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**HOUSE BILL NO. 1211****AMENDMENT IN THE NATURE OF A SUBSTITUTE**(Proposed by the Senate Committee on Rules  
on February 28, 2014)

(Patrons Prior to Substitute—Delegates Gilbert, Bulova [HB 271], and Marshall, R.G. [HB 15])

A *BILL to amend and reenact §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115, 2.2-3117, 2.2-3118.1, 2.2-3121, 30-101, 30-103, 30-110, 30-111, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, relating to the State and Local Government Conflict of Interests Act and General Assembly Conflicts of Interests Act; establishing the Virginia Conflict of Interest and Ethics Advisory Council.*

**Be it enacted by the General Assembly of Virginia:**

1. That §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115, 2.2-3117, 2.2-3118.1, 2.2-3121, 30-101, 30-103, 30-110, 30-111, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, as follows:

**§ 2.2-419. Definitions.**

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
  2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
  3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
  4. A stock, bond, note, or other investment interest in an entity;
  5. A receipt given for the payment of money or other property;
  6. A right in action;
  7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
  8. A loan or forgiveness of indebtedness;
  9. A work of art, antique, or collectible;
  10. An automobile or other means of personal transportation;
  11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
  12. An honorarium or compensation for services;
  13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
  14. A promise or offer of employment; or
  15. Any other thing of value that is pecuniary or compensatory in value to a person.
- "Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.

"Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.

"Executive action" means the proposal, drafting, development, consideration, amendment, adoption, approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or

60 official of legislation or executive orders issued by the Governor.

61 "Executive agency" means an agency, board, commission, or other body in the executive branch of  
62 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'  
63 Compensation Commission, and the State Lottery Department.

64 "Executive official" means:

65 1. The Governor;

66 2. The Lieutenant Governor;

67 3. The Attorney General;

68 4. Any officer or employee of the office of the Governor or Lieutenant Governor other than a  
69 clerical or secretarial employee;

70 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each  
71 executive agency; or

72 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,  
73 however selected.

74 "Expenditure" means:

75 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third  
76 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything  
77 of value for any purpose;

78 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person  
79 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other  
80 persons;

81 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct  
82 payment of expenses incurred at the request or suggestion of the lobbyist;

83 4. A payment that directly benefits an executive or legislative official or a member of the official's  
84 immediate family;

85 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses  
86 of an employee for or in connection with direct communication with an executive or legislative official;

87 6. A payment for or in connection with soliciting or urging other persons to enter into direct  
88 communication with an executive or legislative official; or

89 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to  
90 this chapter.

91 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to  
92 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

93 "Fair market value" means the price that a good or service would bring between a willing seller and  
94 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the  
95 actual price paid for the good or service shall be given consideration.

96 "Gift" means anything of value to the extent that a consideration of equal or greater value is not  
97 received.

98 "Gift" does not mean:

99 1. Printed informational or promotional material;

100 2. A gift that is not used and, no later than sixty days after receipt, is returned to the donor or  
101 delivered to a charitable organization and is not claimed as a charitable contribution for federal income  
102 tax purposes;

103 3. A gift, devise, or inheritance from an individual's spouse, child, parent, grandparent, brother, sister,  
104 parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of  
105 that individual, if the donor is not acting as the agent or intermediary for someone other than a person  
106 covered by this subdivision; or

107 4. A gift of a value of ~~\$25~~ \$50 or less.

108 "Immediate family" means (i) the spouse and (ii) any ~~other person~~ child who resides in the same  
109 household as the executive or legislative official and is the dependent of the official.

110 "Legislative action" means:

111 1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval,  
112 passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion,  
113 report, nomination, appointment, or other matter by the General Assembly or a legislative official;

114 2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by  
115 the General Assembly; or

116 3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering  
117 amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of  
118 the Governor.

119 "Legislative official" means:

120 1. A member or member-elect of the General Assembly;

121 2. A member of a committee, subcommittee, commission, or other entity established by and

122 responsible to the General Assembly or either house of the General Assembly; or  
123 3. Persons employed by the General Assembly or an entity established by and responsible to the  
124 General Assembly.

125 "Lobbying" means:

126 1. Influencing or attempting to influence executive or legislative action through oral or written  
127 communication with an executive or legislative official; or

128 2. Solicitation of others to influence an executive or legislative official.

129 "Lobbying" does not mean:

130 1. Requests for appointments, information on the status of pending executive and legislative actions,  
131 or other ministerial contacts if there is no attempt to influence executive or legislative actions;

132 2. Responses to published notices soliciting public comment submitted to the public official  
133 designated in the notice to receive the responses;

134 3. The solicitation of an association by its members to influence legislative or executive action; or

135 4. Communications between an association and its members and communications between a principal  
136 and its lobbyists.

137 "Lobbyist" means:

138 1. An individual who is employed and receives payments, or who contracts for economic  
139 consideration, including reimbursement for reasonable travel and living expenses, for the purpose of  
140 lobbying;

141 2. An individual who represents an organization, association, or other group for the purpose of  
142 lobbying; or

143 3. A local government employee who lobbies.

144 "Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or  
145 attempts to influence executive or legislative action. An organization whose employees conduct lobbying  
146 activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or  
147 association that employs or retains others to conduct lobbying activities on behalf of its membership, the  
148 principal is the coalition or association and not its individual members.

149 "Local government" means:

150 1. Any county, city, town, or other local or regional political subdivision;

151 2. Any school division;

152 3. Any organization or entity that exercises governmental powers that is established pursuant to an  
153 interstate compact; or

154 4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of  
155 this definition.

156 "Local government employee" means a public employee of a local government.

157 "Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company,  
158 syndicate, business trust, estate, company, corporation, association, club, committee, organization, or  
159 group of persons acting in concert.

160 "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the  
161 fair market value cannot be determined, the actual amount paid for the item or items shall be given  
162 consideration.

163 **§ 2.2-423. Contents of registration statement.**

164 A. The registration statement shall be on a form provided by the ~~Secretary of the Commonwealth~~  
165 *Virginia Conflict of Interest and Ethics Advisory Council* and include the following information:

166 1. The name and business address and telephone number of the lobbyist;

167 2. The name and business address and telephone number of the person who will keep custody of the  
168 lobbyist's and the lobbyist's principal's accounts and records required to comply with this article, and the  
169 location and telephone number for the place where the accounts and records are kept;

170 3. The name and business address and telephone number of the lobbyist's principal;

171 4. The kind of business of the lobbyist's principal;

172 5. For each principal, the full name of the individual to whom the lobbyist reports;

173 6. For each principal, a statement whether the lobbyist is employed or retained and whether  
174 exclusively for the purpose of lobbying;

175 7. The position held by the lobbyist if he is a part-time or full-time employee of the principal;

176 8. The full name and business address and telephone number of each lobbyist employed by or  
177 representing the lobbyist's principal;

178 9. An identification of the subject matter (with as much specificity as possible) with regard to which  
179 the lobbyist or lobbyist's principal will engage in lobbying; ~~and~~

180 10. The statement of the lobbyist, which shall be signed either originally or by electronic signature as  
181 authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.), that the information  
182 contained on the registration statement is true and correct; *and*

183 11. A statement by which a principal may elect to waive the principal signature requirement on  
184 disclosure filings submitted by their registered lobbyist after the filing of the registration statement.

185 B. Whenever any change, modification or addition to his status as a lobbyist is made, the lobbyist  
186 shall, within one week of such change, modification or addition, furnish full information regarding the  
187 same to the Secretary of the Commonwealth Council on forms provided by the Secretary Council.

188 C. The Secretary of the Commonwealth Council shall furnish a copy of this article to any individual  
189 offering to register as a lobbyist and shall mail by certified mail a copy of this article and a copy of the  
190 information furnished by the lobbyist to the person whom the lobbyist represents to be his principal.

191 D. If the principal to whom the information is sent under subsection C does not, within 10 days of  
192 such mailing, file an affidavit, signed by the person or duly authorized agent of the person, denying that  
193 the lobbyist appears on his behalf, such person shall be deemed to have appointed the Secretary of the  
194 Commonwealth Virginia Conflict of Interest and Ethics Advisory Council his agent for service of the  
195 process in any prosecution arising for violation of this article. If such affidavit is filed, the Secretary  
196 Council shall notify the attorney for the Commonwealth of the City of Richmond.

197 § 2.2-426. Lobbyist reporting; penalty.

198 A. Each lobbyist shall file with the Virginia Conflict of Interest and Ethics Advisory Council a  
199 separate annual semiannual report of expenditures, including gifts, for each principal for whom he  
200 lobbies by July June 1 for the preceding 12-month six-month period complete through April 30 the last  
201 day of April and by December 1 for the preceding six-month period complete through the last day of  
202 October.

203 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be  
204 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the  
205 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting  
206 requirements of this section.

207 C. The report shall be on a form provided by the Secretary of the Commonwealth Virginia Conflict  
208 of Interest and Ethics Advisory Council, which shall be substantially as follows and shall be  
209 accompanied by instructions provided by the Secretary Council.

210 LOBBYIST'S DISCLOSURE STATEMENT

211 PART I:

212 (1) PRINCIPAL: .....  
213 In Part I, item 2a, provide the name of the individual  
214 authorizing your employment as a lobbyist. The lobbyist filing  
215 this statement MAY NOT list his name in item 2a. THE INDIVIDUAL  
216 LISTED IN PART I, ITEM 2A, MUST SIGN THE PRINCIPAL'S STATEMENT.

217 (2a) Name: .....

218 (2b) Permanent Business Address: .....

219 (2c) Business Telephone: .....

220 (3) Provide a list of executive and legislative actions (with as  
221 much specificity as possible) for which you lobbied and a  
222 description of activities conducted.  
223 .....  
224 .....  
225 .....

226 (4) INCORPORATED FILINGS: If you are filing an incorporated  
227 disclosure statement, please complete the following:

228 Individual filing financial information: .....

229 Individuals to be included in the filing: .....

230 .....

231 (5) Please indicate which schedules will be attached to your  
232 disclosure statement:

233 [ ] Schedule A: Entertainment Expenses

234 [ ] Schedule B: Gifts

235 [ ] Schedule C: Other Expenses

236 (6) EXPENDITURE TOTALS:

237 a) ENTERTAINMENT ..... \$ .....

238 b) GIFTS ..... \$ .....

239 c) OFFICE EXPENSES ..... \$ .....

240 d) COMMUNICATIONS ..... \$ .....

241 e) PERSONAL LIVING AND TRAVEL EXPENSES ..... \$ .....

- 242 f) COMPENSATION OF LOBBYISTS ..... \$ .....
- 243 g) HONORARIA ..... \$ .....
- 244 h) REGISTRATION COSTS ..... \$ .....
- 245 i) OTHER ..... \$ .....
- 246 TOTAL ..... \$ .....

247 PART II:

- 248 (1a) NAME OF LOBBYIST: .....
- 249 (1b) Permanent Business Address: .....
- 250 (1c) Business Telephone: .....
- 251 (2) As a lobbyist, you are (check one)
- 252 [ ] EMPLOYED (on the payroll of the principal)
- 253 [ ] RETAINED (not on the payroll of the principal, however
- 254 compensated)
- 255 [ ] NOT COMPENSATED (not compensated; expenses may be reimbursed)
- 256 (3) List all lobbyists other than yourself who registered to
- 257 represent your principal.
- 258 .....
- 259 .....
- 260 .....
- 261 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,
- 262 provide your job title.
- 263 .....

264 PLEASE NOTE: Some lobbyists are not individually compensated for

265 lobbying activities. This may occur when several members of a firm

266 represent a single principal. The principal, in turn, makes a single

267 payment to the firm. If this describes your situation, do not answer

268 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

269 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?

270 (If you have job responsibilities other than those involving

271 lobbying, you may have to prorate to determine the part of your

272 salary attributable to your lobbying activities.) Transfer your

273 answer to this item to Part I, item 6f.

274 (5b) Explain how you arrived at your answer to Part II, item 5a.

275 .....

276 .....

277 .....

278 PART III:

- 279 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT
- 280 complete this section.
- 281 (1) List all members of your firm, organization, association,
  - 282 corporation, or other entity who furnished lobbying services to
  - 283 your principal.
  - 284 .....
  - 285 .....
  - 286 .....
  - 287 (2) Indicate the total amount paid to your firm, organization,
  - 288 association, corporation or other entity for services rendered.
  - 289 Transfer your answer to this item to Part I, item 6f.....

290 SCHEDULE A

291 ENTERTAINMENT EXPENSES

292 PLEASE NOTE: Any single entertainment event included in the expense

293 totals of the principal, with a value greater than \$50, should be

294 itemized below. Transfer any totals from this schedule to Part I,

295 item 6a. (Please duplicate as needed.)

296 Date and Location of Event:

297 .....

298 .....  
 299 Description of Event:  
 300 .....  
 301 .....  
 302 Total Number of Persons Attending:  
 303 .....  
 304 Names of Legislative and Executive Officials Attending: (List names  
 305 only if the average value for each person attending the event was  
 306 greater than \$50.)  
 307 .....  
 308 .....  
 309 .....  
 310 .....  
 311 Food ..... \$ .....  
 312 Beverages ..... \$ .....  
 313 Transportation of Legislative and Executive Officials ..... \$ .....  
 314 Lodging of Legislative and Executive Officials ..... \$ .....  
 315 Performers, Speakers, Etc. .... \$ .....  
 316 Displays ..... \$ .....  
 317 Rentals ..... \$ .....  
 318 Service Personnel ..... \$ .....  
 319 Miscellaneous ..... \$ .....  
 320 TOTAL ..... \$ .....

SCHEDULE B

GIFTS

323 PLEASE NOTE: Any single gift reported in the expense totals of the  
 324 principal, with a value greater than \$50, should be itemized below.  
 325 (Report meals, entertainment and travel under Schedule A.) Transfer  
 326 any totals from this schedule to Part I, item 6b. (Please duplicate  
 327 as needed.)

		Name of each legislative or executive official who is a recipient of a gift:	Cost of individual gift:
328	Date	Description	
329	of gift:	of gift:	
330	.....	.....	\$ .....
331	.....	.....	\$ .....
332	.....	.....	\$ .....
333	.....	.....	\$ .....
334	.....	.....	\$ .....
335	.....	.....	\$ .....
336	.....	.....	\$ .....
337	TOTAL COST TO PRINCIPAL	.....	\$ .....

SCHEDULE C

OTHER EXPENSES

340 PLEASE NOTE: This section is provided for any lobbying-related  
 341 expenses not covered in Part I, items 6a - 6h. An example of an  
 342 expenditure to be listed on schedule C would be the rental of a  
 343 bill box during the General Assembly session. Transfer the total  
 344 from this schedule to Part I, item 6i. (Please duplicate as needed.)

DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
346	.....	\$ .....
347	.....	\$ .....
348	.....	\$ .....
349	.....	\$ .....
350	.....	\$ .....
351	.....	\$ .....
352	.....	\$ .....
353	.....	\$ .....
354	.....	\$ .....

355 TOTAL "OTHER" EXPENSES ..... \$ .....

356 PART IV: STATEMENTS

357 Both the lobbyist and principal officer must sign the disclosure  
358 statement, attesting to its completeness and accuracy. The following  
359 items are mandatory and if they are not properly completed, the  
360 entire filing will be rejected and returned to the lobbyist:

361 (1) All signatures on the statement must be ORIGINAL in the format  
362 specified in the instructions provided by the Secretary that  
363 accompany this form. No stamps, or other reproductions of the  
364 individual's signature will be accepted.

365 (2) An individual MAY NOT sign the disclosure statement as lobbyist  
366 and principal officer.

367 STATEMENT OF LOBBYIST

368 I, the undersigned registered lobbyist, do state that the information  
369 furnished on this disclosure statement and on all accompanying  
370 attachments required to be made thereto is, to the best of my  
371 knowledge and belief, complete and accurate.

372 .....  
373 Signature of lobbyist

374 .....  
375 Date

376 STATEMENT OF PRINCIPAL

377 I, the undersigned principal (or an authorized official thereof), do  
378 state that the information furnished on this disclosure statement  
379 and on all accompanying attachments required to be made thereto is,  
380 to the best of my knowledge and belief, complete and accurate.

381 .....  
382 Signature of principal

383 .....  
384 Date

385  
386 D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact  
387 shall be *is* guilty of a Class 5 felony.

388 E. Each lobbyist shall send to each legislative and executive official who is required to be identified  
389 by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a  
390 summary of the information pertaining to that official. Copies or summaries shall be provided to the  
391 official by ~~December 15~~ *May 10* for the preceding ~~12-month~~ *six-month* period complete through  
392 ~~November 30~~ *the last day of April* and by *November 10* for the preceding *six-month* period complete  
393 *through the last day of October.*

394  
395 **§ 2.2-428. Standards for automated preparation and transmittal of lobbyists' disclosure**  
396 **statements; database.**

397 A. The ~~Secretary~~ *Virginia Conflict of Interest and Ethics Advisory Council* shall accept any lobbyist's  
398 disclosure statement required by § 2.2-426 filed by computer or electronic means in accordance with the  
399 standards approved by the ~~Secretary~~ *Council* and using software meeting standards approved by the  
400 ~~Secretary~~ *Council*. The ~~Secretary~~ *Council* may provide software to filers without charge or at a  
401 reasonable cost. The ~~Secretary~~ *Council* may prescribe the method of execution and certification of  
402 electronically filed statements and the procedures for receiving statements in the office of the ~~Secretary~~  
403 *Council*.

404 B. The ~~Secretary~~ *Council* shall establish a lobbyist disclosure database, available to the public, from  
405 required disclosure statements filed electronically and may enter into that database information from  
406 required disclosure statements filed by other methods.

407 **§ 2.2-3101. Definitions.**

408 As used in this chapter:

409 "Advisory agency" means any board, commission, committee or post which does not exercise any  
410 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for  
411 the purpose of making studies or recommendations, or advising or consulting with a governmental  
412 agency.

413 "Affiliated business entity relationship" means a relationship, other than a parent-subsi-  
414 dary relationship, that exists when (i) one business entity has a controlling ownership interest in the other  
415 business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or  
416 (iii) there is shared management or control between the business entities. Factors that may be considered  
417 in determining the existence of an affiliated business entity relationship include that the same person or  
418 substantially the same person owns or manages the two entities, there are common or commingled funds  
419 or assets, the business entities share the use of the same offices or employees, or otherwise share  
420 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship  
421 between the entities.

422 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
423 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
424 whether or not for profit.

425 "Contract" means any agreement to which a governmental agency is a party, or any agreement on  
426 behalf of a governmental agency that involves the payment of money appropriated by the General  
427 Assembly or political subdivision, whether or not such agreement is executed in the name of the  
428 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the  
429 contract of which it is a part is with the officer's or employee's own governmental agency.

430 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in  
431 § 30-348.

432 "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether or not  
433 related by blood or marriage, if such person receives from the officer or employee, or provides to the  
434 officer or employee, more than one-half of his financial support.

435 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise  
436 limited by the context of its use.

437 "Financial institution" means any bank, trust company, savings institution, industrial loan association,  
438 consumer finance company, credit union, broker-dealer as defined in § 13.1-501, or investment company  
439 or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

440 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
441 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and  
442 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
443 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass  
444 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from  
445 relatives. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt,  
446 niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's  
447 parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse both tangible  
448 and intangible gifts. A "tangible gift" means a physical item, object, currency, or other negotiable  
449 instrument of value that upon the happening of a certain event or expiration of a given date retains its  
450 form or value. An "intangible gift" means a physical item or object of value that upon the happening of  
451 a certain event or expiration of a given date loses its form or value. "Intangible gifts" include, but are  
452 not limited to, entertainment, food, and tickets or other access to social or recreational events. A "gift"  
453 does not include merit or need-based scholarships.

454 "Governmental agency" means each component part of the legislative, executive or judicial branches  
455 of state and local government, including each office, department, authority, post, commission,  
456 committee, and each institution or board created by law to exercise some regulatory or sovereign power  
457 or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by  
458 the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

459 "Immediate family" means (i) a spouse and (ii) any ~~other person~~ child residing in the same  
460 household as the officer or employee, who is a dependent of the officer or employee ~~or of whom the~~  
461 officer or employee is a dependent.

462 "Officer" means any person appointed or elected to any governmental or advisory agency including  
463 local school boards, whether or not he receives compensation or other emolument of office. Unless the  
464 context requires otherwise, "officer" includes members of the judiciary.

465 "Parent-subsi- dary relationship" means a relationship that exists when one corporation directly or  
466 indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

467 "Personal interest" means a financial benefit or liability accruing to an officer or employee or to a  
468 member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the  
469 ownership interest exceeds three percent of the total equity of the business; (ii) annual income that  
470 exceeds, or may reasonably be anticipated to exceed, \$10,000 \$5,000 from ownership in real or personal  
471 property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of  
472 property, or any combination thereof, paid or provided by a business or governmental agency that  
473 exceeds, or may reasonably be anticipated to exceed, \$10,000 \$5,000 annually; (iv) ownership of real or  
474 personal property if the interest exceeds \$10,000 \$5,000 in value and excluding ownership in a business,

475 income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal  
 476 liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset  
 477 value of the business; or (vi) an option for ownership of a business or real or personal property if the  
 478 ownership interest will consist of (i) or (iv) above.

479 "Personal interest in a contract" means a personal interest that an officer or employee has in a  
 480 contract with a governmental agency, whether due to his being a party to the contract or due to a  
 481 personal interest in a business that is a party to the contract.

482 "Personal interest in a transaction" means a personal interest of an officer or employee in any matter  
 483 considered by his agency. Such personal interest exists when an officer or employee or a member of his  
 484 immediate family has a personal interest in property or a business or governmental agency, or represents  
 485 or provides services to any individual or business and such property, business or represented or served  
 486 individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable  
 487 direct or indirect benefit or detriment as a result of the action of the agency considering the transaction.  
 488 Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a)  
 489 an elected member of a local governing body serves without remuneration as a member of the board of  
 490 trustees of a not-for-profit entity and such elected member or member of his immediate family has no  
 491 personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a  
 492 local governing body is appointed by such local governing body to serve on a governmental agency, or  
 493 an officer, employee, or elected member of a separate local governmental agency formed by a local  
 494 governing body is appointed to serve on a governmental agency, and the personal interest in the  
 495 transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or  
 496 benefits provided by the local governing body or the separate governmental agency to the officer,  
 497 employee, elected member, or member of his immediate family.

498 "State and local government officers and employees" shall not include members of the General  
 499 Assembly.

500 "State filer" means those officers and employees required to file a disclosure statement of their  
 501 personal interests pursuant to subsection A or B of § 2.2-3114.

502 "Transaction" means any matter considered by any governmental or advisory agency, whether in a  
 503 committee, subcommittee, or other entity of that agency or before the agency itself, on which official  
 504 action is taken or contemplated.

505 **§ 2.2-3103.1. Certain gifts prohibited.**

506 *A. No officer or employee of a state or local governmental or advisory agency or candidate required*  
 507 *to file the disclosure form prescribed in § 2.2-3117 shall solicit, accept, or receive within any calendar*  
 508 *year any single gift with a value in excess of \$250 from any lobbyist registered pursuant to Article 3*  
 509 *(§ 2.2-418 et seq.) of Chapter 4. The provisions of this section apply to gifts reportable on Schedule E-2*  
 510 *of the disclosure form prescribed in § 2.2-3117. The provisions of this section do not apply to items*  
 511 *reportable on Schedule D or E-1 of the disclosure form prescribed in § 2.2-3117.*

512 *B. The \$250 limitation imposed in accordance with this section shall be adjusted by the Virginia*  
 513 *Conflict of Interest and Ethics Advisory Council every five years, as of January 1 of that year, in an*  
 514 *amount equal to the annual increases for that five-year period in the United States Average Consumer*  
 515 *Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics*  
 516 *of the U.S. Department of Labor.*

517 **§ 2.2-3104. Prohibited conduct for certain officers and employees of state government.**

518 For one year after the termination of public employment or service, no state officer or employee  
 519 shall, before the agency of which he was an officer or employee, represent a client or act in a  
 520 representative capacity on behalf of any person or group, for compensation, on matters related to  
 521 legislation, executive orders, or regulations promulgated by the agency of which he was an officer or  
 522 employee. This prohibition shall be in addition to the prohibitions contained in § 2.2-3103.

523 For the purposes of this section, "state officer or employee" shall mean (i) the Governor, Lieutenant  
 524 Governor, Attorney General, and officers appointed by the Governor, whether confirmation by the  
 525 General Assembly or by either house thereof is required or not, who are regularly employed on a  
 526 full-time salaried basis; those officers and employees of executive branch agencies who report directly to  
 527 the agency head; and those at the level immediately below those who report directly to the agency head  
 528 and are at a payband 6 or higher and (ii) the officers and professional employees of the legislative  
 529 branch designated by the joint rules committee of the General Assembly. For the purposes of this  
 530 section, the General Assembly and the legislative branch agencies shall be deemed one agency.

531 Any person subject to the provisions of this section may apply to the *Council* or Attorney General,  
 532 as provided in § 2.2-3121 or 2.2-3126, for an advisory opinion as to the application of the restriction  
 533 imposed by this section on any post-public employment position or opportunity.

534 **§ 2.2-3114. Disclosure by state officers and employees.**

535 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of

536 the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,  
537 members of the State Corporation Commission, members of the Virginia Workers' Compensation  
538 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees  
539 of the Virginia Retirement System, and members of the State Lottery Board and other persons  
540 occupying such offices or positions of trust or employment in state government, including members of  
541 the governing bodies of authorities, as may be designated by the Governor or, in the case of officers or  
542 employees of the legislative branch, by the Joint Rules Committee of the General Assembly, shall file  
543 *with the Council*, as a condition to assuming office or employment, a disclosure statement of their  
544 personal interests and such other information as is specified on the form set forth in § 2.2-3117 and  
545 thereafter shall file such a statement ~~annually on or before January 15~~ *semiannually by June 1 for the*  
546 *preceding six-month period complete through the last day of April and by December 1 for the preceding*  
547 *six-month period complete through the last day of October.* When the filing deadline falls on a Saturday,  
548 Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday,  
549 Sunday, or legal holiday.

550 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in  
551 the executive branch of state government, other than the Commonwealth Transportation Board, members  
552 of the Board of Trustees of the Virginia Retirement System, and the State Lottery Board, shall file *with*  
553 *the Council*, as a condition to assuming office, a disclosure form of their personal interests and such  
554 other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form  
555 annually on or before January 15. When the filing deadline falls on a Saturday, Sunday, or legal  
556 holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal  
557 holiday. Nonsalaried citizen members of other boards, commissions and councils, including advisory  
558 boards and authorities, may be required to file a disclosure form if so designated by the Governor, in  
559 which case the form shall be that set forth in § 2.2-3118.

560 C. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~  
561 ~~Commonwealth Council~~ to each officer and employee so designated, including officers appointed by  
562 legislative authorities; ~~not later than November 30 of each year at least 30 days prior to the filing~~  
563 ~~deadline.~~ Disclosure forms shall be filed and maintained as public records for five years in the ~~Office~~  
564 ~~office of the Secretary of the Commonwealth Council.~~

565 D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a  
566 disclosure statement of their personal interests as required by § 24.2-502.

567 E. Any officer or employee of state government who has a personal interest in any transaction before  
568 the governmental or advisory agency of which he is an officer or employee and who is disqualified  
569 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to  
570 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full  
571 name and address of the business and the address or parcel number for the real estate if the interest  
572 involves a business or real estate, and his disclosure shall also be reflected in the public records of the  
573 agency for five years in the office of the administrative head of the officer's or employee's governmental  
574 agency or advisory agency or, if the agency has a clerk, in the clerk's office.

575 F. An officer or employee of state government who is required to declare his interest pursuant to  
576 subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the  
577 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a  
578 member of a business, profession, occupation, or group the members of which are affected by the  
579 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public  
580 interest. The officer or employee shall either make his declaration orally to be recorded in written  
581 minutes for his agency or file a signed written declaration with the clerk or administrative head of his  
582 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for  
583 public inspection such declaration for a period of five years from the date of recording or receipt. If  
584 reasonable time is not available to comply with the provisions of this subsection prior to participation in  
585 the transaction, the officer or employee shall prepare and file the required declaration by the end of the  
586 next business day.

587 G. An officer or employee of state government who is required to declare his interest pursuant to  
588 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a  
589 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide  
590 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in  
591 the public interest. The officer or employee shall either make his declaration orally to be recorded in  
592 written minutes for his agency or file a signed written declaration with the clerk or administrative head  
593 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make  
594 available for public inspection such declaration for a period of five years from the date of recording or  
595 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to  
596 participation in the transaction, the officer or employee shall prepare and file the required declaration by  
597 the end of the next business day.

598 § 2.2-3115. Disclosure by local government officers and employees.

599 A. The members of every governing body and school board of each county and city and of towns  
600 with populations in excess of 3,500 shall file *with the Council*, as a condition to assuming office or  
601 employment, a disclosure statement of their personal interests and other information as is specified on  
602 the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January~~  
603 ~~15 semiannually by June 1 for the preceding six-month period complete through the last day of April~~  
604 ~~and by December 1 for the preceding six-month period complete through the last day of October.~~

605 The members of the governing body of any authority established in any county or city, or part or  
606 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any  
607 fiscal year, shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to  
608 assuming office, a disclosure statement of their personal interests and other information as is specified  
609 on the form set forth in § 2.2-3118 and thereafter shall file such a statement annually on or before  
610 January 15, unless the governing body of the jurisdiction that appoints the members requires that the  
611 members file the form set forth in § 2.2-3117 *semiannually by June 1 for the preceding six-month*  
612 *period complete through the last day of April and by December 1 for the preceding six-month period*  
613 *complete through the last day of October.*

614 Persons occupying such positions of trust appointed by governing bodies and persons occupying such  
615 positions of employment with governing bodies as may be designated to file by ordinance of the  
616 governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a  
617 condition to assuming office or employment, a disclosure statement of their personal interests and other  
618 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement  
619 ~~annually on or before January 15 semiannually by June 1 for the preceding six-month period complete~~  
620 ~~through the last day of April and by December 1 for the preceding six-month period complete through~~  
621 ~~the last day of October.~~

622 Persons occupying such positions of trust appointed by school boards and persons occupying such  
623 positions of employment with school boards as may be designated to file by an adopted policy of the  
624 school board shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition  
625 to assuming office or employment, a disclosure statement of their personal interests and other  
626 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement  
627 ~~annually on or before January 15 semiannually by June 1 for the preceding six-month period complete~~  
628 ~~through the last day of April and by December 1 for the preceding six-month period complete through~~  
629 ~~the last day of October.~~

630 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by  
631 the governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a  
632 condition to assuming office, a disclosure form of their personal interests and such other information as  
633 is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before  
634 January 15.

635 C. No person shall be mandated to file any disclosure not otherwise required by this article.

636 D. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~  
637 ~~Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory Council* to the clerks of the governing  
638 bodies and school boards ~~not later than November 30 of each year at least 30 days prior to the filing~~  
639 ~~deadline~~, and the clerks of the governing body and school board shall distribute the forms to designated  
640 individuals ~~no later than December 10 of each year at least 20 days prior to the filing deadline~~. Forms  
641 shall be filed and maintained as public records for five years in the office of the ~~clerk of the respective~~  
642 ~~governing body or school board~~ *Virginia Conflict of Interest and Ethics Advisory Council*. Forms filed  
643 by members of governing bodies of authorities shall be filed and maintained as public records for five  
644 years in the office of the ~~clerk of the governing body of the county or city~~ *Virginia Conflict of Interest*  
645 *and Ethics Advisory Council*.

646 E. Candidates for membership in the governing body or school board of any county, city or town  
647 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests  
648 as required by § 24.2-502.

649 F. Any officer or employee of local government who has a personal interest in any transaction before  
650 the governmental or advisory agency of which he is an officer or employee and who is disqualified  
651 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to  
652 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full  
653 name and address of the business and the address or parcel number for the real estate if the interest  
654 involves a business or real estate, and his disclosure shall be reflected in the public records of the  
655 agency for five years in the office of the administrative head of the officer's or employee's governmental  
656 or advisory agency.

657 G. In addition to any disclosure required by subsections A and B, in each county and city and in  
658 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,

659 real estate assessors, and all county, city and town managers or executive officers shall make annual  
 660 disclosures of all their interests in real estate located in the county, city or town in which they are  
 661 elected, appointed, or employed. Such disclosure shall include any business in which such persons own  
 662 an interest, or from which income is received, if the primary purpose of the business is to own, develop  
 663 or derive compensation through the sale, exchange or development of real estate in the county, city or  
 664 town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter  
 665 shall be filed annually with the clerk of the governing body of such county, city or town Virginia  
 666 *Conflict of Interest and Ethics Advisory Council* on or before January 15. Such disclosures shall be filed  
 667 and maintained as public records for five years. Forms for the filing of such reports shall be prepared  
 668 and distributed by the Secretary of the Commonwealth Virginia *Conflict of Interest and Ethics Advisory*  
 669 *Council* to the clerk of each governing body.

670 H. An officer or employee of local government who is required to declare his interest pursuant to  
 671 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the  
 672 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a  
 673 member of a business, profession, occupation, or group the members of which are affected by the  
 674 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public  
 675 interest. The officer or employee shall either make his declaration orally to be recorded in written  
 676 minutes of his agency or file a signed written declaration with the clerk or administrative head of his  
 677 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for  
 678 public inspection such declaration for a period of five years from the date of recording or receipt. If  
 679 reasonable time is not available to comply with the provisions of this subsection prior to participation in  
 680 the transaction, the officer or employee shall prepare and file the required declaration by the end of the  
 681 next business day. The officer or employee shall also orally disclose the existence of the interest during  
 682 each meeting of the governmental or advisory agency at which the transaction is discussed and such  
 683 disclosure shall be recorded in the minutes of the meeting.

684 I. An officer or employee of local government who is required to declare his interest pursuant to  
 685 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a  
 686 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide  
 687 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in  
 688 the public interest. The officer or employee shall either make his declaration orally to be recorded in  
 689 written minutes for his agency or file a signed written declaration with the clerk or administrative head  
 690 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make  
 691 available for public inspection such declaration for a period of five years from the date of recording or  
 692 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to  
 693 participation in the transaction, the officer or employee shall prepare and file the required declaration by  
 694 the end of the next business day.

695 **§ 2.2-3117. Disclosure form.**

696 The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and  
 697 subsections A and E of § 2.2-3115 shall be substantially as follows:

698 STATEMENT OF ECONOMIC INTERESTS.

- 699 Name .....
- 700 Office or position held or sought .....
- 701 Address .....
- 702 Names of members of immediate family .....

703 DEFINITIONS AND EXPLANATORY MATERIAL.

704 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
 705 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
 706 whether or not for profit.

707 "Close financial association" means an association in which the person filing shares significant  
 708 financial involvement with an individual and the filer would reasonably be expected to be aware of the  
 709 individual's business activities and would have access to the necessary records either directly or through  
 710 the individual. "Close financial association" does not mean an association based on (i) the receipt of  
 711 retirement benefits or deferred compensation from a business by which the person filing this statement is  
 712 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an  
 713 independent contractor of a business that represents an entity before any state governmental agency  
 714 when the person filing has had no communications with the state governmental agency.

715 "Contingent liability" means a liability that is not presently fixed or determined, but may become  
 716 fixed or determined in the future with the occurrence of some certain event.

717 "Dependent" means any person, whether or not related by blood or marriage, who receives from the  
 718 officer or employee, or provides to the officer or employee, more than one-half of his financial support.

719 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item

720 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and  
 721 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
 722 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass  
 723 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from  
 724 relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom  
 725 the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother,  
 726 or sister; or the donee's brother's or sister's spouse tangible or intangible gift. A "tangible gift" means a  
 727 physical item, object, currency, or other negotiable instrument of value that upon the happening of a  
 728 certain event or expiration of a given date retains its form or value. An "intangible gift" means a  
 729 physical item or object of value that upon the happening of a certain event or expiration of a given date  
 730 loses its form or value. "Intangible gifts" include, but are not limited to, entertainment, food, and tickets  
 731 or other access to social or recreational events. A "gift" does not include merit or need-based  
 732 scholarships.

733 "Immediate family" means (i) a spouse and (ii) any other person child residing in the same  
 734 household as the officer or employee, who is a dependent of the officer or employee or of whom the  
 735 officer or employee is a dependent.

736 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,  
 737 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional  
 738 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if  
 739 you and your immediate family have a one-third interest in a trust, complete your Statement as if you  
 740 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust  
 741 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

742 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this  
 743 Statement must be provided on the basis of the best knowledge, information and belief of the individual  
 744 filing the Statement as of the date of this report unless otherwise stated.

745 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

746 You may attach additional explanatory information.

747 1. Offices and Directorships.

748 Are you or a member of your immediate family a paid officer or paid director of a business?

749 EITHER check NO / / OR check YES / / and complete Schedule A.

750 2. Personal Liabilities.

751 Do you or a member of your immediate family owe more than \$10,000 \$5,000 to any one creditor  
 752 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens  
 753 on property at least equal in value to the loan.)

754 EITHER check NO / / OR check YES / / and complete Schedule B.

755 3. Securities.

756 Do you or a member of your immediate family, directly or indirectly, separately or together, own  
 757 securities valued in excess of \$10,000 \$5,000 invested in one business? Account for mutual funds,  
 758 limited partnerships and trusts.

759 EITHER check NO / / OR check YES / / and complete Schedule C.

760 4. Payments for Talks, Meetings, and Publications.

761 During the past 12 six months did you receive lodging, transportation, money, or anything else of  
 762 value with a combined value exceeding \$200 for a single talk, meeting, or published work in your  
 763 capacity as an officer or employee of your agency?

764 EITHER check NO / / OR check YES / / and complete Schedule D.

765 5. 5A. Entertainment and Intangible Gifts.

766 During the past 12 six months did a business, government, or individual other than a relative or  
 767 personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a  
 768 single event, or intangible gift and the value received by you exceeded \$50 in value or (ii) furnish you  
 769 with gifts or such entertainment or intangible gifts in any combination and the total value received by  
 770 you exceeded \$100 in total value, and for which you or the member of your immediate family neither  
 771 paid nor rendered services in exchange? Account for entertainment events only if the average value per  
 772 person attending the event exceeded \$50 in value. Account for all business entertainment (except if  
 773 related to your the private profession or occupation of you or the member of your immediate family who  
 774 received such business entertainment) even if unrelated to your official duties. For the purposes of this  
 775 Item, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business,  
 776 government, or individual that is transacting or seeking to transact business with the Commonwealth or  
 777 its agencies, departments, or political subdivisions within 12 months from the date of the gift that the  
 778 filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or  
 779 individual that is transacting or seeking to transact business with the Commonwealth or its agencies,  
 780 departments, or political subdivisions within 12 months from the date of the gift.

871 EITHER check NO // OR check YES // and complete Schedule E-1.

872 5B. Tangible Gifts.

873 During the past six months did a business, government, or individual other than a relative or  
874 personal friend (i) furnish you or a member of your immediate family with any tangible gift and the  
875 value received exceeded \$50 or (ii) furnish you or a member of your immediate family with such  
876 tangible gifts in any combination and the total value received exceeded \$100, and for which you or the  
877 member of your immediate family neither paid nor rendered services in exchange? For the purposes of  
878 this Item, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business,  
879 government, or individual that is transacting or seeking to transact business with the Commonwealth or  
890 its agencies, departments, or political subdivisions within 12 months from the date of the gift that the  
891 filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or  
892 individual that is transacting or seeking to transact business with the Commonwealth or its agencies,  
893 departments, or political subdivisions within 12 months from the date of the gift.

894 EITHER check NO // OR check YES // and complete Schedule E-2.

895 6. Salary and Wages.

896 List each employer that pays you or a member of your immediate family salary or wages in excess  
897 of ~~\$10,000~~ \$5,000 annually. (Exclude state or local government or advisory agencies.)

898 If no reportable salary or wages, check here // .

899 \_\_\_\_\_

900 \_\_\_\_\_

901 \_\_\_\_\_

902 7. Business Interests.

903 Do you or a member of your immediate family, separately or together, operate your own business, or  
904 own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

905 EITHER check NO // OR check YES // and complete Schedule F.

906 8. Payments for Representation and Other Services.

907 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any  
908 state governmental agencies, excluding courts or judges, for which you received total compensation  
909 during the past ~~12~~ six months in excess of \$1,000, excluding compensation for other services to such  
910 businesses and representation consisting solely of the filing of mandatory papers and subsequent  
911 representation regarding the mandatory papers? (Officers and employees of local governmental and  
912 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

913 EITHER check NO // OR check YES // and complete Schedule G-1.

914 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial  
915 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,  
916 any businesses before any state governmental agency for which total compensation was received during  
917 the past ~~12~~ six months in excess of \$1,000? (Officers and employees of local governmental and advisory  
918 agencies do NOT need to answer this question or complete Schedule G-2.)

919 EITHER check NO // OR check YES // and complete Schedule G-2.

920 8C. Did you or persons with whom you have a close financial association furnish services to  
921 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between  
922 persons with whom you have a close financial association and such businesses for which total  
923 compensation in excess of \$1,000 was received during the past ~~12~~ six months?

924 EITHER check NO // OR check YES // and complete Schedule G-3.

925 9. Real Estate.

926 9A. State Officers and Employees.

927 Do you or a member of your immediate family hold an interest, including a partnership interest,  
928 valued at ~~\$10,000~~ or more than \$5,000 in real property (other than your principal residence) for which  
929 you have not already listed the full address on Schedule F? Account for real estate held in trust.

930 EITHER check NO // OR check YES // and complete Schedule H-1.

931 9B. Local Officers and Employees.

932 Do you or a member of your immediate family hold an interest, including a partnership interest, or  
933 option, easement, or land contract, valued at ~~\$10,000~~ or more than \$5,000 in real property (other than  
934 your principal residence) for which you have not already listed the full address on Schedule F? Account  
935 for real estate held in trust.

936 EITHER check NO // OR check YES // and complete Schedule H-2.

937 10. Real Estate Contracts with Governmental Agencies.

938 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000  
939 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,  
940 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six  
941 months, with a governmental agency? If the real estate contract provides for the leasing of the property  
942 to a governmental agency, do you or a member of your immediate family hold an interest in the real

843 estate valued at more than \$1,000? Account for all such contracts whether or not your interest is  
844 reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply  
845 to an interest derived through an ownership interest in a business unless the ownership interest exceeds  
846 three percent of the total equity of the business.

847 EITHER check NO // OR check YES // and complete Schedule I.

848 Statements of Economic Interests are open for public inspection.

849 AFFIRMATION BY ALL FILERS.

850 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

851 Signature .....

852 (Return only if needed to complete Statement.)

853 SCHEDULES

854 to

855 STATEMENT OF ECONOMIC INTERESTS.

856 NAME .....

857 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

858 Identify each business of which you or a member of your immediate family is a paid officer or paid  
859 director.

860 \_\_\_\_\_

861	862 Name of Business	863 Address of Business	864 Position Held and by Whom
865	_____	_____	_____
866	_____	_____	_____
867	_____	_____	_____

868 RETURN TO ITEM 2

869 SCHEDULE B - PERSONAL LIABILITIES.

870 Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000.  
871 Do not report debts to any government. Do not report loans secured by recorded liens on property at  
872 least equal in value to the loan.

873 Report contingent liabilities below and indicate which debts are contingent.

874 1. My personal debts are as follows:

875 \_\_\_\_\_

876 \_\_\_\_\_

877 \_\_\_\_\_

878 Check one

879 appropriate ~~\$10,001~~ \$5,001 to More than

880 categories \$50,000 \$50,000

881 Banks \_\_\_\_\_

882 Savings institutions \_\_\_\_\_

883 Other loan or finance companies \_\_\_\_\_

884 Insurance companies \_\_\_\_\_

885 Stock, commodity or other brokerage companies \_\_\_\_\_

886 Other businesses: \_\_\_\_\_

887 (State principal business activity for each \_\_\_\_\_

888 creditor and its name.) \_\_\_\_\_

889 \_\_\_\_\_

890 \_\_\_\_\_

891 Individual creditors: \_\_\_\_\_

892 (State principal business or occupation of \_\_\_\_\_

893 each creditor and its name.) \_\_\_\_\_

894 \_\_\_\_\_

895 \_\_\_\_\_

896 \_\_\_\_\_

897 \_\_\_\_\_

898 \_\_\_\_\_

899 \_\_\_\_\_

900 \_\_\_\_\_

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\_\_\_\_\_

Check one

SENATE SUBSTITUTE

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901	Check	\$10,001	
902	appropriate	\$5,001 to	More than
903	categories	\$50,000	\$50,000
904	Banks	_____	_____
905	Savings institutions	_____	_____
906	Other loan or finance companies	_____	_____
907	Insurance companies	_____	_____
908	Stock, commodity or other brokerage companies	_____	_____
909	Other businesses:		
910	(State principal business activity for each		
911	creditor <i>and its name.</i> )	_____	_____
912		_____	_____
913		_____	_____
914	Individual creditors:		
915	(State principal business or occupation of		
916	each creditor <i>and its name.</i> )	_____	_____
917		_____	_____
918		_____	_____
919		_____	_____

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts. *and insurance policies.*

"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

935					
936				Check one	
937			<del>\$10,001</del>		
938		Type of Security	\$5,001	\$50,001	More
939	<del>Type of</del>	(stocks, bonds, mutual	to	to	than
940	Name of Issuer	<del>Entity</del> funds, etc.)	\$50,000	\$250,000	\$250,000
941	_____	_____	_____	_____	_____
942	_____	_____	_____	_____	_____
943	_____	_____	_____	_____	_____
944	_____	_____	_____	_____	_____

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past ~~12~~ six months lodging, transportation, money, or any other thing of value (~~excluding meals or drinks coincident with a meeting~~) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

957 If no payment must be listed, check here / /. 958

959				
960				Type of payment
961				(e.g. honoraria,
962				travel reimburse-
963	Payer	Approximate Value	Circumstances	ment, etc.)
964	_____	_____	_____	_____
965	_____	_____	_____	_____
966	_____	_____	_____	_____
967	_____	_____	_____	_____
968	_____			

RETURN TO ITEM-5 5A

969  
970 **SCHEDULE E-1 - ENTERTAINMENT AND INTANGIBLE GIFTS.**

971 List each business, governmental entity, or individual that, during the past 12 *six* months, (i)

972 furnished you or a member of your immediate family with any gift or entertainment at a single event, or

973 intangible gift and the value received by you exceeded \$50, in value or (ii) furnished you or a member

974 of your immediate family with gifts or such entertainment or gifts in any combination and the total value

975 received by you exceeded \$100 in total value, and for which you or the member of your immediate

976 family neither paid nor rendered services in exchange. List each such gift or event. Do not list

977 entertainment events unless the average value per person attending the event exceeded \$50 in value. Do

978 not list business entertainment related to your the private profession or occupation of you or the member

979 of your immediate family who received such business entertainment. Do not list gifts or other things of

980 value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not

981 list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title

982 24.2 of the Code of Virginia. For the purposes of this Schedule, "personal friend" does not include any

983 lobbyist, any lobbyist's principal, or any business, government, or individual that is presently transacting

984 or seeking to transact business with the Commonwealth, its agencies or its political subdivisions within

985 twelve (12) months from the date of the gift and the recipient knows or has sufficient reason to know at

986 the time of the disclosure, about that real or potential business.

987	_____				
988					
989	Name of	Name of Business,	City or	Exact	
990	Name of	Organization, or	County	Gift or	Approximate
991	Recipient	Individual	and State	Event	Value
992	_____	_____	_____	_____	_____
993	_____	_____	_____	_____	_____
994	_____	_____	_____	_____	_____
995	_____	_____	_____	_____	_____
996	_____				

RETURN TO ITEM-6 5B

997  
998 **SCHEDULE E-2 - TANGIBLE GIFTS.**

999 List each business, governmental entity, or individual that, during the past six months, (i) furnished

1000 you or a member of your immediate family with any tangible gift and the value received exceeded \$50

1001 or (ii) furnished you or a member of your immediate family with such gifts in any combination and the

1002 total value received exceeded \$100, and for which you or the member of your immediate family neither

1003 paid nor rendered services in exchange. List each such gift. Do not list gifts given by a relative or

1004 personal friend for reasons clearly unrelated to your public position. For the purposes of this Schedule,

1005 "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or

1006 individual that is presently transacting or seeking to transact business with the Commonwealth, its

1007 agencies or its political subdivisions within twelve (12) months from the date of the gift and the

1008 recipient knows, or has sufficient reason to know at the time of the disclosure, about that real or

1009 potential business.

1010	_____				
1011					
1012	Name of	Name of Business,	City or	Exact	
1013	Name of	Organization, or	County	Gift or	Approximate
1014	Recipient	Individual	and State	Event	Value
1015	_____	_____	_____	_____	_____
1016	_____	_____	_____	_____	_____
1017	_____	_____	_____	_____	_____

SENATE SUBSTITUTE

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RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000 \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,001 to \$250,000 or less	More than \$250,000

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: \_\_\_\_\_.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such

1077 person appeared on behalf of such businesses.  
 1078 Only STATE officers and employees should complete this Schedule.

1079 \_\_\_\_\_

1080

1081	Type of business	Name of state governmental agency
1082	_____	_____
1083	_____	_____
1084	_____	_____
1085	_____	_____

1086  
 1087 SCHEDULE G-3 - PAYMENTS FOR SERVICES GENERALLY.

1088 Indicate below types of businesses that operate in Virginia to which services were furnished by you  
 1089 or persons with whom you have a close financial association pursuant to an agreement between you and  
 1090 such businesses, or between persons with whom you have a close financial association and such  
 1091 businesses and for which total compensation in excess of \$1,000 was received during the past ~~12~~ six  
 1092 months.

1093 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of  
 1094 service rendered and (iii) the value by dollar category of the compensation received for all businesses  
 1095 falling within each category.

1096 \_\_\_\_\_

1097	Check	Value of Compensation					
1098	if	Type					
1099	ser-	of					
1100	vices	ser-					
1101	were	vice	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
1102	ren-	ren-	to	to	to	to	and
1103	dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	over
1104	_____	_____	_____	_____	_____	_____	_____
1105	Electric utilities	_____	_____	_____	_____	_____	_____
1106	Gas utilities	_____	_____	_____	_____	_____	_____
1107	Telephone utilities	_____	_____	_____	_____	_____	_____
1108	Water utilities	_____	_____	_____	_____	_____	_____
1109	Cable television	_____	_____	_____	_____	_____	_____
1110	companies	_____	_____	_____	_____	_____	_____
1111	Interstate	_____	_____	_____	_____	_____	_____
1112	transportation	_____	_____	_____	_____	_____	_____
1113	companies	_____	_____	_____	_____	_____	_____
1114	Intrastate	_____	_____	_____	_____	_____	_____
1115	transportation	_____	_____	_____	_____	_____	_____
1116	companies	_____	_____	_____	_____	_____	_____
1117	Oil or gas retail	_____	_____	_____	_____	_____	_____
1118	companies	_____	_____	_____	_____	_____	_____
1119	Banks	_____	_____	_____	_____	_____	_____
1120	Savings institutions	_____	_____	_____	_____	_____	_____
1121	Loan or finance	_____	_____	_____	_____	_____	_____
1122	companies	_____	_____	_____	_____	_____	_____
1123	Manufacturing	_____	_____	_____	_____	_____	_____
1124	companies (state	_____	_____	_____	_____	_____	_____
1125	type of product,	_____	_____	_____	_____	_____	_____
1126	e.g., textile,	_____	_____	_____	_____	_____	_____
1127	furniture, etc.)	_____	_____	_____	_____	_____	_____
1128	Mining companies	_____	_____	_____	_____	_____	_____
1129	Life insurance	_____	_____	_____	_____	_____	_____
1130	companies	_____	_____	_____	_____	_____	_____
1131	Casualty insurance	_____	_____	_____	_____	_____	_____
1132	companies	_____	_____	_____	_____	_____	_____
1133	Other insurance	_____	_____	_____	_____	_____	_____

- 1134 companies \_\_\_\_\_
- 1135 Retail companies \_\_\_\_\_
- 1136 Beer, wine or liquor \_\_\_\_\_
- 1137 companies or \_\_\_\_\_
- 1138 distributors \_\_\_\_\_
- 1139 Trade associations \_\_\_\_\_
- 1140 Professional \_\_\_\_\_
- 1141 associations \_\_\_\_\_
- 1142 Associations of \_\_\_\_\_
- 1143 public employees \_\_\_\_\_
- 1144 or officials \_\_\_\_\_
- 1145 Counties, cities \_\_\_\_\_
- 1146 or towns \_\_\_\_\_
- 1147 Labor organizations \_\_\_\_\_
- 1148 Other \_\_\_\_\_
- 1149 \_\_\_\_\_

RETURN TO ITEM 9

1150 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

1151 List real estate other than your principal residence in which you or a member of your immediate  
1152 family holds an interest, including a partnership interest, option, easement, or land contract, valued at  
1153 \$40,000 or more than \$5,000. Each parcel shall be listed individually.  
1154

1155 \_\_\_\_\_

1156			
1157		Describe the type of real	
1158	List each location	estate you own in each	If the real estate is
1159	(state, and county	location (business, recre-	owned or recorded in
1160	or city) where you	ational, apartment, com-	a name other than your
1161	own real estate.	mercial, open land, etc.).	own, list that name.
1162	_____	_____	_____
1163	_____	_____	_____
1164	_____	_____	_____
1165	_____	_____	_____
1166	_____	_____	_____

1167 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

1168 List real estate other than your principal residence in which you or a member of your immediate  
1169 family holds an interest, including a partnership interest or option, easement, or land contract, valued at  
1170 \$40,000 or more than \$5,000. Each parcel shall be listed individually. Also list the names of any  
1171 co-owners of such property, if applicable.  
1172

1173 \_\_\_\_\_

1174			
1175		Describe the type	
1176		of real estate	
1177		you own in	
1178		each location	If the real estate
1179	List each location	(business,	is owned or rec-
1180	(state, and county	recreational,	orded in a name
1181	or city) where	apartment, com-	other than your
1182	you own real	mercial, open	own, list that
1183	estate.	land, etc.).	name.
1184	_____	_____	List the names
1185	_____	_____	of any co-owners,
1186	_____	_____	if applicable.
1187	_____	_____	_____
1188	_____	_____	_____
1189	_____	_____	_____

1190 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

1191 List all contracts, whether pending or completed within the past ~~12~~ *six* months, with a governmental  
1192 agency for the sale or exchange of real estate in which you or a member of your immediate family  
1193 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,  
1194 valued at *more than* \$10,000 ~~or more~~. List all contracts with a governmental agency for the lease of real  
1195 estate in which you or a member of your immediate family holds such an interest valued at *more than*  
1196 \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not apply to an interest derived  
1197 through an ownership interest in a business unless the ownership interest exceeds three percent of the  
1198 total equity of the business.

1199 State officers and employees report contracts with state agencies.

1200 Local officers and employees report contracts with local agencies.

1201

1202

1203 List your real estate

1204 interest and the

1205 person or entity,

1206 including the type

1207 of entity, which

1208 is party to

1209 the contract.

1210 Describe any

1211 management role and

1212 the percentage

1213 ownership

1214 interest you or your

1215 immediate family

1216 member has in the real

1217 estate or entity.

1218

1219

1220

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1223

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

1224 **§ 2.2-3118.1. Special provisions for individuals serving in or seeking multiple positions or**  
1225 **offices; reappointees.**

1226 A. The filing of a single current statement of economic interests by a state officer or employee  
1227 required to file the form prescribed in § 2.2-3117 shall suffice for the purposes of this chapter as filing  
1228 for all state positions or offices held or sought by such individual during a single reporting period. The  
1229 filing of a single current financial disclosure statement by a state officer or employee required to file the  
1230 form prescribed in § 2.2-3118 shall suffice for the purposes of this chapter as filing for all state  
1231 positions or offices held or sought by such individual and requiring the filing of the § 2.2-3118 form  
1232 during a single reporting period.

1233 B. Any individual who has met the requirement for ~~annually~~ *periodically* filing a statement provided  
1234 in § 2.2-3117 or 2.2-3118 shall not be required to file an additional statement upon such individual's  
1235 reappointment to the same office or position for which he is required to file, provided such  
1236 reappointment occurs within *six months after filing a statement pursuant to § 2.2-3117 and within 12*  
1237 *months after the annual filing a statement pursuant to § 2.2-3118.*

1238 **§ 2.2-3121. Advisory opinions.**

1239 A. A state officer or employee shall not be prosecuted for a knowing violation of this chapter if the  
1240 alleged violation resulted from his good faith reliance on a written opinion of the Attorney General *or*  
1241 *the Virginia Conflict of Interest and Ethics Advisory Council* made in response to his written request for  
1242 such opinion and the opinion was made after a full disclosure of the facts.

1243 B. A local officer or employee shall not be prosecuted for a knowing violation of this chapter if the  
1244 alleged violation resulted from his good faith reliance on a written opinion of the attorney for the  
1245 Commonwealth *or the Council* made in response to his written request for such opinion and the opinion  
1246 was made after a full disclosure of the facts. The written opinion shall be a public record and shall be  
1247 released upon request.

1248 C. If any officer or employee serving at the local level of government is charged with a knowing  
1249 violation of this chapter, and the alleged violation resulted from his reliance upon a written opinion of

1250 his city, county or town attorney *or the Council*, made after a full disclosure of the facts, that such  
 1251 action was not in violation of this chapter, then the officer or employee shall have the right to introduce  
 1252 a copy of the opinion at his trial as evidence that he did not knowingly violate this chapter.

1253 **§ 30-101. Definitions.**

1254 As used in this chapter, unless the context requires a different meaning:

1255 "Advisory agency" means any board, commission, committee or post which does not exercise any  
 1256 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for  
 1257 the purpose of making studies or recommendations, or advising or consulting with a governmental  
 1258 agency.

1259 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
 1260 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
 1261 whether or not for profit.

1262 "Contract" means any agreement to which a governmental agency is a party, or any agreement on  
 1263 behalf of a governmental agency which involves the payment of money appropriated by the General  
 1264 Assembly or a political subdivision, whether or not such agreement is executed in the name of the  
 1265 Commonwealth of Virginia, or some political subdivision thereof. "Contract" includes a subcontract only  
 1266 when the contract of which it is a part is with the legislator's own governmental agency.

1267 "*Council*" means *the Virginia Conflict of Interest and Ethics Advisory Council established in*  
 1268 *§ 30-348.*

1269 "Financial institution" means any bank, trust company, savings institution, industrial loan association,  
 1270 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or  
 1271 investment company or advisor registered under the federal Investment Advisors Act or Investment  
 1272 Company Act of 1940.

1273 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
 1274 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and  
 1275 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
 1276 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass  
 1277 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from  
 1278 relatives. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt,  
 1279 niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's  
 1280 parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse *both tangible*  
 1281 *and intangible gifts. A "tangible gift" means a physical item, object, currency, or other negotiable*  
 1282 *instrument of value that upon the happening of a certain event or expiration of a given date retains its*  
 1283 *form or value. An "intangible gift" means a physical item or object of value that upon the happening of*  
 1284 *a certain event or expiration of a given date loses its form or value. "Intangible gifts" include, but are*  
 1285 *not limited to, entertainment, food, and tickets or other access to social or recreational events. A "gift"*  
 1286 *does not include merit or need-based scholarships.*

1287 "Governmental agency" means each component part of the legislative, executive or judicial branches  
 1288 of state and local government, including each office, department, authority, post, commission,  
 1289 committee, and each institution or board created by law to exercise some regulatory or sovereign power  
 1290 or duty as distinguished from purely advisory powers or duties.

1291 "Immediate family" means (i) a spouse and (ii) any ~~other person~~ *child* residing in the same  
 1292 household as the legislator, who is a dependent of the legislator ~~or of whom the legislator is a~~  
 1293 ~~dependent.~~ "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether  
 1294 or not related by blood or marriage, if such person receives from the legislator, ~~or provides to the~~  
 1295 legislator, more than one-half of his financial support.

1296 "Legislator" means a member of the General Assembly.

1297 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his  
 1298 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership  
 1299 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may  
 1300 reasonably be anticipated to exceed, ~~\$10,000~~ *\$5,000* from ownership in real or personal property or a  
 1301 business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any  
 1302 combination thereof, paid or provided by a business that exceeds, or may reasonably be anticipated to  
 1303 exceed, ~~\$10,000~~ *\$5,000* annually; (iv) ownership of real or personal property if the interest exceeds  
 1304 ~~\$10,000~~ *\$5,000* in value and excluding ownership in a business, income, or salary, other compensation,  
 1305 fringe benefits or benefits from the use of property; or (v) personal liability incurred or assumed on  
 1306 behalf of a business if the liability exceeds three percent of the asset value of the business.

1307 "Personal interest in a contract" means a personal interest which a legislator has in a contract with a  
 1308 governmental agency, whether due to his being a party to the contract or due to a personal interest in a  
 1309 business which is a party to the contract.

1310 "Personal interest in a transaction" means a personal interest of a legislator in any matter considered  
 1311 by the General Assembly. Such personal interest exists when an officer or employee or a member of his

1312 immediate family has a personal interest in property or a business, or represents any individual or  
 1313 business and such property, business or represented individual or business (i) is the subject of the  
 1314 transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result  
 1315 of the action of the agency considering the transaction. A "personal interest in a transaction" exists only  
 1316 if the legislator or member of his immediate family or an individual or business represented by the  
 1317 legislator is affected in a way that is substantially different from the general public or from persons  
 1318 comprising a profession, occupation, trade, business or other comparable and generally recognizable  
 1319 class or group of which he or the individual or business he represents is a member.

1320 "Transaction" means any matter considered by the General Assembly, whether in a committee,  
 1321 subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which  
 1322 official action is taken or contemplated.

1323 **§ 30-103. Prohibited conduct.**

1324 No legislator shall:

1325 1. Solicit or accept money or other thing of value for services performed within the scope of his  
 1326 official duties, except the compensation, expenses or other remuneration paid to him by the General  
 1327 Assembly. This prohibition shall not apply to the acceptance of special benefits which may be  
 1328 authorized by law;

1329 2. Offer or accept any money or other thing of value for or in consideration of obtaining  
 1330 employment, appointment, or promotion of any person with any governmental or advisory agency;

1331 3. Offer or accept any money or other thing of value for or in consideration of the use of his public  
 1332 position to obtain a contract for any person or business with any governmental or advisory agency;

1333 4. Use for his own economic benefit or that of another party confidential information which he has  
 1334 acquired by reason of his public position and which is not available to the public;

1335 5. Accept any money, loan, gift, favor, service, or business or professional opportunity that  
 1336 reasonably tends to influence him in the performance of his official duties. This subdivision shall not  
 1337 apply to any political contribution actually used for political campaign or constituent service purposes  
 1338 and reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;

1339 6. Accept any business or professional opportunity when he knows that there is a reasonable  
 1340 likelihood that the opportunity is being afforded him to influence him in the performance of his official  
 1341 duties;

1342 7. During the one year after the termination of his service as a legislator, represent a client or act in  
 1343 a representative capacity on behalf of any person or group, for compensation, on any matter before the  
 1344 General Assembly or any agency of the legislative branch of government. The prohibitions of this  
 1345 subdivision shall apply only to persons engaged in activities that would require registration as a lobbyist  
 1346 under § 2.2-422. Any person subject to the provisions of this subdivision may apply to the Attorney  
 1347 General, as provided in § 30-122, for an advisory opinion as to the application of the restriction imposed  
 1348 by this subdivision on any post-public employment position or opportunity;

1349 8. Accept any honoraria for any appearance, speech, or article in which the legislator provides  
 1350 expertise or opinions related to the performance of his official duties. The term "honoraria" shall not  
 1351 include any payment for or reimbursement to such person for his actual travel, lodging, or subsistence  
 1352 expenses incurred in connection with such appearance, speech, or article or in the alternative a payment  
 1353 of money or anything of value not in excess of the per diem deduction allowable under § 162 of the  
 1354 Internal Revenue Code, as amended from time to time;

1355 9. Accept appointment to serve on a body or board of any corporation, company or other legal  
 1356 entity, vested with the management of the corporation, company or entity, and on which two other  
 1357 members of the General Assembly already serve, which is operated for profit and regulated by the State  
 1358 Corporation Commission as (i) a financial institution, (ii) a mortgage lender or broker, (iii) any business  
 1359 under Chapter 5 (§ 13.1-501 et seq.) of Title 13.1, (iv) any business under Title 38.2, or (v) any  
 1360 business under Title 56;

1361 10. Accept a gift from a person who has interests that may be substantially affected by the  
 1362 performance of the legislator's official duties under circumstances where the timing and nature of the gift  
 1363 would cause a reasonable person to question the legislator's impartiality in the matter affecting the  
 1364 donor. Violations of this subdivision shall not be subject to criminal law penalties; or

1365 11. Accept gifts from sources on a basis so frequent as to raise an appearance of the use of his  
 1366 public office for private gain. Violations of this subdivision shall not be subject to criminal law  
 1367 penalties; or

1368 12. *Accept compensation or reimbursement for expenses for attendance or services performed at a  
 1369 conference for which the conference agenda or materials are not readily available to the public.*

1370 **§ 30-103.1. Certain gifts prohibited.**

1371 A. No legislator or candidate for the General Assembly required to file the disclosure form  
 1372 prescribed in § 30-111 shall solicit, accept, or receive within any calendar year any single gift with a

1373 value in excess of \$250 from any lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter  
1374 4 of Title 2.2. The provisions of this section apply to gifts reportable on Schedule E-2 of the disclosure  
1375 form prescribed in § 30-111. The provisions of this section do not apply to items reportable on Schedule  
1376 D-1, D-2, or E-1 of the disclosure form prescribed in § 30-111.

1377 B. The \$250 limitation imposed in accordance with this section shall be adjusted by the Virginia  
1378 Conflict of Interest and Ethics Advisory Council every five years, as of January 1 of that year, in an  
1379 amount equal to the annual increases for that five-year period in the United States Average Consumer  
1380 Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics  
1381 of the U.S. Department of Labor.

1382 § 30-110. Disclosure.

1383 A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure  
1384 statement of his personal interests and such other information as is specified on the form set forth in  
1385 § 30-111 and thereafter shall file such a statement annually on or before January 8 semiannually by June  
1386 1 for the preceding six-month period complete through the last day of April and by December 1 for the  
1387 preceding six-month period complete through the last day of October. When the filing deadline falls on  
1388 a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a  
1389 Saturday, Sunday, or legal holiday. Disclosure forms shall be provided by the clerk of the appropriate  
1390 house to each legislator and legislator-elect not later than November 30 of each year Virginia Conflict of  
1391 Interest and Ethics Advisory Council at least 30 days prior to the filing deadline. Members of the  
1392 Senate shall file their disclosure forms with the Clerk of the Senate and members of the House of  
1393 Delegates shall file their disclosure forms with the Clerk of the House of Delegates Virginia Conflict of  
1394 Interest and Ethics Advisory Council. The disclosure forms of the members of the General Assembly  
1395 shall be maintained as public records for five years in the office of the clerk of the appropriate house  
1396 Virginia Conflict of Interest and Ethics Advisory Council.

1397 B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as  
1398 required by §§ 24.2-500 through 24.2-503.

1399 C. Any legislator who has a personal interest in any transaction pending before the General  
1400 Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the  
1401 rules of his house shall disclose his interest in accordance with the applicable rule of his house.

1402 § 30-111. Disclosure form.

1403 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be  
1404 substantially as follows:

1405 STATEMENT OF ECONOMIC INTERESTS.

- 1406 Name .....
- 1407 Office or position held or sought .....
- 1408 Home address .....
- 1409 Names of members of immediate family .....

1410 DEFINITIONS AND EXPLANATORY MATERIAL.

1411 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
1412 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
1413 whether or not for profit.

1414 "Close financial association" means an association in which the filer shares significant financial  
1415 involvement with an individual and the filer would reasonably be expected to be aware of the  
1416 individual's business activities and would have access to the necessary records either directly or through  
1417 the individual. "Close financial association" does not mean an association based on (i) the receipt of  
1418 retirement benefits or deferred compensation from a business by which the legislator is no longer  
1419 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent  
1420 contractor of a business that represents an entity before any state governmental agency when the  
1421 legislator has had no communications with the state governmental agency.

1422 "Contingent liability" means a liability that is not presently fixed or determined, but may become  
1423 fixed or determined in the future with the occurrence of some certain event.

1424 "Dependent" means any person, whether or not related by blood or marriage, who receives from the  
1425 legislator, or provides to the legislator, more than one-half of his financial support.

1426 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
1427 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and  
1428 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
1429 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass  
1430 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from  
1431 relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom  
1432 the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother,  
1433 or sister; or the donee's brother's or sister's spouse both tangible and intangible gifts. A "tangible gift"

1434 means a physical item, object, currency, or other negotiable instrument of value that upon the  
 1435 happening of a certain event or expiration of a given date retains its form or value. An "intangible gift"  
 1436 means a physical item or object of value that upon the happening of a certain event or expiration of a  
 1437 given date loses its form or value. "Intangible gifts" include, but are not limited to, entertainment, food,  
 1438 and tickets or other access to social or recreational events. A "gift" does not include merit or  
 1439 need-based scholarships.

1440 "Immediate family" means (i) a spouse and (ii) any ~~other person~~ child residing in the same  
 1441 household as the legislator, who is a dependent of the legislator ~~or of whom the legislator is a~~  
 1442 dependent.

1443 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal  
 1444 services, consulting services, or public relations services, whether gratuitous or for compensation,  
 1445 between a member or member-elect and any person who is, or has been within the prior calendar year,  
 1446 registered as a lobbyist with the ~~Secretary of the Commonwealth~~ Virginia Conflict of Interest and Ethics  
 1447 Advisory Council, or (ii) a greater than three percent ownership interest by a member or member-elect in  
 1448 a business that employs, or engages as an independent contractor, any person who is, or has been within  
 1449 the prior calendar year, registered as a lobbyist with the ~~Secretary of the Commonwealth~~ Council. The  
 1450 disclosure of a lobbyist relationship shall not (i) constitute a waiver of any attorney-client or other  
 1451 privilege, (ii) require a waiver of any attorney-client or other privilege for a third party, or (iii) be  
 1452 required where a member or member-elect is employed or engaged by a person and such person also  
 1453 employs or engages a person in a lobbyist relationship so long as the member or member-elect has no  
 1454 financial interest in the lobbyist relationship.

1455 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,  
 1456 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional  
 1457 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if  
 1458 you and your immediate family have a one-third interest in a trust, complete your Statement as if you  
 1459 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust  
 1460 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1461 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this  
 1462 Statement must be provided on the basis of the best knowledge, information and belief of the individual  
 1463 filing the Statement as of the date of this report unless otherwise stated.

1464 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

1465 You may attach additional explanatory information.

1466 1. Offices and Directorships.

1467 Are you or a member of your immediate family a paid officer or paid director of a business?

1468 EITHER check NO / / OR check YES / / and complete Schedule A.

1469 2. Personal Liabilities.

1470 Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor  
 1471 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens  
 1472 on property at least equal in value to the loan.)

1473 EITHER check NO / / OR check YES / / and complete Schedule B.

1474 3. Securities.

1475 Do you or a member of your immediate family, directly or indirectly, separately or together, own  
 1476 securities valued in excess of ~~\$10,000~~ \$5,000 invested in one business? Account for mutual funds,  
 1477 limited partnerships and trusts.

1478 EITHER check NO / / OR check YES / / and complete Schedule C.

1479 4. Payments for Talks, Meetings, and Publications.

1480 During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of  
 1481 value with a combined value exceeding \$200 for a single talk, meeting, or published work in your  
 1482 capacity as a legislator? Do not include payments and reimbursements from the Commonwealth for  
 1483 meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such  
 1484 meetings.

1485 EITHER check NO / / OR check YES / / and complete Schedule D.

1486 ~~5.~~ 5A. Entertainment and Intangible Gifts.

1487 During the past ~~12~~ six months did a business, government, or individual other than a relative or  
 1488 personal friend (i) furnish you ~~or a member of your immediate family~~ with any ~~gift~~ or entertainment at a  
 1489 single event, ~~or intangible gift~~ and the value received by ~~you~~ exceeded \$50 ~~in value~~ or (ii) furnish you  
 1490 ~~or a member of your immediate family~~ with ~~gifts~~ or such entertainment or gifts in any combination and  
 1491 the total value received by ~~you~~ exceeded \$100 ~~in total value~~, and for which you ~~or the member of your~~  
 1492 immediate family neither paid nor rendered services in exchange? Account for entertainment events only  
 1493 if the average value per person attending the event exceeded \$50 ~~in value~~. Account for all business  
 1494 entertainment (except if related to ~~your~~ the private profession or occupation of you or the member of

1495 your immediate family who received such business entertainment) even if unrelated to your official  
 1496 duties. For the purposes of this Item, "personal friend" does not include any lobbyist, any lobbyist's  
 1497 principal, or any business, government, or individual that is presently transacting or seeking to transact  
 1498 business with the Commonwealth, its agencies or its political subdivisions within twelve (12) months  
 1499 from the date of the gift and the recipient knows, or has sufficient reason to know at the time of the  
 1500 disclosure, about that real or potential business.

1501 EITHER check NO / / OR check YES / / and complete Schedule E-1.

1502 5B. Tangible Gifts.

1503 During the past six months did a business, government, or individual other than a relative or  
 1504 personal friend (i) furnish you or a member of your immediate family with any tangible gift and the  
 1505 value received exceeded \$50 or (ii) furnish you or a member of your immediate family with such gifts in  
 1506 any combination and the total value received exceeded \$100, and for which you or the member of your  
 1507 immediate family neither paid nor rendered services in exchange? For the purposes of this Item,  
 1508 "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or  
 1509 individual that is presently transacting or seeking to transact business with the Commonwealth, its  
 1510 agencies or its political subdivisions within twelve (12) months from the date of the gift and the  
 1511 recipient knows, or has sufficient reason to know at the time of the disclosure, about that real or  
 1512 potential business.

1513 EITHER check NO / / OR check YES / / and complete Schedule E-2.

1514 6. Salary and Wages.

1515 List each employer that pays you or a member of your immediate family salary or wages in excess  
 1516 of \$10,000 \$5,000 annually. (Exclude any salary received as a member of the General Assembly  
 1517 pursuant to § 30-19.11.)

1518 If no reportable salary or wages, check here / /.

1519 \_\_\_\_\_  
 1520 \_\_\_\_\_  
 1521 \_\_\_\_\_

1522 7. Business Interests and Lobbyist Relationships.

1523 7A. Do you or a member of your immediate family, separately or together, operate your own  
 1524 business, or own or control an interest in excess of \$10,000 \$5,000 in a business?

1525 EITHER check NO / / OR check YES / / and complete Schedule F-1.

1526 7B. Do you have a lobbyist relationship as that term is defined above?

1527 EITHER check NO / / OR check YES / / and complete Schedule F-2.

1528 8. Payments for Representation and Other Services.

1529 8A. Did you represent any businesses before any state governmental agencies, excluding courts or  
 1530 judges, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000,  
 1531 excluding compensation for other services to such businesses and representation consisting solely of the  
 1532 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1533 EITHER check NO / / OR check YES / / and complete Schedule G-1.

1534 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial  
 1535 association (partners, associates or others) represent any businesses before any state governmental agency  
 1536 for which total compensation was received during the past ~~12~~ six months in excess of \$1,000?

1537 EITHER check NO / / OR check YES / / and complete Schedule G-2.

1538 8C. Did you or persons with whom you have a close financial association furnish services to  
 1539 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between  
 1540 persons with whom you have a close financial association and such businesses for which total  
 1541 compensation in excess of \$1,000 was received during the past ~~12~~ six months? Services reported under  
 1542 this provision shall not include services involving the representation of businesses that are reported  
 1543 under question 8A or 8B above.

1544 EITHER check NO / / OR check YES / / and complete Schedule G-3.

1545 9. Real Estate.

1546 Do you or a member of your immediate family hold an interest, including a partnership interest,  
 1547 valued at \$10,000 or more than \$5,000 in real property (other than your principal residence) for which  
 1548 you have not already listed the full address on Schedule F? Account for real estate held in trust.

1549 EITHER check NO / / OR check YES / / and complete Schedule H.

1550 10. Real Estate Contracts with State Governmental Agencies.

1551 Do you or a member of your immediate family hold an interest valued at more than \$10,000 \$5,000  
 1552 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,  
 1553 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six  
 1554 months, with a state governmental agency?

1555 If the real estate contract provides for the leasing of the property to a state governmental agency, do  
 1556 you or a member of your immediate family hold an interest in the real estate, including a corporate,

1557 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for  
1558 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to  
1559 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a  
1560 business unless the ownership interest exceeds three percent of the total equity of the business.

1561 EITHER check NO // OR check YES // and complete Schedule I.

1562 11. Payments by the Commonwealth for Meetings.

1563 During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of  
1564 value with a combined value exceeding \$200 from the Commonwealth for a single meeting attended  
1565 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for  
1566 meetings attended in the Commonwealth.

1567 EITHER check NO // OR check YES // and complete Schedule D-2.

1568 For Statements filed in January 2016 and each two years thereafter, complete the following  
1569 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

1570 I certify that I completed ethics training as required by § 30-129.1. YES // or NO //.

1571 Statements of Economic Interests are open for public inspection.

1572 AFFIRMATION.

1573 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure  
1574 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond  
1575 promptly to the request. I understand that if a determination is made that the statement is insufficient, I  
1576 will satisfy such request or be subjected to disciplinary action of my house.

1577 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1578 Signature \_\_\_\_\_

1579 Commonwealth of Virginia

1580 \_\_\_\_\_ of \_\_\_\_\_ to wit:

1581 ~~The foregoing disclosure form was acknowledged before me~~

1582 ~~This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by \_\_\_\_\_~~

1583 \_\_\_\_\_ Notary Public

1584 ~~My commission expires \_\_\_\_\_~~

1585 (Return only if needed to complete Statement.)

1586 SCHEDULES

1587 to

1588 STATEMENT OF ECONOMIC INTERESTS.

1589 NAME \_\_\_\_\_

1590 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1591 Identify each business of which you or a member of your immediate family is a paid officer or paid  
1592 director.

1593 \_\_\_\_\_

1594	1595	1596	1597
Name of Business	Address of Business	Position Held and by Whom	
1598	_____	_____	_____
1599	_____	_____	_____
1600	_____	_____	_____

1601 RETURN TO ITEM 2

1602 SCHEDULE B - PERSONAL LIABILITIES.

1603 Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000.  
1604 Do not report debts to any government. Do not report loans secured by recorded liens on property at  
1605 least equal in value to the loan.

1606 Report contingent liabilities below and indicate which debts are contingent.

1607 1. My personal debts are as follows:

1608 \_\_\_\_\_

1609	1610	1611	1612	1613
	Check	Check one		
1614	Banks	_____	_____	_____
1615	Savings institutions	_____	_____	_____

1616 Other loan or finance companies \_\_\_\_\_

1617 Insurance companies \_\_\_\_\_

1618 Stock, commodity or other brokerage \_\_\_\_\_

1619 companies \_\_\_\_\_

1620 Other businesses: \_\_\_\_\_

1621 (State principal business activity for each \_\_\_\_\_

1622 creditor *and its name*.) \_\_\_\_\_

1623 \_\_\_\_\_

1624 \_\_\_\_\_

1625 Individual creditors: \_\_\_\_\_

1626 (State principal business or occupation of \_\_\_\_\_

1627 each creditor *and its name*.) \_\_\_\_\_

1628 \_\_\_\_\_

1629 \_\_\_\_\_

1630 \_\_\_\_\_

2. The personal debts of the members of my immediate family are as follows:

1631 \_\_\_\_\_

1632 \_\_\_\_\_

1633 \_\_\_\_\_

1634 \_\_\_\_\_

1635 Check Check one

1636 appropriate ~~\$10,001~~

1637 categories \$5,001 to More than

1638 Banks \$50,000 \$50,000

1639 Savings institutions \_\_\_\_\_

1640 Other loan or finance companies \_\_\_\_\_

1641 Insurance companies \_\_\_\_\_

1642 Stock, commodity or other brokerage \_\_\_\_\_

1643 companies \_\_\_\_\_

1644 Other businesses: \_\_\_\_\_

1645 (State principal business activity for each \_\_\_\_\_

1646 creditor *and its name*.) \_\_\_\_\_

1647 \_\_\_\_\_

1648 \_\_\_\_\_

1649 Individual creditors: \_\_\_\_\_

1650 (State principal business or occupation of \_\_\_\_\_

1651 each creditor *and its name*.) \_\_\_\_\_

1652 \_\_\_\_\_

1653 \_\_\_\_\_

1654 \_\_\_\_\_

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES  
 mutual funds, limited partnerships, certificates of deposit,  
 and commodity futures contracts. money market funds, annuity  
 contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

1669 \_\_\_\_\_

1670 \_\_\_\_\_

1671 \_\_\_\_\_

1672 \_\_\_\_\_

Check one  
~~\$10,001~~

1673		Type of Security	\$5,001	\$50,001	More
1674		(stocks, bonds,	to	to	than
1675	Name of Issuer	Entity	\$50,000	\$250,000	\$250,000
1676	_____	_____	_____	_____	_____
1677	_____	_____	_____	_____	_____
1678	_____	_____	_____	_____	_____
1679	_____	_____	_____	_____	_____

RETURN TO ITEM 4

SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1682 List each source from which you received during the past ~~12~~ six months lodging, transportation,  
1683 money, or any other thing of value (~~excluding meals or drinks coincident with a meeting~~) with a  
1684 combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or  
1685 publication of a work in your capacity as a legislator. Do not list payments or reimbursements by the  
1686 Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you  
1687 donated it to charity. Do not list information about a payment if you returned it within 60 days or if you  
1688 received it from an employer already listed under Item 6 or from a source of income listed on Schedule  
1689 F.

1691 If no payment must be listed, check here / /.

1692				Type of Payment
1693				(e.g., Honoraria,
1694				Travel reimburse-
1695				ment, etc.)
1696	Payer	Approximate Value	Circumstances	
1697	_____	_____	_____	_____
1698	_____	_____	_____	_____
1699	_____	_____	_____	_____
1700	_____	_____	_____	_____
1701	_____	_____	_____	_____
1702	_____	_____	_____	_____

RETURN TO ITEM-5 5A

SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

1703 List each meeting for which the Commonwealth provided payments or reimbursements during the  
1704 past ~~12~~ six months to you for lodging, transportation, money, or any other thing of value (~~excluding~~  
1705 ~~meals or drinks coincident with a meeting~~) with a combined value exceeding \$200 for your participation  
1706 in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for  
1707 meetings or travel within the Commonwealth.

1709 If no payment must be listed, check here / /.

1710				Type of Payment
1711				(e.g., Travel
1712				reimbursement,
1713				etc.)
1714	Payer	Approximate Value	Circumstances	
1715	_____	_____	_____	_____
1716	_____	_____	_____	_____
1717	_____	_____	_____	_____
1718	_____	_____	_____	_____
1719	_____	_____	_____	_____
1720	_____	_____	_____	_____
1721	_____	_____	_____	_____

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SCHEDULE E-1 - ENTERTAINMENT AND INTANGIBLE GIFTS.

1722 List each business, governmental entity, or individual that, during the past ~~12~~ six months, (i)  
1723 furnished you or a member of your immediate family with any gift or entertainment at a single event, or  
1724 intangible gift and the value received by you exceeded \$50, in value or (ii) furnished you or a member  
1725 of your immediate family with gifts or such entertainment or intangible gifts in any combination and the  
1726 total value received by you exceeded \$100 in total value; and for which you or the member of your  
1727 immediate family neither paid nor rendered services in exchange. List each such gift or event.

1729 Do not list entertainment events unless the average value per person attending the event exceeded

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1731 \$50 in value. Do not list business entertainment related to ~~you~~ the private profession or occupation of  
 1732 you or the member of your immediate family who received such business entertainment. Do not list gifts  
 1733 or other things of value given by a relative or personal friend for reasons clearly unrelated to your  
 1734 public position. Do not list campaign contributions publicly reported as required by Chapter 9.3  
 1735 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia. For the purposes of this Schedule, "personal  
 1736 friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or  
 1737 individual that is presently transacting or seeking to transact business with the Commonwealth, its  
 1738 agencies or its political subdivisions within twelve (12) months from the date of the gift and the  
 1739 recipient knows, or has sufficient reason to know at the time of the disclosure, about that real or  
 1740 potential business.

1741 \_\_\_\_\_

1742 \_\_\_\_\_

1743	Name of Business,	City or		
1744	Exact			
1745	Name of	Organization, or	County	Gift or
1746	Recipient	Individual	and State	Event
1747				Approximate
1748				Value
1749				
1750				
1751				

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1752 **SCHEDULE E-2 - TANGIBLE GIFTS.**

1753 List each business, governmental entity, or individual that, during the past 12 months, (i) furnished  
 1754 you with any tangible gift and the value received by you exceeded \$50 or (ii) furnished you with such  
 1755 gifts in any combination and the total value received by you exceeded \$100, and for which you neither  
 1756 paid nor rendered services in exchange. List each such gift. Do not list gifts given by a relative or  
 1757 personal friend for reasons clearly unrelated to your public position. For the purposes of this Schedule,  
 1758 "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or  
 1759 individual that is presently transacting or seeking to transact business with the Commonwealth, its  
 1760 agencies or its political subdivisions within twelve (12) months from the date of the gift and the  
 1761 recipient knows, or has sufficient reason to know at the time of the disclosure, about that real or  
 1762 potential business.

1763 \_\_\_\_\_

1764 \_\_\_\_\_

1765	Name of Business,	City or	Exact	
1766	Name of	Organization, or	Gift or	Approximate
1767	Recipient	Individual	Event	Value
1768				
1769				
1770				
1771				
1772				
1773				

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1774 **SCHEDULE F-1 - BUSINESS INTERESTS.**

1775 Complete this Schedule for each self-owned or family-owned business (including rental property, a  
 1776 farm, or consulting work), partnership, or corporation in which you or a member of your immediate  
 1777 family, separately or together, own an interest having a value in excess of ~~\$10,000~~ \$5,000.

1778 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;  
 1779 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a  
 1780 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.  
 1781 Account for business interests held in trust.

1782 \_\_\_\_\_

1783 \_\_\_\_\_

1784	Name of		
1785	Business		
1786	Corporation,		
1787	Partnership,	Nature of	Gross income
1788	Farm;	Enterprise	
1789			

1790	Address of	City or	(farming,	\$50,001	More
1791	Rental	County	law, rental	to	than
1792	Property	and State	property, etc.)	\$50,000 or less	\$250,000 to \$250,000
1793	_____	_____	_____	_____	_____
1794	_____	_____	_____	_____	_____
1795	_____	_____	_____	_____	_____
1796	_____	_____	_____	_____	_____
1797	_____	_____	_____	_____	_____

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SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

(i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth Virginia Conflict of Interest and Ethics Advisory Council, or

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the of the Commonwealth Council.

1806	_____				
1807	_____				
1808				Payments to	
1809				Lobbyist	
1810					More than
1811	List each person	Describe each	Dates of	\$10,000	<del>\$10,001</del>
1812	or business	relationship	relationship	or less	\$10,000
1813	_____	_____	_____	_____	_____
1814	_____	_____	_____	_____	_____
1815	_____	_____	_____	_____	_____
1816	_____	_____	_____	_____	_____
1817	_____	_____	_____	_____	_____

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

1834	_____									
1835	_____									
1836		Pur-		Amount Received						
1837		pose								
1838	Name	Type	of	Name	\$1,001	\$10,001	\$50,001	\$100,001		
1839	of	of	Repre-	of	to	to	to	to	\$250,001	
1840	Busi-	Busi-	senta-	Agency	\$10,000	\$50,000	\$100,000	\$250,000	and over	
1841	ness	ness	tion							
1842	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
1843	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
1844	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
1845	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
1846	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____

If you have received \$250,001 or more from a single business within the reporting period, indicate

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1848 the amount received, rounded to the nearest \$10,000. Amount Received: \_\_\_\_\_.

1849 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1850 List the businesses that have been represented before any state governmental agency, excluding any  
1851 court or judge, by persons who are your partners, associates or others with whom you have a close  
1852 financial association and who received total compensation in excess of \$1,000 for such representation  
1853 during the past ~~12~~ six months, excluding representation consisting solely of the filing of mandatory  
1854 papers and subsequent representation regarding the mandatory papers filed by your partners, associates  
1855 or others with whom you have a close financial association.

1856 Identify such businesses by type and also name the state governmental agencies before which such  
1857 person appeared on behalf of such businesses.

1858 \_\_\_\_\_

1859 \_\_\_\_\_

1860 Type of Business Name of State Governmental Agency

1861 \_\_\_\_\_

1862 \_\_\_\_\_

1863 \_\_\_\_\_

1864 \_\_\_\_\_

1865 \_\_\_\_\_

1866 SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

1867 Indicate below types of businesses that operate in Virginia to which services were furnished by you  
1868 or persons with whom you have a close financial association pursuant to an agreement between you and  
1869 such businesses, or between persons with whom you have a close financial association and such  
1870 businesses and for which total compensation in excess of \$1,000 was received during the past ~~12~~ six  
1871 months. Services reported in this Schedule shall not include services involving the representation of  
1872 businesses that are reported in Schedule G-1 or G-2 above.

1873 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of  
1874 service rendered and (iii) the value by dollar category of the compensation received for all businesses  
1875 falling within each category.

1876 \_\_\_\_\_

1877 \_\_\_\_\_

1878		Check						
1879		if	Type					
1880		ser-	of	Value of Compensation				
1881		vices	ser-					
1882		were	vice	\$1,001	\$10,001	\$50,001	\$100,001	
1883		ren-	ren-	to	to	to	to	\$250,001
1884		dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	and over

1885 Electric utilities \_\_\_\_\_

1886 Gas utilities \_\_\_\_\_

1887 Telephone utilities \_\_\_\_\_

1888 Water utilities \_\_\_\_\_

1889 Cable television \_\_\_\_\_

1890 companies \_\_\_\_\_

1891 Interstate \_\_\_\_\_

1892 transportation \_\_\_\_\_

1893 companies \_\_\_\_\_

1894 Intrastate \_\_\_\_\_

1895 transportation \_\_\_\_\_

1896 companies \_\_\_\_\_

1897 Oil or gas retail \_\_\_\_\_

1898 companies \_\_\_\_\_

1899 Banks \_\_\_\_\_

1900 Savings \_\_\_\_\_

1901 institutions \_\_\_\_\_

1902 Loan or finance \_\_\_\_\_

1903 companies \_\_\_\_\_

1904 Manufacturing \_\_\_\_\_

1905 companies (state \_\_\_\_\_

1906	type of product,						
1907	e.g., textile,						
1908	furniture, etc.)	_____	_____	_____	_____	_____	_____
1909	Mining companies	_____	_____	_____	_____	_____	_____
1910	Life insurance						
1911	companies	_____	_____	_____	_____	_____	_____
1912	Casualty insurance						
1913	companies	_____	_____	_____	_____	_____	_____
1914	Other insurance						
1915	companies	_____	_____	_____	_____	_____	_____
1916	Retail companies	_____	_____	_____	_____	_____	_____
1917	Beer, wine or						
1918	liquor companies						
1919	or distributors	_____	_____	_____	_____	_____	_____
1920	Trade associations	_____	_____	_____	_____	_____	_____
1921	Professional						
1922	associations	_____	_____	_____	_____	_____	_____
1923	Associations of						
1924	public employees						
1925	or officials	_____	_____	_____	_____	_____	_____
1926	Counties, cities						
1927	or towns	_____	_____	_____	_____	_____	_____
1928	Labor organizations	_____	_____	_____	_____	_____	_____
1929	Other	_____	_____	_____	_____	_____	_____
1930		_____	_____	_____	_____	_____	_____

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1931  
1932 SCHEDULE H - REAL ESTATE.

1933 List real estate other than your principal residence in which you or a member of your immediate  
1934 family holds an interest, including a partnership interest, option, easement, or land contract, valued at  
1935 \$10,000 \$5,000 or more. Each parcel must be listed individually.

1936	_____		
1937			
1938		Describe the type of real	
1939		estate you own in each	
1940	List the location	location (business,	If the real estate is
1941	(state, and county	recreational, apartment,	owned or recorded in
1942	or city where you	commercial, open land,	a name other than your
1943	own real estate	etc.)	own, list that name
1944	_____	_____	_____
1945	_____	_____	_____
1946	_____	_____	_____
1947	_____	_____	_____
1948	_____	_____	_____
1949	_____	_____	_____

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1950  
1951 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1952 List all contracts, whether pending or completed within the past ~~12~~ six months, with a state  
1953 governmental agency for the sale or exchange of real estate in which you or a member of your  
1954 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,  
1955 or land contract, valued at *more than* \$10,000 ~~or more~~. List all contracts with a state governmental  
1956 agency for the lease of real estate in which you or a member of your immediate family holds such an  
1957 interest valued at *more than* \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not  
1958 apply to an interest derived through an ownership interest in a business unless the ownership interest  
1959 exceeds three percent of the total equity of the business.

1960	_____
1961	
1962	List your real

1963	estate interest and		
1964	the person or entity,		
1965	including the type of		
1966	entity, which is		
1967	party to the contract.		State the annual
1968	Describe any		income from the
1969	management role and	List each	contract, and the
1970	the percentage	governmental agency	amount, if any, of
1971	ownership interest	which is a party to	income you or any
1972	you or your immediate	the contract and	immediate family
1973	family member has in	indicate the county	member derives
1974	the real estate	or city where the	annually from
1975	or entity.	real estate is located.	the contract.
1976	_____	_____	_____
1977	_____	_____	_____
1978	_____	_____	_____
1979	_____	_____	_____
1980	_____	_____	_____

1981  
 1982 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of  
 1983 Economic Interests shall be subject to disciplinary action for such violations by the house in which the  
 1984 legislator sits.

1985 C. In accordance with the rules of each house, the *The* Statement of Economic Interests of all  
 1986 members of each house shall be reviewed by *the Council*. If a legislator's Statement is found to be  
 1987 inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement  
 1988 correcting the indicated deficiencies, and a time shall be set within which such amendment shall be  
 1989 filed. If the Statement of Economic Interests, in either its original or amended form, is found to be  
 1990 adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the  
 1991 information disclosed thereon.

1992 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing  
 1993 request the house in which those members sit, in accordance with the rules of that house, to review the  
 1994 Statement of Economic Interests of another member of that house in order to determine the adequacy of  
 1995 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be  
 1996 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator  
 1997 whose Statement is in issue. Should it be determined that the Statement requires correction,  
 1998 augmentation or revision, the legislator involved shall be directed to make the changes required within  
 1999 such time as shall be set under the rules of each house.

2000 If a legislator, after having been notified in writing in accordance with the rules of the house in  
 2001 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into  
 2002 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he  
 2003 sits. No legislator shall vote on any question relating to his own Statement.

2004 **§ 30-114. Filing of complaints; procedures; disposition.**

2005 A. In response to the signed and sworn complaint of any citizen of the Commonwealth, which is  
 2006 subscribed by the maker as true under penalty of perjury, submitted to the Panel, the Panel shall inquire  
 2007 into any alleged violation of Articles 2 (§ 30-102 et seq.) through 5 (§ 30-109 et seq.) of this chapter by  
 2008 any member of the respective house of the General Assembly in his current term or his immediate prior  
 2009 term. Complaints shall be filed with the ~~Director of the Division of Legislative Services~~ *Virginia*  
 2010 *Conflict of Interest and Ethics Advisory Council*, ~~who~~ which shall promptly (i) submit the complaint to  
 2011 the chairman of the appropriate Panel and (ii) forward a copy of the complaint to the legislator named  
 2012 in the complaint. The chairman shall promptly notify the Panel of the complaint. No complaint shall be  
 2013 filed with the Panel 60 or fewer days before a primary election or other nominating event or before a  
 2014 general election in which the cited legislator is running for office, and the Panel shall not accept or act  
 2015 on any complaint received during this period.

2016 B. The Panel shall determine, during its preliminary investigation, whether the facts stated in the  
 2017 complaint taken as true are sufficient to show a violation of Articles 2 (§ 30-102 et seq.) through 5  
 2018 (§ 30-109 et seq.) of this chapter. If the facts, as stated in the complaint, fail to give rise to such a  
 2019 violation, then the Panel shall dismiss the complaint. If the facts, as stated in the complaint, give rise to  
 2020 such a violation, then the Panel shall request that the complainant appear and testify under oath as to the  
 2021 complaint and the allegations therein. After hearing the testimony and reviewing any other evidence  
 2022 provided by the complainant, the Panel shall dismiss the complaint if the Panel fails to find by a

2023 preponderance of the evidence that such violation has occurred. If the Panel finds otherwise, it shall  
2024 proceed with the inquiry.

2025 C. If after such preliminary investigation, the Panel determines to proceed with an inquiry into the  
2026 conduct of any legislator, the Panel (i) shall immediately notify in writing the individual who filed the  
2027 complaint and the cited legislator as to the fact of the inquiry and the charges against the legislator and  
2028 (ii) shall schedule one or more hearings on the matter. The legislator shall have the right to present  
2029 evidence, cross-examine witnesses, face and examine the accuser, and be represented by counsel at any  
2030 hearings. In its discretion, the Panel may grant the legislator any other rights or privileges not  
2031 specifically enumerated in this subsection. Once the Panel has determined to proceed with an inquiry, its  
2032 meetings and hearings shall be open to the public.

2033 D. Once the Panel determines to proceed with an inquiry into the conduct of any legislator, the Panel  
2034 shall complete its investigations and dispose of the matter as provided in § 30-116 notwithstanding the  
2035 resignation of the legislator during the course of the Panel's proceedings.

2036 **§ 30-117. Confidentiality of proceedings.**

2037 All proceedings during the investigation of any complaint by the Panel shall be confidential. This  
2038 rule of confidentiality shall apply to Panel members and their staff and, the Committee on Privileges and  
2039 Elections and its staff, and the Virginia Conflict of Interest and Ethics Advisory Council.

2040 **§ 30-118. Staff for Panel.**

2041 The Panel may hire staff and outside counsel to assist the Panel and to conduct examinations of  
2042 witnesses, subject to the approval of the President Pro Tempore of the Senate for the Senate Ethics  
2043 Advisory Panel and subject to the approval of the Speaker of the House of Delegates for the House  
2044 Ethics Advisory Panel. The Panel may have the Director of the Division of Legislative Services, and  
2045 such additional staff as he may assign, assist the Panel during its preliminary investigation and during its  
2046 proceedings.

2047 **§ 30-124. Advisory opinions.**

2048 A legislator shall not be prosecuted or disciplined for a violation of this chapter if his alleged  
2049 violation resulted from his good faith reliance on a written opinion of a committee on standards of  
2050 conduct established pursuant to § 30-120, a formal opinion of the Virginia Conflict of Interest and  
2051 Ethics Advisory Council established pursuant to § 30-348, or an opinion of the Attorney General as  
2052 provided in § 30-122, and the opinion was made after his full disclosure of the facts.

2053 *Article 6.*

2054 *Ethics Orientation Sessions.*

2055 **§ 30-129.1. Orientation sessions on ethics and conflicts of interests.**

2056 *The Virginia Conflict of Interest and Ethics Advisory Council shall conduct an orientation session for*  
2057 *new and returning General Assembly members preceding each even-numbered year regular session.*  
2058 *Attendance at the full orientation session shall be mandatory for newly elected members. Attendance at*  
2059 *a refresher session lasting at least two hours shall be mandatory for returning members and may be*  
2060 *accomplished by online participation. There shall be no penalty for the failure of a member to attend*  
2061 *the full or refresher orientation session, but the member must disclose his attendance pursuant to*  
2062 *§ 30-111.*

2063 **§ 30-129.2. Content of orientation sessions.**

2064 *The orientation session shall provide information and training for the members on ethics and*  
2065 *conflicts of interests, on the provisions of the General Assembly Conflicts of Interests Act (§ 30-100 et*  
2066 *seq.), on relevant federal law provisions, and on related issues involving lobbying. Refresher sessions*  
2067 *may be offered online.*

2068 **§ 30-129.3. Orientation session preparations.**

2069 *Those conducting the orientation sessions may call on other agencies in the legislative or executive*  
2070 *branches for assistance, may invite experts to assist in the sessions, and shall apply for mandatory*  
2071 *continuing education credits for the sessions for members who are licensed professionals.*

2072 **CHAPTER 55.**

2073 **VIRGINIA CONFLICT OF INTEREST AND ETHICS ADVISORY COUNCIL.**

2074 **§ 30-348. Virginia Conflict of Interest and Ethics Advisory Council; membership; terms; quorum;**  
2075 **expenses.**

2076 A. *The Virginia Conflict of Interest and Ethics Advisory Council (the Council) is hereby created as*  
2077 *an advisory council in the legislative branch to encourage and facilitate compliance with the State and*  
2078 *Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) and the General Assembly Conflicts of*  
2079 *Interests Act (§ 30-100 et seq.) (hereafter the Acts) and the lobbying laws in Article 3 (§ 2.2-418 et seq.)*  
2080 *of Chapter 4 of Title 2.2 (hereafter Article 3).*

2081 B. *The Council shall consist of 14 members as follows: four members appointed by the Speaker of*  
2082 *the House of Delegates, two of whom shall be former members of the House of Delegates and two of*  
2083 *whom shall be nonlegislative citizen members; four members appointed by the Senate Committee on*

2084 *Rules, two of whom shall be former members of the Senate and two of whom shall be nonlegislative*  
 2085 *citizen members; four members appointed by the Governor, two of whom shall be executive branch*  
 2086 *employees and two of whom shall be nonlegislative citizen members; one member designated by the*  
 2087 *Attorney General; and one member appointed by the Joint Rules Committee from a list of three*  
 2088 *nominees submitted by the Virginia Association of Counties and a list of three nominees submitted by*  
 2089 *the Virginia Municipal League.*

2090 *C. All appointments following the initial staggering of terms shall be for terms of four years, except*  
 2091 *that appointments to fill vacancies shall be for the unexpired terms in the same manner as the original*  
 2092 *appointment. No nonlegislative citizen member shall be eligible to serve for more than two successive*  
 2093 *four-year terms. However, after the expiration of a term of three years or less, or after the expiration of*  
 2094 *the remainder of a term to which appointed to fill a vacancy, two additional terms may be served by*  
 2095 *such member if appointed thereto. Legislative members and other state government officials shall serve*  
 2096 *terms coincident with their terms of office. Legislative members may be reappointed for successive*  
 2097 *terms.*

2098 *D. The members of the Council shall elect from among their membership a chairman and a*  
 2099 *vice-chairman for two-year terms. The chairman and vice-chairman may not succeed themselves to the*  
 2100 *same position. The Council shall hold meetings quarterly or upon the call of the chairman. A majority*  
 2101 *of the Council shall constitute a quorum.*

2102 *E. Members of the Council shall receive no compensation for their services but shall be reimbursed*  
 2103 *for all reasonable and necessary expenses incurred in the performance of their duties as provided in*  
 2104 *§§ 2.2-2813, 2.2-2825, and 30-19.12, as appropriate. Funding for expenses of the members shall be*  
 2105 *provided from existing appropriations to the Council.*

2106 **§ 30-349. Powers and duties of the Council.**

2107 *The Council shall:*

2108 *1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local*  
 2109 *government officers and employees and legislators pursuant to the Acts. The Council's review shall*  
 2110 *include the reading of all disclosure forms for completeness and accuracy and be followed by requests*  
 2111 *for amendments to assure the completeness of and correction of errors in the forms;*

2112 *2. Accept any disclosure forms by computer or electronic means in accordance with the standards*  
 2113 *approved by the Council and using software meeting standards approved by it. The Council shall*  
 2114 *provide software to filers without charge and may prescribe the method of execution and certification of*  
 2115 *electronically filed forms and the procedures for receiving forms in the office of the Secretary;*

2116 *3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising*  
 2117 *disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, and 30-111. Such database shall be available to*  
 2118 *the public through the Council's official website;*

2119 *4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,*  
 2120 *including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to*  
 2121 *any person or to any agency of state or local government, in an expeditious manner. Informal advice*  
 2122 *given by the Council shall be confidential, protected by the attorney-client privilege, and excluded from*  
 2123 *the provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);*

2124 *5. Conduct training seminars and educational programs for lobbyists, state and local government*  
 2125 *officers and employees and legislators, and other interested persons on the requirements of Article 3*  
 2126 *and the Acts and provide ethics orientation sessions for legislators in compliance with Article 6*  
 2127 *(§ 30-129.1 et seq.) of Chapter 13;*

2128 *6. Publish such educational materials as it deems appropriate on the provisions of Article 3 and the*  
 2129 *Acts;*

2130 *7. Review actions taken in the General Assembly with respect to the discipline of its members for the*  
 2131 *purpose of offering nonbinding advice;*

2132 *8. Request from any agency of state or local government such assistance, services, and information*  
 2133 *as will enable the Council to effectively carry out its responsibilities. Information provided to the*  
 2134 *Council by an agency of state or local government shall not be released to any other party unless*  
 2135 *authorized by such agency; and*

2136 *9. Report on or before December 1 of each year on its activities and findings regarding Article 3*  
 2137 *and the Acts, including recommendations for changes in the laws, to the General Assembly and the*  
 2138 *Governor. The annual report shall be submitted by the chairman as provided in the procedures of the*  
 2139 *Division of Legislative Automated Systems for the processing of legislative documents and reports and*  
 2140 *shall be published as a state document.*

2141 **§ 30-350. Staff.**

2142 *The Council shall designate its executive director. Staff assistance to the Council shall be provided*  
 2143 *by the Division of Legislative Services. Staff shall perform those duties assigned to it by the Council,*  
 2144 *including those duties enumerated in § 30-349.*

2145 **§ 30-351. Cooperation of agencies of state and local government.**

2146 *Every department, division, board, bureau, commission, authority, or political subdivision of the*  
2147 *Commonwealth shall cooperate with, and provide such assistance to, the Council as the Council may*  
2148 *request.*

2149 **2. That the initial terms of the nonlegislative citizen members of the Virginia Conflict of Interest**  
2150 **and Ethics Advisory Council appointed pursuant to this act shall be staggered as follows: (i) two**  
2151 **members, one appointed by the Speaker of the House of Delegates and one appointed by the**  
2152 **Senate Committee on Rules, for a term of two years; (ii) two members, one appointed by the**  
2153 **Speaker of the House of Delegates and one appointed by the Governor, for a term of three years;**  
2154 **(iii) two members, one member appointed by the Senate Committee on Rules and one appointed**  
2155 **by the Governor, for a term of four years; and (iv) the designee of the Attorney General and the**  
2156 **appointed representative of the Virginia Association of Counties and Virginia Municipal League**  
2157 **for a term of one year. Thereafter, the terms of members shall be for four years.**

2158 **3. That the provisions of this act may result in a net increase in periods of imprisonment or**  
2159 **commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation is \$0**  
2160 **for periods of imprisonment in state adult correctional facilities and is \$0 for periods of**  
2161 **commitment to the custody of the Department of Juvenile Justice.**