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**HOUSE BILL NO. 1211****AMENDMENT IN THE NATURE OF A SUBSTITUTE**(Proposed by the House Committee for Courts of Justice  
on February 7, 2014)

(Patrons Prior to Substitute—Delegates Gilbert, Bulova [HB 271], and Marshall, R.G. [HB 15])

*A BILL to amend and reenact §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115, 2.2-3116, 2.2-3117, 2.2-3118, 2.2-3118.1, 2.2-3121, 2.2-3131, 30-101, 30-110, 30-111, 30-112, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, relating to the State and Local Government Conflict of Interests Act and General Assembly Conflicts of Interests Act; establishing the Virginia Conflict of Interest and Ethics Advisory Council.*

**Be it enacted by the General Assembly of Virginia:**

**1. That §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115, 2.2-3116, 2.2-3117, 2.2-3118, 2.2-3118.1, 2.2-3121, 2.2-3131, 30-101, 30-110, 30-111, 30-112, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, as follows:**

**§ 2.2-419. Definitions.**

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
  2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
  3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
  4. A stock, bond, note, or other investment interest in an entity;
  5. A receipt given for the payment of money or other property;
  6. A right in action;
  7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
  8. A loan or forgiveness of indebtedness;
  9. A work of art, antique, or collectible;
  10. An automobile or other means of personal transportation;
  11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
  12. An honorarium or compensation for services;
  13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
  14. A promise or offer of employment; or
  15. Any other thing of value that is pecuniary or compensatory in value to a person.
- "Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.

"Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.

"Executive action" means the proposal, drafting, development, consideration, amendment, adoption,

60 approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or  
61 official of legislation or executive orders issued by the Governor.

62 "Executive agency" means an agency, board, commission, or other body in the executive branch of  
63 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'  
64 Compensation Commission, and the State Lottery Department.

65 "Executive official" means:

- 66 1. The Governor;
- 67 2. The Lieutenant Governor;
- 68 3. The Attorney General;
- 69 4. Any officer or employee of the office of the Governor or Lieutenant Governor other than a  
70 clerical or secretarial employee;
- 71 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each  
72 executive agency; or
- 73 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,  
74 however selected.

75 "Expenditure" means:

- 76 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third  
77 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything  
78 of value for any purpose;
- 79 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person  
80 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other  
81 persons;
- 82 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct  
83 payment of expenses incurred at the request or suggestion of the lobbyist;
- 84 4. A payment that directly benefits an executive or legislative official or a member of the official's  
85 immediate family;
- 86 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses  
87 of an employee for or in connection with direct communication with an executive or legislative official;
- 88 6. A payment for or in connection with soliciting or urging other persons to enter into direct  
89 communication with an executive or legislative official; or
- 90 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to  
91 this chapter.

92 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to  
93 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

94 "Fair market value" means the price that a good or service would bring between a willing seller and  
95 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the  
96 actual price paid for the good or service shall be given consideration.

97 "Gift" means anything of value to the extent that a consideration of equal or greater value is not  
98 received.

99 "Gift" does not mean:

- 100 1. Printed informational or promotional material;
- 101 2. A gift that is not used and, no later than ~~sixty~~ 60 days after receipt, is returned to the donor or  
102 delivered to a charitable organization and is not claimed as a charitable contribution for federal income  
103 tax purposes;
- 104 3. A gift, devise, or inheritance from an individual's spouse, child, parent, grandparent, brother, sister,  
105 parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of  
106 that individual, if the donor is not acting as the agent or intermediary for someone other than a person  
107 covered by this subdivision; or
- 108 4. A gift of a value of ~~\$25~~ \$50 or less.

109 "Immediate family" means (i) the spouse ~~and~~, (ii) any ~~other person~~ *child* who resides in the same  
110 household as the executive or legislative official and ~~who is the~~ a dependent of the official, ~~and~~ (iii) any  
111 *person with whom the executive or legislative official is habitually cohabiting.*

112 "Legislative action" means:

- 113 1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval,  
114 passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion,  
115 report, nomination, appointment, or other matter by the General Assembly or a legislative official;
- 116 2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by  
117 the General Assembly; or
- 118 3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering  
119 amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of  
120 the Governor.

121 "Legislative official" means:

1. A member or member-elect of the General Assembly;
  2. A member of a committee, subcommittee, commission, or other entity established by and responsible to the General Assembly or either house of the General Assembly; or
  3. Persons employed by the General Assembly or an entity established by and responsible to the General Assembly.
- "Lobbying" means:
1. Influencing or attempting to influence executive or legislative action through oral or written communication with an executive or legislative official; or
  2. Solicitation of others to influence an executive or legislative official.
- "Lobbying" does not mean:
1. Requests for appointments, information on the status of pending executive and legislative actions, or other ministerial contacts if there is no attempt to influence executive or legislative actions;
  2. Responses to published notices soliciting public comment submitted to the public official designated in the notice to receive the responses;
  3. The solicitation of an association by its members to influence legislative or executive action; or
  4. Communications between an association and its members and communications between a principal and its lobbyists.
- "Lobbyist" means:
1. An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;
  2. An individual who represents an organization, association, or other group for the purpose of lobbying; or
  3. A local government employee who lobbies.
- "Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or attempts to influence executive or legislative action. An organization whose employees conduct lobbying activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or association that employs or retains others to conduct lobbying activities on behalf of its membership, the principal is the coalition or association and not its individual members.
- "Local government" means:
1. Any county, city, town, or other local or regional political subdivision;
  2. Any school division;
  3. Any organization or entity that exercises governmental powers that is established pursuant to an interstate compact; or
  4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of this definition.
- "Local government employee" means a public employee of a local government.
- "Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company, syndicate, business trust, estate, company, corporation, association, club, committee, organization, or group of persons acting in concert.
- "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the fair market value cannot be determined, the actual amount paid for the item or items shall be given consideration.
- § 2.2-423. Contents of registration statement.**
- A. The registration statement shall be on a form provided by the ~~Secretary of the Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory Council* and include the following information:
1. The name and business address and telephone number of the lobbyist;
  2. The name and business address and telephone number of the person who will keep custody of the lobbyist's and the lobbyist's principal's accounts and records required to comply with this article, and the location and telephone number for the place where the accounts and records are kept;
  3. The name and business address and telephone number of the lobbyist's principal;
  4. The kind of business of the lobbyist's principal;
  5. For each principal, the full name of the individual to whom the lobbyist reports;
  6. For each principal, a statement whether the lobbyist is employed or retained and whether exclusively for the purpose of lobbying;
  7. The position held by the lobbyist if he is a part-time or full-time employee of the principal;
  8. The full name and business address and telephone number of each lobbyist employed by or representing the lobbyist's principal;
  9. An identification of the subject matter (with as much specificity as possible) with regard to which the lobbyist or lobbyist's principal will engage in lobbying; ~~and~~
  10. The statement of the lobbyist, which shall be signed either originally or by electronic signature as

183 authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.), that the information  
 184 contained on the registration statement is true and correct; and

185 11. A statement by which a principal may elect to waive the principal signature requirement on  
 186 disclosure filings submitted by its registered lobbyist after the filing of the registration statement.

187 B. Whenever any change, modification or addition to his status as a lobbyist is made, the lobbyist  
 188 shall, within one week of such change, modification or addition, furnish full information regarding the  
 189 same to the ~~Secretary of the Commonwealth Council~~ on forms provided by the ~~Secretary Council~~.

190 C. The ~~Secretary of the Commonwealth Council~~ shall furnish a copy of this article to any individual  
 191 offering to register as a lobbyist and shall mail by certified mail a copy of this article and a copy of the  
 192 information furnished by the lobbyist to the person whom the lobbyist represents to be his principal.

193 D. If the principal to whom the information is sent under subsection C does not, within 10 days of  
 194 such mailing, file an affidavit, signed by the person or duly authorized agent of the person, denying that  
 195 the lobbyist appears on his behalf, such person shall be deemed to have appointed the ~~Secretary of the~~  
 196 ~~Commonwealth Virginia Conflict of Interest and Ethics Advisory Council~~ his agent for service of  
 197 process in any prosecution arising for violation of this article. If such affidavit is filed, the ~~Secretary~~  
 198 ~~Council~~ shall notify the attorney for the Commonwealth of the City of Richmond.

199 **§ 2.2-426. Lobbyist reporting; penalty.**

200 A. Each lobbyist shall file with the Virginia Conflict of Interest and Ethics Advisory Council a  
 201 separate ~~annual~~ *semiannual* report of expenditures, including gifts, for each principal for whom he  
 202 lobbies by ~~July 4~~ *December 15* for the preceding six-month period complete through the last day of  
 203 ~~October~~ *and June 15* for the preceding ~~12-month~~ *six-month* period complete through ~~April 30~~ *the last*  
 204 *day of April*.

205 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be  
 206 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the  
 207 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting  
 208 requirements of this section.

209 C. The report shall be on a form provided by the ~~Secretary of the Commonwealth Virginia Conflict~~  
 210 ~~of Interest and Ethics Advisory Council~~, which shall be substantially as follows and shall be  
 211 accompanied by instructions provided by the ~~Secretary Council~~.

212 LOBBYIST'S DISCLOSURE STATEMENT

213 PART I:

214 (1) PRINCIPAL: .....

215 In Part I, item 2a, provide the name of the individual  
 216 authorizing your employment as a lobbyist. The lobbyist filing  
 217 this statement MAY NOT list his name in item 2a. ~~THE INDIVIDUAL~~  
 218 ~~LISTED IN PART I, ITEM 2A, MUST SIGN THE PRINCIPAL'S STATEMENT.~~

219 (2a) Name: .....

220 (2b) Permanent Business Address: .....

221 (2c) Business Telephone: .....

222 (3) Provide a list of executive and legislative actions (with as  
 223 much specificity as possible) for which you lobbied and a  
 224 description of activities conducted.

225 .....

226 .....

227 .....

228 (4) INCORPORATED FILINGS: If you are filing an incorporated  
 229 disclosure statement, please complete the following:

230 Individual filing financial information: .....

231 Individuals to be included in the filing: .....

232 .....

233 (5) Please indicate which schedules will be attached to your  
 234 disclosure statement:

235 [ ] Schedule A: Entertainment Expenses

236 [ ] Schedule B: Gifts

237 [ ] Schedule C: Other Expenses

238 (6) EXPENDITURE TOTALS:

239 a) ENTERTAINMENT ..... \$ .....

240 b) GIFTS ..... \$ .....

241 c) OFFICE EXPENSES ..... \$ .....

242       dc) COMMUNICATIONS ..... \$ .....  
 243       ed) PERSONAL LIVING AND TRAVEL EXPENSES ..... \$ .....  
 244       fe) COMPENSATION OF LOBBYISTS ..... \$ .....  
 245       gf) HONORARIA ..... \$ .....  
 246       h) ~~REGISTRATION COSTS~~ ..... \$ .....  
 247       ig) OTHER ..... \$ .....  
 248       TOTAL ..... \$ .....

## PART II:

- 250       (1a) NAME OF LOBBYIST: .....  
 251       (1b) Permanent Business Address: .....  
 252       (1c) Business Telephone: .....  
 253       (2) As a lobbyist, you are (check one)  
 254           [ ] EMPLOYED (on the payroll of the principal)  
 255           [ ] RETAINED (not on the payroll of the principal, however  
 256                compensated)  
 257           [ ] NOT COMPENSATED (not compensated; expenses may be reimbursed)  
 258       (3) List all lobbyists other than yourself who registered to  
 259           represent your principal.  
 260           .....  
 261           .....  
 262           .....  
 263       (4) If you selected "EMPLOYED" as your answer to Part II, item 2,  
 264           provide your job title.  
 265           .....

266       PLEASE NOTE: Some lobbyists are not individually compensated for  
 267       lobbying activities. This may occur when several members of a firm  
 268       represent a single principal. The principal, in turn, makes a single  
 269       payment to the firm. If this describes your situation, do not answer  
 270       Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

- 271       (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?  
 272           (If you have job responsibilities other than those involving  
 273           lobbying, you may have to prorate to determine the part of your  
 274           salary attributable to your lobbying activities.) Transfer your  
 275           answer to this item to Part I, item 6f.  
 276       (5b) Explain how you arrived at your answer to Part II, item 5a.

## PART III:

281       PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT  
 282       complete this section.

- 283       (1) List all members of your firm, organization, association,  
 284           corporation, or other entity who furnished lobbying services to  
 285           your principal.  
 286           .....  
 287           .....  
 288           .....  
 289       (2) Indicate the total amount paid to your firm, organization,  
 290           association, corporation or other entity for services rendered.  
 291           Transfer your answer to this item to Part I, item 6f.....

## SCHEDULE A

## ENTERTAINMENT EXPENSES

294       PLEASE NOTE: Any single entertainment event included  
 295       in the expense totals of the principal, with a value greater than \$50,  
 296       should be itemized below. Transfer any totals from this schedule to  
 297       Part I, item 6a. (Please duplicate as needed.)

298 Date and Location of Event:  
 299 .....  
 300 .....  
 301 Description of Event:  
 302 .....  
 303 .....  
 304 Total Number of Persons Attending:  
 305 .....  
 306 Names of Legislative and Executive Officials Attending: (List names  
 307 only if the average value for each person attending the event was  
 308 greater than \$50.)  
 309 .....  
 310 .....  
 311 .....  
 312 .....  
 313 Food ..... \$ .....  
 314 Beverages ..... \$ .....  
 315 Transportation of Legislative and Executive Officials ..... \$ .....  
 316 Lodging of Legislative and Executive Officials ..... \$ .....  
 317 Performers, Speakers, Etc. .... \$ .....  
 318 Displays ..... \$ .....  
 319 Rentals ..... \$ .....  
 320 Service Personnel ..... \$ .....  
 321 Miscellaneous ..... \$ .....  
 322 TOTAL ..... \$ .....

## SCHEDULE B

## GIFTS

325 PLEASE NOTE: Any single gift reported in the expense totals of the  
 326 principal, with a value greater than \$50, should be itemized below.  
 327 (Report meals, entertainment and travel under  
 328 Schedule A.) Transfer any totals from this schedule to Part I,  
 329 item 6b. (Please duplicate as needed.)

		Name of each legislative or executive official who is a recipient of a gift:	Cost of individual gift:
330	Date		
331	of gift:		
332	Description		
333	of gift:		
334	.....	.....	\$ .....
335	.....	.....	\$ .....
336	.....	.....	\$ .....
337	.....	.....	\$ .....
338	.....	.....	\$ .....
339	TOTAL COST TO PRINCIPAL	.....	\$ .....

## SCHEDULE C

## OTHER EXPENSES

342 PLEASE NOTE: This section is provided for any lobbying-related  
 343 expenses not covered in Part I, items 6a - 6h. An example of an  
 344 expenditure to be listed on schedule C would be the rental of a  
 345 bill box during the General Assembly session. Transfer the total  
 346 from this schedule to Part I, item 6i. (Please duplicate as needed.)

	DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
347	.....	.....	\$ .....
348	.....	.....	\$ .....
349	.....	.....	\$ .....
350	.....	.....	\$ .....
351	.....	.....	\$ .....
352	.....	.....	\$ .....
353	.....	.....	\$ .....
354	.....	.....	\$ .....

..... \$ .....  
 ..... \$ .....  
 TOTAL "OTHER" EXPENSES ..... \$ .....

#### PART IV: STATEMENTS

~~Both the lobbyist and principal officer must sign the disclosure statement, attesting to its completeness and accuracy.~~ The following items are mandatory and if they are not properly completed, the entire filing will be rejected and returned to the lobbyist:

- (1) All signatures on the statement must be ORIGINAL in the format specified in the instructions provided by the ~~Secretary~~ Council that accompany this form. No stamps, or other reproductions of the individual's signature will be accepted.
- (2) An individual MAY NOT sign the disclosure statement as lobbyist and principal officer.

#### STATEMENT OF LOBBYIST

I, the undersigned registered lobbyist, do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

.....  
 Signature of lobbyist

.....  
 Date

#### STATEMENT OF PRINCIPAL

I, the undersigned principal (or an authorized official thereof), do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

.....  
 Signature of principal

.....  
 Date

D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact shall be is guilty of a Class 5 felony.

E. Each lobbyist shall send to each legislative and executive official who is required to be identified by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a summary of the information pertaining to that official. Copies or summaries shall be provided to the official by ~~December 15~~ November 21 for the preceding ~~12-month~~ six-month period complete through November 30 the last day of October and by May 21 for the preceding six-month period complete through the last day of April.

#### **§ 2.2-428. Standards for automated preparation and transmittal of lobbyists' disclosure statements; database.**

A. The ~~Secretary~~ Virginia Conflict of Interest and Ethics Advisory Council shall accept any lobbyist's disclosure statement required by § 2.2-426 filed by computer or electronic means in accordance with the standards approved by the ~~Secretary~~ Council and using software meeting standards approved by the ~~Secretary~~ Council. The ~~Secretary~~ Council may provide software to filers without charge or at a reasonable cost. The ~~Secretary~~ Council may prescribe the method of execution and certification of electronically filed statements and the procedures for receiving statements in the office of the ~~Secretary~~ Council.

B. The ~~Secretary~~ Council shall establish a lobbyist disclosure database, available to the public, from required disclosure statements filed electronically and may enter into that database information from required disclosure statements filed by other methods.

#### **§ 2.2-3101. Definitions.**

As used in this chapter, *unless the context requires a different meaning*:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for

413 the purpose of making studies or recommendations, or advising or consulting with a governmental  
414 agency.

415 "Affiliated business entity relationship" means a relationship, other than a parent-subsidary  
416 relationship, that exists when (i) one business entity has a controlling ownership interest in the other  
417 business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or  
418 (iii) there is shared management or control between the business entities. Factors that may be considered  
419 in determining the existence of an affiliated business entity relationship include that the same person or  
420 substantially the same person owns or manages the two entities, there are common or commingled funds  
421 or assets, the business entities share the use of the same offices or employees, or otherwise share  
422 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship  
423 between the entities.

424 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
425 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
426 whether or not for profit.

427 "Contract" means any agreement to which a governmental agency is a party, or any agreement on  
428 behalf of a governmental agency that involves the payment of money appropriated by the General  
429 Assembly or a political subdivision, whether or not such agreement is executed in the name of the  
430 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the  
431 contract of which it is a part is with the officer's or employee's own governmental agency.

432 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in  
433 § 30-348.

434 "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether or not  
435 related by blood or marriage, if such person receives from the officer or employee, or provides to the  
436 officer or employee, more than one-half of his financial support.

437 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise  
438 limited by the context of its use.

439 "Financial institution" means any bank, trust company, savings institution, industrial loan association,  
440 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or  
441 investment company or advisor registered under the federal Investment Advisors Act or Investment  
442 Company Act of 1940.

443 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
444 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and  
445 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
446 expense has been incurred. "Gift" shall not include (i) any offer of a ticket, coupon, or other  
447 admission or pass unless the ticket, coupon, admission, or pass is used; "Gift" shall not include; (ii)  
448 honorary degrees and presents; (iii) any athletic, merit, or need-based scholarship or any other financial  
449 aid awarded by a public or private school, institution of higher education, or other educational program  
450 pursuant to such school, institution, or program's financial aid standards and procedures applicable to  
451 the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3  
452 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an  
453 officer or employee or of a member of his immediate family; or (vi) gifts from relatives or personal  
454 friends. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece,  
455 or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent,  
456 grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. For the purpose of  
457 this definition, "personal friend" does not include any person that the filer knows or has reason to know  
458 is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a  
459 lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or  
460 advisory agency, a person, organization, or business who is a party to or is seeking to become a party  
461 to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or  
462 employee of a state governmental or advisory agency, a person, organization, or business who is a  
463 party to or is seeking to become a party to a contract with the Commonwealth. For purposes of this  
464 definition, "person, organization, or business" includes individuals who are officers, directors, or owners  
465 of or who have a controlling ownership interest in such organization or business.

466 "Governmental agency" means each component part of the legislative, executive or judicial branches  
467 of state and local government, including each office, department, authority, post, commission,  
468 committee, and each institution or board created by law to exercise some regulatory or sovereign power  
469 or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by  
470 the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

471 "Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the  
472 same household as the officer or employee; and who is a dependent of the officer or employee or of  
473 whom the officer or employee is a dependent, and (iii) any person with whom the officer or employee is  
474 habitually cohabiting.



"Officer" means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

"Parent-subsidiary relationship" means a relationship that exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

"Personal interest" means a financial benefit or liability accruing to an officer or employee or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds ~~\$10,000~~ \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of *clause* (i) or (iv) above.

"Personal interest in a contract" means a personal interest that an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

"State filer" means those officers and employees required to file a disclosure statement of their personal interests pursuant to subsection A or B of § 2.2-3114.

"Transaction" means any matter considered by any governmental or advisory agency, whether in a committee, subcommittee, or other entity of that agency or before the agency itself, on which official action is taken or contemplated.

**§ 2.2-3103.1. Certain gifts prohibited.**

**A. For purposes of this section:**

"Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the disclosure form prescribed in § 2.2-3117.

"Tangible gift" means a thing of value that does not lose its value upon the happening of a certain event or expiration of a given date. "Tangible gift" includes currency, negotiable instrument, security, stock option, or other financial instrument that is reportable on Schedule E of the disclosure form prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any intangible gift.

**B. An officer or employee of a local governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar year any single tangible gift with a value in excess of \$250 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift received**

536 from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any  
537 payments for talks, meetings, and publications on Schedule D of such disclosure form. For purposes of  
538 this subsection, "person, organization, or business" includes individuals who are officers, directors, or  
539 owners of or who have a controlling ownership interest in such organization or business.

540 C. An officer or employee of a state governmental or advisory agency or candidate required to file  
541 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar  
542 year any single tangible gift with a value in excess of \$250 from any person that he knows or has  
543 reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a  
544 lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to  
545 or is seeking to become a party to a contract with the Commonwealth; (ii) shall report any tangible gift  
546 with a value of \$250 or less or any intangible gift received from any person listed in clause (i) on  
547 Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and  
548 publications on Schedule D of such disclosure form. For purposes of this subsection, "person,  
549 organization, or business" includes individuals who are officers, directors, or owners of or who have a  
550 controlling ownership interest in such organization or business.

551 D. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council  
552 every five years, as of January 1 of that year, in an amount equal to the annual increases for that  
553 five-year period in the United States Average Consumer Price Index for all items, all urban consumers  
554 (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to  
555 the nearest whole dollar.

556 **§ 2.2-3104. Prohibited conduct for certain officers and employees of state government.**

557 For one year after the termination of public employment or service, no state officer or employee  
558 shall, before the agency of which he was an officer or employee, represent a client or act in a  
559 representative capacity on behalf of any person or group, for compensation, on matters related to  
560 legislation, executive orders, or regulations promulgated by the agency of which he was an officer or  
561 employee. This prohibition shall be in addition to the prohibitions contained in § 2.2-3103.

562 For the purposes of this section, "state officer or employee" shall mean (i) the Governor, Lieutenant  
563 Governor, Attorney General, and officers appointed by the Governor, whether confirmation by the  
564 General Assembly or by either house thereof is required or not, who are regularly employed on a  
565 full-time salaried basis; those officers and employees of executive branch agencies who report directly to  
566 the agency head; and those at the level immediately below those who report directly to the agency head  
567 and are at a payband 6 or higher and (ii) the officers and professional employees of the legislative  
568 branch designated by the joint rules committee of the General Assembly. For the purposes of this  
569 section, the General Assembly and the legislative branch agencies shall be deemed one agency.

570 Any person subject to the provisions of this section may apply to the Council or Attorney General,  
571 as provided in § 2.2-3121 or 2.2-3126, for an advisory opinion as to the application of the restriction  
572 imposed by this section on any post-public employment position or opportunity.

573 **§ 2.2-3114. Disclosure by state officers and employees.**

574 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of  
575 the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,  
576 members of the State Corporation Commission, members of the Virginia Workers' Compensation  
577 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees  
578 of the Virginia Retirement System, and members of the State Lottery Board and other persons  
579 occupying such offices or positions of trust or employment in state government, including members of  
580 the governing bodies of authorities, as may be designated by the Governor or, in the case of officers or  
581 employees of the legislative branch, by the Joint Rules Committee of the General Assembly, shall file  
582 with the Council, as a condition to assuming office or employment, a disclosure statement of their  
583 personal interests and such other information as is specified on the form set forth in § 2.2-3117 and  
584 thereafter shall file such a statement ~~annually on or before January~~ *semiannually by December 15 for the*  
585 *preceding six-month period complete through the last day of October and by June 15 for the preceding*  
586 *six-month period complete through the last day of April.* When the filing deadline falls on a Saturday,  
587 Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday,  
588 Sunday, or legal holiday.

589 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in  
590 the executive branch of state government, other than the Commonwealth Transportation Board, members  
591 of the Board of Trustees of the Virginia Retirement System, and the State Lottery Board, shall file with  
592 the Council, as a condition to assuming office, a disclosure form of their personal interests and such  
593 other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form  
594 annually on or before January 15. When the filing deadline falls on a Saturday, Sunday, or legal  
595 holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal  
596 holiday. Nonsalaried citizen members of other boards, commissions and councils, including advisory  
597 boards and authorities, may be required to file a disclosure form if so designated by the Governor, in

which case the form shall be that set forth in § 2.2-3118.

C. The disclosure forms required by subsections A and B shall be provided by the Secretary of the Commonwealth Council to each officer and employee so designated, including officers appointed by legislative authorities; ~~not later than November 30 of each year at least 30 days prior to the filing deadline.~~ Disclosure forms shall be filed and maintained as public records for five years in the Office of the Secretary of the Commonwealth Council.

D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a disclosure statement of their personal interests as required by § 24.2-502.

E. Any officer or employee of state government who has a personal interest in any transaction before the governmental or advisory agency of which he is an officer or employee and who is disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full name and address of the business and the address or parcel number for the real estate if the interest involves a business or real estate, and his disclosure shall also be reflected in the public records of the agency for five years in the office of the administrative head of the officer's or employee's governmental agency or advisory agency or, if the agency has a clerk, in the clerk's office.

F. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

G. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

**§ 2.2-3115. Disclosure by local government officers and employees.**

A. The members of every governing body and school board of each county and city and of towns with populations in excess of 3,500 shall file *with the Council*, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January 15~~ *semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

The members of the governing body of any authority established in any county or city, or part or combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any fiscal year, shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to assuming office, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such a statement annually on or before January 15, unless the governing body of the jurisdiction that appoints the members requires that the members file the form set forth in § 2.2-3117 *semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

Persons occupying such positions of trust appointed by governing bodies and persons occupying such positions of employment with governing bodies as may be designated to file by ordinance of the governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January 15~~ *semiannually by December 15 for the preceding six-month period*

659 complete through the last day of October and by June 15 for the preceding six-month period complete  
660 through the last day of April.

661 Persons occupying such positions of trust appointed by school boards and persons occupying such  
662 positions of employment with school boards as may be designated to file by an adopted policy of the  
663 school board shall file with the Virginia Conflict of Interest and Ethics Advisory Council, as a condition  
664 to assuming office or employment, a disclosure statement of their personal interests and other  
665 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement  
666 annually on or before January 15 semiannually by December 15 for the preceding six-month period  
667 complete through the last day of October and by June 15 for the preceding six-month period complete  
668 through the last day of April.

669 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by  
670 the governing body shall file with the Virginia Conflict of Interest and Ethics Advisory Council, as a  
671 condition to assuming office, a disclosure form of their personal interests and such other information as  
672 is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before  
673 January 15.

674 C. No person shall be mandated to file any disclosure not otherwise required by this article.

675 D. The disclosure forms required by subsections A and B shall be provided by the Secretary of the  
676 Commonwealth Virginia Conflict of Interest and Ethics Advisory Council to the clerks of the governing  
677 bodies and school boards not later than November 30 of each year at least 30 days prior to the filing  
678 deadline, and the clerks of the governing body and school board shall distribute the forms to designated  
679 individuals no later than December 10 of each year at least 20 days prior to the filing deadline. Forms  
680 shall be filed and maintained as public records for five years in the office of the clerk of the respective  
681 governing body or school board Virginia Conflict of Interest and Ethics Advisory Council. Forms filed  
682 by members of governing bodies of authorities shall be filed and maintained as public records for five  
683 years in the office of the clerk of the governing body of the county or city Virginia Conflict of Interest  
684 and Ethics Advisory Council.

685 E. Candidates for membership in the governing body or school board of any county, city or town  
686 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests  
687 as required by § 24.2-502.

688 F. Any officer or employee of local government who has a personal interest in any transaction before  
689 the governmental or advisory agency of which he is an officer or employee and who is disqualified  
690 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to  
691 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full  
692 name and address of the business and the address or parcel number for the real estate if the interest  
693 involves a business or real estate, and his disclosure shall be reflected in the public records of the  
694 agency for five years in the office of the administrative head of the officer's or employee's governmental  
695 or advisory agency.

696 G. In addition to any disclosure required by subsections A and B, in each county and city and in  
697 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,  
698 real estate assessors, and all county, city and town managers or executive officers shall make annual  
699 disclosures of all their interests in real estate located in the county, city or town in which they are  
700 elected, appointed, or employed. Such disclosure shall include any business in which such persons own  
701 an interest, or from which income is received, if the primary purpose of the business is to own, develop  
702 or derive compensation through the sale, exchange or development of real estate in the county, city or  
703 town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter  
704 shall be filed annually with the clerk of the governing body of such county, city or town Virginia  
705 Conflict of Interest and Ethics Advisory Council on or before January 15. Such disclosures shall be filed  
706 and maintained as public records for five years. Forms for the filing of such reports shall be prepared  
707 and distributed by the Secretary of the Commonwealth Virginia Conflict of Interest and Ethics Advisory  
708 Council to the clerk of each governing body.

709 H. An officer or employee of local government who is required to declare his interest pursuant to  
710 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the  
711 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a  
712 member of a business, profession, occupation, or group the members of which are affected by the  
713 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public  
714 interest. The officer or employee shall either make his declaration orally to be recorded in written  
715 minutes of his agency or file a signed written declaration with the clerk or administrative head of his  
716 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for  
717 public inspection such declaration for a period of five years from the date of recording or receipt. If  
718 reasonable time is not available to comply with the provisions of this subsection prior to participation in  
719 the transaction, the officer or employee shall prepare and file the required declaration by the end of the  
720 next business day. The officer or employee shall also orally disclose the existence of the interest during

each meeting of the governmental or advisory agency at which the transaction is discussed and such disclosure shall be recorded in the minutes of the meeting.

I. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

**§ 2.2-3116. Disclosure by certain constitutional officers.**

For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for the Commonwealth, clerk of the circuit court and commissioner of the revenue of each county and city, shall be deemed to be local officers and shall be required to file the Statement of Economic Interests set forth in § 2.2-3117. These officers shall file statements pursuant to § 2.2-3115 and candidates shall file statements as required by § 24.2-502. *These officers shall be subject to the prohibition on certain gifts set forth in subsection B of § 2.2-3103.1.*

**§ 2.2-3117. Disclosure form.**

The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name .....

Office or position held or sought .....

Address .....

Names of members of immediate family .....

**DEFINITIONS AND EXPLANATORY MATERIAL.**

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" ~~shall~~ does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; "Gift" ~~shall not include~~; (ii) honorary degrees ~~and presents~~; any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is

782 *seeking to become a party to a contract with the local agency of which he is an officer or an employee;*  
 783 *or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or*  
 784 *business who is a party to or is seeking to become a party to a contract with the Commonwealth.*  
 785 *"Person, organization, or business" includes individuals who are officers, directors, or owners of or who*  
 786 *have a controlling ownership interest in such organization or business.*

787 *"Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the*  
 788 *same household as the officer or employee, and who is a dependent of the officer or employee or of*  
 789 *whom the officer or employee is a dependent, and (iii) any person with whom the officer or employee is*  
 790 *habitually cohabiting.*

791 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,  
 792 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional  
 793 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if  
 794 you and your immediate family have a one-third interest in a trust, complete your Statement as if you  
 795 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust  
 796 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

797 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this  
 798 Statement must be provided on the basis of the best knowledge, information and belief of the individual  
 799 filing the Statement as of the date of this report unless otherwise stated.

800 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

801 You may attach additional explanatory information.

802 1. Offices and Directorships.

803 Are you or a member of your immediate family a paid officer or paid director of a business?

804 EITHER check NO / / OR check YES / / and complete Schedule A.

805 2. Personal Liabilities.

806 Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor  
 807 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens  
 808 on property at least equal in value to the loan.)

809 EITHER check NO / / OR check YES / / and complete Schedule B.

810 3. Securities.

811 Do you or a member of your immediate family, directly or indirectly, separately or together, own  
 812 securities valued in excess of ~~\$10,000~~ invested \$5,000 in one business investment or, during the past six  
 813 months, did you or a member of your immediate family, directly or indirectly, separately or together,  
 814 sell or otherwise transfer any securities in one investment and the aggregate proceeds from all such  
 815 sales or transfers of securities from such investment exceeded \$5,000? Account for mutual funds, limited  
 816 partnerships and trusts.

817 EITHER check NO / / OR check YES / / and complete Schedule C.

818 4. Payments for Talks, Meetings, and Publications.

819 During the past ~~12~~ six months did you receive in your capacity as an officer or employee of your  
 820 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200  
 821 \$50 (i) for a single talk, meeting, or published work in your capacity as an officer or employee of your  
 822 agency or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or  
 823 event was designed to (a) educate you on issues relevant to your duties as an officer or employee of  
 824 your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee  
 825 of your agency?

826 EITHER check NO / / OR check YES / / and complete Schedule D.

827 5. Gifts.

828 During the past ~~12~~ six months did a business, government, or individual other than a relative or  
 829 personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a  
 830 single event, and the value received by you exceeded \$50 in value or (ii) furnish you or a member of  
 831 your immediate family with gifts or entertainment in any combination and the total value received by  
 832 you exceeded \$100 in total value, and for which you or the member of your immediate family neither  
 833 paid nor rendered services in exchange? Account for entertainment events only if the average value per  
 834 person attending the event exceeded \$50 in value. Account for all business entertainment (except if  
 835 related to your the private profession or occupation of you or the member of your immediate family who  
 836 received such business entertainment) even if unrelated to your official duties.

837 EITHER check NO / / OR check YES / / and complete Schedule E.

838 6. Salary and Wages.

839 List each employer that pays you or a member of your immediate family salary or wages in excess  
 840 of ~~\$10,000~~ \$5,000 annually. (Exclude state or local government or advisory agencies.)

841 If no reportable salary or wages, check here / /.

842 \_\_\_\_\_  
 843 \_\_\_\_\_

## 7. Business Interests.

Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F.

## 8. Payments for Representation and Other Services.

8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agency for which total compensation was received during the past ~~12~~ six months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past ~~12~~ six months? *Services reported under this provision shall not include services involving the representation of businesses that are reported under item 8A or 8B.*

EITHER check NO / / OR check YES / / and complete Schedule G-3.

## 9. Real Estate.

## 9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at ~~\$10,000~~ or more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-1.

## 9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at ~~\$10,000~~ or more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-2.

## 10. Real Estate Contracts with Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

## AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature .....

(Return only if needed to complete Statement.)

## SCHEDULES

to

## STATEMENT OF ECONOMIC INTERESTS.

NAME .....

## SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held <i>and by Whom</i>

RETURN TO ITEM 2

**SCHEDULE B - PERSONAL LIABILITIES.**

Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one	
	<del>\$10,001</del> \$5,001 to \$50,000	More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses:		
(State principal business activity for each creditor <i>and its name</i> .)		
Individual creditors:		
(State principal business or occupation of each creditor <i>and its name</i> .)		

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one	
	<del>\$10,001</del> \$5,001 to \$50,000	More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses:		
(State principal business activity for each creditor <i>and its name</i> .)		
Individual creditors:		
(State principal business or occupation of each creditor <i>and its name</i> .)		



RETURN TO ITEM 3

## SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, ~~limited partnerships,~~ exchange-traded funds, and commodity futures contracts. "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each ~~business or Virginia governmental entity~~ investment in which you or a member of your immediate family, directly or indirectly, separately or together, (i) own securities valued in excess of \$10,000 \$5,000 as of the last date of the reporting period or (ii) owned securities in one investment that were sold or otherwise transferred during the reporting period and the aggregate proceeds from all such sales or transfers of securities from such investment during the reporting period exceeded \$5,000. Name each ~~entity~~ issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

Check one

Name of Issuer	Type of <del>Entity</del>	Type of Security (stocks, bonds, mutual funds, etc.)	<del>\$10,001</del> \$5,001 to \$50,000	\$50,001 to \$250,000	More than \$250,000

RETURN TO ITEM 4

## SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 six months in your capacity as an officer or employee of your agency lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 \$50 (i) for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

Type of payment  
(e.g. honoraria,  
travel reimburse-  
ment, etc.)

Payer	Approximate Value	Circumstances	

1021 \_\_\_\_\_  
 1022 \_\_\_\_\_  
 1023 \_\_\_\_\_ RETURN TO ITEM 5

1024 SCHEDULE E - GIFTS.

1025 List each business, governmental entity, or individual that, during the past ~~12~~ six months, (i)  
 1026 furnished you *or a member of your immediate family* with any gift or entertainment at a single event,  
 1027 and the value received ~~by you~~ exceeded \$50; ~~in value~~ or (ii) furnished you *or a member of your*  
 1028 *immediate family* with gifts or entertainment in any combination and the *total* value received ~~by you~~  
 1029 exceeded \$100 ~~in total value~~; and for which you *or the member of your immediate family* neither paid  
 1030 nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless  
 1031 the average value per person attending the event exceeded \$50 ~~in value~~. Do not list business  
 1032 entertainment related to ~~your~~ *the private profession or occupation of you or the member of your*  
 1033 *immediate family who received such business entertainment*. Do not list gifts or other things of value  
 1034 given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list  
 1035 campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of  
 1036 the Code of Virginia.

1037 \_\_\_\_\_  
 1038 \_\_\_\_\_  
 1039 Name of Business, City or Exact  
 1040 Name of Organization, or County Gift or Approximate  
 1041 Recipient Individual and State Event Value  
 1042 \_\_\_\_\_  
 1043 \_\_\_\_\_  
 1044 \_\_\_\_\_  
 1045 \_\_\_\_\_  
 1046 \_\_\_\_\_

1047 RETURN TO ITEM 6

1048 SCHEDULE F - BUSINESS INTERESTS.

1049 Complete this Schedule for each self-owned or family-owned business (including rental property, a  
 1050 farm, or consulting work), partnership, or corporation in which you or a member of your immediate  
 1051 family, separately or together, own an interest having a value in excess of ~~\$10,000~~ \$5,000.

1052 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;  
 1053 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a  
 1054 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.  
 1055 Account for business interests held in trust.

1056 \_\_\_\_\_  
 1057 \_\_\_\_\_  
 1058 Name of Business, Gross Income  
 1059 Corporation, Partnership, City or Nature of Enterprise \$50,001 More  
 1060 Farm; Address of County (farming, law, rental \$50,000 to than  
 1061 Rental Property and State property, etc.) or less \$250,000 \$250,000  
 1062 \_\_\_\_\_  
 1063 \_\_\_\_\_  
 1064 \_\_\_\_\_  
 1065 \_\_\_\_\_  
 1066 \_\_\_\_\_  
 1067 \_\_\_\_\_

1068 RETURN TO ITEM 8

1069 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1070 List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any  
 1071 state governmental agency, excluding any court or judge, for which you received total compensation  
 1072 during the past ~~12~~ six months in excess of \$1,000, excluding compensation for other services to such  
 1073 businesses and representation consisting solely of the filing of mandatory papers and subsequent  
 1074 representation regarding the mandatory papers filed by you.

1075 Identify each business, the nature of the representation and the amount received by dollar category  
 1076 from each such business. You may state the type, rather than name, of the business if you are required  
 1077 by law not to reveal the name of the business represented by you.

1078 Only STATE officers and employees should complete this Schedule.

1079 \_\_\_\_\_



1138	companies	_____	_____	_____	_____	_____	_____
1139	Interstate	_____	_____	_____	_____	_____	_____
1140	transportation	_____	_____	_____	_____	_____	_____
1141	companies	_____	_____	_____	_____	_____	_____
1142	Intrastate	_____	_____	_____	_____	_____	_____
1143	transportation	_____	_____	_____	_____	_____	_____
1144	companies	_____	_____	_____	_____	_____	_____
1145	Oil or gas retail	_____	_____	_____	_____	_____	_____
1146	companies	_____	_____	_____	_____	_____	_____
1147	Banks	_____	_____	_____	_____	_____	_____
1148	Savings institutions	_____	_____	_____	_____	_____	_____
1149	Loan or finance	_____	_____	_____	_____	_____	_____
1150	companies	_____	_____	_____	_____	_____	_____
1151	Manufacturing	_____	_____	_____	_____	_____	_____
1152	companies (state	_____	_____	_____	_____	_____	_____
1153	type of product,	_____	_____	_____	_____	_____	_____
1154	e.g., textile,	_____	_____	_____	_____	_____	_____
1155	furniture, etc.)	_____	_____	_____	_____	_____	_____
1156	Mining companies	_____	_____	_____	_____	_____	_____
1157	Life insurance	_____	_____	_____	_____	_____	_____
1158	companies	_____	_____	_____	_____	_____	_____
1159	Casualty insurance	_____	_____	_____	_____	_____	_____
1160	companies	_____	_____	_____	_____	_____	_____
1161	Other insurance	_____	_____	_____	_____	_____	_____
1162	companies	_____	_____	_____	_____	_____	_____
1163	Retail companies	_____	_____	_____	_____	_____	_____
1164	Beer, wine or liquor	_____	_____	_____	_____	_____	_____
1165	companies or	_____	_____	_____	_____	_____	_____
1166	distributors	_____	_____	_____	_____	_____	_____
1167	Trade associations	_____	_____	_____	_____	_____	_____
1168	Professional	_____	_____	_____	_____	_____	_____
1169	associations	_____	_____	_____	_____	_____	_____
1170	Associations of	_____	_____	_____	_____	_____	_____
1171	public employees	_____	_____	_____	_____	_____	_____
1172	or officials	_____	_____	_____	_____	_____	_____
1173	Counties, cities	_____	_____	_____	_____	_____	_____
1174	or towns	_____	_____	_____	_____	_____	_____
1175	Labor organizations	_____	_____	_____	_____	_____	_____
1176	Other	_____	_____	_____	_____	_____	_____
1177		_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

**SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.**

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$40,000 or more *than* \$5,000. Each parcel shall be listed individually.

1183			
1184			
1185		Describe the type of real	
1186	List each location	estate you own in each	If the real estate is
1187	(state, and county	location (business, recre-	owned or recorded in
1188	or city) where you	ational, apartment, com-	a name other than your
1189	own real estate.	mercial, open land, etc.).	own, list that name.
1190	_____	_____	_____
1191	_____	_____	_____
1192	_____	_____	_____
1193	_____	_____	_____
1194	_____	_____	_____

---

**SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.**

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at ~~\$10,000~~ *or more than \$5,000*. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

---

	Describe the type of real estate you own in each location	If the real estate is owned or rec- orded in a name other than your own, list that name.	List the names of any co-owners, if applicable.
List each location (business, (state, and county recreational, or city) where apartment, com- you own real mercial, open estate. land, etc.).			
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

---

**SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.**

List all contracts, whether pending or completed within the past ~~12~~ *six* months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at *more than* \$10,000 ~~or more~~. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at *more than* \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

---

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.	Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

1253 A. The financial disclosure form to be used for filings required pursuant to subsection B of  
 1254 § 2.2-3114 and subsection B of § 2.2-3115 shall be signed by the filer either originally or by electronic  
 1255 signature as authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.). The financial  
 1256 disclosure form shall be substantially as follows:

1257 DEFINITIONS AND EXPLANATORY MATERIAL.

1258 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
 1259 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
 1260 whether or not for profit.

1261 "Close financial association" means an association in which the person filing shares significant  
 1262 financial involvement with an individual and the filer would reasonably be expected to be aware of the  
 1263 individual's business activities and would have access to the necessary records either directly or through  
 1264 the individual. "Close financial association" does not mean an association based on (i) the receipt of  
 1265 retirement benefits or deferred compensation from a business by which the person filing this statement is  
 1266 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an  
 1267 independent contractor of a business that represents an entity before any state governmental agency  
 1268 when the person filing has no communications with the state governmental agency.

1269 "Contingent liability" means a liability that is not presently fixed or determined, but may become  
 1270 fixed or determined in the future with the occurrence of some certain event.

1271 "Immediate family" means (i) a spouse ~~and~~, (ii) any ~~other person residing~~ *child who resides* in the  
 1272 same household as the filer; ~~and who is a dependent of the filer or of whom the filer is a dependent,~~  
 1273 ~~and (iii) any person with whom the filer is habitually cohabiting.~~

1274 "Dependent" means any person, ~~whether or not related by blood or marriage, who receives from the~~  
 1275 ~~filer, or provides to the filer, more than one-half of his financial support.~~

1276 "Personal interest" means, for the purposes of this form only, a personal and financial benefit or  
 1277 liability accruing to a filer or a member of his immediate family. Such interest shall exist by reason of  
 1278 (i) ownership in real or personal property, tangible or intangible; (ii) ownership in a business; (iii)  
 1279 income from a business; or (iv) personal liability on behalf of a business; however, unless the ownership  
 1280 interest in a business exceeds three percent of the total equity of the business, or the liability on behalf  
 1281 of a business exceeds three percent of the total assets of the business, or the annual income, and/or  
 1282 property or use of such property, from the business exceeds \$10,000 or may reasonably be anticipated to  
 1283 exceed \$10,000, such interest shall not constitute a "personal interest."

1284 Name .....

1285 Office or position held or to be held

1286 .....

1287 Address .....

1288 I. FINANCIAL INTERESTS

1289 My personal interests and those of my immediate family are as follows:

1290 Include all forms of personal interests held at the time of filing: real estate, stocks, bonds, equity  
 1291 interests in proprietorships and partnerships. You may exclude:

1292 1. Deposits and interest bearing accounts in banks, savings institutions and other institutions  
 1293 accepting such deposits or accounts;

1294 2. Interests in any business, other than a news medium, representing less than three percent of the  
 1295 total equity value of the business;

1296 3. Liability on behalf of any business representing less than three percent of the total assets of such  
 1297 business; and

1298 4. Income (other than from salary) less than \$10,000 annually from any business. You need not state  
 1299 the value of any interest. You must state the name or principal business activity of each business in  
 1300 which you have a personal interest.

1301 A. My personal interests are:

1302 1. Residence, address, or, if no address, location .....

1303 2. Other real estate, address, or, if no address, location .....

1304 3. Name or principal business activity of each business in which stock, bond or equity interest is  
 1305 held .....

1306 B. The personal interests of my immediate family are:

1307 1. Real estate, address or, if no address, location .....

1308 2. Name or principal business activity of each business in which stock, bond or equity interest is  
 1309 held .....

1310 II. OFFICES, DIRECTORSHIPS AND SALARIED EMPLOYMENTS

1311 The paid offices, paid directorships and salaried employments which I hold or which members of my  
 1312 immediate family hold and the businesses from which I or members of my immediate family receive  
 1313 retirement benefits are as follows:

(You need not state any dollar amounts.)

A. My paid offices, paid directorships and salaried employments are:

Position held	Name of business

B. The paid offices, paid directorships and salaried employments of members of my immediate family are:

Position held	Name of business

### III. BUSINESSES TO WHICH SERVICES WERE FURNISHED

A. The businesses I have represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which I have received total compensation in excess of \$1,000 during the preceding year, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers, are as follows:

Identify businesses by name and name the state governmental agencies before which you appeared on behalf of such businesses.

Name of business	Name of governmental agency

B. The businesses that, to my knowledge, have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons with whom I have a close financial association and who received total compensation in excess of \$1,000 during the preceding year, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers, are as follows:

Identify businesses by type and name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of business	Name of state governmental agency

C. All other businesses listed below that operate in Virginia to which services were furnished pursuant to an agreement between you and such businesses and for which total compensation in excess of \$1,000 was received during the preceding year:

Check each category of business to which services were furnished.

Electric utilities	
Gas utilities	
Telephone utilities	

1372	Water utilities	_____
1373	Cable television companies	_____
1374	Intrastate transportation companies	_____
1375	Interstate transportation companies	_____
1376	Oil or gas retail companies	_____
1377	Banks	_____
1378	Savings institutions	_____
1379	Loan or finance companies	_____
1380	Manufacturing companies (state type	
1381	of product, e.g., textile, furniture,	
1382	etc.)	_____
1383	Mining companies	_____
1384	Life insurance companies	_____
1385	Casualty insurance companies	_____
1386	Other insurance companies	_____
1387	Retail companies	_____
1388	Beer, wine or liquor companies or	
1389	distributors	_____
1390	Trade associations	_____
1391	Professional associations	_____
1392	Associations of public employees or	
1393	officials	_____
1394	Counties, cities or towns	_____
1395	Labor organizations	_____

1396  
1397 **IV. COMPENSATION FOR EXPENSES**

1398 The persons, associations, or other sources other than my governmental agency from which I or a  
1399 member of my immediate family received remuneration in excess of \$200 \$50 during the preceding  
1400 year, in cash or otherwise, as honorariums or payment of expenses in connection with my attendance at  
1401 any meeting or other function to which I was invited in my official capacity are as follows:

1402	_____		
1403			
1404		Description	Amount of remuneration
1405	Name of Source	of occasion	for each occasion
1406	_____	_____	_____
1407	_____	_____	_____
1408	_____	_____	_____

1409  
1410 B. The provisions of Part III A and B of the disclosure form prescribed by this section shall not be  
1411 applicable to officers and employees of local governmental and local advisory agencies.

1412 C. Except for real estate located within the county, city or town in which the officer or employee  
1413 serves or a county, city or town contiguous to the county, city or town in which the officer or employee  
1414 serves, officers and employees of local governmental or advisory agencies shall not be required to  
1415 disclose under Part I of the form any other interests in real estate.

1416 **§ 2.2-3118.1. Special provisions for individuals serving in or seeking multiple positions or**  
1417 **offices; reappointees.**

1418 A. The filing of a single current statement of economic interests by a state officer or employee  
1419 required to file the form prescribed in § 2.2-3117 shall suffice for the purposes of this chapter as filing  
1420 for all state positions or offices held or sought by such individual during a single reporting period. The  
1421 filing of a single current financial disclosure statement by a state officer or employee required to file the  
1422 form prescribed in § 2.2-3118 shall suffice for the purposes of this chapter as filing for all state  
1423 positions or offices held or sought by such individual and requiring the filing of the § 2.2-3118 form  
1424 during a single reporting period.

1425 B. Any individual who has met the requirement for ~~annually~~ *periodically* filing a statement provided  
1426 in § 2.2-3117 or 2.2-3118 shall not be required to file an additional statement upon such individual's  
1427 reappointment to the same office or position for which he is required to file, provided such  
1428 reappointment occurs within *six months after filing a statement pursuant to § 2.2-3117 and within 12*  
1429 *months after the annual filing a statement pursuant to § 2.2-3118.*

1430 **§ 2.2-3121. Advisory opinions.**



A. A state officer or employee shall not be prosecuted for a knowing violation of this chapter if the alleged violation resulted from his good faith reliance on a written opinion of the Attorney General or the Virginia Conflict of Interest and Ethics Advisory Council made in response to his written request for such opinion and the opinion was made after a full disclosure of the facts.

B. A local officer or employee shall not be prosecuted for a knowing violation of this chapter if the alleged violation resulted from his good faith reliance on a written opinion of the attorney for the Commonwealth or the Council made in response to his written request for such opinion and the opinion was made after a full disclosure of the facts. The written opinion shall be a public record and shall be released upon request.

C. If any officer or employee serving at the local level of government is charged with a knowing violation of this chapter, and the alleged violation resulted from his reliance upon a written opinion of his city, county or town attorney or the Council, made after a full disclosure of the facts, that such action was not in violation of this chapter, then the officer or employee shall have the right to introduce a copy of the opinion at his trial as evidence that he did not knowingly violate this chapter.

#### § 2.2-3131. Exemptions.

A. The requirements of § 2.2-3130 shall not apply to state filers with a state agency who have taken an equivalent ethics orientation course through another state agency within the time periods set forth in subdivision 1 or 2 of § 2.2-3130, as applicable.

B. State agencies may jointly conduct and state filers from more than one state agency may jointly attend an orientation course required by § 2.2-3128, as long as the course content is relevant to the official duties of the attending state filers.

C. Before conducting each orientation course required by § 2.2-3128, state agencies shall consult with the Attorney General and the Virginia Conflict of Interest and Ethics Advisory Council regarding appropriate course content.

#### § 30-101. Definitions.

As used in this chapter, unless the context requires a different meaning:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Contract" means any agreement to which a governmental agency is a party, or any agreement on behalf of a governmental agency which that involves the payment of money appropriated by the General Assembly or a political subdivision, whether or not such agreement is executed in the name of the Commonwealth of Virginia, or some political subdivision thereof. "Contract" includes a subcontract only when the contract of which it is a part is with the legislator's own governmental agency.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-348.

"Financial institution" means any bank, trust company, savings institution, industrial loan association, consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or investment company or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; "Gift" shall not include; (ii) honorary degrees and presents; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a legislator or of a member of his immediate family; or (vi) gifts from relatives or personal friends. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to

1492 *or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition,*  
1493 *"person, organization, or business" includes individuals who are officers, directors, or owners of or who*  
1494 *have a controlling ownership interest in such organization or business.*

1495 "Governmental agency" means each component part of the legislative, executive or judicial branches  
1496 of state and local government, including each office, department, authority, post, commission,  
1497 committee, and each institution or board created by law to exercise some regulatory or sovereign power  
1498 or duty as distinguished from purely advisory powers or duties.

1499 "Immediate family" means (i) a spouse ~~and~~, (ii) any ~~other person residing~~ *child who resides* in the  
1500 same household as the legislator; ~~and who is a dependent of the legislator or of whom the legislator is a~~  
1501 ~~dependent, and (iii) any person with whom the legislator is habitually cohabiting.~~ "Dependent" means a  
1502 son, daughter, father, mother, brother, sister or other person, whether or not related by blood or  
1503 marriage, if such person receives from the legislator, or provides to the legislator, more than one-half of  
1504 his financial support.

1505 "Legislator" means a member of the General Assembly.

1506 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his  
1507 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership  
1508 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may  
1509 reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal property or a  
1510 business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any  
1511 combination thereof, paid or provided by a business *or governmental agency* that exceeds, or may  
1512 reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or personal  
1513 property if the interest exceeds ~~\$10,000~~ \$5,000 in value and excluding ownership in a business, income,  
1514 or salary, other compensation, fringe benefits or benefits from the use of property; ~~or~~ (v) personal  
1515 liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset  
1516 value of the business; *or (vi) an option for ownership of a business or real or personal property if the*  
1517 *ownership interest will consist of clause (i) or (iv).*

1518 "Personal interest in a contract" means a personal interest ~~which~~ *that* a legislator has in a contract  
1519 with a governmental agency, whether due to his being a party to the contract or due to a personal  
1520 interest in a business ~~which~~ *that* is a party to the contract.

1521 "Personal interest in a transaction" means a personal interest of a legislator in any matter considered  
1522 by the General Assembly. Such personal interest exists when an officer or employee or a member of his  
1523 immediate family has a personal interest in property or a business, or represents *or provides services to*  
1524 any individual or business and such property, business or represented *or served* individual or business (i)  
1525 is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or  
1526 detriment as a result of the action of the agency considering the transaction. A "personal interest in a  
1527 transaction" exists only if the legislator or member of his immediate family or an individual or business  
1528 represented *or served* by the legislator is affected in a way that is substantially different from the  
1529 general public or from persons comprising a profession, occupation, trade, business or other comparable  
1530 and generally recognizable class or group of which he or the individual or business he represents *or*  
1531 *serves* is a member.

1532 "Transaction" means any matter considered by the General Assembly, whether in a committee,  
1533 subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which  
1534 official action is taken or contemplated.

1535 **§ 30-103.1. Certain gifts prohibited.**

1536 A. *For purposes of this section:*

1537 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain  
1538 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a  
1539 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the  
1540 disclosure form prescribed in § 2.2-3117.

1541 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain  
1542 event or expiration of a given date. "Tangible gift" includes currency, negotiable instrument, security,  
1543 stock option, or other financial instrument that are reportable on Schedule E of the disclosure form  
1544 prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any  
1545 intangible gift.

1546 B. A legislator or candidate for the General Assembly required to file the disclosure form prescribed  
1547 in § 30-111 (i) shall not solicit, accept, or receive within any calendar year any single tangible gift with  
1548 a value in excess of \$250 from any person that he knows or has reason to know is (a) a lobbyist  
1549 registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as  
1550 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to  
1551 become a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of  
1552 \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such  
1553 disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule

*D-1 of such disclosure form. For purposes of this subsection, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.*

*C. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.*

**§ 30-110. Disclosure.**

A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is specified on the form set forth in § 30-111 and thereafter shall file such a statement ~~annually on or before January 8~~ *semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.* When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be provided by the clerk of the appropriate house to each legislator and legislator-elect not later than November 30 of each year *Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline.* Members of the Senate shall file their disclosure forms with the Clerk of the Senate and members of the House of Delegates shall file their disclosure forms with the Clerk of the House of Delegates *Virginia Conflict of Interest and Ethics Advisory Council.* The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the clerk of the appropriate house *Virginia Conflict of Interest and Ethics Advisory Council.*

B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as required by §§ 24.2-500 through 24.2-503.

C. Any legislator who has a personal interest in any transaction pending before the General Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the rules of his house shall disclose his interest in accordance with the applicable rule of his house.

**§ 30-111. Disclosure form.**

A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name .....

Office or position held or sought .....

~~Home address~~ Address .....

Names of members of immediate family .....

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the legislator, or provides to the legislator, more than one-half of his financial support.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, ~~local travel~~, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" ~~shall~~ *does not* include (i) any offer of a ticket, *coupon*, or other admission or pass unless the ticket, *coupon*, admission, or pass is used; "Gift" ~~shall not include~~; (ii) honorary degrees ~~and presents~~; (iii) *any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public;* (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3

1615 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a  
 1616 legislator or of a member of his immediate family; or (vi) gifts from relatives or personal friends.  
 1617 "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee  
 1618 is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister;  
 1619 or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer  
 1620 knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of  
 1621 Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization,  
 1622 or business who is a party to or is seeking to become a party to a contract with the Commonwealth.  
 1623 "Person, organization, or business" includes individuals who are officers, directors, or owners of or who  
 1624 have a controlling ownership interest in such organization or business.

1625 "Immediate family" means (i) a spouse ~~and~~, (ii) any ~~other person residing~~ child who resides in the  
 1626 same household as the legislator, ~~and~~ who is a dependent of the legislator ~~or of whom the legislator is a~~  
 1627 ~~dependent~~, and (iii) any person with whom the legislator is habitually cohabiting.

1628 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal  
 1629 services, consulting services, or public relations services, whether gratuitous or for compensation,  
 1630 between a member or member-elect and any person who is, or has been within the prior calendar year,  
 1631 registered as a lobbyist with the ~~Secretary of the Commonwealth~~ Virginia Conflict of Interest and Ethics  
 1632 Advisory Council, or (ii) a greater than three percent ownership interest by a member or member-elect in  
 1633 a business that employs, or engages as an independent contractor, any person who is, or has been within  
 1634 the prior calendar year, registered as a lobbyist with the ~~Secretary of the Commonwealth~~ Council. The  
 1635 disclosure of a lobbyist relationship shall not ~~(i)~~ (a) constitute a waiver of any attorney-client or other  
 1636 privilege, ~~(ii)~~ (b) require a waiver of any attorney-client or other privilege for a third party, or ~~(iii)~~ (c)  
 1637 be required where a member or member-elect is employed or engaged by a person and such person also  
 1638 employs or engages a person in a lobbyist relationship so long as the member or member-elect has no  
 1639 financial interest in the lobbyist relationship.

1640 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,  
 1641 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional  
 1642 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if  
 1643 you and your immediate family have a one-third interest in a trust, complete your Statement as if you  
 1644 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust  
 1645 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1646 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this  
 1647 Statement must be provided on the basis of the best knowledge, information and belief of the individual  
 1648 filing the Statement as of the date of this report unless otherwise stated.

1649 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

1650 You may attach additional explanatory information.

1651 1. Offices and Directorships.

1652 Are you or a member of your immediate family a paid officer or paid director of a business?

1653 EITHER check NO / / OR check YES / / and complete Schedule A.

1654 2. Personal Liabilities.

1655 Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor  
 1656 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens  
 1657 on property at least equal in value to the loan.)

1658 EITHER check NO / / OR check YES / / and complete Schedule B.

1659 3. Securities.

1660 Do you or a member of your immediate family, directly or indirectly, separately or together, own  
 1661 securities valued in excess of ~~\$10,000~~ invested \$5,000 in one ~~business investment or~~, during the past six  
 1662 months, did you or a member of your immediate family, directly or indirectly, separately or together,  
 1663 sell or otherwise transfer any securities from a single investment and the aggregate proceeds from all  
 1664 such sales or transfers of securities from such investment exceeded \$5,000? ~~Account for mutual funds,~~  
 1665 ~~limited partnerships and trusts.~~

1666 EITHER check NO / / OR check YES / / and complete Schedule C.

1667 4. Payments for Talks, Meetings, and Publications.

1668 During the past ~~12~~ six months did you receive in your capacity as a legislator lodging,  
 1669 transportation, money, or anything else of value with a combined value exceeding ~~\$200~~ \$50 (i) for a  
 1670 single talk, meeting, or published work in your capacity as a legislator or (ii) for a meeting, conference,  
 1671 or event where your attendance at the meeting, conference, or event was designed to (a) educate you on  
 1672 issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance  
 1673 your knowledge and skills relative to your duties as a legislator? Do not include payments and  
 1674 reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see  
 1675 Question 11 and Schedule D2 to report such meetings.

1676 EITHER check NO / / OR check YES / / and complete Schedule D.

## 5. Gifts.

During the past ~~42~~ *six* months did a business, government, or individual other than a relative or personal friend (i) furnish you *or a member of your immediate family* with any gift or entertainment at a single event, and the value received ~~by you~~ *exceeded \$50 in value* or (ii) furnish you *or a member of your immediate family* with gifts or entertainment in any combination and the *total* value received ~~by you~~ *exceeded \$100 in total value*; and for which you *or the member of your immediate family* neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50 ~~in value~~. Account for all business entertainment (except if related to ~~your~~ *the private profession or occupation of you or the member of your immediate family who received such business entertainment*) even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete Schedule E.

## 6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 ~~\$5,000~~ annually. (Exclude any salary received as a member of the General Assembly pursuant to § 30-19.11.)

If no reportable salary or wages, check here / /.

## 7. Business Interests and Lobbyist Relationships.

7A. Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of ~~\$10,000~~ *\$5,000* in a business?

EITHER check NO / / OR check YES / / and complete Schedule F-1.

7B. Do you have a lobbyist relationship as that term is defined above?

EITHER check NO / / OR check YES / / and complete Schedule F-2.

## 8. Payments for Representation and Other Services.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past ~~42~~ *six* months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers?

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past ~~42~~ *six* months in excess of \$1,000?

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past ~~42~~ *six* months? Services reported under this provision shall not include services involving the representation of businesses that are reported under question 8A or 8B above.

EITHER check NO / / OR check YES / / and complete Schedule G-3.

## 9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at ~~\$10,000~~ *or more than \$5,000* in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H.

## 10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ *\$5,000* in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past ~~42~~ *six* months, with a state governmental agency?

If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

## 11. Payments by the Commonwealth for Meetings.

During the past ~~42~~ *six* months did you receive lodging, transportation, money, or anything else of

1738 value with a combined value exceeding \$200 \$50 from the Commonwealth for a single meeting attended  
 1739 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for  
 1740 meetings attended in the Commonwealth.

1741 EITHER check NO / / OR check YES / / and complete Schedule D-2.

1742 For Statements filed in January 2016 and each two years thereafter, complete the following  
 1743 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

1744 I certify that I completed ethics training as required by § 30-129.1. YES / / or NO / /.

1745 Statements of Economic Interests are open for public inspection.

1746 AFFIRMATION.

1747 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure  
 1748 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond  
 1749 promptly to the request. I understand that if a determination is made that the statement is insufficient, I  
 1750 will satisfy such request or be subjected to disciplinary action of my house.

1751 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1752 Signature \_\_\_\_\_

1753 ~~Commonwealth of Virginia~~

1754 \_\_\_\_\_ of \_\_\_\_\_ to wit:

1755 ~~The foregoing disclosure form was acknowledged before me~~

1756 ~~This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by \_\_\_\_\_~~

1757 \_\_\_\_\_ Notary Public

1758 ~~My commission expires \_\_\_\_\_~~

1759 (Return only if needed to complete Statement.)

1760 SCHEDULES

1761 to

1762 STATEMENT OF ECONOMIC INTERESTS.

1763 NAME \_\_\_\_\_

1764 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1765 Identify each business of which you or a member of your immediate family is a paid officer or paid  
 1766 director.

1767 \_\_\_\_\_

1768

1769 Name of Business Address of Business Position Held and by Whom

1770 \_\_\_\_\_

1771 \_\_\_\_\_

1772 \_\_\_\_\_

1773 \_\_\_\_\_

1774 \_\_\_\_\_

1775 RETURN TO ITEM 2

1776 SCHEDULE B - PERSONAL LIABILITIES.

1777 Report personal liability by checking each category. Report only debts in excess of \$10,000 \$5,000.

1778 Do not report debts to any government. Do not report loans secured by recorded liens on property at  
 1779 least equal in value to the loan.

1780 Report contingent liabilities below and indicate which debts are contingent.

1781 1. My personal debts are as follows:

1782 \_\_\_\_\_

1783

1784 Check one

1785 Check \$10,001

1786 appropriate \$5,001 to More than

1787 categories \$50,000 \$50,000

1788 Banks \_\_\_\_\_

1789 Savings institutions \_\_\_\_\_

1790 Other loan or finance companies \_\_\_\_\_

1791 Insurance companies \_\_\_\_\_

1792 Stock, commodity or other brokerage \_\_\_\_\_

1793 companies \_\_\_\_\_

1794 Other businesses:

1795 (State principal business activity for each

1796 creditor and its name.)

1797	_____	_____	_____
1798	_____	_____	_____
1799	_____	_____	_____
1800	Individual creditors:		
1801	(State principal business or occupation of		
1802	each creditor <i>and its name.</i> )		
1803	_____	_____	_____
1804	_____	_____	_____
1805	_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

1809		Check one	
1810			
1811	Check	<del>\$10,001</del>	
1812	appropriate	\$5,001 to	More than
1813	categories	\$50,000	\$50,000
1814	Banks	_____	_____
1815	Savings institutions	_____	_____
1816	Other loan or finance companies	_____	_____
1817	Insurance companies	_____	_____
1818	Stock, commodity or other brokerage	_____	_____
1819	companies	_____	_____
1820	Other businesses:		
1821	(State principal business activity for each		
1822	creditor <i>and its name.</i> )		
1823	_____	_____	_____
1824	_____	_____	_____
1825	_____	_____	_____
1826	Individual creditors:		
1827	(State principal business or occupation of		
1828	each creditor <i>and its name.</i> )		
1829	_____	_____	_____
1830	_____	_____	_____
1831	_____	_____	_____

RETURN TO ITEM 3

#### SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, ~~limited partnerships,~~ exchange-traded funds, and commodity futures contracts.

"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each ~~business or Virginia governmental entity~~ investment in which you or a member of your immediate family, directly or indirectly, separately or together, (i) own securities valued in excess of ~~\$10,000~~ \$5,000 as of the last date of the reporting period or (ii) owned securities in one investment that were sold or otherwise transferred during the reporting period and the aggregate proceeds from all such sales or transfers of securities from such investment during the reporting period exceeded \$5,000. Name each ~~entity~~ issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

1850	_____		
1851			
1852		Check one	
1853		<del>\$10,001</del>	

1854		Type of Security	\$5,001	\$50,001	More
1855		(stocks, bonds,	to	to	than
1856	Name of Issuer	mutual funds, etc.)	\$50,000	\$250,000	\$250,000
1857					
1858					
1859					
1860					
1861					

RETURN TO ITEM 4

## SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1864 List each source from which you received during the past ~~12~~ six months *in your capacity as a*  
 1865 *legislator* lodging, transportation, money, or any other thing of value (~~excluding meals or drinks~~  
 1866 ~~coincident with a meeting~~) with a combined value exceeding \$~~200~~ \$50 (i) for your presentation of a  
 1867 single talk, participation in one meeting, or publication of a work ~~in your capacity as a legislator~~ or (ii)  
 1868 *for your attendance at a meeting, conference, or event where your attendance at the meeting,*  
 1869 *conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator,*  
 1870 *including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your*  
 1871 *duties as a legislator. Any lodging, transportation, money, or other thing of value received by a*  
 1872 *legislator that does not satisfy the criteria of clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on*  
 1873 *Schedule E. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such*  
 1874 *payments or reimbursements.) List a payment even if you donated it to charity. Do not list information*  
 1875 *about a payment if you returned it within 60 days or if you received it from an employer already listed*  
 1876 *under Item 6 or from a source of income listed on Schedule F.*

1877 If no payment must be listed, check here / / .

1878				
1879				
1880			Type of Payment	
1881			(e.g., Honoraria,	
1882			Travel reimburse-	
1883	Payer	Approximate Value	ment, etc.)	
1884				
1885				
1886				
1887				
1888				

RETURN TO ITEM 5

## SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

1891 List each meeting for which the Commonwealth provided payments or reimbursements during the  
 1892 past ~~12~~ six months to you for lodging, transportation, money, or any other thing of value (~~excluding~~  
 1893 ~~meals or drinks coincident with a meeting~~) with a combined value exceeding \$~~200~~ \$50 for your  
 1894 participation in your capacity as a legislator. Do not list payments or reimbursements by the  
 1895 Commonwealth for meetings or travel within the Commonwealth.

1896 If no payment must be listed, check here / / .

1897				
1898				
1899			Type of Payment	
1900			(e.g., Travel	
1901			reimbursement,	
1902	Payer	Approximate Value	etc.)	
1903				
1904				
1905				
1906				
1907				

## SCHEDULE E - GIFTS.

1909 List each business, governmental entity, or individual that, during the past ~~12~~ six months, (i)  
 1910 furnished you *or a member of your immediate family* with any gift or entertainment at a single event,  
 1911 and the value received ~~by you~~ exceeded \$50; ~~in value~~ or (ii) furnished you *or a member of your*  
 1912 *immediate family* with gifts or entertainment in any combination and the ~~total~~ value received ~~by you~~



1913 exceeded \$100 in total value; and for which you or the member of your immediate family neither paid  
 1914 nor rendered services in exchange. List each such gift or event.

1915 Do not list entertainment events unless the average value per person attending the event exceeded  
 1916 \$50 in value. Do not list business entertainment related to your the private profession or occupation of  
 1917 you or the member of your immediate family who received such business entertainment. Do not list gifts  
 1918 or other things of value given by a relative or personal friend for reasons clearly unrelated to your  
 1919 public position. Do not list campaign contributions publicly reported as required by Chapter 9.3  
 1920 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

1921					
1922					
1923		Name of Business,	City or	Exact	
1924	Name of	Organization, or	County	Gift or	Approximate
1925	Recipient	Individual	and State	Event	Value
1926					
1927					
1928					
1929					

1930  
 1931 RETURN TO ITEM 6

1932 SCHEDULE F-1 - BUSINESS INTERESTS.

1933 Complete this Schedule for each self-owned or family-owned business (including rental property, a  
 1934 farm, or consulting work), partnership, or corporation in which you or a member of your immediate  
 1935 family, separately or together, own an interest having a value in excess of ~~\$10,000~~ \$5,000.

1936 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;  
 1937 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a  
 1938 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.  
 1939 Account for business interests held in trust.

1940					
1941					
1942	Name of				
1943	Business				
1944	Corporation,				
1945	Partnership,	Nature of		Gross income	
1946	Farm;	Enterprise			
1947	Address of	(farming,		\$50,001	More
1948	Rental	law, rental	\$50,000	to	than
1949	Property	and State property, etc.)	or less	\$250,000	\$250,000

1950  
 1951  
 1952  
 1953  
 1954  
 1955 RETURN TO ITEM 8

1956 SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

1957 Complete this Schedule for each lobbyist relationship with the following:

1958 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the  
 1959 Secretary of the Commonwealth Virginia Conflict of Interest and Ethics Advisory Council, or

1960 (ii) any business in which you have a greater than three percent ownership interest and that business  
 1961 employs, or engages as an independent contractor, any person who is, or has been within the prior  
 1962 calendar year, registered as a lobbyist with the of the Commonwealth Council.

1963					
1964					
1965				Payments to	
1966				Lobbyist	
1967					More than
1968	List each person	Describe each	Dates of	\$10,000	<del>\$10,001</del>
1969	or business	relationship	relationship	or less	\$10,000

HOUSE  
 SUBSTITUTE

HB1211H1

1971 \_\_\_\_\_  
 1972 \_\_\_\_\_  
 1973 \_\_\_\_\_  
 1974 \_\_\_\_\_  
 1975 \_\_\_\_\_

1976 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A  
 1977 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF  
 1978 ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE  
 1979 REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A  
 1980 PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST  
 1981 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL  
 1982 INTEREST IN THE LOBBYIST RELATIONSHIP.

1983 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1984 List the businesses you represented before any state governmental agency, excluding any court or  
 1985 judge, for which you received total compensation during the past 12 *six* months in excess of \$1,000,  
 1986 excluding compensation for other services to such businesses and representation consisting solely of the  
 1987 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

1988 Identify each business, the nature of the representation and the amount received by dollar category  
 1989 from each such business. You may state the type, rather than name, of the business if you are required  
 1990 by law not to reveal the name of the business represented by you.

1991 \_\_\_\_\_  
 1992 \_\_\_\_\_  
 1993 \_\_\_\_\_  
 1994 \_\_\_\_\_  
 1995 \_\_\_\_\_  
 1996 \_\_\_\_\_  
 1997 \_\_\_\_\_  
 1998 \_\_\_\_\_  
 1999 \_\_\_\_\_  
 2000 \_\_\_\_\_  
 2001 \_\_\_\_\_  
 2002 \_\_\_\_\_  
 2003 \_\_\_\_\_

2004 If you have received \$250,001 or more from a single business within the reporting period, indicate  
 2005 the amount received, rounded to the nearest \$10,000. Amount Received: \_\_\_\_\_.

2006 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

2007 List the businesses that have been represented before any state governmental agency, excluding any  
 2008 court or judge, by persons who are your partners, associates or others with whom you have a close  
 2009 financial association and who received total compensation in excess of \$1,000 for such representation  
 2010 during the past 12 *six* months, excluding representation consisting solely of the filing of mandatory  
 2011 papers and subsequent representation regarding the mandatory papers filed by your partners, associates  
 2012 or others with whom you have a close financial association.

2013 Identify such businesses by type and also name the state governmental agencies before which such  
 2014 person appeared on behalf of such businesses.

2015 \_\_\_\_\_  
 2016 \_\_\_\_\_  
 2017 \_\_\_\_\_  
 2018 \_\_\_\_\_  
 2019 \_\_\_\_\_  
 2020 \_\_\_\_\_  
 2021 \_\_\_\_\_  
 2022 \_\_\_\_\_

2023 SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

2024 Indicate below types of businesses that operate in Virginia to which services were furnished by you  
 2025 or persons with whom you have a close financial association pursuant to an agreement between you and  
 2026 such businesses, or between persons with whom you have a close financial association and such  
 2027 businesses and for which total compensation in excess of \$1,000 was received during the past 12 *six*  
 2028 months. Services reported in this Schedule shall not include services involving the representation of  
 2029 businesses that are reported in Schedule G-1 or G-2 above.

2030 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of  
 2031 service rendered and (iii) the value by dollar category of the compensation received for all businesses  
 2032 falling within each category.

2033								
2034								
2035		Check						
2036		if	Type					
2037		ser-	of		Value of Compensation			
2038		vices	ser-					
2039		were	vice	\$1,001	\$10,001	\$50,001	\$100,001	
2040		ren-	ren-	to	to	to	to	\$250,001
2041		dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	and over
2042	Electric utilities	_____	_____	_____	_____	_____	_____	_____
2043	Gas utilities	_____	_____	_____	_____	_____	_____	_____
2044	Telephone utilities	_____	_____	_____	_____	_____	_____	_____
2045	Water utilities	_____	_____	_____	_____	_____	_____	_____
2046	Cable television	_____	_____	_____	_____	_____	_____	_____
2047	companies	_____	_____	_____	_____	_____	_____	_____
2048	Interstate	_____	_____	_____	_____	_____	_____	_____
2049	transportation	_____	_____	_____	_____	_____	_____	_____
2050	companies	_____	_____	_____	_____	_____	_____	_____
2051	Intrastate	_____	_____	_____	_____	_____	_____	_____
2052	transportation	_____	_____	_____	_____	_____	_____	_____
2053	companies	_____	_____	_____	_____	_____	_____	_____
2054	Oil or gas retail	_____	_____	_____	_____	_____	_____	_____
2055	companies	_____	_____	_____	_____	_____	_____	_____
2056	Banks	_____	_____	_____	_____	_____	_____	_____
2057	Savings	_____	_____	_____	_____	_____	_____	_____
2058	institutions	_____	_____	_____	_____	_____	_____	_____
2059	Loan or finance	_____	_____	_____	_____	_____	_____	_____
2060	companies	_____	_____	_____	_____	_____	_____	_____
2061	Manufacturing	_____	_____	_____	_____	_____	_____	_____
2062	companies (state	_____	_____	_____	_____	_____	_____	_____
2063	type of product,	_____	_____	_____	_____	_____	_____	_____
2064	e.g., textile,	_____	_____	_____	_____	_____	_____	_____
2065	furniture, etc.)	_____	_____	_____	_____	_____	_____	_____
2066	Mining companies	_____	_____	_____	_____	_____	_____	_____
2067	Life insurance	_____	_____	_____	_____	_____	_____	_____
2068	companies	_____	_____	_____	_____	_____	_____	_____
2069	Casualty insurance	_____	_____	_____	_____	_____	_____	_____
2070	companies	_____	_____	_____	_____	_____	_____	_____
2071	Other insurance	_____	_____	_____	_____	_____	_____	_____
2072	companies	_____	_____	_____	_____	_____	_____	_____
2073	Retail companies	_____	_____	_____	_____	_____	_____	_____
2074	Beer, wine or	_____	_____	_____	_____	_____	_____	_____
2075	liquor companies	_____	_____	_____	_____	_____	_____	_____
2076	or distributors	_____	_____	_____	_____	_____	_____	_____
2077	Trade associations	_____	_____	_____	_____	_____	_____	_____
2078	Professional	_____	_____	_____	_____	_____	_____	_____
2079	associations	_____	_____	_____	_____	_____	_____	_____
2080	Associations of	_____	_____	_____	_____	_____	_____	_____
2081	public employees	_____	_____	_____	_____	_____	_____	_____
2082	or officials	_____	_____	_____	_____	_____	_____	_____
2083	Counties, cities	_____	_____	_____	_____	_____	_____	_____
2084	or towns	_____	_____	_____	_____	_____	_____	_____
2085	Labor organizations	_____	_____	_____	_____	_____	_____	_____

2086 Other \_\_\_\_\_

2087 \_\_\_\_\_  
 2088 RETURN TO ITEM 9

2089 SCHEDULE H - REAL ESTATE.

2090 List real estate other than your principal residence in which you or a member of your immediate  
 2091 family holds an interest, including a partnership interest, option, easement, or land contract, valued at  
 2092 \$10,000 \$5,000 or more. Each parcel ~~must~~ *shall* be listed individually.

2093	_____		
2094	_____		
2095		Describe the type of real	
2096		estate you own in each	
2097	List the location	location (business,	If the real estate is
2098	(state, and county	recreational, apartment,	owned or recorded in
2099	or city where you	commercial, open land,	a name other than your
2100	own real estate	etc.)	own, list that name
2101	_____	_____	_____
2102	_____	_____	_____
2103	_____	_____	_____
2104	_____	_____	_____
2105	_____	_____	_____
2106	_____		

2107 RETURN TO ITEM 10

2108 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

2109 List all contracts, whether pending or completed within the past ~~42~~ *six* months, with a state  
 2110 governmental agency for the sale or exchange of real estate in which you or a member of your  
 2111 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,  
 2112 or land contract, valued at *more than* \$10,000 ~~or more~~. List all contracts with a state governmental  
 2113 agency for the lease of real estate in which you or a member of your immediate family holds such an  
 2114 interest valued at *more than* \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not  
 2115 apply to an interest derived through an ownership interest in a business unless the ownership interest  
 2116 exceeds three percent of the total equity of the business.

2117	_____		
2118	_____		
2119	List your real		
2120	estate interest and		
2121	the person or entity,		
2122	including the type of		
2123	entity, which is		
2124	party to the contract.		State the annual
2125	Describe any		income from the
2126	management role and	List each	contract, and the
2127	the percentage	governmental agency	amount, if any, of
2128	ownership interest	which is a party to	income you or any
2129	you or your immediate	the contract and	immediate family
2130	family member has in	indicate the county	member derives
2131	the real estate	or city where the	annually from
2132	or entity.	real estate is located.	the contract.
2133	_____	_____	_____
2134	_____	_____	_____
2135	_____	_____	_____
2136	_____	_____	_____
2137	_____	_____	_____
2138	_____		

2139 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of  
 2140 Economic Interests shall be subject to disciplinary action for such violations by the house in which the  
 2141 legislator sits.

2142 C. ~~In accordance with the rules of each house, the~~ *The* Statement of Economic Interests of all  
 2143 members of each house shall be reviewed *by the Council*. If a legislator's Statement is found to be

inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

**§ 30-112. Senate and House Ethics Advisory Panels; membership; terms; quorum; compensation and expenses.**

A. The Senate Ethics Advisory Panel and the House Ethics Advisory Panel are established in the legislative branch of state government. The provisions of §§ 30-112 through 30-119 shall be applicable to each panel.

B. The Senate Ethics Advisory Panel shall be composed of five nonlegislative citizen members: three of whom shall be former members of the Senate; and two of whom shall be citizens of the Commonwealth at large who have not previously held such office. All members of the Panel shall be citizens of the Commonwealth. No member shall engage in activities requiring him to register as a lobbyist under § 2.2-422 during his tenure on the Panel.

The members shall be nominated by the Committee on Rules of the Senate and confirmed by the Senate *by a majority vote of (i) the members present of the majority party and (ii) the members present of the minority party*. After initial appointments, all appointments shall be for terms of four years each except for unexpired terms. Nominations shall be made so as to assure bipartisan representation on the Panel.

C. The House Ethics Advisory Panel shall be composed of five nonlegislative citizen members: one of whom shall be a retired justice or judge of a court of record; two of whom shall be former members of the House of Delegates; and two of whom shall be citizens of the Commonwealth at large, at least one of whom shall not have previously held such office. All members of the Panel shall be citizens of the Commonwealth. No member shall engage in activities requiring him to register as a lobbyist under § 2.2-422 during his tenure on the Panel.

The members shall be nominated by the Speaker of the House of Delegates and confirmed by the House of Delegates *by a majority vote of (i) the members present of the majority party and (ii) the members present of the minority party*. After initial appointments, all appointments shall be for terms of four years each except for unexpired terms. Nominations shall be made so as to assure bipartisan representation on the Panel.

D. Each panel shall elect its own chairman and vice-chairman from among its membership.

E. No member shall serve more than three successive four-year terms. Vacancies shall be filled only for the unexpired term. Vacancies shall be filled in the same manner as the original appointments. The remainder of any term to which a member is appointed to fill a vacancy shall not constitute a term in determining the member's eligibility for reappointment.

F. Three members shall constitute a quorum on each panel. A vacancy shall not impair the right of the remaining members to exercise all powers of the Panel. Meetings of each panel shall be held at the call of the chairman or whenever the majority of the members so request.

G. The members of each panel, while serving on the business of the Panel, are performing legislative duties and shall be entitled to the compensation and reimbursement of expenses to which members of the General Assembly are entitled when performing legislative duties pursuant to §§ 2.2-2813, 2.2-2825, and 30-19.12. Funding for the cost of compensation and expenses of the members of the Senate Ethics Advisory Panel shall be provided by the Office of the Clerk of the Senate and the funding for the cost of compensation and expenses of the House Ethics Advisory Panel shall be provided by the Office of the Clerk of the House of Delegates.

**§ 30-114. Filing of complaints; procedures; disposition.**

A. In response to the signed and sworn complaint of any citizen of the Commonwealth, which is subscribed by the maker as true under penalty of perjury, submitted to the Panel, the Panel shall inquire

2205 into any alleged violation of Articles 2 (§ 30-102 et seq.) through 5 (§ 30-109 et seq.) of this chapter by  
 2206 any member of the respective house of the General Assembly in his current term or his immediate prior  
 2207 term. Complaints shall be filed with the ~~Director of the Division of Legislative Services Virginia~~  
 2208 *Conflict of Interest and Ethics Advisory Council*, ~~who~~ which shall promptly (i) submit the complaint to  
 2209 the chairman of the appropriate Panel and (ii) forward a copy of the complaint to the legislator named  
 2210 in the complaint. The chairman shall promptly notify the Panel of the complaint. No complaint shall be  
 2211 filed with the Panel 60 or fewer days before a primary election or other nominating event or before a  
 2212 general election in which the cited legislator is running for office, and the Panel shall not accept or act  
 2213 on any complaint received during this period.

2214 B. The Panel shall determine, during its preliminary investigation, whether the facts stated in the  
 2215 complaint taken as true are sufficient to show a violation of Articles 2 (§ 30-102 et seq.) through 5  
 2216 (§ 30-109 et seq.) of this chapter. If the facts, as stated in the complaint, fail to give rise to such a  
 2217 violation, then the Panel shall dismiss the complaint. If the facts, as stated in the complaint, give rise to  
 2218 such a violation, then the Panel shall request that the complainant appear and testify under oath as to the  
 2219 complaint and the allegations therein. After hearing the testimony and reviewing any other evidence  
 2220 provided by the complainant, the Panel shall dismiss the complaint if the Panel fails to find by a  
 2221 preponderance of the evidence that such violation has occurred. If the Panel finds otherwise, it shall  
 2222 proceed with the inquiry.

2223 C. If after such preliminary investigation, the Panel determines to proceed with an inquiry into the  
 2224 conduct of any legislator, the Panel (i) shall immediately notify in writing the individual who filed the  
 2225 complaint and the cited legislator as to the fact of the inquiry and the charges against the legislator and  
 2226 (ii) shall schedule one or more hearings on the matter. The legislator shall have the right to present  
 2227 evidence, cross-examine witnesses, face and examine the accuser, and be represented by counsel at any  
 2228 hearings. In its discretion, the Panel may grant the legislator any other rights or privileges not  
 2229 specifically enumerated in this subsection. Once the Panel has determined to proceed with an inquiry, its  
 2230 meetings and hearings shall be open to the public.

2231 D. Once the Panel determines to proceed with an inquiry into the conduct of any legislator, the Panel  
 2232 shall complete its investigations and dispose of the matter as provided in § 30-116 notwithstanding the  
 2233 resignation of the legislator during the course of the Panel's proceedings.

#### 2234 § 30-117. Confidentiality of proceedings.

2235 All proceedings during the investigation of any complaint by the Panel shall be confidential. This  
 2236 rule of confidentiality shall apply to Panel members and their staff ~~and~~, the Committee on Privileges and  
 2237 Elections and its staff, ~~and the Virginia Conflict of Interest and Ethics Advisory Council.~~

#### 2238 § 30-118. Staff for Panel.

2239 The Panel may hire staff and outside counsel to assist the Panel and to conduct examinations of  
 2240 witnesses, subject to the approval of the President Pro Tempore of the Senate for the Senate Ethics  
 2241 Advisory Panel and subject to the approval of the Speaker of the House of Delegates for the House  
 2242 Ethics Advisory Panel. ~~The Panel may have the Director of the Division of Legislative Services, and~~  
 2243 ~~such additional staff as he may assign, assist the Panel during its preliminary investigation and during its~~  
 2244 ~~proceedings.~~

#### 2245 § 30-124. Advisory opinions.

2246 A legislator shall not be prosecuted or disciplined for a violation of this chapter if his alleged  
 2247 violation resulted from his good faith reliance on a written opinion of a committee on standards of  
 2248 conduct established pursuant to § 30-120, ~~or~~ an opinion of the Attorney General as provided in  
 2249 § 30-122, ~~or a formal opinion of the Virginia Conflict of Interest and Ethics Advisory Council~~  
 2250 ~~established pursuant to § 30-348, and the opinion was made after his full disclosure of the facts.~~

#### 2251 Article 6.

#### 2252 Ethics Orientation Sessions.

#### 2253 § 30-129.1. Orientation sessions on ethics and conflicts of interests.

2254 *The Virginia Conflict of Interest and Ethics Advisory Council shall conduct an orientation session (i)*  
 2255 *for new and returning General Assembly members preceding each even-numbered year regular session*  
 2256 *and (ii) for any new General Assembly member who is elected in a special election and whose term*  
 2257 *commences after the date of the orientation session provided for in clause (i) and at least six months*  
 2258 *before the date of the next such orientation session within three months of his election. Attendance at*  
 2259 *the full orientation session shall be mandatory for newly elected members. Attendance at a refresher*  
 2260 *session lasting at least two hours shall be mandatory for returning members and may be accomplished*  
 2261 *by online participation. There shall be no penalty for the failure of a member to attend the full or*  
 2262 *refresher orientation session, but the member must disclose his attendance pursuant to § 30-111.*

#### 2263 § 30-129.2. Content of orientation sessions.

2264 *The orientation session shall provide information and training for the members on ethics and*  
 2265 *conflicts of interests, on the provisions of the General Assembly Conflicts of Interests Act (§ 30-100 et*  
 2266 *seq.), on relevant federal law provisions, and on related issues involving lobbying. Refresher sessions*

may be offered online.

**§ 30-129.3. Orientation session preparations.**

Those conducting the orientation sessions may call on other agencies in the legislative or executive branches for assistance, may invite experts to assist in the sessions, and shall, upon request of a member who holds a professional license or certification, apply for continuing education credits with the appropriate licensing or certifying entity for the sessions.

**CHAPTER 55.**

**VIRGINIA CONFLICT OF INTEREST AND ETHICS ADVISORY COUNCIL.**

**§ 30-348. Virginia Conflict of Interest and Ethics Advisory Council; membership; terms; quorum; expenses.**

A. The Virginia Conflict of Interest and Ethics Advisory Council (the Council) is hereby created as an advisory council in the legislative branch to encourage and facilitate compliance with the State and Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) and the General Assembly Conflicts of Interests Act (§ 30-100 et seq.) (hereafter the Acts) and the lobbying laws in Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 (hereafter Article 3).

B. The Council shall consist of 15 members as follows: four members appointed by the Speaker of the House of Delegates, two of whom shall be members of the House of Delegates and two of whom shall be nonlegislative citizen members; four members appointed by the Senate Committee on Rules, two of whom shall be members of the Senate and two of whom shall be nonlegislative citizen members; four members appointed by the Governor, two of whom shall be executive branch employees and two of whom shall be nonlegislative citizen members; one member designated by the Attorney General; one member appointed by the Speaker of the House of Delegates from a list of three nominees submitted by the Virginia Association of Counties; and one member appointed by the Senate Committee on Rules from a list of three nominees submitted by the Virginia Municipal League. All members of the Council are subject to confirmation by the General Assembly by a majority vote in each house of (i) the members present of the majority party and (ii) the members present of the minority party.

C. All appointments following the initial staggering of terms shall be for terms of four years, except that appointments to fill vacancies shall be for the unexpired terms in the same manner as the original appointment. No nonlegislative citizen member shall be eligible to serve for more than two successive four-year terms. However, after the expiration of a term of three years or less, or after the expiration of the remainder of a term to which appointed to fill a vacancy, two additional terms may be served by such member if appointed thereto. Legislative members and other state government officials shall serve terms coincident with their terms of office. Legislative members may be reappointed for successive terms.

D. The members of the Council shall elect from among their membership a chairman and a vice-chairman for two-year terms. The chairman and vice-chairman may not succeed themselves to the same position. The Council shall hold meetings quarterly or upon the call of the chairman. A majority of the Council shall constitute a quorum.

E. Members of the Council shall receive no compensation for their services but shall be reimbursed for all reasonable and necessary expenses incurred in the performance of their duties as provided in §§ 2.2-2813, 2.2-2825, and 30-19.12, as appropriate. Funding for expenses of the members shall be provided from existing appropriations to the Council.

**§ 30-349. Powers and duties of the Council.**

The Council shall:

1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local government officers and employees and legislators pursuant to the Acts. The Council's review shall include the reading of all disclosure forms for completeness and accuracy and be followed by requests for amendments to assure the completeness of and correction of errors in the forms;

2. Accept any disclosure forms by computer or electronic means in accordance with the standards approved by the Council and using software meeting standards approved by it. The Council shall provide software to filers without charge and may prescribe the method of execution and certification of electronically filed forms and the procedures for receiving forms in the office of the Council;

3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, and 30-111. Such database shall be available to the public through the Council's official website;

4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information, including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to any person or to any agency of state or local government, in an expeditious manner. Informal advice given by the Council is confidential, is excluded from the provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.), and shall not be disclosed except pursuant to an order from a court of competent jurisdiction or if the person or agency who sought the informal advice requests that

2332 *such advice be disclosed;*

2329 5. *Conduct training seminars and educational programs for lobbyists, state and local government*  
2330 *officers and employees and legislators, and other interested persons on the requirements of Article 3*  
2331 *and the Acts and provide ethics orientation sessions for legislators in compliance with Article 6*  
2332 *(§ 30-129.1 et seq.) of Chapter 13;*

2333 6. *Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the*  
2334 *educational materials and approve any training or course on the requirements of Article 3 and the Acts*  
2335 *conducted for state and local government officers and employees;*

2336 7. *Publish such educational materials as it deems appropriate on the provisions of Article 3 and the*  
2337 *Acts;*

2338 8. *Review actions taken in the General Assembly with respect to the discipline of its members for the*  
2339 *purpose of offering nonbinding advice;*

2340 9. *Request from any agency of state or local government such assistance, services, and information*  
2341 *as will enable the Council to effectively carry out its responsibilities. Information provided to the*  
2342 *Council by an agency of state or local government shall not be released to any other party unless*  
2343 *authorized by such agency; and*

2344 10. *Report on or before December 1 of each year on its activities and findings regarding Article 3*  
2345 *and the Acts, including recommendations for changes in the laws, to the General Assembly and the*  
2346 *Governor. The annual report shall be submitted by the chairman as provided in the procedures of the*  
2347 *Division of Legislative Automated Systems for the processing of legislative documents and reports and*  
2348 *shall be published as a state document.*

2349 **§ 30-350. Staff.**

2350 *The Council shall designate its executive director. Staff assistance to the Council shall be provided*  
2351 *by the Division of Legislative Services. Staff shall perform those duties assigned to it by the Council,*  
2352 *including those duties enumerated in § 30-349.*

2353 **§ 30-351. Cooperation of agencies of state and local government.**

2354 *Every department, division, board, bureau, commission, authority, or political subdivision of the*  
2355 *Commonwealth shall cooperate with, and provide such assistance to, the Council as the Council may*  
2356 *request.*

2357 **2. That the initial terms of the nonlegislative citizen members of the Virginia Conflict of Interest**  
2358 **and Ethics Advisory Council appointed pursuant to this act shall be staggered as follows: (i) two**  
2359 **members, one appointed by the Speaker of the House of Delegates and one appointed by the**  
2360 **Senate Committee on Rules, for a term of two years; (ii) two members, one appointed by the**  
2361 **Speaker of the House of Delegates and one appointed by the Governor, for a term of three years;**  
2362 **(iii) two members, one member appointed by the Senate Committee on Rules and one appointed**  
2363 **by the Governor, for a term of four years; and (iv) the designee of the Attorney General and the**  
2364 **appointed representatives of the Virginia Association of Counties and Virginia Municipal League**  
2365 **for a term of one year. Thereafter, the terms of members shall be for four years.**

2366 **3. That the Virginia Conflict of Interest and Ethics Advisory Council shall review the current**  
2367 **statutory disclosure forms located at §§ 2.2-426, 2.2-3117, and 30-111 and promulgate revised**  
2368 **forms consistent with the provisions of this act. The Council shall submit its proposed revised**  
2369 **forms to the General Assembly on or before November 15, 2015.**

2370 **4. That the provisions of this act do not affect the requirement that each lobbyist required to file a**  
2371 **report of expenditures pursuant to § 2.2-426 shall file such file such report by July 1, 2014, for the**  
2372 **preceding 12-month period complete through the last day of April and shall thereafter follow the**  
2373 **semiannual reporting schedule set forth in § 2.2-426.**

2374 **5. That the provisions of this act may result in a net increase in periods of imprisonment or**  
2375 **commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation is \$0**  
2376 **for periods of imprisonment in state adult correctional facilities and is \$0 for periods of**  
2377 **commitment to the custody of the Department of Juvenile Justice.**