (i) citizen legislative members representing fully the public in the legislative process and (ii)
 410 its citizens maintaining the highest trust in their public officers and employees, finds and declares that
 411 the citizens are entitled to be assured that the judgment of public officers and employees will be guided
 412 by a law that defines and prohibits inappropriate conflicts and requires disclosure of economic interests.
 413 To that end and for the purpose of establishing a single body of law applicable to all state and local
 414 government officers and employees on the subject of conflict of interests, the General Assembly enacts
 415 this State and Local Government Conflict of Interests Act so that the standards of conduct for such
 416 officers and employees may be uniform throughout the Commonwealth.

417 This chapter shall supersede all general and special acts and charter provisions which purport to deal
 418 with matters covered by this chapter except that the provisions of §§ 15.2-852, 15.2-2287, 15.2-2287.1,
 419 and 15.2-2289 and ordinances adopted pursuant thereto shall remain in force and effect. The provisions
 420 of this chapter shall be supplemented but not superseded by the provisions on ethics in public
 421 contracting in Article 6 (§ 2.2-4367 et seq.) of Chapter 43 of this title and ordinances adopted pursuant
 422 to § 2.2-3104.2 regulating receipt of gifts.

423 *The provisions of this chapter do not preclude prosecution for any violation of any criminal law of*
 424 *the Commonwealth, including Articles 2 (Bribery and Related Offenses, § 18.2-438 et seq.) and 3*
 425 *(Bribery of Public Servants and Party Officials, § 18.2-446 et seq.) of Chapter 10 of Title 18.2, and do*
 426 *not constitute a defense to any prosecution for such a violation.*

427 This chapter shall be liberally construed to accomplish its purpose.

428 **§ 2.2-3101. Definitions.**

429 As used in this chapter, *unless the context requires a different meaning:*

430 "Advisory agency" means any board, commission, committee or post which does not exercise any
 431 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
 432 the purpose of making studies or recommendations, or advising or consulting with a governmental
 433 agency.

434 "Affiliated business entity relationship" means a relationship, other than a parent-subsidary
 435 relationship, that exists when (i) one business entity has a controlling ownership interest in the other
 436 business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or
 437 (iii) there is shared management or control between the business entities. Factors that may be considered
 438 in determining the existence of an affiliated business entity relationship include that the same person or
 439 substantially the same person owns or manages the two entities, there are common or commingled funds
 440 or assets, the business entities share the use of the same offices or employees, or otherwise share
 441 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship
 442 between the entities.

443 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 444 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 445 whether or not for profit.

446 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
 447 behalf of a governmental agency that involves the payment of money appropriated by the General
 448 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
 449 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
 450 contract of which it is a part is with the officer's or employee's own governmental agency.

451 "*Council*" means the Virginia Conflict of Interest and Ethics Advisory Council established in
 452 § 30-348.

453 "~~Dependent~~" means a son, daughter, father, mother, brother, sister or other person, whether or not
 454 related by blood or marriage, if such person receives from the officer or employee, or provides to the
 455 officer or employee, more than one-half of his financial support.

456 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise
 457 limited by the context of its use.

458 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
 459 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
 460 investment company or advisor registered under the federal Investment Advisors Act or Investment
 461 Company Act of 1940.

462 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
 463 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
 464 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
 465 expense has been incurred. "Gift" shall *does* not include (i) any offer of a ticket, coupon, or other
 466 admission or pass unless the ticket, coupon, admission, or pass is used; "Gift" shall not include; (ii)
 467 honorary degrees and presents; (iii) any athletic, merit, or need-based scholarship or any other financial
 468 aid awarded by a public or private school, institution of higher education, or other educational program

469 pursuant to such school, institution, or program's financial aid standards and procedures applicable to
 470 the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3
 471 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an
 472 officer or employee or of a member of his immediate family; or (vi) gifts from relatives or personal
 473 friends. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece,
 474 or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent,
 475 grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. For the purpose of
 476 this definition, "personal friend" does not include any person that the filer knows or has reason to know
 477 is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a
 478 lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or
 479 advisory agency, a person, organization, or business who is a party to or is seeking to become a party
 480 to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or
 481 employee of a state governmental or advisory agency, a person, organization, or business who is a
 482 party to or is seeking to become a party to a contract with the Commonwealth. For purposes of this
 483 definition, "person, organization, or business" includes individuals who are officers, directors, or owners
 484 of or who have a controlling ownership interest in such organization or business.

485 "Governmental agency" means each component part of the legislative, executive or judicial branches
 486 of state and local government, including each office, department, authority, post, commission,
 487 committee, and each institution or board created by law to exercise some regulatory or sovereign power
 488 or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by
 489 the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

490 "Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the
 491 same household as the officer or employee, and who is a dependent of the officer or employee or of
 492 whom the officer or employee is a dependent.

493 "Officer" means any person appointed or elected to any governmental or advisory agency including
 494 local school boards, whether or not he receives compensation or other emolument of office. Unless the
 495 context requires otherwise, "officer" includes members of the judiciary.

496 "Parent-subsidiary relationship" means a relationship that exists when one corporation directly or
 497 indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

498 "Personal interest" means a financial benefit or liability accruing to an officer or employee or to a
 499 member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the
 500 ownership interest exceeds three percent of the total equity of the business; (ii) annual income that
 501 exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal
 502 property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of
 503 property, or any combination thereof, paid or provided by a business or governmental agency that
 504 exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or
 505 personal property if the interest exceeds ~~\$10,000~~ \$5,000 in value and excluding ownership in a business,
 506 income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal
 507 liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset
 508 value of the business; or (vi) an option for ownership of a business or real or personal property if the
 509 ownership interest will consist of clause (i) or (iv) above.

510 "Personal interest in a contract" means a personal interest that an officer or employee has in a
 511 contract with a governmental agency, whether due to his being a party to the contract or due to a
 512 personal interest in a business that is a party to the contract.

513 "Personal interest in a transaction" means a personal interest of an officer or employee in any matter
 514 considered by his agency. Such personal interest exists when an officer or employee or a member of his
 515 immediate family has a personal interest in property or a business or governmental agency, or represents
 516 or provides services to any individual or business and such property, business or represented or served
 517 individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable
 518 direct or indirect benefit or detriment as a result of the action of the agency considering the transaction.
 519 Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a)
 520 an elected member of a local governing body serves without remuneration as a member of the board of
 521 trustees of a not-for-profit entity and such elected member or member of his immediate family has no
 522 personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a
 523 local governing body is appointed by such local governing body to serve on a governmental agency, or
 524 an officer, employee, or elected member of a separate local governmental agency formed by a local
 525 governing body is appointed to serve on a governmental agency, and the personal interest in the
 526 transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or
 527 benefits provided by the local governing body or the separate governmental agency to the officer,
 528 employee, elected member, or member of his immediate family.

529 "State and local government officers and employees" shall not include members of the General

530 Assembly.

531 "State filer" means those officers and employees required to file a disclosure statement of their
532 personal interests pursuant to subsection A or B of § 2.2-3114.

533 "Transaction" means any matter considered by any governmental or advisory agency, whether in a
534 committee, subcommittee, or other entity of that agency or before the agency itself, on which official
535 action is taken or contemplated.

536 **§ 2.2-3103.1. Certain gifts prohibited.**

537 A. For purposes of this section:

538 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain
539 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
540 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
541 disclosure form prescribed in § 2.2-3117.

542 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
543 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
544 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
545 prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any
546 intangible gift.

547 B. An officer or employee of a local governmental or advisory agency or candidate required to file
548 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar
549 year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an
550 aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
551 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
552 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to
553 become a party to a contract with the local agency of which he is an officer or an employee; (ii) shall
554 report any tangible gift with a value of \$250 or less or any intangible gift received from any person
555 listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks,
556 meetings, and publications on Schedule D of such disclosure form. For purposes of this subsection,
557 "person, organization, or business" includes individuals who are officers, directors, or owners of or who
558 have a controlling ownership interest in such organization or business.

559 C. An officer or employee of a state governmental or advisory agency or candidate required to file
560 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar
561 year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an
562 aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
563 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
564 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to
565 become a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of
566 \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such
567 disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D
568 of such disclosure form.

569 D. During the pendency of a civil action in any state or federal court to which the Commonwealth is
570 a party, the Governor or the Attorney General or any employee of the Governor or the Attorney
571 General who is subject to the provisions of this chapter shall not solicit, accept, or receive any tangible
572 gift from any person that he knows or has reason to know is a person, organization, or business who is
573 a party to such civil action. A person, organization, or business who is a party to such civil action shall
574 not knowingly give any tangible gift to the Governor or the Attorney General or any of their employees
575 who are subject to the provisions of this chapter.

576 E. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council
577 every five years, as of January 1 of that year, in an amount equal to the annual increases for that
578 five-year period in the United States Average Consumer Price Index for all items, all urban consumers
579 (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to
580 the nearest whole dollar.

581 F. For purposes of this section, "person, organization, or business" includes individuals who are
582 officers, directors, or owners of or who have a controlling ownership interest in such organization or
583 business.

584 **§ 2.2-3104. Prohibited conduct for certain officers and employees of state government.**

585 For one year after the termination of public employment or service, no state officer or employee
586 shall, before the agency of which he was an officer or employee, represent a client or act in a
587 representative capacity on behalf of any person or group, for compensation, on matters related to
588 legislation, executive orders, or regulations promulgated by the agency of which he was an officer or
589 employee. This prohibition shall be in addition to the prohibitions contained in § 2.2-3103.

590 For the purposes of this section, "state officer or employee" shall mean (i) the Governor, Lieutenant

591 Governor, Attorney General, and officers appointed by the Governor, whether confirmation by the
 592 General Assembly or by either house thereof is required or not, who are regularly employed on a
 593 full-time salaried basis; those officers and employees of executive branch agencies who report directly to
 594 the agency head; and those at the level immediately below those who report directly to the agency head
 595 and are at a payband 6 or higher and (ii) the officers and professional employees of the legislative
 596 branch designated by the joint rules committee of the General Assembly. For the purposes of this
 597 section, the General Assembly and the legislative branch agencies shall be deemed one agency.

598 Any person subject to the provisions of this section may apply to the *Council* or Attorney General,
 599 as provided in § 2.2-3121 or 2.2-3126, for an advisory opinion as to the application of the restriction
 600 imposed by this section on any post-public employment position or opportunity.

601 **§ 2.2-3114. Disclosure by state officers and employees.**

602 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of
 603 the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,
 604 members of the State Corporation Commission, members of the Virginia Workers' Compensation
 605 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees
 606 of the Virginia Retirement System, and members of the State Lottery Board and other persons
 607 occupying such offices or positions of trust or employment in state government, including members of
 608 the governing bodies of authorities, as may be designated by the Governor or, in the case of officers or
 609 employees of the legislative branch, by the Joint Rules Committee of the General Assembly, shall file
 610 *with the Council*, as a condition to assuming office or employment, a disclosure statement of their
 611 personal interests and such other information as is specified on the form set forth in § 2.2-3117 and
 612 thereafter shall file such a statement ~~annually on or before January~~ *semiannually by December 15 for the*
 613 *preceding six-month period complete through the last day of October and by June 15 for the preceding*
 614 *six-month period complete through the last day of April.* When the filing deadline falls on a Saturday,
 615 Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday,
 616 Sunday, or legal holiday.

617 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in
 618 the executive branch of state government, other than the Commonwealth Transportation Board, members
 619 of the Board of Trustees of the Virginia Retirement System, and the State Lottery Board, shall file *with*
 620 *the Council*, as a condition to assuming office, a disclosure form of their personal interests and such
 621 other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form
 622 annually on or before ~~January~~ *December 15.* When the filing deadline falls on a Saturday, Sunday, or
 623 legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or
 624 legal holiday. Nonsalaried citizen members of other boards, commissions and councils, including
 625 advisory boards and authorities, may be required to file a disclosure form if so designated by the
 626 Governor, in which case the form shall be that set forth in § 2.2-3118.

627 C. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~
 628 ~~Commonwealth Council~~ to each officer and employee so designated, including officers appointed by
 629 legislative authorities; ~~not later than November 30 of each year~~ *at least 30 days prior to the filing*
 630 *deadline.* Disclosure forms shall be filed and maintained as public records for five years in the ~~Office~~
 631 *office of the Secretary of the Commonwealth Council.*

632 D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a
 633 disclosure statement of their personal interests as required by § 24.2-502.

634 E. Any officer or employee of state government who has a personal interest in any transaction before
 635 the governmental or advisory agency of which he is an officer or employee and who is disqualified
 636 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to
 637 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
 638 name and address of the business and the address or parcel number for the real estate if the interest
 639 involves a business or real estate, and his disclosure shall also be reflected in the public records of the
 640 agency for five years in the office of the administrative head of the officer's or employee's governmental
 641 agency or advisory agency or, if the agency has a clerk, in the clerk's office.

642 F. An officer or employee of state government who is required to declare his interest pursuant to
 643 subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the
 644 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
 645 member of a business, profession, occupation, or group the members of which are affected by the
 646 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
 647 interest. The officer or employee shall either make his declaration orally to be recorded in written
 648 minutes for his agency or file a signed written declaration with the clerk or administrative head of his
 649 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
 650 public inspection such declaration for a period of five years from the date of recording or receipt. If
 651 reasonable time is not available to comply with the provisions of this subsection prior to participation in

652 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
653 next business day.

654 G. An officer or employee of state government who is required to declare his interest pursuant to
655 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
656 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
657 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
658 the public interest. The officer or employee shall either make his declaration orally to be recorded in
659 written minutes for his agency or file a signed written declaration with the clerk or administrative head
660 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
661 available for public inspection such declaration for a period of five years from the date of recording or
662 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
663 participation in the transaction, the officer or employee shall prepare and file the required declaration by
664 the end of the next business day.

665 **§ 2.2-3115. Disclosure by local government officers and employees.**

666 A. The members of every governing body and school board of each county and city and of towns
667 with populations in excess of 3,500 shall file *with the Council*, as a condition to assuming office or
668 employment, a disclosure statement of their personal interests and other information as is specified on
669 the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January~~
670 *semiannually by December 15 for the preceding six-month period complete through the last day of*
671 *October and by June 15 for the preceding six-month period complete through the last day of April.*

672 The members of the governing body of any authority established in any county or city, or part or
673 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any
674 fiscal year, shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to
675 assuming office, a disclosure statement of their personal interests and other information as is specified
676 on the form set forth in § 2.2-3118 and thereafter shall file such a statement annually on or before
677 ~~January December~~ 15, unless the governing body of the jurisdiction that appoints the members requires
678 that the members file the form set forth in § 2.2-3117 *semiannually by December 15 for the preceding*
679 *six-month period complete through the last day of October and by June 15 for the preceding six-month*
680 *period complete through the last day of April.*

681 Persons occupying such positions of trust appointed by governing bodies and persons occupying such
682 positions of employment with governing bodies as may be designated to file by ordinance of the
683 governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a
684 condition to assuming office or employment, a disclosure statement of their personal interests and other
685 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement
686 ~~annually on or before January~~ 15 *semiannually by December 15 for the preceding six-month period*
687 *complete through the last day of October and by June 15 for the preceding six-month period complete*
688 *through the last day of April.*

689 Persons occupying such positions of trust appointed by school boards and persons occupying such
690 positions of employment with school boards as may be designated to file by an adopted policy of the
691 school board shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition
692 to assuming office or employment, a disclosure statement of their personal interests and other
693 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement
694 ~~annually on or before January~~ 15 *semiannually by December 15 for the preceding six-month period*
695 *complete through the last day of October and by June 15 for the preceding six-month period complete*
696 *through the last day of April.*

697 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by
698 the governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a
699 condition to assuming office, a disclosure form of their personal interests and such other information as
700 is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before
701 January 15.

702 C. No person shall be mandated to file any disclosure not otherwise required by this article.

703 D. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~
704 ~~Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory Council* to the clerks of the governing
705 bodies and school boards ~~not later than November 30 of each year at least 30 days prior to the filing~~
706 ~~deadline~~, and the clerks of the governing body and school board shall distribute the forms to designated
707 individuals ~~no later than December 10 of each year at least 20 days prior to the filing deadline~~. Forms
708 shall be filed and maintained as public records for five years in the office of the ~~clerk of the respective~~
709 ~~governing body or school board~~ *Virginia Conflict of Interest and Ethics Advisory Council*. Forms filed
710 by members of governing bodies of authorities shall be filed and maintained as public records for five
711 years in the office of the ~~clerk of the governing body of the county or city~~ *Virginia Conflict of Interest*
712 *and Ethics Advisory Council.*

713 E. Candidates for membership in the governing body or school board of any county, city or town
714 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests
715 as required by § 24.2-502.

716 F. Any officer or employee of local government who has a personal interest in any transaction before
717 the governmental or advisory agency of which he is an officer or employee and who is disqualified
718 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to
719 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
720 name and address of the business and the address or parcel number for the real estate if the interest
721 involves a business or real estate, and his disclosure shall be reflected in the public records of the
722 agency for five years in the office of the administrative head of the officer's or employee's governmental
723 or advisory agency.

724 G. In addition to any disclosure required by subsections A and B, in each county and city and in
725 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,
726 real estate assessors, and all county, city and town managers or executive officers shall make annual
727 disclosures of all their interests in real estate located in the county, city or town in which they are
728 elected, appointed, or employed. Such disclosure shall include any business in which such persons own
729 an interest, or from which income is received, if the primary purpose of the business is to own, develop
730 or derive compensation through the sale, exchange or development of real estate in the county, city or
731 town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter
732 shall be filed annually with the clerk of the governing body of such county, city or town *Virginia*
733 *Conflict of Interest and Ethics Advisory Council* on or before January 15. Such disclosures shall be filed
734 and maintained as public records for five years. Forms for the filing of such reports shall be prepared
735 and distributed by the Secretary of the Commonwealth *Virginia Conflict of Interest and Ethics Advisory*
736 *Council* to the clerk of each governing body.

737 H. An officer or employee of local government who is required to declare his interest pursuant to
738 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the
739 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
740 member of a business, profession, occupation, or group the members of which are affected by the
741 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
742 interest. The officer or employee shall either make his declaration orally to be recorded in written
743 minutes of his agency or file a signed written declaration with the clerk or administrative head of his
744 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
745 public inspection such declaration for a period of five years from the date of recording or receipt. If
746 reasonable time is not available to comply with the provisions of this subsection prior to participation in
747 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
748 next business day. The officer or employee shall also orally disclose the existence of the interest during
749 each meeting of the governmental or advisory agency at which the transaction is discussed and such
750 disclosure shall be recorded in the minutes of the meeting.

751 I. An officer or employee of local government who is required to declare his interest pursuant to
752 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
753 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
754 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
755 the public interest. The officer or employee shall either make his declaration orally to be recorded in
756 written minutes for his agency or file a signed written declaration with the clerk or administrative head
757 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
758 available for public inspection such declaration for a period of five years from the date of recording or
759 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
760 participation in the transaction, the officer or employee shall prepare and file the required declaration by
761 the end of the next business day.

762 **§ 2.2-3116. Disclosure by certain constitutional officers.**

763 For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for
764 the Commonwealth, clerk of the circuit court and commissioner of the revenue of each county and city,
765 shall be deemed to be local officers and shall be required to file the Statement of Economic Interests set
766 forth in § 2.2-3117. These officers shall file statements pursuant to § 2.2-3115 and candidates shall file
767 statements as required by § 24.2-502. *These officers shall be subject to the prohibition on certain gifts*
768 *set forth in subsection B of § 2.2-3103.1.*

769 **§ 2.2-3117. Disclosure form.**

770 The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and
771 subsections A and E of § 2.2-3115 shall be substantially as follows:

772 STATEMENT OF ECONOMIC INTERESTS.

773 Name

774 Office or position held or sought
775 Address
776 Names of members of immediate family

777 DEFINITIONS AND EXPLANATORY MATERIAL.

778 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
779 association, trust or foundation, or any other individual or entity carrying on a business or profession,
780 whether or not for profit.

781 "Close financial association" means an association in which the person filing shares significant
782 financial involvement with an individual and the filer would reasonably be expected to be aware of the
783 individual's business activities and would have access to the necessary records either directly or through
784 the individual. "Close financial association" does not mean an association based on (i) the receipt of
785 retirement benefits or deferred compensation from a business by which the person filing this statement is
786 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an
787 independent contractor of a business that represents an entity before any state governmental agency
788 when the person filing has had no communications with the state governmental agency.

789 "Contingent liability" means a liability that is not presently fixed or determined, but may become
790 fixed or determined in the future with the occurrence of some certain event.

791 "Dependent" means any person, whether or not related by blood or marriage, who receives from the
792 officer or employee, or provides to the officer or employee, more than one-half of his financial support.

793 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
794 having monetary value. It includes services as well as gifts of transportation, ~~local travel~~, lodgings and
795 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
796 expense has been incurred. "Gift" shall does not include (i) any offer of a ticket, coupon, or other
797 admission or pass unless the ticket, coupon, admission, or pass is used; "Gift" shall not include; (ii)
798 honorary degrees and presents; (iii) any athletic, merit, or need-based scholarship or any other financial
799 aid awarded by a public or private school, institution of higher education, or other educational program
800 pursuant to such school, institution, or program's financial aid standards and procedures applicable to
801 the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3
802 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an
803 officer or employee or of a member of his immediate family; or (vi) gifts from relatives or personal
804 friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the
805 donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or
806 sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the
807 filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of
808 Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee
809 of a local governmental or advisory agency, a person, organization, or business who is a party to or is
810 seeking to become a party to a contract with the local agency of which he is an officer or an employee;
811 or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or
812 business who is a party to or is seeking to become a party to a contract with the Commonwealth.
813 "Person, organization, or business" includes individuals who are officers, directors, or owners of or who
814 have a controlling ownership interest in such organization or business.

815 "Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the
816 same household as the officer or employee; and who is a dependent of the officer or employee or of
817 whom the officer or employee is a dependent.

818 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
819 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
820 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
821 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
822 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
823 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

824 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
825 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
826 filing the Statement as of the date of this report unless otherwise stated.

827 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

828 You may attach additional explanatory information.

829 1. Offices and Directorships.

830 Are you or a member of your immediate family a paid officer or paid director of a business?

831 EITHER check NO / / OR check YES / / and complete Schedule A.

832 2. Personal Liabilities.

833 Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor
834 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens

835 on property at least equal in value to the loan.)

836 EITHER check NO / / OR check YES / / and complete Schedule B.

837 3. Securities.

838 Do you or a member of your immediate family, directly or indirectly, separately or together, own
839 securities valued in excess of ~~\$10,000~~ \$5,000 invested in one business? Account for mutual funds,
840 limited partnerships and trusts.

841 EITHER check NO / / OR check YES / / and complete Schedule C.

842 4. Payments for Talks, Meetings, and Publications.

843 During the past ~~12~~ six months did you receive *in your capacity as an officer or employee of your*
844 *agency* lodging, transportation, money, or anything else of value with a combined value exceeding \$200
845 *(i) for a single talk, meeting, or published work in your capacity as an officer or employee of your*
846 *agency or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or*
847 *event was designed to (a) educate you on issues relevant to your duties as an officer or employee of*
848 *your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee*
849 *of your agency?*

850 EITHER check NO / / OR check YES / / and complete Schedule D.

851 5. Gifts.

852 During the past ~~12~~ six months did a business, government, or individual other than a relative or
853 personal friend (i) furnish you *or a member of your immediate family* with any gift or entertainment at a
854 single event, and the value received ~~by you~~ exceeded \$50 ~~in value~~ or (ii) furnish you *or a member of*
855 *your immediate family* with gifts or entertainment in any combination and the *total* value received ~~by~~
856 ~~you~~ exceeded \$100 ~~in total value~~, and for which you *or the member of your immediate family* neither
857 paid nor rendered services in exchange? Account for entertainment events only if the average value per
858 person attending the event exceeded \$50 ~~in value~~. Account for all business entertainment (except if
859 related to ~~your~~ the private profession or occupation *of you or the member of your immediate family who*
860 *received such business entertainment*) even if unrelated to your official duties.

861 EITHER check NO / / OR check YES / / and complete Schedule E.

862 6. Salary and Wages.

863 List each employer that pays you or a member of your immediate family salary or wages in excess
864 of ~~\$10,000~~ \$5,000 annually. (Exclude state or local government or advisory agencies.)

865 If no reportable salary or wages, check here / /.

866 _____
867 _____
868 _____

869 7. Business Interests.

870 Do you or a member of your immediate family, separately or together, operate your own business, or
871 own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

872 EITHER check NO / / OR check YES / / and complete Schedule F.

873 8. Payments for Representation and Other Services.

874 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
875 state governmental agencies, excluding courts or judges, for which you received total compensation
876 during the past ~~12~~ six months in excess of \$1,000, excluding compensation for other services to such
877 businesses and representation consisting solely of the filing of mandatory papers and subsequent
878 representation regarding the mandatory papers? (Officers and employees of local governmental and
879 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

880 EITHER check NO / / OR check YES / / and complete Schedule G-1.

881 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
882 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
883 any businesses before any state governmental agency for which total compensation was received during
884 the past ~~12~~ six months in excess of \$1,000? (Officers and employees of local governmental and advisory
885 agencies do NOT need to answer this question or complete Schedule G-2.)

886 EITHER check NO / / OR check YES / / and complete Schedule G-2.

887 8C. Did you or persons with whom you have a close financial association furnish services to
888 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
889 persons with whom you have a close financial association and such businesses for which total
890 compensation in excess of \$1,000 was received during the past ~~12~~ six months? *Services reported under*
891 *this provision shall not include services involving the representation of businesses that are reported*
892 *under item 8A or 8B.*

893 EITHER check NO / / OR check YES / / and complete Schedule G-3.

894 9. Real Estate.

895 9A. State Officers and Employees.

896 Do you or a member of your immediate family hold an interest, including a partnership interest,
 897 valued at ~~\$10,000~~ or more than \$5,000 in real property (other than your principal residence) for which
 898 you have not already listed the full address on Schedule F? Account for real estate held in trust.

899 EITHER check NO / / OR check YES / / and complete Schedule H-1.

900 9B. Local Officers and Employees.

901 Do you or a member of your immediate family hold an interest, including a partnership interest, or
 902 option, easement, or land contract, valued at ~~\$10,000~~ or more than \$5,000 in real property (other than
 903 your principal residence) for which you have not already listed the full address on Schedule F? Account
 904 for real estate held in trust.

905 EITHER check NO / / OR check YES / / and complete Schedule H-2.

906 10. Real Estate Contracts with Governmental Agencies.

907 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000
 908 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,
 909 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six
 910 months, with a governmental agency? If the real estate contract provides for the leasing of the property
 911 to a governmental agency, do you or a member of your immediate family hold an interest in the real
 912 estate valued at more than \$1,000? Account for all such contracts whether or not your interest is
 913 reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply
 914 to an interest derived through an ownership interest in a business unless the ownership interest exceeds
 915 three percent of the total equity of the business.

916 EITHER check NO / / OR check YES / / and complete Schedule I.

917 Statements of Economic Interests are open for public inspection.

918 AFFIRMATION BY ALL FILERS.

919 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

920 Signature

921 (Return only if needed to complete Statement.)

922 SCHEDULES

923 to

924 STATEMENT OF ECONOMIC INTERESTS.

925 NAME

926 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

927 Identify each business of which you or a member of your immediate family is a paid officer or paid
 928 director.

929 _____

930	931 Name of Business	931 Address of Business	931 Position Held and by Whom
932	_____	_____	_____
933	_____	_____	_____
934	_____	_____	_____
935	_____	_____	_____

937 RETURN TO ITEM 2

938 SCHEDULE B - PERSONAL LIABILITIES.

939 Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000.
 940 Do not report debts to any government. Do not report loans secured by recorded liens on property at
 941 least equal in value to the loan.

942 Report contingent liabilities below and indicate which debts are contingent.

943 1. My personal debts are as follows:

944 _____

946	947 Check	946 Check one	947
948	948 appropriate	946 \$10,001	947 \$5,001 to More than
949	949 categories	946 \$50,000	947 \$50,000
950	950 Banks	_____	_____
951	951 Savings institutions	_____	_____
952	952 Other loan or finance companies	_____	_____
953	953 Insurance companies	_____	_____
954	954 Stock, commodity or other brokerage companies	_____	_____

955 Other businesses:
 956 (State principal business activity for each
 957 creditor *and its name.*) _____
 958 _____
 959 _____
 960 Individual creditors:
 961 (State principal business or occupation of
 962 each creditor *and its name.*) _____
 963 _____
 964 _____

965 _____
 966 2. The personal debts of the members of my immediate family are as follows:
 967 _____

969		Check one	
970	Check	\$10,001	
971	appropriate	\$5,001 to	More than
972	categories	\$50,000	\$50,000
973	Banks	_____	_____
974	Savings institutions	_____	_____
975	Other loan or finance companies	_____	_____
976	Insurance companies	_____	_____
977	Stock, commodity or other brokerage companies	_____	_____
978	Other businesses:		
979	(State principal business activity for each		
980	creditor <i>and its name.</i>)	_____	_____
981		_____	_____
982		_____	_____
983	Individual creditors:		
984	(State principal business or occupation of		
985	each creditor <i>and its name.</i>)	_____	_____
986		_____	_____
987		_____	_____

988 _____
 989 RETURN TO ITEM 3

990 SCHEDULE C - SECURITIES.

991 "Securities" INCLUDES stocks, bonds,	"Securities" EXCLUDES
992 mutual funds, limited partnerships,	certificates of deposit,
993 and commodity futures contracts.	money market funds, annuity
994	contracts, and insurance policies.

995 Identify each business or Virginia governmental entity in which you or a member of your immediate
 996 family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000.
 997 Name each ~~entity issuer~~ and type of security individually.

998 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 999 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 1000 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 1001 in trust.

1002 If no reportable securities, check here / / .

1003					
1004					
1005			Check one		
1006			\$10,001		
1007		Type of Security	\$5,001	\$50,001	More
1008	Type of	(stocks, bonds, mutual	to	to	than
1009	Name of Issuer	Entity	\$50,000	\$250,000	\$250,000
1010	_____	_____	_____	_____	_____
1011	_____	_____	_____	_____	_____

1071 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
1072 family, separately or together, own an interest having a value in excess of \$10,000 \$5,000.

1073 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
1074 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
1075 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.

1076 Account for business interests held in trust.

1077

1078

1079	Name of Business,			Gross Income		
1080	Corporation,					
1081	Partnership,	City or	Nature of Enterprise	\$50,001	More	
1082	Farm; Address of	County	(farming, law, rental	\$50,000	to	than
1083	Rental Property	and State	property, etc.)	or less	\$250,000	\$250,000
1084	_____	_____	_____	_____	_____	_____
1085	_____	_____	_____	_____	_____	_____
1086	_____	_____	_____	_____	_____	_____
1087	_____	_____	_____	_____	_____	_____

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RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

1102	Pur-		Amount Received					
1103	pose							
1104	Name	Type	Name	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
1105	of	of	of	to	to	to	to	and
1106	Busi-	Busi-	senta-	to	to	to	to	and
1107	ness	ness	tion	\$10,000	\$50,000	\$100,000	\$250,000	over
1108	_____	_____	_____	_____	_____	_____	_____	_____
1109	_____	_____	_____	_____	_____	_____	_____	_____
1110	_____	_____	_____	_____	_____	_____	_____	_____
1111	_____	_____	_____	_____	_____	_____	_____	_____

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

1129	Type of business	Name of state governmental agency
_____	_____	_____

- 1187 distributors _____
- 1188 Trade associations _____
- 1189 Professional _____
- 1190 associations _____
- 1191 Associations of _____
- 1192 public employees _____
- 1193 or officials _____
- 1194 Counties, cities _____
- 1195 or towns _____
- 1196 Labor organizations _____
- 1197 Other _____
- 1198 _____

RETURN TO ITEM 9

1200 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

1201 List real estate other than your principal residence in which you or a member of your immediate
1202 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
1203 \$10,000 or more than \$5,000. Each parcel shall be listed individually.

1204	1205	1206	1207	1208	1209	1210	1211
		Describe the type of real					
	List each location	estate you own in each					
	(state, and county	location (business, recre-					
	or city) where you	ational, apartment, com-					
	own real estate.	mercial, open land, etc.).					
	_____	_____					
	_____	_____					
	_____	_____					
	_____	_____					
	_____	_____					

1216 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

1217 List real estate other than your principal residence in which you or a member of your immediate
1218 family holds an interest, including a partnership interest or option, easement, or land contract, valued at
1219 \$10,000 or more than \$5,000. Each parcel shall be listed individually. Also list the names of any
1220 co-owners of such property, if applicable.

1221	1222	1223	1224	1225	1226	1227	1228	1229	1230	1231	1232	1233
			Describe the type									
			of real estate									
			you own in									
			each location									
	List each location	(business,										
	(state, and county	recreational,										
	or city) where	apartment, com-										
	you own real	mercial, open										
	estate.	land, etc.).										
	_____	_____										
	_____	_____										
	_____	_____										
	_____	_____										
	_____	_____										

1238 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

1239 List all contracts, whether pending or completed within the past ~~12~~ six months, with a governmental
1240 agency for the sale or exchange of real estate in which you or a member of your immediate family
1241 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,
1242 valued at more than \$10,000 or more. List all contracts with a governmental agency for the lease of real
1243

1244 estate in which you or a member of your immediate family holds such an interest valued at *more than*
1245 \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not apply to an interest derived
1246 through an ownership interest in a business unless the ownership interest exceeds three percent of the
1247 total equity of the business.

1248 State officers and employees report contracts with state agencies.

1249 Local officers and employees report contracts with local agencies.

1250

1251

1252 List your real estate
1253 interest and the
1254 person or entity,
1255 including the type
1256 of entity, which
1257 is party to
1258 the contract.

1259 Describe any
1260 management role and
1261 the percentage
1262 ownership
1263 interest you or your
1264 immediate family
1265 member has in the real
1266 estate or entity.

List each governmental
agency which is a
party to the contract
and indicate the
county or city where
the real estate
is located.

State the annual
income from the
contract, and the
amount, if any, of
income you or any
immediate family
member derives
annually from the
contract.

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§ 2.2-3118. Disclosure form; certain citizen members.

1273 A. The financial disclosure form to be used for filings required pursuant to subsection B of
1274 § 2.2-3114 and subsection B of § 2.2-3115 shall be signed by the filer either originally or by electronic
1275 signature as authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.) filed in
1276 accordance with the provisions of § 30-349. The financial disclosure form shall be substantially as
1277 follows:
1278

DEFINITIONS AND EXPLANATORY MATERIAL.

1279 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1280 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1281 whether or not for profit.

1282 "Close financial association" means an association in which the person filing shares significant
1283 financial involvement with an individual and the filer would reasonably be expected to be aware of the
1284 individual's business activities and would have access to the necessary records either directly or through
1285 the individual. "Close financial association" does not mean an association based on (i) the receipt of
1286 retirement benefits or deferred compensation from a business by which the person filing this statement is
1287 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an
1288 independent contractor of a business that represents an entity before any state governmental agency
1289 when the person filing has no communications with the state governmental agency.

1290 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1291 fixed or determined in the future with the occurrence of some certain event.

1292 "Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the
1293 same household as the filer, and who is a dependent of the filer or of whom the filer is a dependent.

1294 "Dependent" means any person, whether or not related by blood or marriage, who receives from the
1295 filer, or provides to the filer, more than one-half of his financial support.

1296 "Personal interest" means, for the purposes of this form only, a personal and financial benefit or
1297 liability accruing to a filer or a member of his immediate family. Such interest shall exist by reason of
1298 (i) ownership in real or personal property, tangible or intangible; (ii) ownership in a business; (iii)
1299 income from a business; or (iv) personal liability on behalf of a business; however, unless the ownership
1300 interest in a business exceeds three percent of the total equity of the business, or the liability on behalf
1301 of a business exceeds three percent of the total assets of the business, or the annual income, and/or
1302

1303 property or use of such property, from the business exceeds \$10,000 or may reasonably be anticipated to
1304 exceed \$10,000, such interest shall not constitute a "personal interest."

1305 Name

1306 Office or position held or to be held

1307

1308 Address

1309 I. FINANCIAL INTERESTS

1310 My personal interests and those of my immediate family are as follows:

1311 Include all forms of personal interests held at the time of filing: real estate, stocks, bonds, equity
1312 interests in proprietorships and partnerships. You may exclude:

1313 1. Deposits and interest bearing accounts in banks, savings institutions and other institutions
1314 accepting such deposits or accounts;

1315 2. Interests in any business, other than a news medium, representing less than three percent of the
1316 total equity value of the business;

1317 3. Liability on behalf of any business representing less than three percent of the total assets of such
1318 business; and

1319 4. Income (other than from salary) less than \$10,000 annually from any business. You need not state
1320 the value of any interest. You must state the name or principal business activity of each business in
1321 which you have a personal interest.

1322 A. My personal interests are:

1323 1. Residence, address, or, if no address, location

1324 2. Other real estate, address, or, if no address, location

1325 3. Name or principal business activity of each business in which stock, bond or equity interest is
1326 held

1327 B. The personal interests of my immediate family are:

1328 1. Real estate, address or, if no address, location

1329 2. Name or principal business activity of each business in which stock, bond or equity interest is
1330 held

1331 II. OFFICES, DIRECTORSHIPS AND SALARIED EMPLOYMENTS

1332 The paid offices, paid directorships and salaried employments which I hold or which members of my
1333 immediate family hold and the businesses from which I or members of my immediate family receive
1334 retirement benefits are as follows:

1335 (You need not state any dollar amounts.)

1336 A. My paid offices, paid directorships and salaried employments are:

1337 _____

1338 _____

1339 Position held Name of business

1340 _____

1341 _____

1342 _____

1343 _____

1344 B. The paid offices, paid directorships and salaried employments of members of my immediate
1345 family are:

1346 _____

1347 _____

1348 Position held Name of business

1349 _____

1350 _____

1351 _____

1352 _____

1353 III. BUSINESSES TO WHICH SERVICES WERE FURNISHED

1354 A. The businesses I have represented, excluding activity defined as lobbying in § 2.2-419, before any
1355 state governmental agency, excluding any court or judge, for which I have received total compensation
1356 in excess of \$1,000 during the preceding year, excluding compensation for other services to such
1357 businesses and representation consisting solely of the filing of mandatory papers, are as follows:

1358 Identify businesses by name and name the state governmental agencies before which you appeared on
1359 behalf of such businesses.

1360 _____

1361 _____

1362	Name of business	Name of governmental agency
1363	_____	_____
1364	_____	_____
1365	_____	_____
1366	_____	_____

1367 B. The businesses that, to my knowledge, have been represented, excluding activity defined as
1368 lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons
1369 with whom I have a close financial association and who received total compensation in excess of \$1,000
1370 during the preceding year, excluding compensation for other services to such businesses and
1371 representation consisting solely of the filing of mandatory papers, are as follows:

1372 Identify businesses by type and name the state governmental agencies before which such person
1373 appeared on behalf of such businesses.

1374	_____	
1375	_____	_____
1376	Type of business	Name of state governmental agency
1377	_____	_____
1378	_____	_____
1379	_____	_____
1380	_____	_____

1381 C. All other businesses listed below that operate in Virginia to which services were furnished
1382 pursuant to an agreement between you and such businesses and for which total compensation in excess
1383 of \$1, 000 was received during the preceding year:

1384 Check each category of business to which services were furnished.

- | | |
|-------------|---|
| 1385 | _____ |
| 1386 | _____ |
| 1387 | Electric utilities _____ |
| 1388 | Gas utilities _____ |
| 1389 | Telephone utilities _____ |
| 1390 | Water utilities _____ |
| 1391 | Cable television companies _____ |
| 1392 | Intrastate transportation companies _____ |
| 1393 | Interstate transportation companies _____ |
| 1394 | Oil or gas retail companies _____ |
| 1395 | Banks _____ |
| 1396 | Savings institutions _____ |
| 1397 | Loan or finance companies _____ |
| 1398 | Manufacturing companies (state type _____ |
| 1399 | of product, e.g., textile, furniture, _____ |
| 1400 | etc.) _____ |
| 1401 | Mining companies _____ |
| 1402 | Life insurance companies _____ |
| 1403 | Casualty insurance companies _____ |
| 1404 | Other insurance companies _____ |
| 1405 | Retail companies _____ |
| 1406 | Beer, wine or liquor companies or _____ |
| 1407 | distributors _____ |
| 1408 | Trade associations _____ |
| 1409 | Professional associations _____ |
| 1410 | Associations of public employees or _____ |
| 1411 | officials _____ |
| 1412 | Counties, cities or towns _____ |
| 1413 | Labor organizations _____ |
| 1414 | _____ |

1415 IV. COMPENSATION FOR EXPENSES

1416 The persons, associations, or other sources other than my governmental agency from which I or a
1417 member of my immediate family received remuneration in excess of \$200 during the preceding year, in
1418 cash or otherwise, as honorariums or payment of expenses in connection with my attendance at any
1419 meeting or other function to which I was invited in my official capacity are as follows:

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1480

Name of Source	Description of occasion	Amount of remuneration for each occasion
_____	_____	_____
_____	_____	_____
_____	_____	_____

B. The provisions of Part III A and B of the disclosure form prescribed by this section shall not be applicable to officers and employees of local governmental and local advisory agencies.

C. Except for real estate located within the county, city or town in which the officer or employee serves or a county, city or town contiguous to the county, city or town in which the officer or employee serves, officers and employees of local governmental or advisory agencies shall not be required to disclose under Part I of the form any other interests in real estate.

§ 2.2-3118.1. Special provisions for individuals serving in or seeking multiple positions or offices; reappointees.

A. The filing of a single current statement of economic interests by a state officer or employee required to file the form prescribed in § 2.2-3117 shall suffice for the purposes of this chapter as filing for all state positions or offices held or sought by such individual during a single reporting period. The filing of a single current financial disclosure statement by a state officer or employee required to file the form prescribed in § 2.2-3118 shall suffice for the purposes of this chapter as filing for all state positions or offices held or sought by such individual and requiring the filing of the § 2.2-3118 form during a single reporting period.

B. Any individual who has met the requirement for ~~annually~~ *periodically* filing a statement provided in § 2.2-3117 or 2.2-3118 shall not be required to file an additional statement upon such individual's reappointment to the same office or position for which he is required to file, provided such reappointment occurs within *six months after filing a statement pursuant to § 2.2-3117 and within 12 months after the annual filing a statement pursuant to § 2.2-3118.*

§ 2.2-3121. Advisory opinions.

A. A state officer or employee shall not be prosecuted for a knowing violation of this chapter if the alleged violation resulted from his good faith reliance on a written opinion of the Attorney General *or the Virginia Conflict of Interest and Ethics Advisory Council* made in response to his written request for such opinion and the opinion was made after a full disclosure of the facts.

B. A local officer or employee shall not be prosecuted for a knowing violation of this chapter if the alleged violation resulted from his good faith reliance on a written opinion of the attorney for the Commonwealth *or the Council* made in response to his written request for such opinion and the opinion was made after a full disclosure of the facts. The written opinion shall be a public record and shall be released upon request.

C. If any officer or employee serving at the local level of government is charged with a knowing violation of this chapter, and the alleged violation resulted from his reliance upon a written opinion of his city, county or town attorney, made after a full disclosure of the facts, that such action was not in violation of this chapter, then the officer or employee shall have the right to introduce a copy of the opinion at his trial as evidence that he did not knowingly violate this chapter.

§ 2.2-3131. Exemptions.

A. The requirements of § 2.2-3130 shall not apply to state filers with a state agency who have taken an equivalent ethics orientation course through another state agency within the time periods set forth in subdivision 1 or 2 of § 2.2-3130, as applicable.

B. State agencies may jointly conduct and state filers from more than one state agency may jointly attend an orientation course required by § 2.2-3128, as long as the course content is relevant to the official duties of the attending state filers.

C. Before conducting each orientation course required by § 2.2-3128, state agencies shall consult with the Attorney General *and the Virginia Conflict of Interest and Ethics Advisory Council* regarding appropriate course content.

§ 30-100. Declaration of legislative policy; construction.

The General Assembly, recognizing that our system of representative government is dependent in part upon (i) citizen legislative members representing fully the public in the legislative process and (ii) its citizens maintaining the highest trust in their public officers, finds and declares that the citizens are entitled to be assured that the judgment of the members of the General Assembly will not be compromised or affected by inappropriate conflicts.

The provisions of this chapter do not preclude prosecution for any violation of any criminal law of the Commonwealth, including Articles 2 (Bribery and Related Offenses, § 18.2-438 et seq.) and 3

1481 (*Bribery of Public Servants and Party Officials, § 18.2-446 et seq.*) of Chapter 10 of Title 18.2, and do
 1482 not constitute a defense to any prosecution for such a violation.

1483 This chapter shall apply to the members of the General Assembly.

1484 This chapter shall be liberally construed to accomplish its purpose.

1485 **§ 30-101. Definitions.**

1486 As used in this chapter, unless the context requires a different meaning:

1487 "Advisory agency" means any board, commission, committee or post which does not exercise any
 1488 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
 1489 the purpose of making studies or recommendations, or advising or consulting with a governmental
 1490 agency.

1491 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 1492 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 1493 whether or not for profit.

1494 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
 1495 behalf of a governmental agency ~~which~~ that involves the payment of money appropriated by the General
 1496 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
 1497 Commonwealth of Virginia, or some political subdivision thereof. "Contract" includes a subcontract only
 1498 when the contract of which it is a part is with the legislator's own governmental agency.

1499 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
 1500 § 30-348.

1501 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
 1502 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
 1503 investment company or advisor registered under the federal Investment Advisors Act or Investment
 1504 Company Act of 1940.

1505 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
 1506 having monetary value. It includes services as well as gifts of transportation, ~~local travel~~, lodgings and
 1507 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
 1508 expense has been incurred. "Gift" ~~shall~~ does not include (i) any offer of a ticket, *coupon*, or other
 1509 admission or pass unless the ticket, *coupon*, admission, or pass is used; "Gift" ~~shall not include~~; (ii)
 1510 honorary degrees ~~and presents~~; (iii) any athletic, merit, or need-based scholarship or any other financial
 1511 aid awarded by a public or private school, institution of higher education, or other educational program
 1512 pursuant to such school, institution, or program's financial aid standards and procedures applicable to
 1513 the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3
 1514 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a
 1515 legislator or of a member of his immediate family; or (vi) gifts from relatives or personal friends. For
 1516 the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, or nephew;
 1517 a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent,
 1518 grandchild, brother, or sister; or the donee's brother's or sister's spouse. For the purpose of this
 1519 definition, "personal friend" does not include any person that the filer knows or has reason to know is
 1520 (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a
 1521 lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to
 1522 or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition,
 1523 "person, organization, or business" includes individuals who are officers, directors, or owners of or who
 1524 have a controlling ownership interest in such organization or business.

1525 "Governmental agency" means each component part of the legislative, executive or judicial branches
 1526 of state and local government, including each office, department, authority, post, commission,
 1527 committee, and each institution or board created by law to exercise some regulatory or sovereign power
 1528 or duty as distinguished from purely advisory powers or duties.

1529 "Immediate family" means (i) a spouse ~~and~~, (ii) any ~~other person residing~~ child who resides in the
 1530 same household as the legislator; ~~and~~ who is a dependent of the legislator ~~or of whom the legislator is a~~
 1531 dependent. "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether
 1532 or not related by blood or marriage, if such person receives from the legislator, or provides to the
 1533 legislator, more than one-half of his financial support.

1534 "Legislator" means a member of the General Assembly.

1535 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his
 1536 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership
 1537 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may
 1538 reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal property or a
 1539 business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any
 1540 combination thereof, paid or provided by a business or governmental agency that exceeds, or may
 1541 reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or personal

1542 property if the interest exceeds ~~\$10,000~~ \$5,000 in value and excluding ownership in a business, income,
 1543 or salary, other compensation, fringe benefits or benefits from the use of property; ~~or~~ (v) personal
 1544 liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset
 1545 value of the business; *or (vi) an option for ownership of a business or real or personal property if the*
 1546 *ownership interest will consist of clause (i) or (iv).*

1547 "Personal interest in a contract" means a personal interest ~~which~~ *that* a legislator has in a contract
 1548 with a governmental agency, whether due to his being a party to the contract or due to a personal
 1549 interest in a business ~~which~~ *that* is a party to the contract.

1550 "Personal interest in a transaction" means a personal interest of a legislator in any matter considered
 1551 by the General Assembly. Such personal interest exists when an officer or employee or a member of his
 1552 immediate family has a personal interest in property or a business, or represents *or provides services to*
 1553 any individual or business and such property, business or represented *or served* individual or business (i)
 1554 is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or
 1555 detriment as a result of the action of the agency considering the transaction. A "personal interest in a
 1556 transaction" exists only if the legislator or member of his immediate family or an individual or business
 1557 represented *or served* by the legislator is affected in a way that is substantially different from the
 1558 general public or from persons comprising a profession, occupation, trade, business or other comparable
 1559 and generally recognizable class or group of which he or the individual or business he represents *or*
 1560 *serves* is a member.

1561 "Transaction" means any matter considered by the General Assembly, whether in a committee,
 1562 subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which
 1563 official action is taken or contemplated.

1564 **§ 30-103.1. Certain gifts prohibited.**

1565 A. *For purposes of this section:*

1566 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain
 1567 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
 1568 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
 1569 disclosure form prescribed in § 2.2-3117.

1570 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
 1571 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
 1572 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
 1573 prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any
 1574 intangible gift.

1575 B. A legislator or candidate for the General Assembly required to file the disclosure form prescribed
 1576 in § 30-111 (i) shall not solicit, accept, or receive within any calendar year any single tangible gift with
 1577 a value in excess of \$250 or a combination of tangible gifts with an aggregate value in excess of \$250
 1578 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3
 1579 (§ 2.2-418 *et seq.*) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a
 1580 person, organization, or business who is a party to or is seeking to become a party to a contract with
 1581 the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift
 1582 received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall
 1583 report any payments for talks, meetings, and publications on Schedule D-1 of such disclosure form. For
 1584 purposes of this subsection, "person, organization, or business" includes individuals who are officers,
 1585 directors, or owners of or who have a controlling ownership interest in such organization or business.

1586 C. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council
 1587 every five years, as of January 1 of that year, in an amount equal to the annual increases for that
 1588 five-year period in the United States Average Consumer Price Index for all items, all urban consumers
 1589 (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to
 1590 the nearest whole dollar.

1591 **§ 30-110. Disclosure.**

1592 A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure
 1593 statement of his personal interests and such other information as is specified on the form set forth in
 1594 § 30-111 and thereafter shall file such a statement ~~annually on or before January 8~~ *semiannually by*
 1595 *December 15 for the preceding six-month period complete through the last day of October and by June*
 1596 *15 for the preceding six-month period complete through the last day of April.* When the filing deadline
 1597 falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that
 1598 is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be provided by the ~~clerk of the~~
 1599 ~~appropriate house to each legislator and legislator-elect not later than November 30 of each year~~
 1600 *Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline.*
 1601 ~~Members of the Senate shall file their disclosure forms with the Clerk of the Senate and members of the~~
 1602 ~~House of Delegates shall file their disclosure forms with the Clerk of the House of Delegates Virginia~~

1603 *Conflict of Interest and Ethics Advisory Council.* The disclosure forms of the members of the General
1604 Assembly shall be maintained as public records for five years in the office of the clerk of the
1605 appropriate house *Virginia Conflict of Interest and Ethics Advisory Council.*

1606 B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as
1607 required by §§ 24.2-500 through 24.2-503.

1608 C. Any legislator who has a personal interest in any transaction pending before the General
1609 Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the
1610 rules of his house shall disclose his interest in accordance with the applicable rule of his house.

1611 **§ 30-111. Disclosure form.**

1612 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be
1613 substantially as follows:

1614 STATEMENT OF ECONOMIC INTERESTS.

- 1615 Name
- 1616 Office or position held or sought
- 1617 Home address Address
- 1618 Names of members of immediate family

1619 DEFINITIONS AND EXPLANATORY MATERIAL.

1620 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1621 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1622 whether or not for profit.

1623 "Close financial association" means an association in which the filer shares significant financial
1624 involvement with an individual and the filer would reasonably be expected to be aware of the
1625 individual's business activities and would have access to the necessary records either directly or through
1626 the individual. "Close financial association" does not mean an association based on (i) the receipt of
1627 retirement benefits or deferred compensation from a business by which the legislator is no longer
1628 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent
1629 contractor of a business that represents an entity before any state governmental agency when the
1630 legislator has had no communications with the state governmental agency.

1631 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1632 fixed or determined in the future with the occurrence of some certain event.

1633 "Dependent" means any person, whether or not related by blood or marriage, who receives from the
1634 legislator, or provides to the legislator, more than one-half of his financial support.

1635 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1636 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
1637 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
1638 expense has been incurred. "Gift" shall does not include (i) any offer of a ticket, coupon, or other
1639 admission or pass unless the ticket, coupon, admission, or pass is used: "Gift" shall not include; (ii)
1640 honorary degrees and presents; (iii) any athletic, merit, or need-based scholarship or any other financial
1641 aid awarded by a public or private school, institution of higher education, or other educational program
1642 pursuant to such school, institution, or program's financial aid standards and procedures applicable to
1643 the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3
1644 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a
1645 legislator or of a member of his immediate family; or (vi) gifts from relatives or personal friends.

1646 "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee
1647 is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister;
1648 or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer
1649 knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of
1650 Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization,
1651 or business who is a party to or is seeking to become a party to a contract with the Commonwealth.
1652 "Person, organization, or business" includes individuals who are officers, directors, or owners of or who
1653 have a controlling ownership interest in such organization or business.

1654 "Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the
1655 same household as the legislator, and who is a dependent of the legislator or of whom the legislator is a
1656 dependent.

1657 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
1658 services, consulting services, or public relations services, whether gratuitous or for compensation,
1659 between a member or member-elect and any person who is, or has been within the prior calendar year,
1660 registered as a lobbyist with the Secretary of the Commonwealth *Virginia Conflict of Interest and Ethics*
1661 *Advisory Council*, or (ii) a greater than three percent ownership interest by a member or member-elect in
1662 a business that employs, or engages as an independent contractor, any person who is, or has been within
1663 the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth *Council.* The

1664 disclosure of a lobbyist relationship shall not ~~(i)~~ (ii) (a) constitute a waiver of any attorney-client or other
 1665 privilege, ~~(ii)~~ (b) require a waiver of any attorney-client or other privilege for a third party, or ~~(iii)~~ (c)
 1666 be required where a member or member-elect is employed or engaged by a person and such person also
 1667 employs or engages a person in a lobbyist relationship so long as the member or member-elect has no
 1668 financial interest in the lobbyist relationship.

1669 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
 1670 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
 1671 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
 1672 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
 1673 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
 1674 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1675 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
 1676 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
 1677 filing the Statement as of the date of this report unless otherwise stated.

1678 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

1679 You may attach additional explanatory information.

1680 1. Offices and Directorships.

1681 Are you or a member of your immediate family a paid officer or paid director of a business?

1682 EITHER check NO / / OR check YES / / and complete Schedule A.

1683 2. Personal Liabilities.

1684 Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor
 1685 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens
 1686 on property at least equal in value to the loan.)

1687 EITHER check NO / / OR check YES / / and complete Schedule B.

1688 3. Securities.

1689 Do you or a member of your immediate family, directly or indirectly, separately or together, own
 1690 securities valued in excess of ~~\$10,000~~ \$5,000 invested in one business? Account for mutual funds,
 1691 limited partnerships and trusts.

1692 EITHER check NO / / OR check YES / / and complete Schedule C.

1693 4. Payments for Talks, Meetings, and Publications.

1694 During the past ~~12~~ six months did you receive *in your capacity as a legislator* lodging,
 1695 transportation, money, or anything else of value with a combined value exceeding \$200 (i) for a single
 1696 talk, meeting, or published work ~~in your capacity as a legislator~~ or (ii) for a meeting, conference, or
 1697 event where your attendance at the meeting, conference, or event was designed to (a) educate you on
 1698 issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance
 1699 your knowledge and skills relative to your duties as a legislator? Do not include payments and
 1700 reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see
 1701 Question 11 and Schedule D2 to report such meetings.

1702 EITHER check NO / / OR check YES / / and complete Schedule D.

1703 5. Gifts.

1704 During the past ~~12~~ six months did a business, government, or individual other than a relative or
 1705 personal friend (i) furnish you *or a member of your immediate family* with any gift or entertainment at a
 1706 single event, and the value received ~~by you~~ exceeded \$50 ~~in value~~ or (ii) furnish you *or a member of*
 1707 *your immediate family* with gifts or entertainment in any combination and the total value received ~~by~~
 1708 ~~you~~ exceeded \$100 ~~in total value~~, and for which you *or the member of your immediate family* neither
 1709 paid nor rendered services in exchange? Account for entertainment events only if the average value per
 1710 person attending the event exceeded \$50 ~~in value~~. Account for all business entertainment (except if
 1711 related to ~~your~~ the private profession or occupation of you or the member of your immediate family who
 1712 received such business entertainment) even if unrelated to your official duties.

1713 EITHER check NO / / OR check YES / / and complete Schedule E.

1714 6. Salary and Wages.

1715 List each employer that pays you or a member of your immediate family salary or wages in excess
 1716 of ~~\$10,000~~ \$5,000 annually. (Exclude any salary received as a member of the General Assembly
 1717 pursuant to § 30-19.11.)

1718 If no reportable salary or wages, check here / /.

1719 _____

1720 _____

1721 _____

1722 7. Business Interests and Lobbyist Relationships.

1723 7A. Do you or a member of your immediate family, separately or together, operate your own
 1724 business, or own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

1725 EITHER check NO // OR check YES // and complete Schedule F-1.

1726 7B. Do you have a lobbyist relationship as that term is defined above?

1727 EITHER check NO // OR check YES // and complete Schedule F-2.

1728 8. Payments for Representation and Other Services.

1729 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
1730 judges, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000,
1731 excluding compensation for other services to such businesses and representation consisting solely of the
1732 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1733 EITHER check NO // OR check YES // and complete Schedule G-1.

1734 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
1735 association (partners, associates or others) represent any businesses before any state governmental agency
1736 for which total compensation was received during the past ~~12~~ six months in excess of \$1,000?

1737 EITHER check NO // OR check YES // and complete Schedule G-2.

1738 8C. Did you or persons with whom you have a close financial association furnish services to
1739 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
1740 persons with whom you have a close financial association and such businesses for which total
1741 compensation in excess of \$1,000 was received during the past ~~12~~ six months? Services reported under
1742 this provision shall not include services involving the representation of businesses that are reported
1743 under question 8A or 8B above.

1744 EITHER check NO // OR check YES // and complete Schedule G-3.

1745 9. Real Estate.

1746 Do you or a member of your immediate family hold an interest, including a partnership interest,
1747 valued at ~~\$10,000~~ or more than \$5,000 in real property (other than your principal residence) for which
1748 you have not already listed the full address on Schedule F? Account for real estate held in trust.

1749 EITHER check NO // OR check YES // and complete Schedule H.

1750 10. Real Estate Contracts with State Governmental Agencies.

1751 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000
1752 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,
1753 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six
1754 months, with a state governmental agency?

1755 If the real estate contract provides for the leasing of the property to a state governmental agency, do
1756 you or a member of your immediate family hold an interest in the real estate, including a corporate,
1757 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
1758 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
1759 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
1760 business unless the ownership interest exceeds three percent of the total equity of the business.

1761 EITHER check NO // OR check YES // and complete Schedule I.

1762 11. Payments by the Commonwealth for Meetings.

1763 During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of
1764 value with a combined value exceeding \$200 from the Commonwealth for a single meeting attended
1765 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
1766 meetings attended in the Commonwealth.

1767 EITHER check NO // OR check YES // and complete Schedule D-2.

1768 For Statements filed in January 2016 and each two years thereafter, complete the following
1769 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

1770 I certify that I completed ethics training as required by § 30-129.1. YES // or NO // .

1771 Statements of Economic Interests are open for public inspection.

1772 AFFIRMATION.

1773 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
1774 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
1775 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
1776 will satisfy such request or be subjected to disciplinary action of my house.

1777 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1778 Signature _____ (Such signature shall be deemed to constitute a
1779 valid notarization and shall have the same effect as if performed by a notary public.)

1780 ~~Commonwealth of Virginia~~

1781 _____ of _____ to wit:

1782 ~~The foregoing disclosure form was acknowledged before me~~

1783 This _____ day of _____, 20____, by _____

1784 _____ Notary Public

1785 My commission expires _____

1786 (Return only if needed to complete Statement.)

1787 SCHEDULES
1788 to
1789 STATEMENT OF ECONOMIC INTERESTS.

1790 NAME _____
1791 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1792 Identify each business of which you or a member of your immediate family is a paid officer or paid
1793 director.

1794 _____

1795
1796 Name of Business Address of Business Position Held and by Whom

1797 _____

1798 _____

1799 _____

1800 _____

1801 _____

1802 RETURN TO ITEM 2

1803 SCHEDULE B - PERSONAL LIABILITIES.

1804 Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000.

1805 Do not report debts to any government. Do not report loans secured by recorded liens on property at
1806 least equal in value to the loan.

1807 Report contingent liabilities below and indicate which debts are contingent.

1808 1. My personal debts are as follows:

1809 _____

1810
1811 Check one

1812 Check ~~\$10,001~~
1813 appropriate \$5,001 to More than
1814 categories \$50,000 \$50,000

1815 Banks _____

1816 Savings institutions _____

1817 Other loan or finance companies _____

1818 Insurance companies _____

1819 Stock, commodity or other brokerage
1820 companies _____

1821 Other businesses: _____

1822 (State principal business activity for each
1823 creditor and its name.)

1824 _____

1825 _____

1826 _____

1827 Individual creditors:
1828 (State principal business or occupation of
1829 each creditor and its name.)

1830 _____

1831 _____

1832 _____

1833 _____

1834 2. The personal debts of the members of my immediate family are as follows:

1835 _____

1836 _____

1837 Check one

1838 Check ~~\$10,001~~
1839 appropriate \$5,001 to More than
1840 categories \$50,000 \$50,000

1841 Banks _____

1842 Savings institutions _____

1843 Other loan or finance companies _____

1844 Insurance companies _____

1845 Stock, commodity or other brokerage _____

1846 companies _____

1847 Other businesses: _____

1848 (State principal business activity for each _____

1849 creditor and its name.) _____

1850 _____

1851 _____

1852 _____

1853 Individual creditors: _____

1854 (State principal business or occupation of _____

1855 each creditor and its name.) _____

1856 _____

1857 _____

1858 _____

1859 _____

RETURN TO ITEM 3

1860 SCHEDULE C - SECURITIES.

1862 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES

1863 mutual funds, limited partnerships, certificates of deposit,

1864 and commodity futures contracts. money market funds, annuity

1865 contracts, and insurance policies.

1866 Identify each business or Virginia governmental entity in which you or a member of your immediate

1867 family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000.

1868 Name each entity issuer and type of security individually.

1869 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia

1870 or its authorities, agencies, or local governments. Do not list organizations that do not do business in

1871 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held

1872 in trust.

1873 If no reportable securities, check here / /.

Check one

1877	1878	1879	1880	1876		
				1877	1878	1879
Type of	Type of Security					
1881	1882	1883	1884	\$10,001	\$50,001	More
1885	1886	1887	1888	\$5,001	\$50,001	More
1889	1890	1891	1892	to	to	than
1893	1894	1895	1896	\$50,000	\$250,000	\$250,000
1897	1898	1899	1900			

RETURN TO ITEM 4

1887 SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1888 List each source from which you received during the past ~~12~~ six months in your capacity as a

1889 legislator lodging, transportation, money, or any other thing of value (excluding meals or drinks

1890 coincident with a meeting) with a combined value exceeding \$200 (i) for your presentation of a single

1891 talk, participation in one meeting, or publication of a work in your capacity as a legislator or (ii) for

1892 your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or

1893 event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues

1894 faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a

1895 legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does

1896 not satisfy the criteria of clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E. Do not

1897 list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or

1898 reimbursements.) List a payment even if you donated it to charity. Do not list information about a

1899 payment if you returned it within 60 days or if you received it from an employer already listed under

1900 Item 6 or from a source of income listed on Schedule F.

1901 If no payment must be listed, check here / /.

1902				Type of Payment
1903				(e.g., Honoraria,
1904				Travel reimburse-
1905				ment, etc.)
1906	Payer	Approximate Value	Circumstances	
1907	_____	_____	_____	_____
1908	_____	_____	_____	_____
1909	_____	_____	_____	_____
1910	_____	_____	_____	_____
1911	_____	_____	_____	_____
1912	_____	_____	_____	_____

RETURN TO ITEM 5

1913 SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

1914 List each meeting for which the Commonwealth provided payments or reimbursements during the
1915 past ~~12~~ six months to you for lodging, transportation, money, or any other thing of value (excluding
1916 meals or drinks coincident with a meeting) with a combined value exceeding \$200 for your participation
1917 in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for
1918 meetings or travel within the Commonwealth.

1919 If no payment must be listed, check here / /.

1921				Type of Payment
1922				(e.g., Travel
1923				reimbursement,
1924				etc.)
1925	Payer	Approximate Value	Circumstances	
1926	_____	_____	_____	_____
1927	_____	_____	_____	_____
1928	_____	_____	_____	_____
1929	_____	_____	_____	_____
1930	_____	_____	_____	_____

1931 SCHEDULE E - GIFTS.

1932 List each business, governmental entity, or individual that, during the past ~~12~~ six months, (i)
1933 furnished you or a member of your immediate family with any gift or entertainment at a single event,
1934 and the value received by you exceeded \$50; ~~in value~~ or (ii) furnished you or a member of your
1935 immediate family with gifts or entertainment in any combination and the total value received by you
1936 exceeded \$100 ~~in total value~~; and for which you or the member of your immediate family neither paid
1937 nor rendered services in exchange. List each such gift or event.

1938 Do not list entertainment events unless the average value per person attending the event exceeded
1939 \$50 ~~in value~~. Do not list business entertainment related to ~~your~~ the private profession or occupation of
1940 you or the member of your immediate family who received such business entertainment. Do not list gifts
1941 or other things of value given by a relative or personal friend for reasons clearly unrelated to your
1942 public position. Do not list campaign contributions publicly reported as required by Chapter 9.3
1943 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

1945				
1946	Name of	Name of Business,	City or	Exact
1947	Recipient	Organization, or	County	Gift or
1948		Individual	and State	Event
1949				Approximate
1950				Value
1951	_____	_____	_____	_____
1952	_____	_____	_____	_____
1953	_____	_____	_____	_____
1954	_____	_____	_____	_____

RETURN TO ITEM 6

1955 SCHEDULE F-1 - BUSINESS INTERESTS.

1956 Complete this Schedule for each self-owned or family-owned business (including rental property, a
1957 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
1958

1959 family, separately or together, own an interest having a value in excess of ~~\$10,000~~ \$5,000.
 1960 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
 1961 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
 1962 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
 1963 Account for business interests held in trust.

1964 _____

1965

1966	Name of		Nature of		Gross income	
1967	Business		Enterprise			
1968	Corporation,		(farming,		\$50,001	More
1969	Partnership,		law, rental		to	than
1970	Farm;		property, etc.)		\$250,000	\$250,000
1971	Address of	City or				
1972	Rental	County				
1973	Property	and State				
1974	_____	_____	_____	_____	_____	_____
1975	_____	_____	_____	_____	_____	_____
1976	_____	_____	_____	_____	_____	_____
1977	_____	_____	_____	_____	_____	_____

1978 _____

1979 RETURN TO ITEM 8

1980 SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

1981 Complete this Schedule for each lobbyist relationship with the following:

- 1982 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the
 1983 Secretary of the Commonwealth Virginia Conflict of Interest and Ethics Advisory Council, or
 1984 (ii) any business in which you have a greater than three percent ownership interest and that business
 1985 employs, or engages as an independent contractor, any person who is, or has been within the prior
 1986 calendar year, registered as a lobbyist with the of the Commonwealth Council.

1987 _____

1988

1989	Payments to			
1990	Lobbyist			
1991				More than
1992	List each person	Describe each	Dates of	\$10,000
1993	or business	relationship	relationship	or less
1994				\$10,001
1995				\$10,000
1996	_____	_____	_____	_____
1997	_____	_____	_____	_____
1998	_____	_____	_____	_____
1999	_____	_____	_____	_____

2000 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A
 2001 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF
 2002 ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE
 2003 REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A
 2004 PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST
 2005 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL
 2006 INTEREST IN THE LOBBYIST RELATIONSHIP.

2007 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

2008 List the businesses you represented before any state governmental agency, excluding any court or
 2009 judge, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000,
 2010 excluding compensation for other services to such businesses and representation consisting solely of the
 2011 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.
 2012 Identify each business, the nature of the representation and the amount received by dollar category
 2013 from each such business. You may state the type, rather than name, of the business if you are required
 2014 by law not to reveal the name of the business represented by you.

2015 _____

2016

2075	Intrastate						
2076	transportation						
2077	companies	_____	_____	_____	_____	_____	_____
2078	Oil or gas retail						
2079	companies	_____	_____	_____	_____	_____	_____
2080	Banks	_____	_____	_____	_____	_____	_____
2081	Savings						
2082	institutions	_____	_____	_____	_____	_____	_____
2083	Loan or finance						
2084	companies	_____	_____	_____	_____	_____	_____
2085	Manufacturing						
2086	companies (state						
2087	type of product,						
2088	e.g., textile,						
2089	furniture, etc.)	_____	_____	_____	_____	_____	_____
2090	Mining companies	_____	_____	_____	_____	_____	_____
2091	Life insurance						
2092	companies	_____	_____	_____	_____	_____	_____
2093	Casualty insurance						
2094	companies	_____	_____	_____	_____	_____	_____
2095	Other insurance						
2096	companies	_____	_____	_____	_____	_____	_____
2097	Retail companies	_____	_____	_____	_____	_____	_____
2098	Beer, wine or						
2099	liquor companies						
2100	or distributors	_____	_____	_____	_____	_____	_____
2101	Trade associations	_____	_____	_____	_____	_____	_____
2102	Professional						
2103	associations	_____	_____	_____	_____	_____	_____
2104	Associations of						
2105	public employees						
2106	or officials	_____	_____	_____	_____	_____	_____
2107	Counties, cities						
2108	or towns	_____	_____	_____	_____	_____	_____
2109	Labor organizations	_____	_____	_____	_____	_____	_____
2110	Other	_____	_____	_____	_____	_____	_____
2111		_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 \$5,000 or more. Each parcel ~~must~~ shall be listed individually.

2117	_____		
2118			
2119		Describe the type of real	
2120		estate you own in each	
2121	List the location	location (business,	If the real estate is
2122	(state, and county	recreational, apartment,	owned or recorded in
2123	or city where you	commercial, open land,	a name other than your
2124	own real estate	etc.)	own, list that name
2125	_____	_____	_____
2126	_____	_____	_____
2127	_____	_____	_____
2128	_____	_____	_____
2129	_____	_____	_____
2130	_____	_____	_____

RETURN TO ITEM 10

2132 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

2133 List all contracts, whether pending or completed within the past ~~12~~ six months, with a state
2134 governmental agency for the sale or exchange of real estate in which you or a member of your
2135 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,
2136 or land contract, valued at *more than* \$10,000 ~~or more~~. List all contracts with a state governmental
2137 agency for the lease of real estate in which you or a member of your immediate family holds such an
2138 interest valued at *more than* \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not
2139 apply to an interest derived through an ownership interest in a business unless the ownership interest
2140 exceeds three percent of the total equity of the business.

2141

2142

2143 List your real
2144 estate interest and
2145 the person or entity,
2146 including the type of
2147 entity, which is
2148 party to the contract.

2149

2149 Describe any
2150 management role and
2151 the percentage
2152 ownership interest
2153 you or your immediate
2154 family member has in
2155 the real estate
2156 or entity.

2157

2158

2159

2160

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2162

List each
governmental agency
which is a party to
the contract and
indicate the county
or city where the
real estate is located.

State the annual
income from the
contract, and the
amount, if any, of
income you or any
immediate family
member derives
annually from
the contract.

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B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. ~~In accordance with the rules of each house, the~~ *The* Statement of Economic Interests of all members of each house shall be reviewed *by the Council*. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

§ 30-112. Senate and House Ethics Advisory Panels; membership; terms; quorum; compensation and expenses.

A. The Senate Ethics Advisory Panel and the House Ethics Advisory Panel are established in the legislative branch of state government. The provisions of §§ 30-112 through 30-119 shall be applicable to each panel.

B. The Senate Ethics Advisory Panel shall be composed of five nonlegislative citizen members: three

2191 of whom shall be former members of the Senate; and two of whom shall be citizens of the
 2192 Commonwealth at large who have not previously held such office. All members of the Panel shall be
 2193 citizens of the Commonwealth. No member shall engage in activities requiring him to register as a
 2194 lobbyist under § 2.2-422 during his tenure on the Panel.

2195 The members shall be nominated by the Committee on Rules of the Senate and confirmed by the
 2196 Senate *by a majority vote of (i) the members present of the majority party and (ii) the members present*
 2197 *of the minority party.* After initial appointments, all appointments shall be for terms of four years each
 2198 except for unexpired terms. Nominations shall be made so as to assure bipartisan representation on the
 2199 Panel.

2200 C. The House Ethics Advisory Panel shall be composed of five nonlegislative citizen members: one
 2201 of whom shall be a retired justice or judge of a court of record; two of whom shall be former members
 2202 of the House of Delegates; and two of whom shall be citizens of the Commonwealth at large, at least
 2203 one of whom shall not have previously held such office. All members of the Panel shall be citizens of
 2204 the Commonwealth. No member shall engage in activities requiring him to register as a lobbyist under
 2205 § 2.2-422 during his tenure on the Panel.

2206 The members shall be nominated by the Speaker of the House of Delegates and confirmed by the
 2207 House of Delegates *by a majority vote of (i) the members present of the majority party and (ii) the*
 2208 *members present of the minority party.* After initial appointments, all appointments shall be for terms of
 2209 four years each except for unexpired terms. Nominations shall be made so as to assure bipartisan
 2210 representation on the Panel.

2211 D. Each panel shall elect its own chairman and vice-chairman from among its membership.

2212 E. No member shall serve more than three successive four-year terms. Vacancies shall be filled only
 2213 for the unexpired term. Vacancies shall be filled in the same manner as the original appointments. The
 2214 remainder of any term to which a member is appointed to fill a vacancy shall not constitute a term in
 2215 determining the member's eligibility for reappointment.

2216 F. Three members shall constitute a quorum on each panel. A vacancy shall not impair the right of
 2217 the remaining members to exercise all powers of the Panel. Meetings of each panel shall be held at the
 2218 call of the chairman or whenever the majority of the members so request.

2219 G. The members of each panel, while serving on the business of the Panel, are performing legislative
 2220 duties and shall be entitled to the compensation and reimbursement of expenses to which members of
 2221 the General Assembly are entitled when performing legislative duties pursuant to §§ 2.2-2813, 2.2-2825,
 2222 and 30-19.12. Funding for the cost of compensation and expenses of the members of the Senate Ethics
 2223 Advisory Panel shall be provided by the Office of the Clerk of the Senate and the funding for the cost
 2224 of compensation and expenses of the House Ethics Advisory Panel shall be provided by the Office of
 2225 the Clerk of the House of Delegates.

2226 **§ 30-114. Filing of complaints; procedures; disposition.**

2227 A. In response to the signed and sworn complaint of any citizen of the Commonwealth, which is
 2228 subscribed by the maker as true under penalty of perjury, submitted to the Panel, the Panel shall inquire
 2229 into any alleged violation of Articles 2 (§ 30-102 et seq.) through 5 (§ 30-109 et seq.) ~~of this chapter~~ by
 2230 any member of the respective house of the General Assembly in his current term or his immediate prior
 2231 term. Complaints shall be filed with the ~~Director of the Division of Legislative Services Virginia~~
 2232 *Conflict of Interest and Ethics Advisory Council, who* which shall promptly (i) submit the complaint to
 2233 the chairman of the appropriate Panel and (ii) forward a copy of the complaint to the legislator named
 2234 in the complaint. The chairman shall promptly notify the Panel of the complaint. No complaint shall be
 2235 filed with the Panel 60 or fewer days before a primary election or other nominating event or before a
 2236 general election in which the cited legislator is running for office, and the Panel shall not accept or act
 2237 on any complaint received during this period.

2238 B. The Panel shall determine, during its preliminary investigation, whether the facts stated in the
 2239 complaint taken as true are sufficient to show a violation of Articles 2 (§ 30-102 et seq.) through 5
 2240 (§ 30-109 et seq.) ~~of this chapter~~. If the facts, as stated in the complaint, fail to give rise to such a
 2241 violation, then the Panel shall dismiss the complaint. If the facts, as stated in the complaint, give rise to
 2242 such a violation, then the Panel shall request that the complainant appear and testify under oath as to the
 2243 complaint and the allegations therein. After hearing the testimony and reviewing any other evidence
 2244 provided by the complainant, the Panel shall dismiss the complaint if the Panel fails to find by a
 2245 preponderance of the evidence that such violation has occurred. If the Panel finds otherwise, it shall
 2246 proceed with the inquiry.

2247 C. If after such preliminary investigation, the Panel determines to proceed with an inquiry into the
 2248 conduct of any legislator, the Panel (i) shall immediately notify in writing the individual who filed the
 2249 complaint and the cited legislator as to the fact of the inquiry and the charges against the legislator and
 2250 (ii) shall schedule one or more hearings on the matter. The legislator shall have the right to present
 2251 evidence, cross-examine witnesses, face and examine the accuser, and be represented by counsel at any

2252 hearings. In its discretion, the Panel may grant the legislator any other rights or privileges not
 2253 specifically enumerated in this subsection. Once the Panel has determined to proceed with an inquiry, its
 2254 meetings and hearings shall be open to the public.

2255 D. Once the Panel determines to proceed with an inquiry into the conduct of any legislator, the Panel
 2256 shall complete its investigations and dispose of the matter as provided in § 30-116 notwithstanding the
 2257 resignation of the legislator during the course of the Panel's proceedings.

2258 **§ 30-117. Confidentiality of proceedings.**

2259 All proceedings during the investigation of any complaint by the Panel shall be confidential. This
 2260 rule of confidentiality shall apply to Panel members and their staff and, the Committee on Privileges and
 2261 Elections and its staff, and the Virginia Conflict of Interest and Ethics Advisory Council.

2262 **§ 30-118. Staff for Panel.**

2263 The Panel may hire staff and outside counsel to assist the Panel and to conduct examinations of
 2264 witnesses, subject to the approval of the President Pro Tempore of the Senate for the Senate Ethics
 2265 Advisory Panel and subject to the approval of the Speaker of the House of Delegates for the House
 2266 Ethics Advisory Panel. The Panel may have the Director of the Division of Legislative Services, and
 2267 such additional staff as he may assign, assist the Panel during its preliminary investigation and during its
 2268 proceedings.

2269 **§ 30-124. Advisory opinions.**

2270 A legislator shall not be prosecuted or disciplined for a violation of this chapter if his alleged
 2271 violation resulted from his good faith reliance on a written opinion of a committee on standards of
 2272 conduct established pursuant to § 30-120, or an opinion of the Attorney General as provided in
 2273 § 30-122, or a formal opinion of the Virginia Conflict of Interest and Ethics Advisory Council
 2274 established pursuant to § 30-348, and the opinion was made after his full disclosure of the facts.

2275 *Article 6.*

2276 *Ethics Orientation Sessions.*

2277 **§ 30-129.1. Orientation sessions on ethics and conflicts of interests.**

2278 *The Virginia Conflict of Interest and Ethics Advisory Council shall conduct an orientation session (i)*
 2279 *for new and returning General Assembly members preceding each even-numbered year regular session*
 2280 *and (ii) for any new General Assembly member who is elected in a special election and whose term*
 2281 *commences after the date of the orientation session provided for in clause (i) and at least six months*
 2282 *before the date of the next such orientation session within three months of his election. Attendance at*
 2283 *the full orientation session shall be mandatory for newly elected members. Attendance at a refresher*
 2284 *session lasting at least two hours shall be mandatory for returning members and may be accomplished*
 2285 *by online participation. There shall be no penalty for the failure of a member to attend the full or*
 2286 *refresher orientation session, but the member must disclose his attendance pursuant to § 30-111.*

2287 **§ 30-129.2. Content of orientation sessions.**

2288 *The orientation session shall provide information and training for the members on ethics and*
 2289 *conflicts of interests, on the provisions of the General Assembly Conflicts of Interests Act (§ 30-100 et*
 2290 *seq.), on relevant federal law provisions, and on related issues involving lobbying. Refresher sessions*
 2291 *may be offered online.*

2292 **§ 30-129.3. Orientation session preparations.**

2293 *Those conducting the orientation sessions may call on other agencies in the legislative or executive*
 2294 *branches for assistance, may invite experts to assist in the sessions, and shall, upon request of a*
 2295 *member who holds a professional license or certification, apply for continuing education credits with the*
 2296 *appropriate licensing or certifying entity for the sessions.*

2297 **CHAPTER 55.**

2298 **VIRGINIA CONFLICT OF INTEREST AND ETHICS ADVISORY COUNCIL.**

2299 **§ 30-348. Virginia Conflict of Interest and Ethics Advisory Council; membership; terms; quorum;**
 2300 **expenses.**

2301 *A. The Virginia Conflict of Interest and Ethics Advisory Council (the Council) is hereby created as*
 2302 *an advisory council in the legislative branch to encourage and facilitate compliance with the State and*
 2303 *Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) and the General Assembly Conflicts of*
 2304 *Interests Act (§ 30-100 et seq.) (hereafter the Acts) and the lobbying laws in Article 3 (§ 2.2-418 et seq.)*
 2305 *of Chapter 4 of Title 2.2 (hereafter Article 3).*

2306 *B. The Council shall consist of 15 members as follows: four members appointed by the Speaker of*
 2307 *the House of Delegates, one of whom shall be a member of the House of Delegates, one of whom shall*
 2308 *be a former member of the House of Delegates, and two of whom shall be nonlegislative citizen*
 2309 *members; four members appointed by the Senate Committee on Rules, one of whom shall be a member*
 2310 *of the Senate, one of whom shall be a former member of the Senate, and two of whom shall be*
 2311 *nonlegislative citizen members; four members appointed by the Governor, two of whom shall be*
 2312 *executive branch employees and two of whom shall be nonlegislative citizen members; one member*

2313 designated by the Attorney General; one member appointed by the Senate Committee on Rules from a
 2314 list of three nominees submitted by the Virginia Association of Counties; and one member appointed by
 2315 the Speaker of the House of Delegates from a list of three nominees submitted by the Virginia Municipal
 2316 League. All members of the Council are subject to confirmation by the General Assembly by a majority
 2317 vote in each house of (i) the members present of the majority party and (ii) the members present of the
 2318 minority party.

2319 C. All appointments following the initial staggering of terms shall be for terms of four years, except
 2320 that appointments to fill vacancies shall be for the unexpired terms in the same manner as the original
 2321 appointment. No nonlegislative citizen member shall be eligible to serve for more than two successive
 2322 four-year terms. However, after the expiration of a term of three years or less, or after the expiration of
 2323 the remainder of a term to which appointed to fill a vacancy, two additional terms may be served by
 2324 such member if appointed thereto. Legislative members and other state government officials shall serve
 2325 terms coincident with their terms of office. Legislative members may be reappointed for successive
 2326 terms.

2327 D. The members of the Council shall elect from among their membership a chairman and a
 2328 vice-chairman for two-year terms. The chairman and vice-chairman may not succeed themselves to the
 2329 same position. The Council shall hold meetings quarterly or upon the call of the chairman. A majority
 2330 of the Council shall constitute a quorum.

2331 E. Members of the Council shall receive no compensation for their services but shall be reimbursed
 2332 for all reasonable and necessary expenses incurred in the performance of their duties as provided in
 2333 §§ 2.2-2813, 2.2-2825, and 30-19.12, as appropriate. Funding for expenses of the members shall be
 2334 provided from existing appropriations to the Council.

2335 **§ 30-349. Powers and duties of the Council.**

2336 The Council shall:

2337 1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local
 2338 government officers and employees and legislators pursuant to the Acts. The Council shall review all
 2339 disclosure forms for completeness, which shall include reviewing the information contained on the face
 2340 of the form to determine if the disclosure form has been fully completed and comparing the disclosures
 2341 contained in any disclosure form filed by a lobbyist pursuant to § 2.2-426 with other disclosure forms
 2342 filed with the Council, and be followed by requests for amendments to ensure the completeness of and
 2343 correction of errors in the forms, if necessary;

2344 2. Accept any disclosure forms by computer or electronic means in accordance with the standards
 2345 approved by the Council and using software meeting standards approved by it. The Council shall
 2346 provide software to filers without charge and may prescribe the method of execution and certification of
 2347 electronically filed forms, including the use of an electronic signature as authorized by the Uniform
 2348 Electronic Transactions Act (§ 59.1-479 et seq.), and the procedures for receiving forms in the office of
 2349 the Council;

2350 3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising
 2351 disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be
 2352 available to the public through the Council's official website;

2353 4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,
 2354 including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to
 2355 any person or to any agency of state or local government, in an expeditious manner. Informal advice
 2356 given by the Council is confidential, protected by the attorney-client privilege, and is excluded from the
 2357 provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

2358 5. Conduct training seminars and educational programs for lobbyists, state and local government
 2359 officers and employees and legislators, and other interested persons on the requirements of Article 3
 2360 and the Acts and provide ethics orientation sessions for legislators in compliance with Article 6
 2361 (§ 30-129.1 et seq.) of Chapter 13;

2362 6. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the
 2363 educational materials and approve any training or course on the requirements of Article 3 and the Acts
 2364 conducted for state and local government officers and employees;

2365 7. Publish such educational materials as it deems appropriate on the provisions of Article 3 and the
 2366 Acts;

2367 8. Review actions taken in the General Assembly with respect to the discipline of its members for the
 2368 purpose of offering nonbinding advice;

2369 9. Request from any agency of state or local government such assistance, services, and information
 2370 as will enable the Council to effectively carry out its responsibilities. Information provided to the
 2371 Council by an agency of state or local government shall not be released to any other party unless
 2372 authorized by such agency; and

2373 10. Report on or before December 1 of each year on its activities and findings regarding Article 3

2374 and the Acts, including recommendations for changes in the laws, to the General Assembly and the
 2375 Governor. The annual report shall be submitted by the chairman as provided in the procedures of the
 2376 Division of Legislative Automated Systems for the processing of legislative documents and reports and
 2377 shall be published as a state document.

2378 **§ 30-350. Staff.**

2379 Staff assistance to the Council shall be provided by the Division of Legislative Services. Staff shall
 2380 perform those duties assigned to it by the Council, including those duties enumerated in § 30-349.

2381 **§ 30-351. Cooperation of agencies of state and local government.**

2382 Every department, division, board, bureau, commission, authority, or political subdivision of the
 2383 Commonwealth shall cooperate with, and provide such assistance to, the Council as the Council may
 2384 request.

2385 **2. That the initial terms of the nonlegislative citizen members and former legislative members of**
 2386 **the Virginia Conflict of Interest and Ethics Advisory Council appointed pursuant to this act shall**
 2387 **be staggered as follows: (i) two nonlegislative citizen members, one appointed by the Speaker of**
 2388 **the House of Delegates and one appointed by the Senate Committee on Rules, for a term of two**
 2389 **years; (ii) two nonlegislative citizen members, one appointed by the Speaker of the House of**
 2390 **Delegates and one appointed by the Governor, for a term of three years; (iii) two nonlegislative**
 2391 **citizen members, one member appointed by the Senate Committee on Rules and one appointed by**
 2392 **the Governor, and two former legislative members, one appointed by the Speaker of the of the**
 2393 **House of Delegates and one appointed by the Senate Committee on Rules, for a term of four**
 2394 **years; and (iv) the designee of the Attorney General and the appointed representatives of the**
 2395 **Virginia Association of Counties and Virginia Municipal League for a term of one year.**
 2396 **Thereafter, the terms of members shall be for four years.**

2397 **3. That, if the General Assembly is not in session when initial appointments to the Virginia**
 2398 **Conflict of Interest and Ethics Advisory Council are made, such initial appointments shall be**
 2399 **confirmed at the next succeeding regular session of the General Assembly following such**
 2400 **appointments and the Council may exercise all powers and perform all duties set forth in this act**
 2401 **notwithstanding any provisions of this act requiring confirmation of members appointed to the**
 2402 **Council by the General Assembly.**

2403 **4. That the Virginia Conflict of Interest and Ethics Advisory Council shall promulgate instructions**
 2404 **for all filers required to file a disclosure form regarding how to complete and file such forms in**
 2405 **accordance with provisions of this act.**

2406 **5. That the Virginia Conflict of Interest and Ethics Advisory Council shall review the current**
 2407 **statutory disclosure forms located at §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111 of the Code of**
 2408 **Virginia and promulgate revised forms consistent with the provisions of this act. The Council shall**
 2409 **submit its proposed revised forms to the General Assembly on or before November 15, 2015.**

2410 **6. That the provisions of this act requiring the filing of disclosure forms with the Virginia Conflict**
 2411 **of Interest and Ethics Advisory Council shall become effective on July 1, 2015, and the first of**
 2412 **such disclosure forms filed with the Council shall be such disclosure forms required to be filed by**
 2413 **December 15, 2015. All filers required to file a disclosure form for any filing period prior to**
 2414 **December 15, 2015, shall file such form with the entity currently responsible for accepting such**
 2415 **filings in the manner currently accepted by such entity.**

2416 **7. That the provisions of this act do not affect the requirement that each lobbyist required to file a**
 2417 **report of expenditures pursuant to § 2.2-426 of the Code of Virginia shall file such report by July**
 2418 **1, 2014, for the preceding 12-month period complete through the last day of April and shall**
 2419 **thereafter follow the semiannual reporting schedule set forth in § 2.2-426.**

2420 **8. That the provisions of this act may result in a net increase in periods of imprisonment or**
 2421 **commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation is \$0**
 2422 **for periods of imprisonment in state adult correctional facilities and is \$0 for periods of**
 2423 **commitment to the custody of the Department of Juvenile Justice.**