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HOUSE BILL NO. 1211**AMENDMENT IN THE NATURE OF A SUBSTITUTE**(Proposed by the Joint Conference Committee
on March 8, 2014)

(Patrons Prior to Substitute—Delegates Gilbert, Bulova [HB 271], and Marshall, R.G. [HB 15])

A *BILL to amend and reenact §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3100, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115 through 2.2-3118.1, 2.2-3121, 2.2-3131, 30-100, 30-101, 30-110, 30-111, 30-112, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, relating to the State and Local Government Conflict of Interests Act and General Assembly Conflicts of Interests Act; establishing the Virginia Conflict of Interest and Ethics Advisory Council.*

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3100, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115 through 2.2-3118.1, 2.2-3121, 2.2-3131, 30-100, 30-101, 30-110, 30-111, 30-112, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, as follows:

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
 2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
 3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
 4. A stock, bond, note, or other investment interest in an entity;
 5. A receipt given for the payment of money or other property;
 6. A right in action;
 7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
 8. A loan or forgiveness of indebtedness;
 9. A work of art, antique, or collectible;
 10. An automobile or other means of personal transportation;
 11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
 12. An honorarium or compensation for services;
 13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
 14. A promise or offer of employment; or
 15. Any other thing of value that is pecuniary or compensatory in value to a person.
- "Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.

"Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.

"Executive action" means the proposal, drafting, development, consideration, amendment, adoption,

60 approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or
61 official of legislation or executive orders issued by the Governor.

62 "Executive agency" means an agency, board, commission, or other body in the executive branch of
63 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'
64 Compensation Commission, and the State Lottery Department.

65 "Executive official" means:

- 66 1. The Governor;
- 67 2. The Lieutenant Governor;
- 68 3. The Attorney General;
- 69 4. Any officer or employee of the office of the Governor or Lieutenant Governor other than a
70 clerical or secretarial employee;
- 71 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
72 executive agency; or
- 73 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
74 however selected.

75 "Expenditure" means:

- 76 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
77 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
78 of value for any purpose;
- 79 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
80 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
81 persons;
- 82 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
83 payment of expenses incurred at the request or suggestion of the lobbyist;
- 84 4. A payment that directly benefits an executive or legislative official or a member of the official's
85 immediate family;
- 86 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
87 of an employee for or in connection with direct communication with an executive or legislative official;
- 88 6. A payment for or in connection with soliciting or urging other persons to enter into direct
89 communication with an executive or legislative official; or
- 90 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
91 this chapter.

92 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
93 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

94 "Fair market value" means the price that a good or service would bring between a willing seller and
95 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the
96 actual price paid for the good or service shall be given consideration.

97 "Gift" means anything of value to the extent that a consideration of equal or greater value is not
98 received.

99 "Gift" does not mean:

- 100 1. Printed informational or promotional material;
- 101 2. A gift that is not used and, no later than ~~sixty~~ 60 days after receipt, is returned to the donor or
102 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
103 tax purposes;
- 104 3. A gift, devise, or inheritance from an individual's spouse, child, parent, grandparent, brother, sister,
105 parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of
106 that individual, if the donor is not acting as the agent or intermediary for someone other than a person
107 covered by this subdivision; or
- 108 4. A gift of a value of ~~\$25~~ \$50 or less.

109 "Immediate family" means (i) the spouse ~~and~~, (ii) any ~~other person~~ *child* who resides in the same
110 household as the executive or legislative official and ~~who is the~~ *a* dependent of the official.

111 "Legislative action" means:

- 112 1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval,
113 passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion,
114 report, nomination, appointment, or other matter by the General Assembly or a legislative official;
- 115 2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by
116 the General Assembly; or
- 117 3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering
118 amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of
119 the Governor.

120 "Legislative official" means:

- 121 1. A member or member-elect of the General Assembly;

122 2. A member of a committee, subcommittee, commission, or other entity established by and
123 responsible to the General Assembly or either house of the General Assembly; or

124 3. Persons employed by the General Assembly or an entity established by and responsible to the
125 General Assembly.

126 "Lobbying" means:

127 1. Influencing or attempting to influence executive or legislative action through oral or written
128 communication with an executive or legislative official; or

129 2. Solicitation of others to influence an executive or legislative official.

130 "Lobbying" does not mean:

131 1. Requests for appointments, information on the status of pending executive and legislative actions,
132 or other ministerial contacts if there is no attempt to influence executive or legislative actions;

133 2. Responses to published notices soliciting public comment submitted to the public official
134 designated in the notice to receive the responses;

135 3. The solicitation of an association by its members to influence legislative or executive action; or

136 4. Communications between an association and its members and communications between a principal
137 and its lobbyists.

138 "Lobbyist" means:

139 1. An individual who is employed and receives payments, or who contracts for economic
140 consideration, including reimbursement for reasonable travel and living expenses, for the purpose of
141 lobbying;

142 2. An individual who represents an organization, association, or other group for the purpose of
143 lobbying; or

144 3. A local government employee who lobbies.

145 "Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or
146 attempts to influence executive or legislative action. An organization whose employees conduct lobbying
147 activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or
148 association that employs or retains others to conduct lobbying activities on behalf of its membership, the
149 principal is the coalition or association and not its individual members.

150 "Local government" means:

151 1. Any county, city, town, or other local or regional political subdivision;

152 2. Any school division;

153 3. Any organization or entity that exercises governmental powers that is established pursuant to an
154 interstate compact; or

155 4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of
156 this definition.

157 "Local government employee" means a public employee of a local government.

158 "Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company,
159 syndicate, business trust, estate, company, corporation, association, club, committee, organization, or
160 group of persons acting in concert.

161 "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the
162 fair market value cannot be determined, the actual amount paid for the item or items shall be given
163 consideration.

164 **§ 2.2-423. Contents of registration statement.**

165 A. The registration statement shall be on a form provided by the Secretary of the Commonwealth
166 and include the following information:

167 1. The name and business address and telephone number of the lobbyist;

168 2. The name and business address and telephone number of the person who will keep custody of the
169 lobbyist's and the lobbyist's principal's accounts and records required to comply with this article, and the
170 location and telephone number for the place where the accounts and records are kept;

171 3. The name and business address and telephone number of the lobbyist's principal;

172 4. The kind of business of the lobbyist's principal;

173 5. For each principal, the full name of the individual to whom the lobbyist reports;

174 6. For each principal, a statement whether the lobbyist is employed or retained and whether
175 exclusively for the purpose of lobbying;

176 7. The position held by the lobbyist if he is a part-time or full-time employee of the principal;

177 8. The full name and business address and telephone number of each lobbyist employed by or
178 representing the lobbyist's principal;

179 9. An identification of the subject matter (with as much specificity as possible) with regard to which
180 the lobbyist or lobbyist's principal will engage in lobbying; and

181 10. The statement of the lobbyist, which shall be signed either originally or by electronic signature as
182 authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.), that the information

183 contained on the registration statement is true and correct; and

184 11. A statement by which a principal may elect to waive the principal signature requirement on
185 disclosure filings submitted by its registered lobbyist after the filing of the registration statement.

186 B. Whenever any change, modification or addition to his status as a lobbyist is made, the lobbyist
187 shall, within one week of such change, modification or addition, furnish full information regarding the
188 same to the Secretary of the Commonwealth on forms provided by the Secretary.

189 C. The Secretary of the Commonwealth shall furnish a copy of this article to any individual offering
190 to register as a lobbyist and shall mail by certified mail a copy of this article and a copy of the
191 information furnished by the lobbyist to the person whom the lobbyist represents to be his principal.

192 D. If the principal to whom the information is sent under subsection C does not, within 10 days of
193 such mailing, file an affidavit, signed by the person or duly authorized agent of the person, denying that
194 the lobbyist appears on his behalf, such person shall be deemed to have appointed the Secretary of the
195 Commonwealth his agent for service of process in any prosecution arising for violation of this article. If
196 such affidavit is filed, the Secretary shall notify the attorney for the Commonwealth of the City of
197 Richmond.

198 § 2.2-426. Lobbyist reporting; penalty.

199 A. Each lobbyist shall file with the Virginia Conflict of Interest and Ethics Advisory Council a
200 separate annual semiannual report of expenditures, including gifts, for each principal for whom he
201 lobbies by July 1 December 15 for the preceding six-month period complete through the last day of
202 October and June 15 for the preceding 12-month six-month period complete through April 30 the last
203 day of April.

204 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be
205 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the
206 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting
207 requirements of this section.

208 C. The report shall be on a form provided by the Secretary of the Commonwealth Virginia Conflict
209 of Interest and Ethics Advisory Council, which shall be substantially as follows and shall be
210 accompanied by instructions provided by the Secretary Council.

211 LOBBYIST'S DISCLOSURE STATEMENT

212 PART I:

213 (1) PRINCIPAL:

214 In Part I, item 2a, provide the name of the individual
215 authorizing your employment as a lobbyist. The lobbyist filing
216 this statement MAY NOT list his name in item 2a. ~~THE INDIVIDUAL~~
217 ~~LISTED IN PART I, ITEM 2A, MUST SIGN THE PRINCIPAL'S STATEMENT.~~

218 (2a) Name:

219 (2b) Permanent Business Address:

220 (2c) Business Telephone:

221 (3) Provide a list of executive and legislative actions (with as
222 much specificity as possible) for which you lobbied and a
223 description of activities conducted.

224

225

226

227 (4) INCORPORATED FILINGS: If you are filing an incorporated
228 disclosure statement, please complete the following:

229 Individual filing financial information:

230 Individuals to be included in the filing:

231

232 (5) Please indicate which schedules will be attached to your
233 disclosure statement:

234 [] Schedule A: Entertainment Expenses

235 [] Schedule B: Gifts

236 [] Schedule C: Other Expenses

237 (6) EXPENDITURE TOTALS:

238 a) ENTERTAINMENT \$

239 b) GIFTS \$

240 ~~c) OFFICE EXPENSES \$~~

241 ~~d) COMMUNICATIONS \$~~

242	ed) PERSONAL LIVING AND TRAVEL EXPENSES	\$
243	fe) COMPENSATION OF LOBBYISTS	\$
244	gf) HONORARIA	\$
245	h) REGISTRATION COSTS	\$
246	ig) OTHER	\$
247	TOTAL	\$

248 PART II:

- 249 (1a) NAME OF LOBBYIST:
- 250 (1b) Permanent Business Address:
- 251 (1c) Business Telephone:
- 252 (2) As a lobbyist, you are (check one)
- 253 [] EMPLOYED (on the payroll of the principal)
- 254 [] RETAINED (not on the payroll of the principal, however
- 255 compensated)
- 256 [] NOT COMPENSATED (not compensated; expenses may be reimbursed)
- 257 (3) List all lobbyists other than yourself who registered to
- 258 represent your principal.
- 259
- 260
- 261
- 262 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,
- 263 provide your job title.
- 264

265 PLEASE NOTE: Some lobbyists are not individually compensated for

266 lobbying activities. This may occur when several members of a firm

267 represent a single principal. The principal, in turn, makes a single

268 payment to the firm. If this describes your situation, do not answer

269 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

270 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?

271 (If you have job responsibilities other than those involving

272 lobbying, you may have to prorate to determine the part of your

273 salary attributable to your lobbying activities.) Transfer your

274 answer to this item to Part I, item ~~6f~~ 6e.

275 (5b) Explain how you arrived at your answer to Part II, item 5a.

276

277

278

279 PART III:

- 280 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT
- 281 complete this section.
- 282 (1) List all members of your firm, organization, association,
- 283 corporation, or other entity who furnished lobbying services to
- 284 your principal.
- 285
- 286
- 287
- 288 (2) Indicate the total amount paid to your firm, organization,
- 289 association, corporation or other entity for services rendered.
- 290 Transfer your answer to this item to Part I, item ~~6f~~ 6e.....

291 SCHEDULE A

292 ENTERTAINMENT EXPENSES

293 PLEASE NOTE: Any single entertainment event included

294 in the expense totals of the principal, with a value greater than \$50,

295 should be itemized below. Transfer any totals from this schedule to

296 Part I, item 6a. (Please duplicate as needed.)

297 Date and Location of Event:

298
 299
 300 Description of Event:
 301
 302
 303 Total Number of Persons Attending:
 304
 305 Names of Legislative and Executive Officials Attending: (List names
 306 only if the average value for each person attending the event was
 307 greater than \$50.)
 308
 309
 310
 311
 312 Food \$
 313 Beverages \$
 314 Transportation of Legislative and Executive Officials \$
 315 Lodging of Legislative and Executive Officials \$
 316 Performers, Speakers, Etc. \$
 317 Displays \$
 318 Rentals \$
 319 Service Personnel \$
 320 Miscellaneous \$
 321 TOTAL \$

SCHEDULE B

GIFTS

324 PLEASE NOTE: Any single gift reported in the expense totals of the
 325 principal, with a value greater than \$50, should be itemized below.
 326 (Report meals, entertainment and travel under
 327 Schedule A.) Transfer any totals from this schedule to Part I,
 328 item 6b. (Please duplicate as needed.)

		Name of each	
		legislative or	
		executive official	Cost of
Date	Description	who is a recipient	individual
of gift:	of gift:	of a gift:	gift:
329	\$
330	\$
331	\$
332	\$
333	\$
334	\$
335	\$
336	\$
337	\$
338	TOTAL COST TO PRINCIPAL	\$

SCHEDULE C

OTHER EXPENSES

341 PLEASE NOTE: This section is provided for any lobbying-related
 342 expenses not covered in Part I, items 6a --~~6h~~ 6f. An example of an
 343 expenditure to be listed on schedule C would be the rental of a
 344 bill box during the General Assembly session. Transfer the total
 345 from this schedule to Part I, item~~6i~~ 6g. (Please duplicate as needed.)

DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
347	\$
348	\$
349	\$
350	\$
351	\$
352	\$
353	\$
354	\$

355 \$
356 TOTAL "OTHER" EXPENSES \$

357 PART IV: STATEMENTS

358 ~~Both the lobbyist and principal officer must sign the disclosure~~
359 ~~statement, attesting to its completeness and accuracy.~~ The following
360 items are mandatory and if they are not properly completed, the
361 entire filing will be rejected and returned to the lobbyist:

- 362 (1) All signatures on the statement must be ORIGINAL in the format
- 363 specified in the instructions provided by the ~~Secretary~~ Council
- 364 that accompany this form. No stamps, or other reproductions of
- 365 the individual's signature will be accepted.
- 366 (2) An individual MAY NOT sign the disclosure statement as lobbyist
- 367 and principal officer.

368 STATEMENT OF LOBBYIST

369 I, the undersigned registered lobbyist, do state that the information
370 furnished on this disclosure statement and on all accompanying
371 attachments required to be made thereto is, to the best of my
372 knowledge and belief, complete and accurate.

373
374 Signature of lobbyist

375
376
377 Date

378 STATEMENT OF PRINCIPAL

379 I, the undersigned principal (or an authorized official thereof), do
380 state that the information furnished on this disclosure statement
381 and on all accompanying attachments required to be made thereto is,
382 to the best of my knowledge and belief, complete and accurate.

383
384 Signature of principal

385
386
387 Date

388 D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact
389 shall be is guilty of a Class 5 felony.

390 E. Each lobbyist shall send to each legislative and executive official who is required to be identified
391 by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a
392 summary of the information pertaining to that official. Copies or summaries shall be provided to the
393 official by ~~December 15~~ November 21 for the preceding ~~12-month~~ six-month period complete through
394 ~~November 30~~ the last day of October and by May 21 for the preceding six-month period complete
395 through the last day of April.

396 **§ 2.2-428. Standards for automated preparation and transmittal of lobbyist's disclosure**
397 **statements; database.**

398 A. The ~~Secretary~~ Virginia Conflict of Interest and Ethics Advisory Council shall accept any lobbyist's
399 disclosure ~~statement~~ statements required by § 2.2-426 filed by computer or electronic means in
400 accordance with the standards approved by the Secretary and using software meeting standards approved
401 by the Secretary Council pursuant to the provisions of § 30-349. The Secretary may provide software to
402 filers without charge or at a reasonable cost. The Secretary may prescribe the method of execution and
403 certification of electronically filed statements and the procedures for receiving statements in the office of
404 the Secretary.

405 B. The Secretary shall establish a lobbyist disclosure database, available to the public, from required
406 disclosure statements filed electronically and may enter into that database information from required
407 disclosure statements filed by other methods. *The Secretary shall maintain such database until January*
408 *1, 2016.*

409 **§ 2.2-3100. Policy; application; construction.**

410 The General Assembly, recognizing that our system of representative government is dependent in
411 part upon (i) citizen legislative members representing fully the public in the legislative process and (ii)
412 its citizens maintaining the highest trust in their public officers and employees, finds and declares that

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413 the citizens are entitled to be assured that the judgment of public officers and employees will be guided
 414 by a law that defines and prohibits inappropriate conflicts and requires disclosure of economic interests.
 415 To that end and for the purpose of establishing a single body of law applicable to all state and local
 416 government officers and employees on the subject of conflict of interests, the General Assembly enacts
 417 this State and Local Government Conflict of Interests Act so that the standards of conduct for such
 418 officers and employees may be uniform throughout the Commonwealth.

419 This chapter shall supersede all general and special acts and charter provisions which purport to deal
 420 with matters covered by this chapter except that the provisions of §§ 15.2-852, 15.2-2287, 15.2-2287.1,
 421 and 15.2-2289 and ordinances adopted pursuant thereto shall remain in force and effect. The provisions
 422 of this chapter shall be supplemented but not superseded by the provisions on ethics in public
 423 contracting in Article 6 (§ 2.2-4367 et seq.) of Chapter 43 of this title and ordinances adopted pursuant
 424 to § 2.2-3104.2 regulating receipt of gifts.

425 *The provisions of this chapter do not preclude prosecution for any violation of any criminal law of*
 426 *the Commonwealth, including Articles 2 (Bribery and Related Offenses, § 18.2-438 et seq.) and 3*
 427 *(Bribery of Public Servants and Party Officials, § 18.2-446 et seq.) of Chapter 10 of Title 18.2, and do*
 428 *not constitute a defense to any prosecution for such a violation.*

429 This chapter shall be liberally construed to accomplish its purpose.

430 **§ 2.2-3101. Definitions.**

431 As used in this chapter, *unless the context requires a different meaning:*

432 "Advisory agency" means any board, commission, committee or post which does not exercise any
 433 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
 434 the purpose of making studies or recommendations, or advising or consulting with a governmental
 435 agency.

436 "Affiliated business entity relationship" means a relationship, other than a parent-subsidary
 437 relationship, that exists when (i) one business entity has a controlling ownership interest in the other
 438 business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or
 439 (iii) there is shared management or control between the business entities. Factors that may be considered
 440 in determining the existence of an affiliated business entity relationship include that the same person or
 441 substantially the same person owns or manages the two entities, there are common or commingled funds
 442 or assets, the business entities share the use of the same offices or employees, or otherwise share
 443 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship
 444 between the entities.

445 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 446 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 447 whether or not for profit.

448 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
 449 behalf of a governmental agency that involves the payment of money appropriated by the General
 450 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
 451 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
 452 contract of which it is a part is with the officer's or employee's own governmental agency.

453 "*Council*" means the Virginia Conflict of Interest and Ethics Advisory Council established in
 454 § 30-348.

455 "~~Dependent~~" means a son, daughter, father, mother, brother, sister or other person, whether or not
 456 related by blood or marriage, if such person receives from the officer or employee, or provides to the
 457 officer or employee, more than one-half of his financial support.

458 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise
 459 limited by the context of its use.

460 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
 461 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
 462 investment company or advisor registered under the federal Investment Advisors Act or Investment
 463 Company Act of 1940.

464 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
 465 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
 466 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
 467 expense has been incurred. "Gift" shall does not include (i) any offer of a ticket, coupon, or other
 468 admission or pass unless the ticket, coupon, admission, or pass is used; "Gift" shall not include; (ii)
 469 honorary degrees and presents; (iii) any athletic, merit, or need-based scholarship or any other financial
 470 aid awarded by a public or private school, institution of higher education, or other educational program
 471 pursuant to such school, institution, or program's financial aid standards and procedures applicable to
 472 the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3
 473 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an
 474 officer or employee or of a member of his immediate family; or (vi) gifts from relatives or personal

475 *friends.* For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece,
 476 or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent,
 477 grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. *For the purpose of*
 478 *this definition, "personal friend" does not include any person that the filer knows or has reason to know*
 479 *is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a*
 480 *lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or*
 481 *advisory agency, a person, organization, or business who is a party to or is seeking to become a party*
 482 *to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or*
 483 *employee of a state governmental or advisory agency, a person, organization, or business who is a*
 484 *party to or is seeking to become a party to a contract with the Commonwealth. For purposes of this*
 485 *definition, "person, organization, or business" includes individuals who are officers, directors, or owners*
 486 *of or who have a controlling ownership interest in such organization or business.*

487 "Governmental agency" means each component part of the legislative, executive or judicial branches
 488 of state and local government, including each office, department, authority, post, commission,
 489 committee, and each institution or board created by law to exercise some regulatory or sovereign power
 490 or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by
 491 the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

492 "Immediate family" means (i) a spouse ~~and~~, (ii) any ~~other person residing~~ *child who resides* in the
 493 same household as the officer or employee, ~~and who is a dependent of the officer or employee or of~~
 494 ~~whom the officer or employee is a dependent.~~

495 "Officer" means any person appointed or elected to any governmental or advisory agency including
 496 local school boards, whether or not he receives compensation or other emolument of office. Unless the
 497 context requires otherwise, "officer" includes members of the judiciary.

498 "Parent-subsidiary relationship" means a relationship that exists when one corporation directly or
 499 indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

500 "Personal interest" means a financial benefit or liability accruing to an officer or employee or to a
 501 member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the
 502 ownership interest exceeds three percent of the total equity of the business; (ii) annual income that
 503 exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal
 504 property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of
 505 property, or any combination thereof, paid or provided by a business or governmental agency that
 506 exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or
 507 personal property if the interest exceeds ~~\$10,000~~ \$5,000 in value and excluding ownership in a business,
 508 income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal
 509 liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset
 510 value of the business; or (vi) an option for ownership of a business or real or personal property if the
 511 ownership interest will consist of *clause* (i) or (iv) above.

512 "Personal interest in a contract" means a personal interest that an officer or employee has in a
 513 contract with a governmental agency, whether due to his being a party to the contract or due to a
 514 personal interest in a business that is a party to the contract.

515 "Personal interest in a transaction" means a personal interest of an officer or employee in any matter
 516 considered by his agency. Such personal interest exists when an officer or employee or a member of his
 517 immediate family has a personal interest in property or a business or governmental agency, or represents
 518 or provides services to any individual or business and such property, business or represented or served
 519 individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable
 520 direct or indirect benefit or detriment as a result of the action of the agency considering the transaction.
 521 Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a)
 522 an elected member of a local governing body serves without remuneration as a member of the board of
 523 trustees of a not-for-profit entity and such elected member or member of his immediate family has no
 524 personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a
 525 local governing body is appointed by such local governing body to serve on a governmental agency, or
 526 an officer, employee, or elected member of a separate local governmental agency formed by a local
 527 governing body is appointed to serve on a governmental agency, and the personal interest in the
 528 transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or
 529 benefits provided by the local governing body or the separate governmental agency to the officer,
 530 employee, elected member, or member of his immediate family.

531 "State and local government officers and employees" shall not include members of the General
 532 Assembly.

533 "State filer" means those officers and employees required to file a disclosure statement of their
 534 personal interests pursuant to subsection A or B of § 2.2-3114.

535 "Transaction" means any matter considered by any governmental or advisory agency, whether in a

536 committee, subcommittee, or other entity of that agency or before the agency itself, on which official
537 action is taken or contemplated.

538 **§ 2.2-3103.1. Certain gifts prohibited.**

539 A. For purposes of this section:

540 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain
541 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
542 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
543 disclosure form prescribed in § 2.2-3117.

544 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
545 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
546 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
547 prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any
548 intangible gift.

549 B. An officer or employee of a local governmental or advisory agency or candidate required to file
550 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar
551 year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an
552 aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
553 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
554 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to
555 become a party to a contract with the local agency of which he is an officer or an employee; (ii) shall
556 report any tangible gift with a value of \$250 or less or any intangible gift received from any person
557 listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks,
558 meetings, and publications on Schedule D of such disclosure form. For purposes of this subsection,
559 "person, organization, or business" includes individuals who are officers, directors, or owners of or who
560 have a controlling ownership interest in such organization or business.

561 C. An officer or employee of a state governmental or advisory agency or candidate required to file
562 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar
563 year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an
564 aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
565 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
566 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to
567 become a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of
568 \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such
569 disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D
570 of such disclosure form.

571 D. During the pendency of a civil action in any state or federal court to which the Commonwealth is
572 a party, the Governor or the Attorney General or any employee of the Governor or the Attorney
573 General who is subject to the provisions of this chapter shall not solicit, accept, or receive any tangible
574 gift from any person that he knows or has reason to know is a person, organization, or business who is
575 a party to such civil action. A person, organization, or business who is a party to such civil action shall
576 not knowingly give any tangible gift to the Governor or the Attorney General or any of their employees
577 who are subject to the provisions of this chapter.

578 E. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council
579 every five years, as of January 1 of that year, in an amount equal to the annual increases for that
580 five-year period in the United States Average Consumer Price Index for all items, all urban consumers
581 (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to
582 the nearest whole dollar.

583 F. For purposes of this section, "person, organization, or business" includes individuals who are
584 officers, directors, or owners of or who have a controlling ownership interest in such organization or
585 business.

586 **§ 2.2-3104. Prohibited conduct for certain officers and employees of state government.**

587 For one year after the termination of public employment or service, no state officer or employee
588 shall, before the agency of which he was an officer or employee, represent a client or act in a
589 representative capacity on behalf of any person or group, for compensation, on matters related to
590 legislation, executive orders, or regulations promulgated by the agency of which he was an officer or
591 employee. This prohibition shall be in addition to the prohibitions contained in § 2.2-3103.

592 For the purposes of this section, "state officer or employee" shall mean (i) the Governor, Lieutenant
593 Governor, Attorney General, and officers appointed by the Governor, whether confirmation by the
594 General Assembly or by either house thereof is required or not, who are regularly employed on a
595 full-time salaried basis; those officers and employees of executive branch agencies who report directly to
596 the agency head; and those at the level immediately below those who report directly to the agency head
597 and are at a payband 6 or higher and (ii) the officers and professional employees of the legislative

598 branch designated by the joint rules committee of the General Assembly. For the purposes of this
599 section, the General Assembly and the legislative branch agencies shall be deemed one agency.

600 Any person subject to the provisions of this section may apply to the *Council or Attorney General*,
601 as provided in § 2.2-3121 or 2.2-3126, for an advisory opinion as to the application of the restriction
602 imposed by this section on any post-public employment position or opportunity.

603 **§ 2.2-3114. Disclosure by state officers and employees.**

604 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of
605 the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,
606 members of the State Corporation Commission, members of the Virginia Workers' Compensation
607 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees
608 of the Virginia Retirement System, and members of the State Lottery Board and other persons
609 occupying such offices or positions of trust or employment in state government, including members of
610 the governing bodies of authorities, as may be designated by the Governor or, in the case of officers or
611 employees of the legislative branch, by the Joint Rules Committee of the General Assembly, shall file
612 *with the Council*, as a condition to assuming office or employment, a disclosure statement of their
613 personal interests and such other information as is specified on the form set forth in § 2.2-3117 and
614 thereafter shall file such a statement ~~annually on or before January~~ *semiannually by December 15 for the*
615 *preceding six-month period complete through the last day of October and by June 15 for the preceding*
616 *six-month period complete through the last day of April.* When the filing deadline falls on a Saturday,
617 Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday,
618 Sunday, or legal holiday.

619 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in
620 the executive branch of state government, other than the Commonwealth Transportation Board, members
621 of the Board of Trustees of the Virginia Retirement System, and the State Lottery Board, shall file *with*
622 *the Council*, as a condition to assuming office, a disclosure form of their personal interests and such
623 other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form
624 annually on or before ~~January~~ *December* 15. When the filing deadline falls on a Saturday, Sunday, or
625 legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or
626 legal holiday. Nonsalaried citizen members of other boards, commissions and councils, including
627 advisory boards and authorities, may be required to file a disclosure form if so designated by the
628 Governor, in which case the form shall be that set forth in § 2.2-3118.

629 C. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~
630 ~~Commonwealth Council~~ to each officer and employee so designated, including officers appointed by
631 legislative authorities; ~~not later than November 30 of each year at least 30 days prior to the filing~~
632 ~~deadline.~~ Disclosure forms shall be filed and maintained as public records for five years in the ~~Office~~
633 ~~office of the Secretary of the Commonwealth Council.~~

634 D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a
635 disclosure statement of their personal interests as required by § 24.2-502.

636 E. Any officer or employee of state government who has a personal interest in any transaction before
637 the governmental or advisory agency of which he is an officer or employee and who is disqualified
638 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to
639 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
640 name and address of the business and the address or parcel number for the real estate if the interest
641 involves a business or real estate, and his disclosure shall also be reflected in the public records of the
642 agency for five years in the office of the administrative head of the officer's or employee's governmental
643 agency or advisory agency or, if the agency has a clerk, in the clerk's office.

644 F. An officer or employee of state government who is required to declare his interest pursuant to
645 subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the
646 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
647 member of a business, profession, occupation, or group the members of which are affected by the
648 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
649 interest. The officer or employee shall either make his declaration orally to be recorded in written
650 minutes for his agency or file a signed written declaration with the clerk or administrative head of his
651 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
652 public inspection such declaration for a period of five years from the date of recording or receipt. If
653 reasonable time is not available to comply with the provisions of this subsection prior to participation in
654 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
655 next business day.

656 G. An officer or employee of state government who is required to declare his interest pursuant to
657 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
658 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide

659 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
 660 the public interest. The officer or employee shall either make his declaration orally to be recorded in
 661 written minutes for his agency or file a signed written declaration with the clerk or administrative head
 662 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
 663 available for public inspection such declaration for a period of five years from the date of recording or
 664 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
 665 participation in the transaction, the officer or employee shall prepare and file the required declaration by
 666 the end of the next business day.

667 **§ 2.2-3115. Disclosure by local government officers and employees.**

668 A. The members of every governing body and school board of each county and city and of towns
 669 with populations in excess of 3,500 shall file *with the Council*, as a condition to assuming office or
 670 employment, a disclosure statement of their personal interests and other information as is specified on
 671 the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January~~
 672 *semiannually by December 15 for the preceding six-month period complete through the last day of*
 673 *October and by June 15 for the preceding six-month period complete through the last day of April.*

674 The members of the governing body of any authority established in any county or city, or part or
 675 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any
 676 fiscal year, shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to
 677 assuming office, a disclosure statement of their personal interests and other information as is specified
 678 on the form set forth in § 2.2-3118 and thereafter shall file such a statement annually on or before
 679 ~~January~~ *December 15*, unless the governing body of the jurisdiction that appoints the members requires
 680 that the members file the form set forth in § 2.2-3117 *semiannually by December 15 for the preceding*
 681 *six-month period complete through the last day of October and by June 15 for the preceding six-month*
 682 *period complete through the last day of April.*

683 Persons occupying such positions of trust appointed by governing bodies and persons occupying such
 684 positions of employment with governing bodies as may be designated to file by ordinance of the
 685 governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a
 686 condition to assuming office or employment, a disclosure statement of their personal interests and other
 687 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement
 688 ~~annually on or before January 15~~ *semiannually by December 15 for the preceding six-month period*
 689 *complete through the last day of October and by June 15 for the preceding six-month period complete*
 690 *through the last day of April.*

691 Persons occupying such positions of trust appointed by school boards and persons occupying such
 692 positions of employment with school boards as may be designated to file by an adopted policy of the
 693 school board shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition
 694 to assuming office or employment, a disclosure statement of their personal interests and other
 695 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement
 696 ~~annually on or before January 15~~ *semiannually by December 15 for the preceding six-month period*
 697 *complete through the last day of October and by June 15 for the preceding six-month period complete*
 698 *through the last day of April.*

699 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by
 700 the governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a
 701 condition to assuming office, a disclosure form of their personal interests and such other information as
 702 is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before
 703 January 15.

704 C. No person shall be mandated to file any disclosure not otherwise required by this article.

705 D. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~
 706 ~~Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory Council* to the clerks of the governing
 707 bodies and school boards ~~not later than November 30 of each year at least 30 days prior to the filing~~
 708 ~~deadline~~, and the clerks of the governing body and school board shall distribute the forms to designated
 709 individuals ~~no later than December 10 of each year at least 20 days prior to the filing deadline~~. Forms
 710 shall be filed and maintained as public records for five years in the office of the ~~clerk of the respective~~
 711 ~~governing body or school board~~ *Virginia Conflict of Interest and Ethics Advisory Council*. Forms filed
 712 by members of governing bodies of authorities shall be filed and maintained as public records for five
 713 years in the office of the ~~clerk of the governing body of the county or city~~ *Virginia Conflict of Interest*
 714 *and Ethics Advisory Council.*

715 E. Candidates for membership in the governing body or school board of any county, city or town
 716 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests
 717 as required by § 24.2-502.

718 F. Any officer or employee of local government who has a personal interest in any transaction before
 719 the governmental or advisory agency of which he is an officer or employee and who is disqualified
 720 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to

721 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
722 name and address of the business and the address or parcel number for the real estate if the interest
723 involves a business or real estate, and his disclosure shall be reflected in the public records of the
724 agency for five years in the office of the administrative head of the officer's or employee's governmental
725 or advisory agency.

726 G. In addition to any disclosure required by subsections A and B, in each county and city and in
727 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,
728 real estate assessors, and all county, city and town managers or executive officers shall make annual
729 disclosures of all their interests in real estate located in the county, city or town in which they are
730 elected, appointed, or employed. Such disclosure shall include any business in which such persons own
731 an interest, or from which income is received, if the primary purpose of the business is to own, develop
732 or derive compensation through the sale, exchange or development of real estate in the county, city or
733 town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter
734 shall be filed annually with the clerk of the governing body of such county, city or town Virginia
735 Conflict of Interest and Ethics Advisory Council on or before January 15. Such disclosures shall be filed
736 and maintained as public records for five years. Forms for the filing of such reports shall be prepared
737 and distributed by the Secretary of the Commonwealth Virginia Conflict of Interest and Ethics Advisory
738 Council to the clerk of each governing body.

739 H. An officer or employee of local government who is required to declare his interest pursuant to
740 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the
741 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
742 member of a business, profession, occupation, or group the members of which are affected by the
743 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
744 interest. The officer or employee shall either make his declaration orally to be recorded in written
745 minutes of his agency or file a signed written declaration with the clerk or administrative head of his
746 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
747 public inspection such declaration for a period of five years from the date of recording or receipt. If
748 reasonable time is not available to comply with the provisions of this subsection prior to participation in
749 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
750 next business day. The officer or employee shall also orally disclose the existence of the interest during
751 each meeting of the governmental or advisory agency at which the transaction is discussed and such
752 disclosure shall be recorded in the minutes of the meeting.

753 I. An officer or employee of local government who is required to declare his interest pursuant to
754 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
755 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
756 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
757 the public interest. The officer or employee shall either make his declaration orally to be recorded in
758 written minutes for his agency or file a signed written declaration with the clerk or administrative head
759 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
760 available for public inspection such declaration for a period of five years from the date of recording or
761 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
762 participation in the transaction, the officer or employee shall prepare and file the required declaration by
763 the end of the next business day.

764 **§ 2.2-3116. Disclosure by certain constitutional officers.**

765 For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for
766 the Commonwealth, clerk of the circuit court and commissioner of the revenue of each county and city,
767 shall be deemed to be local officers and shall be required to file the Statement of Economic Interests set
768 forth in § 2.2-3117. These officers shall file statements pursuant to § 2.2-3115 and candidates shall file
769 statements as required by § 24.2-502. *These officers shall be subject to the prohibition on certain gifts*
770 *set forth in subsection B of § 2.2-3103.1.*

771 **§ 2.2-3117. Disclosure form.**

772 The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and
773 subsections A and E of § 2.2-3115 shall be substantially as follows:

774 STATEMENT OF ECONOMIC INTERESTS.

- 775 Name
- 776 Office or position held or sought
- 777 Address
- 778 Names of members of immediate family

779 **DEFINITIONS AND EXPLANATORY MATERIAL.**

780 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
781 association, trust or foundation, or any other individual or entity carrying on a business or profession,

782 whether or not for profit.

783 "Close financial association" means an association in which the person filing shares significant
784 financial involvement with an individual and the filer would reasonably be expected to be aware of the
785 individual's business activities and would have access to the necessary records either directly or through
786 the individual. "Close financial association" does not mean an association based on (i) the receipt of
787 retirement benefits or deferred compensation from a business by which the person filing this statement is
788 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an
789 independent contractor of a business that represents an entity before any state governmental agency
790 when the person filing has had no communications with the state governmental agency.

791 "Contingent liability" means a liability that is not presently fixed or determined, but may become
792 fixed or determined in the future with the occurrence of some certain event.

793 "~~Dependent~~" means ~~any person, whether or not related by blood or marriage, who receives from the~~
794 ~~officer or employee, or provides to the officer or employee, more than one-half of his financial support.~~

795 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
796 having monetary value. It includes services as well as gifts of transportation, ~~local travel~~, lodgings and
797 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
798 expense has been incurred. "Gift" ~~shall~~ does not include (i) any offer of a ticket, ~~coupon~~, or other
799 admission or pass unless the ticket, ~~coupon~~, admission, or pass is used; "Gift" ~~shall not include~~; (ii)
800 honorary degrees ~~and presents~~; ~~any athletic, merit, or need-based scholarship or any other financial aid~~
801 ~~awarded by a public or private school, institution of higher education, or other educational program~~
802 ~~pursuant to such school, institution, or program's financial aid standards and procedures applicable to~~
803 ~~the general public~~; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3
804 (§ 24.2-945 *et seq.*) of Title 24.2; (v) any gift related to the private profession or occupation of an
805 officer or employee or of a member of his immediate family; or (vi) gifts from relatives or personal
806 friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the
807 donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or
808 sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the
809 filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 *et seq.*) of
810 Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee
811 of a local governmental or advisory agency, a person, organization, or business who is a party to or is
812 seeking to become a party to a contract with the local agency of which he is an officer or an employee;
813 or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or
814 business who is a party to or is seeking to become a party to a contract with the Commonwealth.
815 "Person, organization, or business" includes individuals who are officers, directors, or owners of or who
816 have a controlling ownership interest in such organization or business.

817 "Immediate family" means (i) a spouse ~~and~~, (ii) ~~any other person residing~~ child who resides in the
818 same household as the officer or employee, ~~and~~ who is a dependent of the officer or employee ~~or of~~
819 ~~whom the officer or employee is a dependent.~~

820 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
821 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
822 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
823 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
824 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
825 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

826 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
827 Statement must be provided on the basis of the best knowledge, information and belief of the individual
828 filing the Statement as of the date of this report unless otherwise stated.

829 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

830 You may attach additional explanatory information.

831 1. Offices and Directorships.

832 Are you or a member of your immediate family a paid officer or paid director of a business?

833 EITHER check NO / / OR check YES / / and complete Schedule A.

834 2. Personal Liabilities.

835 Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor
836 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens
837 on property at least equal in value to the loan.)

838 EITHER check NO / / OR check YES / / and complete Schedule B.

839 3. Securities.

840 Do you or a member of your immediate family, directly or indirectly, separately or together, own
841 securities valued in excess of ~~\$10,000~~ \$5,000 invested in one business? Account for mutual funds,
842 limited partnerships and trusts.

843 EITHER check NO / / OR check YES / / and complete Schedule C.

844 4. Payments for Talks, Meetings, and Publications.

845 During the past ~~12~~ six months did you receive in your capacity as an officer or employee of your
846 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200
847 (i) for a single talk, meeting, or published work in your capacity as an officer or employee of your
848 agency or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or
849 event was designed to (a) educate you on issues relevant to your duties as an officer or employee of
850 your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee
851 of your agency?

852 EITHER check NO / / OR check YES / / and complete Schedule D.

853 5. Gifts.

854 During the past ~~12~~ six months did a business, government, or individual other than a relative or
855 personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a
856 single event, and the value received by you exceeded \$50 in value or (ii) furnish you or a member of
857 your immediate family with gifts or entertainment in any combination and the total value received by
858 you exceeded \$100 in total value, and for which you or the member of your immediate family neither
859 paid nor rendered services in exchange? Account for entertainment events only if the average value per
860 person attending the event exceeded \$50 in value. Account for all business entertainment (except if
861 related to your the private profession or occupation of you or the member of your immediate family who
862 received such business entertainment) even if unrelated to your official duties.

863 EITHER check NO / / OR check YES / / and complete Schedule E.

864 6. Salary and Wages.

865 List each employer that pays you or a member of your immediate family salary or wages in excess
866 of ~~\$10,000~~ \$5,000 annually. (Exclude state or local government or advisory agencies.)

867 If no reportable salary or wages, check here / /.

868 _____
869 _____
870 _____

871 7. Business Interests.

872 Do you or a member of your immediate family, separately or together, operate your own business, or
873 own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

874 EITHER check NO / / OR check YES / / and complete Schedule F.

875 8. Payments for Representation and Other Services.

876 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
877 state governmental agencies, excluding courts or judges, for which you received total compensation
878 during the past ~~12~~ six months in excess of \$1,000, excluding compensation for other services to such
879 businesses and representation consisting solely of the filing of mandatory papers and subsequent
880 representation regarding the mandatory papers? (Officers and employees of local governmental and
881 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

882 EITHER check NO / / OR check YES / / and complete Schedule G-1.

883 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
884 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
885 any businesses before any state governmental agency for which total compensation was received during
886 the past ~~12~~ six months in excess of \$1,000? (Officers and employees of local governmental and advisory
887 agencies do NOT need to answer this question or complete Schedule G-2.)

888 EITHER check NO / / OR check YES / / and complete Schedule G-2.

889 8C. Did you or persons with whom you have a close financial association furnish services to
890 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
891 persons with whom you have a close financial association and such businesses for which total
892 compensation in excess of \$1,000 was received during the past ~~12~~ six months? Services reported under
893 this provision shall not include services involving the representation of businesses that are reported
894 under item 8A or 8B.

895 EITHER check NO / / OR check YES / / and complete Schedule G-3.

896 9. Real Estate.

897 9A. State Officers and Employees.

898 Do you or a member of your immediate family hold an interest, including a partnership interest,
899 valued at ~~\$10,000~~ or more than \$5,000 in real property (other than your principal residence) for which
900 you have not already listed the full address on Schedule F? Account for real estate held in trust.

901 EITHER check NO / / OR check YES / / and complete Schedule H-1.

902 9B. Local Officers and Employees.

903 Do you or a member of your immediate family hold an interest, including a partnership interest, or
904 option, easement, or land contract, valued at ~~\$10,000~~ or more than \$5,000 in real property (other than

905 your principal residence) for which you have not already listed the full address on Schedule F? Account
906 for real estate held in trust.

907 EITHER check NO / / OR check YES / / and complete Schedule H-2.

908 10. Real Estate Contracts with Governmental Agencies.

909 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000
910 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,
911 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six
912 months, with a governmental agency? If the real estate contract provides for the leasing of the property
913 to a governmental agency, do you or a member of your immediate family hold an interest in the real
914 estate valued at more than \$1,000? Account for all such contracts whether or not your interest is
915 reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply
916 to an interest derived through an ownership interest in a business unless the ownership interest exceeds
917 three percent of the total equity of the business.

918 EITHER check NO / / OR check YES / / and complete Schedule I.

919 Statements of Economic Interests are open for public inspection.

920 AFFIRMATION BY ALL FILERS.

921 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

922 Signature

923 (Return only if needed to complete Statement.)

924 SCHEDULES

925 to

926 STATEMENT OF ECONOMIC INTERESTS.

927 NAME

928 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

929 Identify each business of which you or a member of your immediate family is a paid officer or paid
930 director.

931 _____

932 _____

933 Name of Business Address of Business Position Held *and by Whom*

934 _____

935 _____

936 _____

937 _____

938 _____

939 _____

RETURN TO ITEM 2

940 SCHEDULE B - PERSONAL LIABILITIES.

941 Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000.
942 Do not report debts to any government. Do not report loans secured by recorded liens on property at
943 least equal in value to the loan.

944 Report contingent liabilities below and indicate which debts are contingent.

945 1. My personal debts are as follows:

946 _____

947 _____

948 Check one

949 Check \$10,001

950 appropriate \$5,001 to More than

951 categories \$50,000 \$50,000

952 Banks _____

953 Savings institutions _____

954 Other loan or finance companies _____

955 Insurance companies _____

956 Stock, commodity or other brokerage companies _____

957 Other businesses: _____

958 (State principal business activity for each

959 creditor *and its name*.) _____

960 _____

961 _____

962 Individual creditors:

963 (State principal business or occupation of

964 each creditor *and its name.*) _____
 965 _____
 966 _____

967
 968 2. The personal debts of the members of my immediate family are as follows:
 969 _____

970
 971 Check one
 972 Check ~~\$10,001~~
 973 appropriate \$5,001 to More than
 974 categories \$50,000 \$50,000
 975 Banks _____
 976 Savings institutions _____
 977 Other loan or finance companies _____
 978 Insurance companies _____
 979 Stock, commodity or other brokerage companies _____
 980 Other businesses:
 981 (State principal business activity for each
 982 creditor *and its name.*) _____
 983 _____
 984 _____
 985 Individual creditors:
 986 (State principal business or occupation of
 987 each creditor *and its name.*) _____
 988 _____
 989 _____
 990 _____

RETURN TO ITEM 3

991
 992 SCHEDULE C - SECURITIES.

993 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 994 mutual funds, limited partnerships, certificates of deposit,
 995 and commodity futures contracts. money market funds, annuity
 996 contracts, and insurance policies.

997 Identify each business or Virginia governmental entity in which you or a member of your immediate
 998 family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000.
 999 Name each ~~entity~~ issuer and type of security individually.

1000 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 1001 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 1002 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 1003 in trust.

1004 If no reportable securities, check here / /.
 1005 _____

1006
 1007 Check one
 1008 ~~\$10,001~~
 1009 Type of Security \$5,001 \$50,001 More
 1010 Type of (stocks, bonds, mutual to to than
 1011 Name of Issuer ~~Type of Entity~~ funds, etc.) \$50,000 \$250,000 \$250,000
 1012 _____
 1013 _____
 1014 _____
 1015 _____
 1016 _____

RETURN TO ITEM 4

1017
 1018 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1019 List each source from which you received during the past ~~12~~ six months *in your capacity as an*
 1020 *officer or employee of your agency* lodging, transportation, money, or any other thing of value

1021 (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 (i) for your
 1022 presentation of a single talk, participation in one meeting, or publication of a work in your capacity as
 1023 an officer or employee of your agency or (ii) for your attendance at a meeting, conference, or event
 1024 where your attendance at the meeting, conference, or event was designed to (a) educate you on issues
 1025 relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and
 1026 skills relative to your duties as an officer or employee of your agency. Any lodging, transportation,
 1027 money, or other thing of value received by an officer or employee that does not satisfy the provisions of
 1028 clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E.

1029 List payments or reimbursements by an advisory or governmental agency only for meetings or travel
 1030 outside the Commonwealth.

1031 List a payment even if you donated it to charity.

1032 Do not list information about a payment if you returned it within 60 days or if you received it from
 1033 an employer already listed under Item 6 or from a source of income listed on Schedule F.

1034 If no payment must be listed, check here / /.

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Type of payment
 (e.g. honoraria,
 travel reimburse-
 ment, etc.)

Payer	Approximate Value	Circumstances	Type of payment (e.g. honoraria, travel reimburse- ment, etc.)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 six months, (i)
 furnished you or a member of your immediate family with any gift or entertainment at a single event,
 and the value received by you exceeded \$50, in value or (ii) furnished you or a member of your
 immediate family with gifts or entertainment in any combination and the total value received by you
 exceeded \$100 in total value, and for which you or the member of your immediate family neither paid
 nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless
 the average value per person attending the event exceeded \$50 in value. Do not list business
 entertainment related to your the private profession or occupation of you or the member of your
 immediate family who received such business entertainment. Do not list gifts or other things of value
 given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list
 campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of
 the Code of Virginia.

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Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a
 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
 family, separately or together, own an interest having a value in excess of \$10,000 \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
 Account for business interests held in trust.

1080						
1081	Name of Business,			Gross Income		
1082	Corporation,					
1083	Partnership,	City or	Nature of Enterprise	\$50,001	More	
1084	Farm; Address of	County	(farming, law, rental	\$50,000	to	than
1085	Rental Property	and State	property, etc.)	or less	\$250,000	\$250,000
1086	_____	_____	_____	_____	_____	_____
1087	_____	_____	_____	_____	_____	_____
1088	_____	_____	_____	_____	_____	_____
1089	_____	_____	_____	_____	_____	_____
1090	_____					

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

				Amount Received				
		Pur-						
		pose						
Name	Type	of	Name	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
of	of	Repre-	of	to	to	to	to	and
Busi-	Busi-	senta-	Agen-	\$10,000	\$50,000	\$100,000	\$250,000	over
ness	ness	tion	cy					
_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past ~~12~~ six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency
_____	_____
_____	_____
_____	_____
_____	_____

SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

1138 Indicate below types of businesses that operate in Virginia to which services were furnished by you
 1139 or persons with whom you have a close financial association pursuant to an agreement between you and
 1140 such businesses, or between persons with whom you have a close financial association and such
 1141 businesses and for which total compensation in excess of \$1,000 was received during the past 12 six
 1142 months. *Services reported in this Schedule shall not include services involving the representation of*
 1143 *businesses that are reported in Schedule G-1 or G-2.*

1144 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
 1145 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 1146 falling within each category.

1147							
1148							
1149	Check	Value of Compensation					
1150	if	Type					
1151	ser-	of					
1152	vices	ser-					
1153	were	vice	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
1154	ren-	ren-	to	to	to	to	and
1155	dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	over
1156	Electric utilities						
1157	Gas utilities						
1158	Telephone utilities						
1159	Water utilities						
1160	Cable television						
1161	companies						
1162	Interstate						
1163	transportation						
1164	companies						
1165	Intrastate						
1166	transportation						
1167	companies						
1168	Oil or gas retail						
1169	companies						
1170	Banks						
1171	Savings institutions						
1172	Loan or finance						
1173	companies						
1174	Manufacturing						
1175	companies (state						
1176	type of product,						
1177	e.g., textile,						
1178	furniture, etc.)						
1179	Mining companies						
1180	Life insurance						
1181	companies						
1182	Casualty insurance						
1183	companies						
1184	Other insurance						
1185	companies						
1186	Retail companies						
1187	Beer, wine or liquor						
1188	companies or						
1189	distributors						
1190	Trade associations						
1191	Professional						
1192	associations						
1193	Associations of						
1194	public employees						

- 1195 or officials _____
- 1196 Counties, cities _____
- 1197 or towns _____
- 1198 Labor organizations _____
- 1199 Other _____

RETURN TO ITEM 9

1202 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

1203 List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more than \$5,000. Each parcel shall be listed individually.

1204	1205	1206	1207
1208	1209	1210	1211
1212	1213	1214	1215
1216	1217	1218	1219

1219 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

1220 List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at \$10,000 or more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

1221	1222	1223	1224
1225	1226	1227	1228
1229	1230	1231	1232
1233	1234	1235	1236
1237	1238	1239	1240

1241 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

1242 List all contracts, whether pending or completed within the past 12 six months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000 or more. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

- 1249 State officers and employees report contracts with state agencies.
- 1250 Local officers and employees report contracts with local agencies.

HOUSE SUBSTITUTE

HB121IH2

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List your real estate interest and the person or entity, including the type of entity, which is party to the contract.

Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

§ 2.2-3118. Disclosure form; certain citizen members.

A. The financial disclosure form to be used for filings required pursuant to subsection B of § 2.2-3114 and subsection B of § 2.2-3115 shall be signed by the filer either originally or by electronic signature as authorized by the Uniform Electronic Transactions Act (~~§ 59.1-479 et seq.~~) filed in accordance with the provisions of § 30-349. The financial disclosure form shall be substantially as follows:

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the same household as the filer; and who is a dependent of the filer or of whom the filer is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the filer, or provides to the filer, more than one-half of his financial support.

"Personal interest" means, for the purposes of this form only, a personal and financial benefit or liability accruing to a filer or a member of his immediate family. Such interest shall exist by reason of (i) ownership in real or personal property, tangible or intangible; (ii) ownership in a business; (iii) income from a business; or (iv) personal liability on behalf of a business; however, unless the ownership interest in a business exceeds three percent of the total equity of the business, or the liability on behalf of a business exceeds three percent of the total assets of the business, or the annual income, and/or property or use of such property, from the business exceeds \$10,000 or may reasonably be anticipated to exceed \$10,000, such interest shall not constitute a "personal interest."

Name
Office or position held or to be held
.....
Address

I. FINANCIAL INTERESTS

1312 My personal interests and those of my immediate family are as follows:
1313 Include all forms of personal interests held at the time of filing: real estate, stocks, bonds, equity
1314 interests in proprietorships and partnerships. You may exclude:

1315 1. Deposits and interest bearing accounts in banks, savings institutions and other institutions
1316 accepting such deposits or accounts;

1317 2. Interests in any business, other than a news medium, representing less than three percent of the
1318 total equity value of the business;

1319 3. Liability on behalf of any business representing less than three percent of the total assets of such
1320 business; and

1321 4. Income (other than from salary) less than \$10,000 annually from any business. You need not state
1322 the value of any interest. You must state the name or principal business activity of each business in
1323 which you have a personal interest.

1324 A. My personal interests are:

1325 1. Residence, address, or, if no address, location

1326 2. Other real estate, address, or, if no address, location

1327 3. Name or principal business activity of each business in which stock, bond or equity interest is
1328 held

1329 B. The personal interests of my immediate family are:

1330 1. Real estate, address or, if no address, location

1331 2. Name or principal business activity of each business in which stock, bond or equity interest is
1332 held

1333 II. OFFICES, DIRECTORSHIPS AND SALARIED EMPLOYMENTS

1334 The paid offices, paid directorships and salaried employments which I hold or which members of my
1335 immediate family hold and the businesses from which I or members of my immediate family receive
1336 retirement benefits are as follows:

1337 (You need not state any dollar amounts.)

1338 A. My paid offices, paid directorships and salaried employments are:

1339 _____

1340 Position held Name of business

1341 _____

1342 _____

1343 _____

1344 _____

1345 _____

1346 B. The paid offices, paid directorships and salaried employments of members of my immediate
1347 family are:

1348 _____

1349 Position held Name of business

1350 _____

1351 _____

1352 _____

1353 _____

1354 _____

1355 III. BUSINESSES TO WHICH SERVICES WERE FURNISHED

1356 A. The businesses I have represented, excluding activity defined as lobbying in § 2.2-419, before any
1357 state governmental agency, excluding any court or judge, for which I have received total compensation
1358 in excess of \$1,000 during the preceding year, excluding compensation for other services to such
1359 businesses and representation consisting solely of the filing of mandatory papers, are as follows:

1360 Identify businesses by name and name the state governmental agencies before which you appeared on
1361 behalf of such businesses.

1362 _____

1363 Name of business Name of governmental agency

1364 _____

1365 _____

1366 _____

1367 _____

1368 _____

1369 B. The businesses that, to my knowledge, have been represented, excluding activity defined as
1370 lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons

1371 with whom I have a close financial association and who received total compensation in excess of \$1,000
1372 during the preceding year, excluding compensation for other services to such businesses and
1373 representation consisting solely of the filing of mandatory papers, are as follows:

1374 Identify businesses by type and name the state governmental agencies before which such person
1375 appeared on behalf of such businesses.

1376 _____

1377

1378 Type of business	Name of state governmental agency
1379 _____	_____
1380 _____	_____
1381 _____	_____

1382

1383 C. All other businesses listed below that operate in Virginia to which services were furnished
1384 pursuant to an agreement between you and such businesses and for which total compensation in excess
1385 of \$1, 000 was received during the preceding year:

1386 Check each category of business to which services were furnished.

- 1387 _____
- 1388
- 1389 Electric utilities _____
 - 1390 Gas utilities _____
 - 1391 Telephone utilities _____
 - 1392 Water utilities _____
 - 1393 Cable television companies _____
 - 1394 Intrastate transportation companies _____
 - 1395 Interstate transportation companies _____
 - 1396 Oil or gas retail companies _____
 - 1397 Banks _____
 - 1398 Savings institutions _____
 - 1399 Loan or finance companies _____
 - 1400 Manufacturing companies (state type
 - 1401 of product, e.g., textile, furniture,
 - 1402 etc.) _____
 - 1403 Mining companies _____
 - 1404 Life insurance companies _____
 - 1405 Casualty insurance companies _____
 - 1406 Other insurance companies _____
 - 1407 Retail companies _____
 - 1408 Beer, wine or liquor companies or
 - 1409 distributors _____
 - 1410 Trade associations _____
 - 1411 Professional associations _____
 - 1412 Associations of public employees or
 - 1413 officials _____
 - 1414 Counties, cities or towns _____
 - 1415 Labor organizations _____

1416

1417 IV. COMPENSATION FOR EXPENSES

1418 The persons, associations, or other sources other than my governmental agency from which I or a
1419 member of my immediate family received remuneration in excess of \$200 during the preceding year, in
1420 cash or otherwise, as honorariums or payment of expenses in connection with my attendance at any
1421 meeting or other function to which I was invited in my official capacity are as follows:

1422 _____

1423

1424 Name of Source	Description of occasion	Amount of remuneration for each occasion
1425 _____	_____	_____
1426 _____	_____	_____
1427 _____	_____	_____
1428 _____	_____	_____

1429
1430 B. The provisions of Part III A and B of the disclosure form prescribed by this section shall not be
1431 applicable to officers and employees of local governmental and local advisory agencies.

1432 C. Except for real estate located within the county, city or town in which the officer or employee
1433 serves or a county, city or town contiguous to the county, city or town in which the officer or employee
1434 serves, officers and employees of local governmental or advisory agencies shall not be required to
1435 disclose under Part I of the form any other interests in real estate.

1436 **§ 2.2-3118.1. Special provisions for individuals serving in or seeking multiple positions or**
1437 **offices; reappointees.**

1438 A. The filing of a single current statement of economic interests by a state officer or employee
1439 required to file the form prescribed in § 2.2-3117 shall suffice for the purposes of this chapter as filing
1440 for all state positions or offices held or sought by such individual during a single reporting period. The
1441 filing of a single current financial disclosure statement by a state officer or employee required to file the
1442 form prescribed in § 2.2-3118 shall suffice for the purposes of this chapter as filing for all state
1443 positions or offices held or sought by such individual and requiring the filing of the § 2.2-3118 form
1444 during a single reporting period.

1445 B. Any individual who has met the requirement for ~~annually~~ *periodically* filing a statement provided
1446 in § 2.2-3117 or 2.2-3118 shall not be required to file an additional statement upon such individual's
1447 reappointment to the same office or position for which he is required to file, provided such
1448 reappointment occurs within *six months after filing a statement pursuant to § 2.2-3117 and within 12*
1449 *months after the annual filing a statement pursuant to § 2.2-3118.*

1450 **§ 2.2-3121. Advisory opinions.**

1451 A. A state officer or employee shall not be prosecuted for a knowing violation of this chapter if the
1452 alleged violation resulted from his good faith reliance on a written opinion of the Attorney General *or*
1453 *the Virginia Conflict of Interest and Ethics Advisory Council* made in response to his written request for
1454 such opinion and the opinion was made after a full disclosure of the facts.

1455 B. A local officer or employee shall not be prosecuted for a knowing violation of this chapter if the
1456 alleged violation resulted from his good faith reliance on a written opinion of the attorney for the
1457 Commonwealth *or the Council* made in response to his written request for such opinion and the opinion
1458 was made after a full disclosure of the facts. The written opinion shall be a public record and shall be
1459 released upon request.

1460 C. If any officer or employee serving at the local level of government is charged with a knowing
1461 violation of this chapter, and the alleged violation resulted from his reliance upon a written opinion of
1462 his city, county or town attorney, made after a full disclosure of the facts, that such action was not in
1463 violation of this chapter, then the officer or employee shall have the right to introduce a copy of the
1464 opinion at his trial as evidence that he did not knowingly violate this chapter.

1465 **§ 2.2-3131. Exemptions.**

1466 A. The requirements of § 2.2-3130 shall not apply to state filers with a state agency who have taken
1467 an equivalent ethics orientation course through another state agency within the time periods set forth in
1468 subdivision 1 or 2 of § 2.2-3130, as applicable.

1469 B. State agencies may jointly conduct and state filers from more than one state agency may jointly
1470 attend an orientation course required by § 2.2-3128, as long as the course content is relevant to the
1471 official duties of the attending state filers.

1472 C. Before conducting each orientation course required by § 2.2-3128, state agencies shall consult with
1473 the Attorney General *and the Virginia Conflict of Interest and Ethics Advisory Council* regarding
1474 appropriate course content.

1475 **§ 30-100. Declaration of legislative policy; construction.**

1476 The General Assembly, recognizing that our system of representative government is dependent in
1477 part upon (i) citizen legislative members representing fully the public in the legislative process and (ii)
1478 its citizens maintaining the highest trust in their public officers, finds and declares that the citizens are
1479 entitled to be assured that the judgment of the members of the General Assembly will not be
1480 compromised or affected by inappropriate conflicts.

1481 *The provisions of this chapter do not preclude prosecution for any violation of any criminal law of*
1482 *the Commonwealth, including Articles 2 (Bribery and Related Offenses, § 18.2-438 et seq.) and 3*
1483 *(Bribery of Public Servants and Party Officials, § 18.2-446 et seq.) of Chapter 10 of Title 18.2, and do*
1484 *not constitute a defense to any prosecution for such a violation.*

1485 This chapter shall apply to the members of the General Assembly.

1486 This chapter shall be liberally construed to accomplish its purpose.

1487 **§ 30-101. Definitions.**

1488 As used in this chapter, unless the context requires a different meaning:

1489 "Advisory agency" means any board, commission, committee or post which does not exercise any

1490 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
 1491 the purpose of making studies or recommendations, or advising or consulting with a governmental
 1492 agency.

1493 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 1494 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 1495 whether or not for profit.

1496 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
 1497 behalf of a governmental agency ~~which~~ *that* involves the payment of money appropriated by the General
 1498 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
 1499 Commonwealth of Virginia, or some political subdivision thereof. "Contract" includes a subcontract only
 1500 when the contract of which it is a part is with the legislator's own governmental agency.

1501 "*Council*" means the Virginia Conflict of Interest and Ethics Advisory Council established in
 1502 § 30-348.

1503 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
 1504 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
 1505 investment company or advisor registered under the federal Investment Advisors Act or Investment
 1506 Company Act of 1940.

1507 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
 1508 having monetary value. It includes services as well as gifts of transportation, ~~local travel~~, lodgings and
 1509 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
 1510 expense has been incurred. "Gift" ~~shall~~ *does* not include (i) any offer of a ticket, *coupon*, or other
 1511 admission or pass unless the ticket, *coupon*, admission, or pass is used: "Gift" ~~shall not include~~; (ii)
 1512 honorary degrees ~~and presents~~; (iii) *any athletic, merit, or need-based scholarship or any other financial*
 1513 *aid awarded by a public or private school, institution of higher education, or other educational program*
 1514 *pursuant to such school, institution, or program's financial aid standards and procedures applicable to*
 1515 *the general public*; (iv) *a campaign contribution properly received and reported pursuant to Chapter 9.3*
 1516 *(§ 24.2-945 et seq.) of Title 24.2*; (v) *any gift related to the private profession or occupation of a*
 1517 *legislator or of a member of his immediate family*; or (vi) *gifts from relatives or personal friends*. For
 1518 the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, or nephew;
 1519 a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent,
 1520 grandchild, brother, or sister; or the donee's brother's or sister's spouse. *For the purpose of this*
 1521 *definition, "personal friend" does not include any person that the filer knows or has reason to know is*
 1522 *(a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a*
 1523 *lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to*
 1524 *or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition,*
 1525 *"person, organization, or business" includes individuals who are officers, directors, or owners of or who*
 1526 *have a controlling ownership interest in such organization or business.*

1527 "Governmental agency" means each component part of the legislative, executive or judicial branches
 1528 of state and local government, including each office, department, authority, post, commission,
 1529 committee, and each institution or board created by law to exercise some regulatory or sovereign power
 1530 or duty as distinguished from purely advisory powers or duties.

1531 "Immediate family" means (i) a spouse ~~and~~, (ii) ~~any other person residing~~ *child who resides* in the
 1532 same household as the legislator, ~~and who is a dependent of the legislator or of whom the legislator is a~~
 1533 ~~dependent.~~ "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether
 1534 ~~or not related by blood or marriage, if such person receives from the legislator, or provides to the~~
 1535 ~~legislator, more than one-half of his financial support.~~

1536 "Legislator" means a member of the General Assembly.

1537 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his
 1538 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership
 1539 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may
 1540 reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal property or a
 1541 business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any
 1542 combination thereof, paid or provided by a business *or governmental agency* that exceeds, or may
 1543 reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or personal
 1544 property if the interest exceeds ~~\$10,000~~ \$5,000 in value and excluding ownership in a business, income,
 1545 or salary, other compensation, fringe benefits or benefits from the use of property; ~~or~~ (v) personal
 1546 liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset
 1547 value of the business; *or (vi) an option for ownership of a business or real or personal property if the*
 1548 *ownership interest will consist of clause (i) or (iv).*

1549 "Personal interest in a contract" means a personal interest ~~which~~ *that* a legislator has in a contract
 1550 with a governmental agency, whether due to his being a party to the contract or due to a personal
 1551 interest in a business ~~which~~ *that* is a party to the contract.

1552 "Personal interest in a transaction" means a personal interest of a legislator in any matter considered
 1553 by the General Assembly. Such personal interest exists when an officer or employee or a member of his
 1554 immediate family has a personal interest in property or a business, or represents *or provides services to*
 1555 any individual or business and such property, business or represented *or served* individual or business (i)
 1556 is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or
 1557 detriment as a result of the action of the agency considering the transaction. A "personal interest in a
 1558 transaction" exists only if the legislator or member of his immediate family or an individual or business
 1559 represented *or served* by the legislator is affected in a way that is substantially different from the
 1560 general public or from persons comprising a profession, occupation, trade, business or other comparable
 1561 and generally recognizable class or group of which he or the individual or business he represents *or*
 1562 *serves* is a member.

1563 "Transaction" means any matter considered by the General Assembly, whether in a committee,
 1564 subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which
 1565 official action is taken or contemplated.

1566 **§ 30-103.1. Certain gifts prohibited.**

1567 A. For purposes of this section:

1568 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain
 1569 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
 1570 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
 1571 disclosure form prescribed in § 2.2-3117.

1572 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
 1573 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
 1574 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
 1575 prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any
 1576 intangible gift.

1577 B. A legislator or candidate for the General Assembly required to file the disclosure form prescribed
 1578 in § 30-111 (i) shall not solicit, accept, or receive within any calendar year any single tangible gift with
 1579 a value in excess of \$250 or a combination of tangible gifts with an aggregate value in excess of \$250
 1580 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3
 1581 (§ 2.2-418 *et seq.*) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a
 1582 person, organization, or business who is a party to or is seeking to become a party to a contract with
 1583 the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift
 1584 received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall
 1585 report any payments for talks, meetings, and publications on Schedule D-1 of such disclosure form. For
 1586 purposes of this subsection, "person, organization, or business" includes individuals who are officers,
 1587 directors, or owners of or who have a controlling ownership interest in such organization or business.

1588 C. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council
 1589 every five years, as of January 1 of that year, in an amount equal to the annual increases for that
 1590 five-year period in the United States Average Consumer Price Index for all items, all urban consumers
 1591 (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to
 1592 the nearest whole dollar.

1593 **§ 30-110. Disclosure.**

1594 A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure
 1595 statement of his personal interests and such other information as is specified on the form set forth in
 1596 § 30-111 and thereafter shall file such a statement ~~annually on or before January 8~~ *semiannually by*
 1597 *December 15 for the preceding six-month period complete through the last day of October and by June*
 1598 *15 for the preceding six-month period complete through the last day of April.* When the filing deadline
 1599 falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that
 1600 is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be provided by the ~~clerk of the~~
 1601 *appropriate house to each legislator and legislator-elect not later than November 30 of each year*
 1602 *Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline.*
 1603 *Members of the Senate shall file their disclosure forms with the Clerk of the Senate and members of the*
 1604 *House of Delegates shall file their disclosure forms with the Clerk of the House of Delegates Virginia*
 1605 *Conflict of Interest and Ethics Advisory Council.* The disclosure forms of the members of the General
 1606 Assembly shall be maintained as public records for five years in the office of the ~~clerk of the~~
 1607 *appropriate house Virginia Conflict of Interest and Ethics Advisory Council.*

1608 B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as
 1609 required by §§ 24.2-500 through 24.2-503.

1610 C. Any legislator who has a personal interest in any transaction pending before the General
 1611 Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the
 1612 rules of his house shall disclose his interest in accordance with the applicable rule of his house.

1613 § 30-111. Disclosure form.

1614 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be
1615 substantially as follows:

1616 STATEMENT OF ECONOMIC INTERESTS.

- 1617 Name
- 1618 Office or position held or sought
- 1619 ~~Home address~~ Address
- 1620 Names of members of immediate family

1621 DEFINITIONS AND EXPLANATORY MATERIAL.

1622 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1623 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1624 whether or not for profit.

1625 "Close financial association" means an association in which the filer shares significant financial
1626 involvement with an individual and the filer would reasonably be expected to be aware of the
1627 individual's business activities and would have access to the necessary records either directly or through
1628 the individual. "Close financial association" does not mean an association based on (i) the receipt of
1629 retirement benefits or deferred compensation from a business by which the legislator is no longer
1630 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent
1631 contractor of a business that represents an entity before any state governmental agency when the
1632 legislator has had no communications with the state governmental agency.

1633 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1634 fixed or determined in the future with the occurrence of some certain event.

1635 "Dependent" means any person, whether or not related by blood or marriage, who receives from the
1636 legislator, or provides to the legislator, more than one-half of his financial support.

1637 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1638 having monetary value. It includes services as well as gifts of transportation, ~~local travel~~, lodgings and
1639 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
1640 expense has been incurred. "Gift" shall does not include (i) any offer of a ticket, coupon, or other
1641 admission or pass unless the ticket, coupon, admission, or pass is used; "Gift" shall not include; (ii)
1642 honorary degrees and presents; (iii) any athletic, merit, or need-based scholarship or any other financial
1643 aid awarded by a public or private school, institution of higher education, or other educational program
1644 pursuant to such school, institution, or program's financial aid standards and procedures applicable to
1645 the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3
1646 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a
1647 legislator or of a member of his immediate family; or (vi) gifts from relatives or personal friends.

1648 "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee
1649 is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister;
1650 or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer
1651 knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of
1652 Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization,
1653 or business who is a party to or is seeking to become a party to a contract with the Commonwealth.
1654 "Person, organization, or business" includes individuals who are officers, directors, or owners of or who
1655 have a controlling ownership interest in such organization or business.

1656 "Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the
1657 same household as the legislator; and who is a dependent of the legislator or of whom the legislator is a
1658 dependent.

1659 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
1660 services, consulting services, or public relations services, whether gratuitous or for compensation,
1661 between a member or member-elect and any person who is, or has been within the prior calendar year,
1662 registered as a lobbyist with the ~~Secretary of the Commonwealth~~ Virginia Conflict of Interest and Ethics
1663 Advisory Council, or (ii) a greater than three percent ownership interest by a member or member-elect in
1664 a business that employs, or engages as an independent contractor, any person who is, or has been within
1665 the prior calendar year, registered as a lobbyist with the ~~Secretary of the Commonwealth~~ Council. The
1666 disclosure of a lobbyist relationship shall not (i) (a) constitute a waiver of any attorney-client or other
1667 privilege, (ii) (b) require a waiver of any attorney-client or other privilege for a third party, or (iii) (c)
1668 be required where a member or member-elect is employed or engaged by a person and such person also
1669 employs or engages a person in a lobbyist relationship so long as the member or member-elect has no
1670 financial interest in the lobbyist relationship.

1671 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
1672 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
1673 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if

1674 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
1675 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
1676 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1677 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
1678 Statement must be provided on the basis of the best knowledge, information and belief of the individual
1679 filing the Statement as of the date of this report unless otherwise stated.

1680 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

1681 You may attach additional explanatory information.

1682 1. Offices and Directorships.

1683 Are you or a member of your immediate family a paid officer or paid director of a business?

1684 EITHER check NO / / OR check YES / / and complete Schedule A.

1685 2. Personal Liabilities.

1686 Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor
1687 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens
1688 on property at least equal in value to the loan.)

1689 EITHER check NO / / OR check YES / / and complete Schedule B.

1690 3. Securities.

1691 Do you or a member of your immediate family, directly or indirectly, separately or together, own
1692 securities valued in excess of ~~\$10,000~~ \$5,000 invested in one business? Account for mutual funds,
1693 limited partnerships and trusts.

1694 EITHER check NO / / OR check YES / / and complete Schedule C.

1695 4. Payments for Talks, Meetings, and Publications.

1696 During the past ~~12~~ six months did you receive *in your capacity as a legislator* lodging,
1697 transportation, money, or anything else of value with a combined value exceeding \$200 (i) for a single
1698 talk, meeting, or published work ~~in your capacity as a legislator~~ or (ii) for a meeting, conference, or
1699 event where your attendance at the meeting, conference, or event was designed to (a) educate you on
1700 issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance
1701 your knowledge and skills relative to your duties as a legislator? Do not include payments and
1702 reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see
1703 Question 11 and Schedule D2 to report such meetings.

1704 EITHER check NO / / OR check YES / / and complete Schedule D.

1705 5. Gifts.

1706 During the past ~~12~~ six months did a business, government, or individual other than a relative or
1707 personal friend (i) furnish you *or a member of your immediate family* with any gift or entertainment at a
1708 single event, and the value received ~~by you~~ exceeded \$50 ~~in value~~ or (ii) furnish you *or a member of*
1709 *your immediate family* with gifts or entertainment in any combination and the total value received ~~by~~
1710 ~~you~~ exceeded \$100 ~~in total value~~, and for which you *or the member of your immediate family* neither
1711 paid nor rendered services in exchange? Account for entertainment events only if the average value per
1712 person attending the event exceeded \$50 ~~in value~~. Account for all business entertainment (except if
1713 related to ~~your~~ the private profession or occupation of you or the member of your immediate family who
1714 received such business entertainment) even if unrelated to your official duties.

1715 EITHER check NO / / OR check YES / / and complete Schedule E.

1716 6. Salary and Wages.

1717 List each employer that pays you or a member of your immediate family salary or wages in excess
1718 of ~~\$10,000~~ \$5,000 annually. (Exclude any salary received as a member of the General Assembly
1719 pursuant to § 30-19.11.)

1720 If no reportable salary or wages, check here / /.

1721 _____
1722 _____
1723 _____

1724 7. Business Interests and Lobbyist Relationships.

1725 7A. Do you or a member of your immediate family, separately or together, operate your own
1726 business, or own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

1727 EITHER check NO / / OR check YES / / and complete Schedule F-1.

1728 7B. Do you have a lobbyist relationship as that term is defined above?

1729 EITHER check NO / / OR check YES / / and complete Schedule F-2.

1730 8. Payments for Representation and Other Services.

1731 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
1732 judges, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000,
1733 excluding compensation for other services to such businesses and representation consisting solely of the
1734 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1735 EITHER check NO // OR check YES // and complete Schedule G-1.

1736 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
1737 association (partners, associates or others) represent any businesses before any state governmental agency
1738 for which total compensation was received during the past ~~12~~ six months in excess of \$1,000?

1739 EITHER check NO // OR check YES // and complete Schedule G-2.

1740 8C. Did you or persons with whom you have a close financial association furnish services to
1741 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
1742 persons with whom you have a close financial association and such businesses for which total
1743 compensation in excess of \$1,000 was received during the past ~~12~~ six months? Services reported under
1744 this provision shall not include services involving the representation of businesses that are reported
1745 under question 8A or 8B above.

1746 EITHER check NO // OR check YES // and complete Schedule G-3.

1747 9. Real Estate.

1748 Do you or a member of your immediate family hold an interest, including a partnership interest,
1749 valued at ~~\$10,000~~ or more than \$5,000 in real property (other than your principal residence) for which
1750 you have not already listed the full address on Schedule F? Account for real estate held in trust.

1751 EITHER check NO // OR check YES // and complete Schedule H.

1752 10. Real Estate Contracts with State Governmental Agencies.

1753 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000
1754 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,
1755 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six
1756 months, with a state governmental agency?

1757 If the real estate contract provides for the leasing of the property to a state governmental agency, do
1758 you or a member of your immediate family hold an interest in the real estate, including a corporate,
1759 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
1760 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
1761 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
1762 business unless the ownership interest exceeds three percent of the total equity of the business.

1763 EITHER check NO // OR check YES // and complete Schedule I.

1764 11. Payments by the Commonwealth for Meetings.

1765 During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of
1766 value with a combined value exceeding \$200 from the Commonwealth for a single meeting attended
1767 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
1768 meetings attended in the Commonwealth.

1769 EITHER check NO // OR check YES // and complete Schedule D-2.

1770 For Statements filed in January 2016 and each two years thereafter, complete the following
1771 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

1772 I certify that I completed ethics training as required by § 30-129.1. YES // or NO // .

1773 Statements of Economic Interests are open for public inspection.

1774 AFFIRMATION.

1775 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
1776 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
1777 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
1778 will satisfy such request or be subjected to disciplinary action of my house.

1779 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1780 Signature _____ (Such signature shall be deemed to constitute a
1781 valid notarization and shall have the same effect as if performed by a notary public.)

1782 Commonwealth of Virginia

1783 _____ of _____ to wit:

1784 ~~The foregoing disclosure form was acknowledged before me~~

1785 ~~This _____ day of _____, 20____, by _____~~

1786 ~~_____~~ Notary Public

1787 ~~My commission expires _____~~

1788 (Return only if needed to complete Statement.)

1789 SCHEDULES

1790 to

1791 STATEMENT OF ECONOMIC INTERESTS.

1792 NAME _____

1793 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1794 Identify each business of which you or a member of your immediate family is a paid officer or paid
1795 director.

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Name of Business	Address of Business	Position Held <i>and by Whom</i>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000 \$5,000.
Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check	Check one	
appropriate	\$10,001	More than
categories	\$5,001 to	\$50,000
	\$50,000	\$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses:		
(State principal business activity for each creditor <i>and its name.</i>)		
_____	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors:		
(State principal business or occupation of each creditor <i>and its name.</i>)		
_____	_____	_____
_____	_____	_____
_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

Check	Check one	
appropriate	\$10,001	More than
categories	\$5,001 to	\$50,000
	\$50,000	\$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses:		
(State principal business activity for each creditor <i>and its name.</i>)		
_____	_____	_____
_____	_____	_____
_____	_____	_____

HOUSE SUBSTITUTEE

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1853 _____
 1854 _____
 1855 Individual creditors:
 1856 (State principal business or occupation of
 1857 each creditor *and its name.*)
 1858 _____
 1859 _____
 1860 _____
 1861 _____

RETURN TO ITEM 3

1862
1863 SCHEDULE C - SECURITIES.

1864 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 1865 mutual funds, limited partnerships, certificates of deposit,
 1866 and commodity futures contracts. money market funds, annuity
 1867 contracts, and insurance policies.

1868 Identify each business or Virginia governmental entity in which you or a member of your immediate
 1869 family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000.
 1870 Name each ~~entity~~ issuer and type of security individually.

1871 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 1872 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 1873 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 1874 in trust.

1875 If no reportable securities, check here / /.

1876 _____

1877 _____

1878 _____

1879 _____

1880 _____

1881 _____

1882 _____

1883 _____

1884 _____

1885 _____

1886 _____

1887 _____

RETURN TO ITEM 4

1888
1889 SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1890 List each source from which you received during the past ~~12~~ six months *in your capacity as a*
 1891 *legislator* lodging, transportation, money, or any other thing of value (~~excluding meals or drinks~~
 1892 ~~coincident with a meeting~~) with a combined value exceeding \$200 (i) for your presentation of a single
 1893 talk, participation in one meeting, or publication of a work ~~in your capacity as a legislator~~ or (ii) for
 1894 *your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or*
 1895 *event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues*
 1896 *faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a*
 1897 *legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does*
 1898 *not satisfy the criteria of clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E. Do not*
 1899 *list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or*
 1900 *reimbursements.) List a payment even if you donated it to charity. Do not list information about a*
 1901 *payment if you returned it within 60 days or if you received it from an employer already listed under*
 1902 *Item 6 or from a source of income listed on Schedule F.*

1903 If no payment must be listed, check here / /.

1904 _____

1905 _____

1906 _____

1907 _____

1908 _____

1909 _____

1910 _____

1911 _____

Type of Payment
 (e.g., Honoraria,
 Travel reimburse-
 ment, etc.)

Payer Approximate Value Circumstances

1912 _____
 1913 _____
 1914 _____

RETURN TO ITEM 5

1915
1916 SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

1917 List each meeting for which the Commonwealth provided payments or reimbursements during the
1918 past ~~12~~ six months to you for lodging, transportation, money, or any other thing of value (~~excluding~~
1919 ~~meals or drinks coincident with a meeting~~) with a combined value exceeding \$200 for your participation
1920 in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for
1921 meetings or travel within the Commonwealth.

1922 If no payment must be listed, check here / /.

1923 _____

1924	1925	1926	1927
Payer	Approximate Value	Circumstances	Type of Payment (e.g., Travel reimbursement, etc.)
1928 _____	1929 _____	1930 _____	1931 _____
1932 _____	1933 _____	1934 _____	1935 _____

1936 SCHEDULE E - GIFTS.

1937 List each business, governmental entity, or individual that, during the past ~~12~~ six months, (i)
1938 furnished you *or a member of your immediate family* with any gift or entertainment at a single event,
1939 and the value received ~~by you~~ exceeded \$50; ~~in value~~ or (ii) furnished you *or a member of your*
1940 *immediate family* with gifts or entertainment in any combination and the *total* value received ~~by you~~
1941 exceeded \$100 ~~in total value~~; and for which you *or the member of your immediate family* neither paid
1942 nor rendered services in exchange. List each such gift or event.

1943 Do not list entertainment events unless the average value per person attending the event exceeded
1944 \$50 ~~in value~~. Do not list business entertainment related to ~~you~~ *the private profession or occupation of*
1945 *you or the member of your immediate family who received such business entertainment*. Do not list gifts
1946 or other things of value given by a relative or personal friend for reasons clearly unrelated to your
1947 public position. Do not list campaign contributions publicly reported as required by Chapter 9.3
1948 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

1949 _____

1950	1951	1952	1953	1954	1955
Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value	
1956 _____	1957 _____	1958 _____	1959 _____	1960 _____	1961 _____
1962 _____	1963 _____	1964 _____	1965 _____	1966 _____	1967 _____

RETURN TO ITEM 6

1968 SCHEDULE F-1 - BUSINESS INTERESTS.

1969 Complete this Schedule for each self-owned or family-owned business (including rental property, a
1970 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
1971 family, separately or together, own an interest having a value in excess of ~~\$10,000~~ \$5,000.

1972 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
1973 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
1974 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
1975 Account for business interests held in trust.

1966 _____

1967

1968 Name of
 1969 Business
 1970 Corporation,

HOUSE SUBSTITUTED

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 2086

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000. Amount Received: _____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past ~~12~~ six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of Business	Name of State Governmental Agency
_____	_____
_____	_____
_____	_____
_____	_____

SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past ~~12~~ six months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2 above.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if Type ser- of vices ser- were vice ren- ren- dered dered	Value of Compensation					
		\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 to \$500,000	\$500,001 and over
Electric utilities	_____	_____	_____	_____	_____	_____	_____
Gas utilities	_____	_____	_____	_____	_____	_____	_____
Telephone utilities	_____	_____	_____	_____	_____	_____	_____
Water utilities	_____	_____	_____	_____	_____	_____	_____
Cable television	_____	_____	_____	_____	_____	_____	_____
companies	_____	_____	_____	_____	_____	_____	_____
Interstate	_____	_____	_____	_____	_____	_____	_____
transportation	_____	_____	_____	_____	_____	_____	_____
companies	_____	_____	_____	_____	_____	_____	_____
Intrastate	_____	_____	_____	_____	_____	_____	_____
transportation	_____	_____	_____	_____	_____	_____	_____
companies	_____	_____	_____	_____	_____	_____	_____
Oil or gas retail	_____	_____	_____	_____	_____	_____	_____
companies	_____	_____	_____	_____	_____	_____	_____
Banks	_____	_____	_____	_____	_____	_____	_____
Savings	_____	_____	_____	_____	_____	_____	_____
institutions	_____	_____	_____	_____	_____	_____	_____
Loan or finance	_____	_____	_____	_____	_____	_____	_____
companies	_____	_____	_____	_____	_____	_____	_____

2087	Manufacturing						
2088	companies (state						
2089	type of product,						
2090	e.g., textile,						
2091	furniture, etc.)	_____	_____	_____	_____	_____	_____
2092	Mining companies	_____	_____	_____	_____	_____	_____
2093	Life insurance						
2094	companies	_____	_____	_____	_____	_____	_____
2095	Casualty insurance						
2096	companies	_____	_____	_____	_____	_____	_____
2097	Other insurance						
2098	companies	_____	_____	_____	_____	_____	_____
2099	Retail companies	_____	_____	_____	_____	_____	_____
2100	Beer, wine or						
2101	liquor companies						
2102	or distributors	_____	_____	_____	_____	_____	_____
2103	Trade associations	_____	_____	_____	_____	_____	_____
2104	Professional						
2105	associations	_____	_____	_____	_____	_____	_____
2106	Associations of						
2107	public employees						
2108	or officials	_____	_____	_____	_____	_____	_____
2109	Counties, cities						
2110	or towns	_____	_____	_____	_____	_____	_____
2111	Labor organizations	_____	_____	_____	_____	_____	_____
2112	Other	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$40,000 \$5,000 or more. Each parcel ~~must~~ shall be listed individually.

2119	_____		
2120			
2121		Describe the type of real	
2122		estate you own in each	
2123	List the location	location (business,	If the real estate is
2124	(state, and county	recreational, apartment,	owned or recorded in
2125	or city where you	commercial, open land,	a name other than your
2126	own real estate	etc.)	own, list that name
2127	_____	_____	_____
2128	_____	_____	_____
2129	_____	_____	_____
2130	_____	_____	_____
2131	_____	_____	_____
2132	_____		

RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past ~~42~~ six months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at *more than* \$10,000 ~~or more~~. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at *more than* \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

2143	_____		
2144			

2145	List your real		
2146	estate interest and		
2147	the person or entity,		
2148	including the type of		
2149	entity, which is		
2150	party to the contract.		State the annual
2151	Describe any		income from the
2152	management role and	List each	contract, and the
2153	the percentage	governmental agency	amount, if any, of
2154	ownership interest	which is a party to	income you or any
2155	you or your immediate	the contract and	immediate family
2156	family member has in	indicate the county	member derives
2157	the real estate	or city where the	annually from
2158	or entity.	real estate is located.	the contract.
2159	_____	_____	_____
2160	_____	_____	_____
2161	_____	_____	_____
2162	_____	_____	_____
2163	_____	_____	_____
2164	_____	_____	_____

2165 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of
 2166 Economic Interests shall be subject to disciplinary action for such violations by the house in which the
 2167 legislator sits.

2168 C. ~~In accordance with the rules of each house, the~~ *The* Statement of Economic Interests of all
 2169 members of each house shall be reviewed ~~by the Council~~. If a legislator's Statement is found to be
 2170 inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement
 2171 correcting the indicated deficiencies, and a time shall be set within which such amendment shall be
 2172 filed. If the Statement of Economic Interests, in either its original or amended form, is found to be
 2173 adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the
 2174 information disclosed thereon.

2175 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
 2176 request the house in which those members sit, in accordance with the rules of that house, to review the
 2177 Statement of Economic Interests of another member of that house in order to determine the adequacy of
 2178 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
 2179 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
 2180 whose Statement is in issue. Should it be determined that the Statement requires correction,
 2181 augmentation or revision, the legislator involved shall be directed to make the changes required within
 2182 such time as shall be set under the rules of each house.

2183 If a legislator, after having been notified in writing in accordance with the rules of the house in
 2184 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
 2185 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
 2186 sits. No legislator shall vote on any question relating to his own Statement.

2187 **§ 30-112. Senate and House Ethics Advisory Panels; membership; terms; quorum;**
 2188 **compensation and expenses.**

2189 A. The Senate Ethics Advisory Panel and the House Ethics Advisory Panel are established in the
 2190 legislative branch of state government. The provisions of §§ 30-112 through 30-119 shall be applicable
 2191 to each panel.

2192 B. The Senate Ethics Advisory Panel shall be composed of five nonlegislative citizen members: three
 2193 of whom shall be former members of the Senate; and two of whom shall be citizens of the
 2194 Commonwealth at large who have not previously held such office. All members of the Panel shall be
 2195 citizens of the Commonwealth. No member shall engage in activities requiring him to register as a
 2196 lobbyist under § 2.2-422 during his tenure on the Panel.

2197 The members shall be nominated by the Committee on Rules of the Senate and confirmed by the
 2198 Senate *by a majority vote of (i) the members present of the majority party and (ii) the members present*
 2199 *of the minority party*. After initial appointments, all appointments shall be for terms of four years each
 2200 except for unexpired terms. Nominations shall be made so as to assure bipartisan representation on the
 2201 Panel.

2202 C. The House Ethics Advisory Panel shall be composed of five nonlegislative citizen members: one
 2203 of whom shall be a retired justice or judge of a court of record; two of whom shall be former members
 2204 of the House of Delegates; and two of whom shall be citizens of the Commonwealth at large, at least

2205 one of whom shall not have previously held such office. All members of the Panel shall be citizens of
 2206 the Commonwealth. No member shall engage in activities requiring him to register as a lobbyist under
 2207 § 2.2-422 during his tenure on the Panel.

2208 The members shall be nominated by the Speaker of the House of Delegates and confirmed by the
 2209 House of Delegates *by a majority vote of (i) the members present of the majority party and (ii) the*
 2210 *members present of the minority party.* After initial appointments, all appointments shall be for terms of
 2211 four years each except for unexpired terms. Nominations shall be made so as to assure bipartisan
 2212 representation on the Panel.

2213 D. Each panel shall elect its own chairman and vice-chairman from among its membership.

2214 E. No member shall serve more than three successive four-year terms. Vacancies shall be filled only
 2215 for the unexpired term. Vacancies shall be filled in the same manner as the original appointments. The
 2216 remainder of any term to which a member is appointed to fill a vacancy shall not constitute a term in
 2217 determining the member's eligibility for reappointment.

2218 F. Three members shall constitute a quorum on each panel. A vacancy shall not impair the right of
 2219 the remaining members to exercise all powers of the Panel. Meetings of each panel shall be held at the
 2220 call of the chairman or whenever the majority of the members so request.

2221 G. The members of each panel, while serving on the business of the Panel, are performing legislative
 2222 duties and shall be entitled to the compensation and reimbursement of expenses to which members of
 2223 the General Assembly are entitled when performing legislative duties pursuant to §§ 2.2-2813, 2.2-2825,
 2224 and 30-19.12. Funding for the cost of compensation and expenses of the members of the Senate Ethics
 2225 Advisory Panel shall be provided by the Office of the Clerk of the Senate and the funding for the cost
 2226 of compensation and expenses of the House Ethics Advisory Panel shall be provided by the Office of
 2227 the Clerk of the House of Delegates.

2228 **§ 30-114. Filing of complaints; procedures; disposition.**

2229 A. In response to the signed and sworn complaint of any citizen of the Commonwealth, which is
 2230 subscribed by the maker as true under penalty of perjury, submitted to the Panel, the Panel shall inquire
 2231 into any alleged violation of Articles 2 (§ 30-102 et seq.) through 5 (§ 30-109 et seq.) ~~of this chapter~~ by
 2232 any member of the respective house of the General Assembly in his current term or his immediate prior
 2233 term. Complaints shall be filed with the ~~Director of the Division of Legislative Services~~ *Virginia*
 2234 *Conflict of Interest and Ethics Advisory Council, who* which shall promptly (i) submit the complaint to
 2235 the chairman of the appropriate Panel and (ii) forward a copy of the complaint to the legislator named
 2236 in the complaint. The chairman shall promptly notify the Panel of the complaint. No complaint shall be
 2237 filed with the Panel 60 or fewer days before a primary election or other nominating event or before a
 2238 general election in which the cited legislator is running for office, and the Panel shall not accept or act
 2239 on any complaint received during this period.

2240 B. The Panel shall determine, during its preliminary investigation, whether the facts stated in the
 2241 complaint taken as true are sufficient to show a violation of Articles 2 (§ 30-102 et seq.) through 5
 2242 (§ 30-109 et seq.) ~~of this chapter~~. If the facts, as stated in the complaint, fail to give rise to such a
 2243 violation, then the Panel shall dismiss the complaint. If the facts, as stated in the complaint, give rise to
 2244 such a violation, then the Panel shall request that the complainant appear and testify under oath as to the
 2245 complaint and the allegations therein. After hearing the testimony and reviewing any other evidence
 2246 provided by the complainant, the Panel shall dismiss the complaint if the Panel fails to find by a
 2247 preponderance of the evidence that such violation has occurred. If the Panel finds otherwise, it shall
 2248 proceed with the inquiry.

2249 C. If after such preliminary investigation, the Panel determines to proceed with an inquiry into the
 2250 conduct of any legislator, the Panel (i) shall immediately notify in writing the individual who filed the
 2251 complaint and the cited legislator as to the fact of the inquiry and the charges against the legislator and
 2252 (ii) shall schedule one or more hearings on the matter. The legislator shall have the right to present
 2253 evidence, cross-examine witnesses, face and examine the accuser, and be represented by counsel at any
 2254 hearings. In its discretion, the Panel may grant the legislator any other rights or privileges not
 2255 specifically enumerated in this subsection. Once the Panel has determined to proceed with an inquiry, its
 2256 meetings and hearings shall be open to the public.

2257 D. Once the Panel determines to proceed with an inquiry into the conduct of any legislator, the Panel
 2258 shall complete its investigations and dispose of the matter as provided in § 30-116 notwithstanding the
 2259 resignation of the legislator during the course of the Panel's proceedings.

2260 **§ 30-117. Confidentiality of proceedings.**

2261 All proceedings during the investigation of any complaint by the Panel shall be confidential. This
 2262 rule of confidentiality shall apply to Panel members and their staff ~~and~~, the Committee on Privileges and
 2263 Elections and its staff, *and the Virginia Conflict of Interest and Ethics Advisory Council.*

2264 **§ 30-118. Staff for Panel.**

2265 The Panel may hire staff and outside counsel to assist the Panel and to conduct examinations of
 2266 witnesses, subject to the approval of the President Pro Tempore of the Senate for the Senate Ethics

2267 Advisory Panel and subject to the approval of the Speaker of the House of Delegates for the House
2268 Ethics Advisory Panel. The Panel may have the Director of the Division of Legislative Services, and
2269 such additional staff as he may assign, assist the Panel during its preliminary investigation and during its
2270 proceedings.

2271 **§ 30-124. Advisory opinions.**

2272 A legislator shall not be prosecuted or disciplined for a violation of this chapter if his alleged
2273 violation resulted from his good faith reliance on a written opinion of a committee on standards of
2274 conduct established pursuant to § 30-120, ~~or~~ an opinion of the Attorney General as provided in
2275 § 30-122, or a formal opinion of the Virginia Conflict of Interest and Ethics Advisory Council
2276 established pursuant to § 30-348, and the opinion was made after his full disclosure of the facts.

2277 Article 6.

2278 Ethics Orientation Sessions.

2279 **§ 30-129.1. Orientation sessions on ethics and conflicts of interests.**

2280 The Virginia Conflict of Interest and Ethics Advisory Council shall conduct an orientation session (i)
2281 for new and returning General Assembly members preceding each even-numbered year regular session
2282 and (ii) for any new General Assembly member who is elected in a special election and whose term
2283 commences after the date of the orientation session provided for in clause (i) and at least six months
2284 before the date of the next such orientation session within three months of his election. Attendance at
2285 the full orientation session shall be mandatory for newly elected members. Attendance at a refresher
2286 session lasting at least two hours shall be mandatory for returning members and may be accomplished
2287 by online participation. There shall be no penalty for the failure of a member to attend the full or
2288 refresher orientation session, but the member must disclose his attendance pursuant to § 30-111.

2289 **§ 30-129.2. Content of orientation sessions.**

2290 The orientation session shall provide information and training for the members on ethics and
2291 conflicts of interests, on the provisions of the General Assembly Conflicts of Interests Act (§ 30-100 et
2292 seq.), on relevant federal law provisions, and on related issues involving lobbying. Refresher sessions
2293 may be offered online.

2294 **§ 30-129.3. Orientation session preparations.**

2295 Those conducting the orientation sessions may call on other agencies in the legislative or executive
2296 branches for assistance, may invite experts to assist in the sessions, and shall, upon request of a
2297 member who holds a professional license or certification, apply for continuing education credits with the
2298 appropriate licensing or certifying entity for the sessions.

2299 CHAPTER 55.

2300 VIRGINIA CONFLICT OF INTEREST AND ETHICS ADVISORY COUNCIL.

2301 **§ 30-348. Virginia Conflict of Interest and Ethics Advisory Council; membership; terms; quorum;**
2302 **expenses.**

2303 A. The Virginia Conflict of Interest and Ethics Advisory Council (the Council) is hereby created as
2304 an advisory council in the legislative branch to encourage and facilitate compliance with the State and
2305 Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) and the General Assembly Conflicts of
2306 Interests Act (§ 30-100 et seq.) (hereafter the Acts) and the lobbying laws in Article 3 (§ 2.2-418 et seq.)
2307 of Chapter 4 of Title 2.2 (hereafter Article 3).

2308 B. The Council shall consist of 15 members as follows: four members appointed by the Speaker of
2309 the House of Delegates, one of whom shall be a member of the House of Delegates, one of whom shall
2310 be a former member of the House of Delegates, and two of whom shall be nonlegislative citizen
2311 members; four members appointed by the Senate Committee on Rules, one of whom shall be a member
2312 of the Senate, one of whom shall be a former member of the Senate, and two of whom shall be
2313 nonlegislative citizen members; four members appointed by the Governor, two of whom shall be
2314 executive branch employees and two of whom shall be nonlegislative citizen members; one member
2315 designated by the Attorney General; one member appointed by the Senate Committee on Rules from a
2316 list of three nominees submitted by the Virginia Association of Counties; and one member appointed by
2317 the Speaker of the House of Delegates from a list of three nominees submitted by the Virginia Municipal
2318 League. All members of the Council are subject to confirmation by the General Assembly by a majority
2319 vote in each house of (i) the members present of the majority party and (ii) the members present of the
2320 minority party.

2321 C. All appointments following the initial staggering of terms shall be for terms of four years, except
2322 that appointments to fill vacancies shall be for the unexpired terms in the same manner as the original
2323 appointment. No nonlegislative citizen member shall be eligible to serve for more than two successive
2324 four-year terms. However, after the expiration of a term of three years or less, or after the expiration of
2325 the remainder of a term to which appointed to fill a vacancy, two additional terms may be served by
2326 such member if appointed thereto. Legislative members and other state government officials shall serve
2327 terms coincident with their terms of office. Legislative members may be reappointed for successive

2328 terms.

2329 D. The members of the Council shall elect from among their membership a chairman and a
 2330 vice-chairman for two-year terms. The chairman and vice-chairman may not succeed themselves to the
 2331 same position. The Council shall hold meetings quarterly or upon the call of the chairman. A majority
 2332 of the Council shall constitute a quorum.

2333 E. Members of the Council shall receive no compensation for their services but shall be reimbursed
 2334 for all reasonable and necessary expenses incurred in the performance of their duties as provided in
 2335 §§ 2.2-2813, 2.2-2825, and 30-19.12, as appropriate. Funding for expenses of the members shall be
 2336 provided from existing appropriations to the Council.

2337 **§ 30-349. Powers and duties of the Council.**

2338 The Council shall:

2339 1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local
 2340 government officers and employees and legislators pursuant to the Acts. The Council shall review all
 2341 disclosure forms for completeness, which shall include reviewing the information contained on the face
 2342 of the form to determine if the disclosure form has been fully completed and comparing the disclosures
 2343 contained in any disclosure form filed by a lobbyist pursuant to § 2.2-426 with other disclosure forms
 2344 filed with the Council, and be followed by requests for amendments to ensure the completeness of and
 2345 correction of errors in the forms, if necessary;

2346 2. Accept any disclosure forms by computer or electronic means in accordance with the standards
 2347 approved by the Council and using software meeting standards approved by it. The Council shall
 2348 provide software to filers without charge and may prescribe the method of execution and certification of
 2349 electronically filed forms, including the use of an electronic signature as authorized by the Uniform
 2350 Electronic Transactions Act (§ 59.1-479 et seq.), and the procedures for receiving forms in the office of
 2351 the Council;

2352 3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising
 2353 disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be
 2354 available to the public through the Council's official website;

2355 4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,
 2356 including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to
 2357 any person or to any agency of state or local government, in an expeditious manner. Informal advice
 2358 given by the Council is confidential, protected by the attorney-client privilege, and is excluded from the
 2359 provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

2360 5. Conduct training seminars and educational programs for lobbyists, state and local government
 2361 officers and employees and legislators, and other interested persons on the requirements of Article 3
 2362 and the Acts and provide ethics orientation sessions for legislators in compliance with Article 6
 2363 (§ 30-129.1 et seq.) of Chapter 13;

2364 6. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the
 2365 educational materials and approve any training or course on the requirements of Article 3 and the Acts
 2366 conducted for state and local government officers and employees;

2367 7. Publish such educational materials as it deems appropriate on the provisions of Article 3 and the
 2368 Acts;

2369 8. Review actions taken in the General Assembly with respect to the discipline of its members for the
 2370 purpose of offering nonbinding advice;

2371 9. Request from any agency of state or local government such assistance, services, and information
 2372 as will enable the Council to effectively carry out its responsibilities. Information provided to the
 2373 Council by an agency of state or local government shall not be released to any other party unless
 2374 authorized by such agency; and

2375 10. Report on or before December 1 of each year on its activities and findings regarding Article 3
 2376 and the Acts, including recommendations for changes in the laws, to the General Assembly and the
 2377 Governor. The annual report shall be submitted by the chairman as provided in the procedures of the
 2378 Division of Legislative Automated Systems for the processing of legislative documents and reports and
 2379 shall be published as a state document.

2380 **§ 30-350. Staff.**

2381 Staff assistance to the Council shall be provided by the Division of Legislative Services. Staff shall
 2382 perform those duties assigned to it by the Council, including those duties enumerated in § 30-349.

2383 **§ 30-351. Cooperation of agencies of state and local government.**

2384 Every department, division, board, bureau, commission, authority, or political subdivision of the
 2385 Commonwealth shall cooperate with, and provide such assistance to, the Council as the Council may
 2386 request.

2387 2. That the initial terms of the nonlegislative citizen members and former legislative members of
 2388 the Virginia Conflict of Interest and Ethics Advisory Council appointed pursuant to this act shall
 2389 be staggered as follows: (i) two nonlegislative citizen members, one appointed by the Speaker of

2390 the House of Delegates and one appointed by the Senate Committee on Rules, for a term of two
2391 years; (ii) two nonlegislative citizen members, one appointed by the Speaker of the House of
2392 Delegates and one appointed by the Governor, for a term of three years; (iii) two nonlegislative
2393 citizen members, one member appointed by the Senate Committee on Rules and one appointed by
2394 the Governor, and two formed legislative members, one appointed by the Speaker of the of the
2395 House of Delegates and one appointed by the Senate Committee on Rules, for a term of four
2396 years; and (iv) the designee of the Attorney General and the appointed representatives of the
2397 Virginia Association of Counties and Virginia Municipal League for a term of one year.
2398 Thereafter, the terms of members shall be for four years.

2399 3. That, if the General Assembly is not in session when initial appointments to the Virginia
2400 Conflict of Interest and Ethics Advisory Council are made, such initial appointments shall be
2401 confirmed at the next succeeding regular session of the General Assembly following such
2402 appointments and the Council may exercise all powers and perform all duties set forth in this act
2403 notwithstanding any provisions of this act requiring confirmation of members appointed to the
2404 Council by the General Assembly.

2405 4. That the Virginia Conflict of Interest and Ethics Advisory Council shall promulgate instructions
2406 for all filers required to file a disclosure form regarding how to complete and file such forms in
2407 accordance with provisions of this act.

2408 5. That the Virginia Conflict of Interest and Ethics Advisory Council shall review the current
2409 statutory disclosure forms located at §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111 of the Code of
2410 Virginia and promulgate revised forms consistent with the provisions of this act. The Council shall
2411 submit its proposed revised forms to the General Assembly on or before November 15, 2015.

2412 6. That the provisions of this act requiring the filing of disclosure forms with the Virginia Conflict
2413 of Interest and Ethics Advisory Council shall become effective on July 1, 2015, and the first of
2414 such disclosure forms filed with the Council shall be such disclosure forms required to be filed by
2415 December 15, 2015. All filers required to file a disclosure form for any filing period prior to
2416 December 15, 2015, shall file such form with the entity currently responsible for accepting such
2417 filings in the manner currently accepted by such entity.

2418 7. That the provisions of this act do not affect the requirement that each lobbyist required to file a
2419 report of expenditures pursuant to § 2.2-426 of the Code of Virginia shall file such report by July
2420 1, 2014, for the preceding 12-month period complete through the last day of April and shall
2421 thereafter follow the semiannual reporting schedule set forth in § 2.2-426.

2422 8. That the provisions of this act may result in a net increase in periods of imprisonment or
2423 commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation is \$0
2424 for periods of imprisonment in state adult correctional facilities and is \$0 for periods of
2425 commitment to the custody of the Department of Juvenile Justice.