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SENATE BILL NO. 649

AMENDMENT IN THE NATURE OF A SUBSTITUTE

(Proposed by the Senate Committee on Rules)

(Patrons Prior to Substitute—Senators Norment, Alexander [SB 23], Ebbin [SB 265], Edwards [SB 143], Favola [SB 274], McWaters [SB 410], Petersen [SB 218 and SB 219], Smith [SB 20 and SB 21], and Stuart [SB 149])

Senate Amendments in [] — February 10, 2014

A BILL to amend and reenact §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115, 2.2-3117, 2.2-3118.1, 2.2-3121, 30-101, [30-103,] 30-110, 30-111, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, relating to the State and Local Government Conflict of Interests Act and General Assembly Conflicts of Interests Act; establishing the Virginia Conflict of Interest and Ethics Advisory Council.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115, 2.2-3117, 2.2-3118.1, 2.2-3121, 30-101, [30-103,] 30-110, 30-111, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, as follows:

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
4. A stock, bond, note, or other investment interest in an entity;
5. A receipt given for the payment of money or other property;
6. A right in action;
7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
8. A loan or forgiveness of indebtedness;
9. A work of art, antique, or collectible;
10. An automobile or other means of personal transportation;
11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
12. An honorarium or compensation for services;
13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
14. A promise or offer of employment; or
15. Any other thing of value that is pecuniary or compensatory in value to a person.

"Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.

"Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.

ENGROSSED

SB649ES1

60 "Executive action" means the proposal, drafting, development, consideration, amendment, adoption,
61 approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or
62 official of legislation or executive orders issued by the Governor.

63 "Executive agency" means an agency, board, commission, or other body in the executive branch of
64 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'
65 Compensation Commission, and the State Lottery Department.

66 "Executive official" means:

- 67 1. The Governor;
- 68 2. The Lieutenant Governor;
- 69 3. The Attorney General;
- 70 4. Any officer or employee of the office of the Governor or Lieutenant Governor other than a
71 clerical or secretarial employee;
- 72 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
73 executive agency; or
- 74 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
75 however selected.

76 "Expenditure" means:

- 77 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
78 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
79 of value for any purpose;
- 80 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
81 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
82 persons;
- 83 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
84 payment of expenses incurred at the request or suggestion of the lobbyist;
- 85 4. A payment that directly benefits an executive or legislative official or a member of the official's
86 immediate family;
- 87 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
88 of an employee for or in connection with direct communication with an executive or legislative official;
- 89 6. A payment for or in connection with soliciting or urging other persons to enter into direct
90 communication with an executive or legislative official; or
- 91 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
92 this chapter.

93 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
94 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

95 "Fair market value" means the price that a good or service would bring between a willing seller and
96 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the
97 actual price paid for the good or service shall be given consideration.

98 "Gift" means anything of value to the extent that a consideration of equal or greater value is not
99 received.

100 "Gift" does not mean:

- 101 1. Printed informational or promotional material;
- 102 2. A gift that is not used and, no later than sixty days after receipt, is returned to the donor or
103 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
104 tax purposes;
- 105 3. A gift, devise, or inheritance from an individual's spouse, child, parent, grandparent, brother, sister,
106 parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of
107 that individual, if the donor is not acting as the agent or intermediary for someone other than a person
108 covered by this subdivision; or
- 109 4. A gift of a value of ~~\$25~~ \$50 or less.

110 "Immediate family" means (i) the spouse and (ii) any ~~other person~~ child who resides in the same
111 household as the executive or legislative official and is the dependent of the official.

112 "Legislative action" means:

- 113 1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval,
114 passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion,
115 report, nomination, appointment, or other matter by the General Assembly or a legislative official;
- 116 2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by
117 the General Assembly; or
- 118 3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering
119 amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of
120 the Governor.

121 "Legislative official" means:

- 122 1. A member or member-elect of the General Assembly;
- 123 2. A member of a committee, subcommittee, commission, or other entity established by and
- 124 responsible to the General Assembly or either house of the General Assembly; or
- 125 3. Persons employed by the General Assembly or an entity established by and responsible to the
- 126 General Assembly.
- 127 "Lobbying" means:
- 128 1. Influencing or attempting to influence executive or legislative action through oral or written
- 129 communication with an executive or legislative official; or
- 130 2. Solicitation of others to influence an executive or legislative official.
- 131 "Lobbying" does not mean:
- 132 1. Requests for appointments, information on the status of pending executive and legislative actions,
- 133 or other ministerial contacts if there is no attempt to influence executive or legislative actions;
- 134 2. Responses to published notices soliciting public comment submitted to the public official
- 135 designated in the notice to receive the responses;
- 136 3. The solicitation of an association by its members to influence legislative or executive action; or
- 137 4. Communications between an association and its members and communications between a principal
- 138 and its lobbyists.
- 139 "Lobbyist" means:
- 140 1. An individual who is employed and receives payments, or who contracts for economic
- 141 consideration, including reimbursement for reasonable travel and living expenses, for the purpose of
- 142 lobbying;
- 143 2. An individual who represents an organization, association, or other group for the purpose of
- 144 lobbying; or
- 145 3. A local government employee who lobbies.
- 146 "Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or
- 147 attempts to influence executive or legislative action. An organization whose employees conduct lobbying
- 148 activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or
- 149 association that employs or retains others to conduct lobbying activities on behalf of its membership, the
- 150 principal is the coalition or association and not its individual members.
- 151 "Local government" means:
- 152 1. Any county, city, town, or other local or regional political subdivision;
- 153 2. Any school division;
- 154 3. Any organization or entity that exercises governmental powers that is established pursuant to an
- 155 interstate compact; or
- 156 4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of
- 157 this definition.
- 158 "Local government employee" means a public employee of a local government.
- 159 "Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company,
- 160 syndicate, business trust, estate, company, corporation, association, club, committee, organization, or
- 161 group of persons acting in concert.
- 162 "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the
- 163 fair market value cannot be determined, the actual amount paid for the item or items shall be given
- 164 consideration.
- 165 **§ 2.2-423. Contents of registration statement.**
- 166 A. The registration statement shall be on a form provided by the ~~Secretary of the Commonwealth~~
- 167 *Virginia Conflict of Interest and Ethics Advisory Council* and include the following information:
- 168 1. The name and business address and telephone number of the lobbyist;
- 169 2. The name and business address and telephone number of the person who will keep custody of the
- 170 lobbyist's and the lobbyist's principal's accounts and records required to comply with this article, and the
- 171 location and telephone number for the place where the accounts and records are kept;
- 172 3. The name and business address and telephone number of the lobbyist's principal;
- 173 4. The kind of business of the lobbyist's principal;
- 174 5. For each principal, the full name of the individual to whom the lobbyist reports;
- 175 6. For each principal, a statement whether the lobbyist is employed or retained and whether
- 176 exclusively for the purpose of lobbying;
- 177 7. The position held by the lobbyist if he is a part-time or full-time employee of the principal;
- 178 8. The full name and business address and telephone number of each lobbyist employed by or
- 179 representing the lobbyist's principal;
- 180 9. An identification of the subject matter (with as much specificity as possible) with regard to which
- 181 the lobbyist or lobbyist's principal will engage in lobbying; ~~and~~
- 182 10. The statement of the lobbyist, which shall be signed either originally or by electronic signature as

183 authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.), that the information
184 contained on the registration statement is true and correct; and

185 11. A statement by which a principal may elect to waive the principal signature requirement on
186 disclosure filings submitted by their registered lobbyist after the filing of the registration statement.

187 B. Whenever any change, modification or addition to his status as a lobbyist is made, the lobbyist
188 shall, within one week of such change, modification or addition, furnish full information regarding the
189 same to the Secretary of the Commonwealth Council on forms provided by the Secretary Council.

190 C. The Secretary of the Commonwealth Council shall furnish a copy of this article to any individual
191 offering to register as a lobbyist and shall mail by certified mail a copy of this article and a copy of the
192 information furnished by the lobbyist to the person whom the lobbyist represents to be his principal.

193 D. If the principal to whom the information is sent under subsection C does not, within 10 days of
194 such mailing, file an affidavit, signed by the person or duly authorized agent of the person, denying that
195 the lobbyist appears on his behalf, such person shall be deemed to have appointed the Secretary of the
196 Commonwealth Virginia Conflict of Interest and Ethics Advisory Council his agent for service of
197 process in any prosecution arising for violation of this article. If such affidavit is filed, the Secretary
198 Council shall notify the attorney for the Commonwealth of the City of Richmond.

199 § 2.2-426. Lobbyist reporting; penalty.

200 A. Each lobbyist shall file with the Virginia Conflict of Interest and Ethics Advisory Council a
201 separate annual semiannual report of expenditures, including gifts, for each principal for whom he
202 lobbies by July June 1 for the preceding 12-month six-month period complete through April 30 the last
203 day of April and by December 1 for the preceding six-month period complete through the last day of
204 October.

205 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be
206 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the
207 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting
208 requirements of this section.

209 C. The report shall be on a form provided by the Secretary of the Commonwealth Virginia Conflict
210 of Interest and Ethics Advisory Council, which shall be substantially as follows and shall be
211 accompanied by instructions provided by the Secretary Council.

212 LOBBYIST'S DISCLOSURE STATEMENT

213 PART I:

214 (1) PRINCIPAL:

215 In Part I, item 2a, provide the name of the individual
216 authorizing your employment as a lobbyist. The lobbyist filing
217 this statement MAY NOT list his name in item 2a. THE INDIVIDUAL
218 LISTED IN PART I, ITEM 2A, MUST SIGN THE PRINCIPAL'S STATEMENT.

219 (2a) Name:

220 (2b) Permanent Business Address:

221 (2c) Business Telephone:

222 (3) Provide a list of executive and legislative actions (with as
223 much specificity as possible) for which you lobbied and a
224 description of activities conducted.
225
226
227

228 (4) INCORPORATED FILINGS: If you are filing an incorporated
229 disclosure statement, please complete the following:

230 Individual filing financial information:

231 Individuals to be included in the filing:

232

233 (5) Please indicate which schedules will be attached to your
234 disclosure statement:

235 [] Schedule A: Entertainment Expenses

236 [] Schedule B: Gifts

237 [] Schedule C: Other Expenses

238 (6) EXPENDITURE TOTALS:

239 a) ENTERTAINMENT \$

240 b) GIFTS \$

241 c) OFFICE EXPENSES \$

242	d) COMMUNICATIONS	\$
243	e) PERSONAL LIVING AND TRAVEL EXPENSES	\$
244	f) COMPENSATION OF LOBBYISTS	\$
245	g) HONORARIA	\$
246	h) REGISTRATION COSTS	\$
247	i) OTHER	\$
248	TOTAL	\$

249 PART II:

- 250 (1a) NAME OF LOBBYIST:
- 251 (1b) Permanent Business Address:
- 252 (1c) Business Telephone:
- 253 (2) As a lobbyist, you are (check one)
- 254 [] EMPLOYED (on the payroll of the principal)
- 255 [] RETAINED (not on the payroll of the principal, however
- 256 compensated)
- 257 [] NOT COMPENSATED (not compensated; expenses may be reimbursed)
- 258 (3) List all lobbyists other than yourself who registered to
- 259 represent your principal.
- 260
- 261
- 262
- 263 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,
- 264 provide your job title.
- 265

266 PLEASE NOTE: Some lobbyists are not individually compensated for

267 lobbying activities. This may occur when several members of a firm

268 represent a single principal. The principal, in turn, makes a single

269 payment to the firm. If this describes your situation, do not answer

270 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

271 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?

272 (If you have job responsibilities other than those involving

273 lobbying, you may have to prorate to determine the part of your

274 salary attributable to your lobbying activities.) Transfer your

275 answer to this item to Part I, item 6f.

276 (5b) Explain how you arrived at your answer to Part II, item 5a.

277

278

279

280 PART III:

- 281 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT
- 282 complete this section.
- 283 (1) List all members of your firm, organization, association,
- 284 corporation, or other entity who furnished lobbying services to
- 285 your principal.
- 286
- 287
- 288
- 289 (2) Indicate the total amount paid to your firm, organization,
- 290 association, corporation or other entity for services rendered.
- 291 Transfer your answer to this item to Part I, item 6f.....

292 SCHEDULE A

293 ENTERTAINMENT EXPENSES

294 PLEASE NOTE: Any single entertainment event included in the expense

295 totals of the principal, with a value greater than \$50, should be

296 itemized below. Transfer any totals from this schedule to Part I,

297 item 6a. (Please duplicate as needed.)

298 Date and Location of Event:
 299
 300
 301 Description of Event:
 302
 303
 304 Total Number of Persons Attending:
 305
 306 Names of Legislative and Executive Officials Attending: (List names
 307 only if the average value for each person attending the event was
 308 greater than \$50.)
 309
 310
 311
 312
 313 Food \$
 314 Beverages \$
 315 Transportation of Legislative and Executive Officials \$
 316 Lodging of Legislative and Executive Officials \$
 317 Performers, Speakers, Etc. \$
 318 Displays \$
 319 Rentals \$
 320 Service Personnel \$
 321 Miscellaneous \$
 322 TOTAL \$

SCHEDULE B

GIFTS

325 PLEASE NOTE: Any single gift reported in the expense totals of the
 326 principal, with a value greater than \$50, should be itemized below.
 327 (Report meals, entertainment and travel under Schedule A.) Transfer
 328 any totals from this schedule to Part I, item 6b. (Please duplicate
 329 as needed.)

		Name of each legislative or executive official who is a recipient of a gift:	Cost of individual gift:
330	Date	Description	
331	of gift:	of gift:	
332	\$
333	\$
334	\$
335	\$
336	\$
337	\$
338	\$
339	TOTAL COST TO PRINCIPAL	\$

SCHEDULE C

OTHER EXPENSES

342 PLEASE NOTE: This section is provided for any lobbying-related
 343 expenses not covered in Part I, items 6a - 6h. An example of an
 344 expenditure to be listed on schedule C would be the rental of a
 345 bill box during the General Assembly session. Transfer the total
 346 from this schedule to Part I, item 6i. (Please duplicate as needed.)

DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
347	\$
348	\$
349	\$
350	\$
351	\$
352	\$
353	\$
354	\$

355 \$

356 \$

357 TOTAL "OTHER" EXPENSES \$

358 PART IV: STATEMENTS

359 Both the lobbyist and principal officer must sign the disclosure
360 statement, attesting to its completeness and accuracy. The following
361 items are mandatory and if they are not properly completed, the
362 entire filing will be rejected and returned to the lobbyist:

- 363 (1) All signatures on the statement must be ORIGINAL in the format
364 specified in the instructions provided by the Secretary that
365 accompany this form. No stamps, or other reproductions of the
366 individual's signature will be accepted.
- 367 (2) An individual MAY NOT sign the disclosure statement as lobbyist
368 and principal officer.

369 STATEMENT OF LOBBYIST

370 I, the undersigned registered lobbyist, do state that the information
371 furnished on this disclosure statement and on all accompanying
372 attachments required to be made thereto is, to the best of my
373 knowledge and belief, complete and accurate.

374
375 Signature of lobbyist

376
377
378 Date

379 STATEMENT OF PRINCIPAL

380 I, the undersigned principal (or an authorized official thereof), do
381 state that the information furnished on this disclosure statement
382 and on all accompanying attachments required to be made thereto is,
383 to the best of my knowledge and belief, complete and accurate.

384
385 Signature of principal

386
387
388 Date

389 D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact
390 shall be is guilty of a Class 5 felony.

391 E. Each lobbyist shall send to each legislative and executive official who is required to be identified
392 by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a
393 summary of the information pertaining to that official. Copies or summaries shall be provided to the
394 official by ~~December 15~~ May 10 for the preceding ~~12-month~~ six-month period complete through
395 ~~November 30~~ the last day of April and by November 10 for the preceding six-month period complete
396 through the last day of October.

397 **§ 2.2-428. Standards for automated preparation and transmittal of lobbyists' disclosure**
398 **statements; database.**

399 A. The ~~Secretary~~ Virginia Conflict of Interest and Ethics Advisory Council shall accept any lobbyist's
400 disclosure statement required by § 2.2-426 filed by computer or electronic means in accordance with the
401 standards approved by the ~~Secretary~~ Council and using software meeting standards approved by the
402 ~~Secretary~~ Council. The ~~Secretary~~ Council may provide software to filers without charge or at a
403 reasonable cost. The ~~Secretary~~ Council may prescribe the method of execution and certification of
404 electronically filed statements and the procedures for receiving statements in the office of the ~~Secretary~~
405 Council.

406 B. The ~~Secretary~~ Council shall establish a lobbyist disclosure database, available to the public, from
407 required disclosure statements filed electronically and may enter into that database information from
408 required disclosure statements filed by other methods.

409 **§ 2.2-3101. Definitions.**

410 As used in this chapter:
411 "Advisory agency" means any board, commission, committee or post which does not exercise any
412 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for

413 the purpose of making studies or recommendations, or advising or consulting with a governmental
414 agency.

415 "Affiliated business entity relationship" means a relationship, other than a parent-subsi-
416 dary relationship, that exists when (i) one business entity has a controlling ownership interest in the other
417 business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or
418 (iii) there is shared management or control between the business entities. Factors that may be considered
419 in determining the existence of an affiliated business entity relationship include that the same person or
420 substantially the same person owns or manages the two entities, there are common or commingled funds
421 or assets, the business entities share the use of the same offices or employees, or otherwise share
422 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship
423 between the entities.

424 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
425 association, trust or foundation, or any other individual or entity carrying on a business or profession,
426 whether or not for profit.

427 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
428 behalf of a governmental agency that involves the payment of money appropriated by the General
429 Assembly or political subdivision, whether or not such agreement is executed in the name of the
430 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
431 contract of which it is a part is with the officer's or employee's own governmental agency.

432 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
433 § 30-348.

434 "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether or not
435 related by blood or marriage, if such person receives from the officer or employee, or provides to the
436 officer or employee, more than one-half of his financial support.

437 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise
438 limited by the context of its use.

439 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
440 consumer finance company, credit union, broker-dealer as defined in § 13.1-501, or investment company
441 or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

442 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
443 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
444 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
445 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass
446 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from
447 relatives. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt,
448 niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's
449 parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse both tangible
450 and intangible gifts. A "tangible gift" means a physical item, object, currency, or other negotiable
451 instrument of value that upon the happening of a certain event or expiration of a given date retains its
452 form or value. An "intangible gift" means a physical item or object of value that upon the happening of
453 a certain event or expiration of a given date loses its form or value. "Intangible gifts" include, but are
454 not limited to, entertainment, food, and tickets or other access to social or recreational events. A "gift"
455 does not include merit or need-based scholarships.

456 "Governmental agency" means each component part of the legislative, executive or judicial branches
457 of state and local government, including each office, department, authority, post, commission,
458 committee, and each institution or board created by law to exercise some regulatory or sovereign power
459 or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by
460 the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

461 "Immediate family" means (i) a spouse and (ii) any other person child residing in the same
462 household as the officer or employee, who is a dependent of the officer or employee or of whom the
463 officer or employee is a dependent.

464 "Officer" means any person appointed or elected to any governmental or advisory agency including
465 local school boards, whether or not he receives compensation or other emolument of office. Unless the
466 context requires otherwise, "officer" includes members of the judiciary.

467 "Parent-subsi- dary relationship" means a relationship that exists when one corporation directly or
468 indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

469 "Personal interest" means a financial benefit or liability accruing to an officer or employee or to a
470 member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the
471 ownership interest exceeds three percent of the total equity of the business; (ii) annual income that
472 exceeds, or may reasonably be anticipated to exceed, \$10,000 \$5,000 from ownership in real or personal
473 property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of
474 property, or any combination thereof, paid or provided by a business or governmental agency that

475 exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or
 476 personal property if the interest exceeds ~~\$10,000~~ \$5,000 in value and excluding ownership in a business,
 477 income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal
 478 liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset
 479 value of the business; or (vi) an option for ownership of a business or real or personal property if the
 480 ownership interest will consist of (i) or (iv) above.

481 "Personal interest in a contract" means a personal interest that an officer or employee has in a
 482 contract with a governmental agency, whether due to his being a party to the contract or due to a
 483 personal interest in a business that is a party to the contract.

484 "Personal interest in a transaction" means a personal interest of an officer or employee in any matter
 485 considered by his agency. Such personal interest exists when an officer or employee or a member of his
 486 immediate family has a personal interest in property or a business or governmental agency, or represents
 487 or provides services to any individual or business and such property, business or represented or served
 488 individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable
 489 direct or indirect benefit or detriment as a result of the action of the agency considering the transaction.
 490 Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a)
 491 an elected member of a local governing body serves without remuneration as a member of the board of
 492 trustees of a not-for-profit entity and such elected member or member of his immediate family has no
 493 personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a
 494 local governing body is appointed by such local governing body to serve on a governmental agency, or
 495 an officer, employee, or elected member of a separate local governmental agency formed by a local
 496 governing body is appointed to serve on a governmental agency, and the personal interest in the
 497 transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or
 498 benefits provided by the local governing body or the separate governmental agency to the officer,
 499 employee, elected member, or member of his immediate family.

500 "State and local government officers and employees" shall not include members of the General
 501 Assembly.

502 "State filer" means those officers and employees required to file a disclosure statement of their
 503 personal interests pursuant to subsection A or B of § 2.2-3114.

504 "Transaction" means any matter considered by any governmental or advisory agency, whether in a
 505 committee, subcommittee, or other entity of that agency or before the agency itself, on which official
 506 action is taken or contemplated.

507 **§ 2.2-3103.1. Certain gifts prohibited.**

508 *A. No officer or employee of a state or local governmental or advisory agency or candidate required*
 509 *to file the disclosure form prescribed in § 2.2-3117 shall solicit, accept, or receive within any calendar*
 510 *year any single gift with a value in excess of \$250 from any lobbyist registered pursuant to Article 3*
 511 *(§ 2.2-418 et seq.) of Chapter 4. The provisions of this section apply to gifts reportable on Schedule E-2*
 512 *of the disclosure form prescribed in § 2.2-3117. The provisions of this section do not apply to items*
 513 *reportable on Schedule D or E-1 of the disclosure form prescribed in § 2.2-3117.*

514 *B. The \$250 limitation imposed in accordance with this section shall be adjusted by the Virginia*
 515 *Conflict of Interest and Ethics Advisory Council every five years, as of January 1 of that year, in an*
 516 *amount equal to the annual increases for that five-year period in the United States Average Consumer*
 517 *Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics*
 518 *of the U.S. Department of Labor.*

519 **§ 2.2-3104. Prohibited conduct for certain officers and employees of state government.**

520 For one year after the termination of public employment or service, no state officer or employee
 521 shall, before the agency of which he was an officer or employee, represent a client or act in a
 522 representative capacity on behalf of any person or group, for compensation, on matters related to
 523 legislation, executive orders, or regulations promulgated by the agency of which he was an officer or
 524 employee. This prohibition shall be in addition to the prohibitions contained in § 2.2-3103.

525 For the purposes of this section, "state officer or employee" shall mean (i) the Governor, Lieutenant
 526 Governor, Attorney General, and officers appointed by the Governor, whether confirmation by the
 527 General Assembly or by either house thereof is required or not, who are regularly employed on a
 528 full-time salaried basis; those officers and employees of executive branch agencies who report directly to
 529 the agency head; and those at the level immediately below those who report directly to the agency head
 530 and are at a payband 6 or higher and (ii) the officers and professional employees of the legislative
 531 branch designated by the joint rules committee of the General Assembly. For the purposes of this
 532 section, the General Assembly and the legislative branch agencies shall be deemed one agency.

533 Any person subject to the provisions of this section may apply to the *Council or* Attorney General,
 534 as provided in § 2.2-3121 or 2.2-3126, for an advisory opinion as to the application of the restriction
 535 imposed by this section on any post-public employment position or opportunity.

536 § 2.2-3114. Disclosure by state officers and employees.

537 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of
538 the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,
539 members of the State Corporation Commission, members of the Virginia Workers' Compensation
540 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees
541 of the Virginia Retirement System, and members of the State Lottery Board and other persons
542 occupying such offices or positions of trust or employment in state government, including members of
543 the governing bodies of authorities, as may be designated by the Governor or, in the case of officers or
544 employees of the legislative branch, by the Joint Rules Committee of the General Assembly, shall file
545 *with the Council*, as a condition to assuming office or employment, a disclosure statement of their
546 personal interests and such other information as is specified on the form set forth in § 2.2-3117 and
547 thereafter shall file such a statement ~~annually on or before January 15~~ *semiannually by June 1 for the*
548 *preceding six-month period complete through the last day of April and by December 1 for the preceding*
549 *six-month period complete through the last day of October.* When the filing deadline falls on a Saturday,
550 Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday,
551 Sunday, or legal holiday.

552 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in
553 the executive branch of state government, other than the Commonwealth Transportation Board, members
554 of the Board of Trustees of the Virginia Retirement System, and the State Lottery Board, shall file *with*
555 *the Council*, as a condition to assuming office, a disclosure form of their personal interests and such
556 other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form
557 annually on or before January 15. When the filing deadline falls on a Saturday, Sunday, or legal
558 holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal
559 holiday. Nonsalaried citizen members of other boards, commissions and councils, including advisory
560 boards and authorities, may be required to file a disclosure form if so designated by the Governor, in
561 which case the form shall be that set forth in § 2.2-3118.

562 C. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~
563 ~~Commonwealth Council~~ to each officer and employee so designated, including officers appointed by
564 legislative authorities, ~~not later than November 30 of each year~~ *at least 30 days prior to the filing*
565 *deadline.* Disclosure forms shall be filed and maintained as public records for five years in the ~~Office~~
566 *office of the Secretary of the Commonwealth Council.*

567 D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a
568 disclosure statement of their personal interests as required by § 24.2-502.

569 E. Any officer or employee of state government who has a personal interest in any transaction before
570 the governmental or advisory agency of which he is an officer or employee and who is disqualified
571 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to
572 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
573 name and address of the business and the address or parcel number for the real estate if the interest
574 involves a business or real estate, and his disclosure shall also be reflected in the public records of the
575 agency for five years in the office of the administrative head of the officer's or employee's governmental
576 agency or advisory agency or, if the agency has a clerk, in the clerk's office.

577 F. An officer or employee of state government who is required to declare his interest pursuant to
578 subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the
579 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
580 member of a business, profession, occupation, or group the members of which are affected by the
581 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
582 interest. The officer or employee shall either make his declaration orally to be recorded in written
583 minutes for his agency or file a signed written declaration with the clerk or administrative head of his
584 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
585 public inspection such declaration for a period of five years from the date of recording or receipt. If
586 reasonable time is not available to comply with the provisions of this subsection prior to participation in
587 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
588 next business day.

589 G. An officer or employee of state government who is required to declare his interest pursuant to
590 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
591 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
592 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
593 the public interest. The officer or employee shall either make his declaration orally to be recorded in
594 written minutes for his agency or file a signed written declaration with the clerk or administrative head
595 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
596 available for public inspection such declaration for a period of five years from the date of recording or
597 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to

598 participation in the transaction, the officer or employee shall prepare and file the required declaration by
599 the end of the next business day.

600 **§ 2.2-3115. Disclosure by local government officers and employees.**

601 A. The members of every governing body and school board of each county and city and of towns
602 with populations in excess of 3,500 shall file *with the Council*, as a condition to assuming office or
603 employment, a disclosure statement of their personal interests and other information as is specified on
604 the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January~~
605 ~~15 semiannually by June 1 for the preceding six-month period complete through the last day of April~~
606 ~~and by December 1 for the preceding six-month period complete through the last day of October.~~

607 The members of the governing body of any authority established in any county or city, or part or
608 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any
609 fiscal year, shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to
610 assuming office, a disclosure statement of their personal interests and other information as is specified
611 on the form set forth in § 2.2-3118 and thereafter shall file such a statement annually on or before
612 January 15, unless the governing body of the jurisdiction that appoints the members requires that the
613 members file the form set forth in § 2.2-3117 *semiannually by June 1 for the preceding six-month*
614 *period complete through the last day of April and by December 1 for the preceding six-month period*
615 *complete through the last day of October.*

616 Persons occupying such positions of trust appointed by governing bodies and persons occupying such
617 positions of employment with governing bodies as may be designated to file by ordinance of the
618 governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a
619 condition to assuming office or employment, a disclosure statement of their personal interests and other
620 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement
621 ~~annually on or before January 15 semiannually by June 1 for the preceding six-month period complete~~
622 ~~through the last day of April and by December 1 for the preceding six-month period complete through~~
623 ~~the last day of October.~~

624 Persons occupying such positions of trust appointed by school boards and persons occupying such
625 positions of employment with school boards as may be designated to file by an adopted policy of the
626 school board shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition
627 to assuming office or employment, a disclosure statement of their personal interests and other
628 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement
629 ~~annually on or before January 15 semiannually by June 1 for the preceding six-month period complete~~
630 ~~through the last day of April and by December 1 for the preceding six-month period complete through~~
631 ~~the last day of October.~~

632 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by
633 the governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a
634 condition to assuming office, a disclosure form of their personal interests and such other information as
635 is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before
636 January 15.

637 C. No person shall be mandated to file any disclosure not otherwise required by this article.

638 D. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~
639 ~~Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory Council* to the clerks of the governing
640 bodies and school boards ~~not later than November 30 of each year at least 30 days prior to the filing~~
641 ~~deadline~~, and the clerks of the governing body and school board shall distribute the forms to designated
642 individuals ~~no later than December 10 of each year at least 20 days prior to the filing deadline~~. Forms
643 shall be filed and maintained as public records for five years in the office of the ~~clerk of the respective~~
644 ~~governing body or school board~~ *Virginia Conflict of Interest and Ethics Advisory Council*. Forms filed
645 by members of governing bodies of authorities shall be filed and maintained as public records for five
646 years in the office of the ~~clerk of the governing body of the county or city~~ *Virginia Conflict of Interest*
647 *and Ethics Advisory Council*.

648 E. Candidates for membership in the governing body or school board of any county, city or town
649 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests
650 as required by § 24.2-502.

651 F. Any officer or employee of local government who has a personal interest in any transaction before
652 the governmental or advisory agency of which he is an officer or employee and who is disqualified
653 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to
654 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
655 name and address of the business and the address or parcel number for the real estate if the interest
656 involves a business or real estate, and his disclosure shall be reflected in the public records of the
657 agency for five years in the office of the administrative head of the officer's or employee's governmental
658 or advisory agency.

659 G. In addition to any disclosure required by subsections A and B, in each county and city and in
 660 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,
 661 real estate assessors, and all county, city and town managers or executive officers shall make annual
 662 disclosures of all their interests in real estate located in the county, city or town in which they are
 663 elected, appointed, or employed. Such disclosure shall include any business in which such persons own
 664 an interest, or from which income is received, if the primary purpose of the business is to own, develop
 665 or derive compensation through the sale, exchange or development of real estate in the county, city or
 666 town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter
 667 shall be filed annually with the ~~clerk of the governing body of such county, city or town~~ *Virginia*
 668 *Conflict of Interest and Ethics Advisory Council* on or before January 15. Such disclosures shall be filed
 669 and maintained as public records for five years. Forms for the filing of such reports shall be prepared
 670 and distributed by the ~~Secretary of the Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory*
 671 *Council* to the clerk of each governing body.

672 H. An officer or employee of local government who is required to declare his interest pursuant to
 673 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the
 674 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
 675 member of a business, profession, occupation, or group the members of which are affected by the
 676 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
 677 interest. The officer or employee shall either make his declaration orally to be recorded in written
 678 minutes of his agency or file a signed written declaration with the clerk or administrative head of his
 679 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
 680 public inspection such declaration for a period of five years from the date of recording or receipt. If
 681 reasonable time is not available to comply with the provisions of this subsection prior to participation in
 682 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
 683 next business day. The officer or employee shall also orally disclose the existence of the interest during
 684 each meeting of the governmental or advisory agency at which the transaction is discussed and such
 685 disclosure shall be recorded in the minutes of the meeting.

686 I. An officer or employee of local government who is required to declare his interest pursuant to
 687 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
 688 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
 689 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
 690 the public interest. The officer or employee shall either make his declaration orally to be recorded in
 691 written minutes for his agency or file a signed written declaration with the clerk or administrative head
 692 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
 693 available for public inspection such declaration for a period of five years from the date of recording or
 694 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
 695 participation in the transaction, the officer or employee shall prepare and file the required declaration by
 696 the end of the next business day.

697 **§ 2.2-3117. Disclosure form.**

698 The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and
 699 subsections A and E of § 2.2-3115 shall be substantially as follows:

700 STATEMENT OF ECONOMIC INTERESTS.

- 701 Name
- 702 Office or position held or sought
- 703 Address
- 704 Names of members of immediate family

705 DEFINITIONS AND EXPLANATORY MATERIAL.

706 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 707 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 708 whether or not for profit.

709 "Close financial association" means an association in which the person filing shares significant
 710 financial involvement with an individual and the filer would reasonably be expected to be aware of the
 711 individual's business activities and would have access to the necessary records either directly or through
 712 the individual. "Close financial association" does not mean an association based on (i) the receipt of
 713 retirement benefits or deferred compensation from a business by which the person filing this statement is
 714 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an
 715 independent contractor of a business that represents an entity before any state governmental agency
 716 when the person filing has had no communications with the state governmental agency.

717 "Contingent liability" means a liability that is not presently fixed or determined, but may become
 718 fixed or determined in the future with the occurrence of some certain event.

719 "Dependent" means any person, whether or not related by blood or marriage, who receives from the

720 officer or employee, or provides to the officer or employee, more than one-half of his financial support.

721 "Gift" means any ~~gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item~~
722 ~~having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and~~
723 ~~meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the~~
724 ~~expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass~~
725 ~~unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from~~
726 ~~relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom~~
727 ~~the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother,~~
728 ~~or sister; or the donee's brother's or sister's spouse tangible or intangible gift. A "tangible gift" means a~~
729 ~~physical item, object, currency, or other negotiable instrument of value that upon the happening of a~~
730 ~~certain event or expiration of a given date retains its form or value. An "intangible gift" means a~~
731 ~~physical item or object of value that upon the happening of a certain event or expiration of a given date~~
732 ~~loses its form or value. "Intangible gifts" include, but are not limited to, entertainment, food, and tickets~~
733 ~~or other access to social or recreational events. A "gift" does not include merit or need-based~~
734 ~~scholarships.~~

735 "Immediate family" means (i) a spouse and (ii) any ~~other person~~ *child* residing in the same
736 household as the officer or employee, who is a dependent of the officer or employee ~~or of whom the~~
737 ~~officer or employee is a dependent.~~

738 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
739 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
740 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
741 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
742 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
743 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

744 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
745 Statement must be provided on the basis of the best knowledge, information and belief of the individual
746 filing the Statement as of the date of this report unless otherwise stated.

747 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

748 You may attach additional explanatory information.

749 1. Offices and Directorships.

750 Are you or a member of your immediate family a paid officer or paid director of a business?
751 EITHER check NO / / OR check YES / / and complete Schedule A.

752 2. Personal Liabilities.

753 Do you or a member of your immediate family owe more than ~~\$10,000~~ *\$5,000* to any one creditor
754 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens
755 on property at least equal in value to the loan.)
756 EITHER check NO / / OR check YES / / and complete Schedule B.

757 3. Securities.

758 Do you or a member of your immediate family, directly or indirectly, separately or together, own
759 securities valued in excess of ~~\$10,000~~ *\$5,000* invested in one business? Account for mutual funds,
760 limited partnerships and trusts.
761 EITHER check NO / / OR check YES / / and complete Schedule C.

762 4. Payments for Talks, Meetings, and Publications.

763 During the past ~~12~~ *six* months did you receive lodging, transportation, money, or anything else of
764 value with a combined value exceeding \$200 for a single talk, meeting, or published work in your
765 capacity as an officer or employee of your agency?
766 EITHER check NO / / OR check YES / / and complete Schedule D.

767 ~~5.~~ *5A. Entertainment and Intangible Gifts.*

768 During the past ~~12~~ *six* months did a business, government, or individual other than a relative or
769 personal friend (i) furnish you *or a member of your immediate family* with any ~~gift or~~ entertainment at a
770 single event, *or intangible gift* and the value received by ~~you~~ exceeded \$50 ~~in value~~ or (ii) furnish you
771 with ~~gifts or~~ such entertainment *or intangible gifts* in any combination and the total value received by
772 you exceeded \$100 ~~in total value~~; and for which you *or the member of your immediate family* neither
773 paid nor rendered services in exchange? Account for entertainment events only if the average value per
774 person attending the event exceeded \$50 ~~in value~~. Account for all business entertainment (except if
775 related to ~~you or~~ *the private profession or occupation of you or the member of your immediate family who*
776 *received such business entertainment*) even if unrelated to your official duties. *For the purposes of this*
777 *Item, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business,*
778 *government, or individual that is transacting or seeking to transact business with the Commonwealth or*
779 *its agencies, departments, or political subdivisions within 12 months from the date of the gift that the*
780 *filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or*

781 individual that is transacting or seeking to transact business with the Commonwealth or its agencies,
782 departments, or political subdivisions within 12 months from the date of the gift.

783 EITHER check NO / / OR check YES / / and complete Schedule E-1.

784 5B. Tangible Gifts.

785 During the past six months did a business, government, or individual other than a relative or
786 personal friend (i) furnish you or a member of your immediate family with any tangible gift and the
787 value received exceeded \$50 or (ii) furnish you or a member of your immediate family with such
788 tangible gifts in any combination and the total value received exceeded \$100, and for which you or the
789 member of your immediate family neither paid nor rendered services in exchange? For the purposes of
790 this Item, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business,
791 government, or individual that is transacting or seeking to transact business with the Commonwealth or
792 its agencies, departments, or political subdivisions within 12 months from the date of the gift that the
793 filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or
794 individual that is transacting or seeking to transact business with the Commonwealth or its agencies,
795 departments, or political subdivisions within 12 months from the date of the gift.

796 EITHER check NO / / OR check YES / / and complete Schedule E-2.

797 6. Salary and Wages.

798 List each employer that pays you or a member of your immediate family salary or wages in excess
799 of ~~\$10,000~~ \$5,000 annually. (Exclude state or local government or advisory agencies.)

800 If no reportable salary or wages, check here / /.

801 _____

802 _____

803 _____

804 7. Business Interests.

805 Do you or a member of your immediate family, separately or together, operate your own business, or
806 own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

807 EITHER check NO / / OR check YES / / and complete Schedule F.

808 8. Payments for Representation and Other Services.

809 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
810 state governmental agencies, excluding courts or judges, for which you received total compensation
811 during the past ~~12~~ six months in excess of \$1,000, excluding compensation for other services to such
812 businesses and representation consisting solely of the filing of mandatory papers and subsequent
813 representation regarding the mandatory papers? (Officers and employees of local governmental and
814 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

815 EITHER check NO / / OR check YES / / and complete Schedule G-1.

816 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
817 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
818 any businesses before any state governmental agency for which total compensation was received during
819 the past ~~12~~ six months in excess of \$1,000? (Officers and employees of local governmental and advisory
820 agencies do NOT need to answer this question or complete Schedule G-2.)

821 EITHER check NO / / OR check YES / / and complete Schedule G-2.

822 8C. Did you or persons with whom you have a close financial association furnish services to
823 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
824 persons with whom you have a close financial association and such businesses for which total
825 compensation in excess of \$1,000 was received during the past ~~12~~ six months?

826 EITHER check NO / / OR check YES / / and complete Schedule G-3.

827 9. Real Estate.

828 9A. State Officers and Employees.

829 Do you or a member of your immediate family hold an interest, including a partnership interest,
830 valued at ~~\$10,000~~ or more than \$5,000 in real property (other than your principal residence) for which
831 you have not already listed the full address on Schedule F? Account for real estate held in trust.

832 EITHER check NO / / OR check YES / / and complete Schedule H-1.

833 9B. Local Officers and Employees.

834 Do you or a member of your immediate family hold an interest, including a partnership interest, or
835 option, easement, or land contract, valued at ~~\$10,000~~ or more than \$5,000 in real property (other than
836 your principal residence) for which you have not already listed the full address on Schedule F? Account
837 for real estate held in trust.

838 EITHER check NO / / OR check YES / / and complete Schedule H-2.

839 10. Real Estate Contracts with Governmental Agencies.

840 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000
841 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,
842 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six

843 months, with a governmental agency? If the real estate contract provides for the leasing of the property
 844 to a governmental agency, do you or a member of your immediate family hold an interest in the real
 845 estate valued at more than \$1,000? Account for all such contracts whether or not your interest is
 846 reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply
 847 to an interest derived through an ownership interest in a business unless the ownership interest exceeds
 848 three percent of the total equity of the business.

849 EITHER check NO / / OR check YES / / and complete Schedule I.

850 Statements of Economic Interests are open for public inspection.

851 AFFIRMATION BY ALL FILERS.

852 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

853 Signature

854 (Return only if needed to complete Statement.)

855 SCHEDULES
 856 to
 857 STATEMENT OF ECONOMIC INTERESTS.

858 NAME

859 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

860 Identify each business of which you or a member of your immediate family is a paid officer or paid
 861 director.

862 _____

863	864 Name of Business	864 Address of Business	864 Position Held and by Whom
865	_____	_____	_____
866	_____	_____	_____
867	_____	_____	_____
868	_____	_____	_____

869 _____

870 RETURN TO ITEM 2

871 SCHEDULE B - PERSONAL LIABILITIES.

872 Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000.
 873 Do not report debts to any government. Do not report loans secured by recorded liens on property at
 874 least equal in value to the loan.

875 Report contingent liabilities below and indicate which debts are contingent.

876 1. My personal debts are as follows:

877 _____

878	879 Check	879 Check one
880	880 appropriate	880 \$10,001
881	881 categories	881 \$5,001 to More than
882	882	882 \$50,000 \$50,000
883	883 Banks	_____
884	884 Savings institutions	_____
885	885 Other loan or finance companies	_____
886	886 Insurance companies	_____
887	887 Stock, commodity or other brokerage companies	_____
888	888 Other businesses:	
889	889 (State principal business activity for each	
890	890 creditor and its name.)	_____
891	891	_____
892	892	_____
893	893 Individual creditors:	
894	894 (State principal business or occupation of	
895	895 each creditor and its name.)	_____
896	896	_____
897	897	_____

898 _____

899 2. The personal debts of the members of my immediate family are as follows:

900 _____

901
 902 Check one
 903 Check ~~\$10,001~~
 904 appropriate \$5,001 to More than
 905 categories \$50,000 \$50,000
 906 Banks _____ _____
 907 Savings institutions _____ _____
 908 Other loan or finance companies _____ _____
 909 Insurance companies _____ _____
 910 Stock, commodity or other brokerage companies _____ _____
 911 Other businesses:
 912 (State principal business activity for each
 913 creditor *and its name.*) _____ _____
 914 _____ _____
 915 _____ _____
 916 Individual creditors:
 917 (State principal business or occupation of
 918 each creditor *and its name.*) _____ _____
 919 _____ _____
 920 _____ _____
 921 _____

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922
923 SCHEDULE C - SECURITIES.

924 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 925 mutual funds, limited partnerships, certificates of deposit,
 926 and commodity futures contracts. money market funds, annuity
 927 contracts, and insurance policies.

928 Identify each business or Virginia governmental entity in which you or a member of your immediate
 929 family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000.
 930 Name each entity and type of security individually.

931 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 932 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 933 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 934 in trust.

935 If no reportable securities, check here / /.

936 _____

937
 938 Check one
 939 ~~\$10,001~~
 940 Type of Security \$5,001 \$50,001 More
 941 ~~Type of~~ (stocks, bonds, mutual to to than
 942 ~~Entity~~ funds, etc.) \$50,000 \$250,000 \$250,000
 943 _____ _____ _____ _____
 944 _____ _____ _____ _____
 945 _____ _____ _____ _____
 946 _____ _____ _____ _____
 947 _____

RETURN TO ITEM 4

948
949 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

950 List each source from which you received during the past ~~12~~ six months lodging, transportation,
 951 money, or any other thing of value (~~excluding meals or drinks coincident with a meeting~~) with
 952 combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or
 953 publication of a work in your capacity as an officer or employee of your agency.

954 List payments or reimbursements by an advisory or governmental agency only for meetings or travel
 955 outside the Commonwealth.

956 List a payment even if you donated it to charity.

957 Do not list information about a payment if you returned it within 60 days or if you received it from
 958 an employer already listed under Item 6 or from a source of income listed on Schedule F.

959 If no payment must be listed, check here // .
 960 _____

961				Type of payment
962				(e.g. honoraria,
963				travel reimburse-
964				ment, etc.)
965	Payer	Approximate Value	Circumstances	
966	_____	_____	_____	_____
967	_____	_____	_____	_____
968	_____	_____	_____	_____
969	_____	_____	_____	_____
970	_____			

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971
 972 **SCHEDULE E-1 - ENTERTAINMENT AND INTANGIBLE GIFTS.**

973 List each business, governmental entity, or individual that, during the past 12 six months, (i)
 974 furnished you or a member of your immediate family with any gift or entertainment at a single event, or
 975 intangible gift and the value received by you exceeded \$50, in value or (ii) furnished you or a member
 976 of your immediate family with gifts or such entertainment or gifts in any combination and the total value
 977 received by you exceeded \$100 in total value, and for which you or the member of your immediate
 978 family neither paid nor rendered services in exchange. List each such gift or event. Do not list
 979 entertainment events unless the average value per person attending the event exceeded \$50 in value. Do
 980 not list business entertainment related to your the private profession or occupation of you or the member
 981 of your immediate family who received such business entertainment. Do not list gifts or other things of
 982 value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not
 983 list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title
 984 24.2 of the Code of Virginia. [For the purposes of this Schedule, "personal friend" does not include
 985 any lobbyist, any lobbyist's principal, or any business, government, or individual that is transacting or
 986 seeking to transact business with the Commonwealth or its agencies, departments, or political
 987 subdivisions within 12 months from the date of the gift that the filer knows or has reason to know is a
 988 lobbyist, lobbyist's principal, or business, government, or individual that is transacting or seeking to
 989 transact business with the Commonwealth or its agencies, departments, or political subdivisions within
 990 12 months from the date of the gift. For the purposes of this Schedule, "personal friend" does not
 991 include any lobbyist, any lobbyist's principal, or any business, government, or individual that is
 992 presently transacting or seeking to transact business with the Commonwealth, its agencies or its political
 993 subdivisions within twelve (12) months from the date of the gift and the recipient knows or has sufficient
 994 reason to know at the time of the disclosure, about that real or potential business.]
 995 _____

996					
997	Name of	Name of Business,	City or	Exact	
998	Name of	Organization, or	County	Gift or	Approximate
999	Recipient	Individual	and State	Event	Value
1000	_____	_____	_____	_____	_____
1001	_____	_____	_____	_____	_____
1002	_____	_____	_____	_____	_____
1003	_____	_____	_____	_____	_____
1004	_____				

RETURN TO ITEM-6 5B

1005
 1006 **SCHEDULE E-2 - TANGIBLE GIFTS.**

1007 List each business, governmental entity, or individual that, during the past six months, (i) furnished
 1008 you or a member of your immediate family with any tangible gift and the value received exceeded \$50
 1009 or (ii) furnished you or a member of your immediate family with such gifts in any combination and the
 1010 total value received exceeded \$100, and for which you or the member of your immediate family neither
 1011 paid nor rendered services in exchange. List each such gift. Do not list gifts given by a relative or
 1012 personal friend for reasons clearly unrelated to your public position. [For the purposes of this
 1013 Schedule, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business,
 1014 government, or individual that is transacting or seeking to transact business with the Commonwealth or
 1015 its agencies, departments, or political subdivisions within 12 months from the date of the gift that the
 1016 filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or
 1017 individual that is transacting or seeking to transact business with the Commonwealth or its agencies,

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1135	Loan or finance						
1136	companies						
1137	Manufacturing						
1138	companies (state						
1139	type of product,						
1140	e.g., textile,						
1141	furniture, etc.)						
1142	Mining companies						
1143	Life insurance						
1144	companies						
1145	Casualty insurance						
1146	companies						
1147	Other insurance						
1148	companies						
1149	Retail companies						
1150	Beer, wine or liquor						
1151	companies or						
1152	distributors						
1153	Trade associations						
1154	Professional						
1155	associations						
1156	Associations of						
1157	public employees						
1158	or officials						
1159	Counties, cities						
1160	or towns						
1161	Labor organizations						
1162	Other						
1163							

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SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$40,000 or more than \$5,000. Each parcel shall be listed individually.

1169			
1170			
1171		Describe the type of real	
1172	List each location	estate you own in each	If the real estate is
1173	(state, and county	location (business, recre-	owned or recorded in
1174	or city) where you	ational, apartment, com-	a name other than your
1175	own real estate.	mercial, open land, etc.).	own, list that name.
1176			
1177			
1178			
1179			
1180			

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at \$40,000 or more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

1187			
1188			
1189		Describe the type	
1190		of real estate	
1191		you own in	

1192		each location	If the real estate	
1193	List each location	(business,	is owned or rec-	
1194	(state, and county	recreational,	orded in a name	
1195	or city) where	apartment, com-	other than your	List the names
1196	you own real	mercial, open	own, list that	of any co-owners,
1197	estate.	land, etc.).	name.	if applicable.
1198	_____	_____	_____	_____
1199	_____	_____	_____	_____
1200	_____	_____	_____	_____
1201	_____	_____	_____	_____
1202	_____	_____	_____	_____

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

1205 List all contracts, whether pending or completed within the past ~~12~~ *six* months, with a governmental
1206 agency for the sale or exchange of real estate in which you or a member of your immediate family
1207 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,
1208 valued at *more than* \$10,000 ~~or more~~. List all contracts with a governmental agency for the lease of real
1209 estate in which you or a member of your immediate family holds such an interest valued at *more than*
1210 \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not apply to an interest derived
1211 through an ownership interest in a business unless the ownership interest exceeds three percent of the
1212 total equity of the business.

- 1213 State officers and employees report contracts with state agencies.
- 1214 Local officers and employees report contracts with local agencies.

1215 _____

1216			
1217	List your real estate		
1218	interest and the		
1219	person or entity,		
1220	including the type		
1221	of entity, which		
1222	is party to		
1223	the contract.		State the annual
1224	Describe any		income from the
1225	management role and	List each governmental	contract, and the
1226	the percentage	agency which is a	amount, if any, of
1227	ownership	party to the contract	income you or any
1228	interest you or your	and indicate the	immediate family
1229	immediate family	county or city where	member derives
1230	member has in the real	the real estate	annually from the
1231	estate or entity.	is located.	contract.
1232	_____	_____	_____
1233	_____	_____	_____
1234	_____	_____	_____
1235	_____	_____	_____
1236	_____	_____	_____

§ 2.2-3118.1. Special provisions for individuals serving in or seeking multiple positions or offices; reappointees.

1240 A. The filing of a single current statement of economic interests by a state officer or employee
1241 required to file the form prescribed in § 2.2-3117 shall suffice for the purposes of this chapter as filing
1242 for all state positions or offices held or sought by such individual during a single reporting period. The
1243 filing of a single current financial disclosure statement by a state officer or employee required to file the
1244 form prescribed in § 2.2-3118 shall suffice for the purposes of this chapter as filing for all state
1245 positions or offices held or sought by such individual and requiring the filing of the § 2.2-3118 form
1246 during a single reporting period.

1247 B. Any individual who has met the requirement for ~~annually~~ *periodically* filing a statement provided
1248 in § 2.2-3117 or 2.2-3118 shall not be required to file an additional statement upon such individual's
1249 reappointment to the same office or position for which he is required to file, provided such

1250 reappointment occurs within *six months after filing a statement pursuant to § 2.2-3117 and within 12*
 1251 *months after the annual filing a statement pursuant to § 2.2-3118.*

1252 **§ 2.2-3121. Advisory opinions.**

1253 A. A state officer or employee shall not be prosecuted for a knowing violation of this chapter if the
 1254 alleged violation resulted from his good faith reliance on a written opinion of the Attorney General *or*
 1255 *the Virginia Conflict of Interest and Ethics Advisory Council* made in response to his written request for
 1256 such opinion and the opinion was made after a full disclosure of the facts.

1257 B. A local officer or employee shall not be prosecuted for a knowing violation of this chapter if the
 1258 alleged violation resulted from his good faith reliance on a written opinion of the attorney for the
 1259 Commonwealth *or the Council* made in response to his written request for such opinion and the opinion
 1260 was made after a full disclosure of the facts. The written opinion shall be a public record and shall be
 1261 released upon request.

1262 C. If any officer or employee serving at the local level of government is charged with a knowing
 1263 violation of this chapter, and the alleged violation resulted from his reliance upon a written opinion of
 1264 his city, county or town attorney *or the Council*, made after a full disclosure of the facts, that such
 1265 action was not in violation of this chapter, then the officer or employee shall have the right to introduce
 1266 a copy of the opinion at his trial as evidence that he did not knowingly violate this chapter.

1267 **§ 30-101. Definitions.**

1268 As used in this chapter, unless the context requires a different meaning:

1269 "Advisory agency" means any board, commission, committee or post which does not exercise any
 1270 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
 1271 the purpose of making studies or recommendations, or advising or consulting with a governmental
 1272 agency.

1273 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 1274 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 1275 whether or not for profit.

1276 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
 1277 behalf of a governmental agency which involves the payment of money appropriated by the General
 1278 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
 1279 Commonwealth of Virginia, or some political subdivision thereof. "Contract" includes a subcontract only
 1280 when the contract of which it is a part is with the legislator's own governmental agency.

1281 "*Council*" means the Virginia Conflict of Interest and Ethics Advisory Council established in
 1282 § 30-348.

1283 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
 1284 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
 1285 investment company or advisor registered under the federal Investment Advisors Act or Investment
 1286 Company Act of 1940.

1287 "Gift" means ~~any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item~~
 1288 ~~having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and~~
 1289 ~~meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the~~
 1290 ~~expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass~~
 1291 ~~unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from~~
 1292 ~~relatives. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt,~~
 1293 ~~niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's~~
 1294 ~~parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse both tangible~~
 1295 ~~and intangible gifts. A "tangible gift" means a physical item, object, currency, or other negotiable~~
 1296 ~~instrument of value that upon the happening of a certain event or expiration of a given date retains its~~
 1297 ~~form or value. An "intangible gift" means a physical item or object of value that upon the happening of~~
 1298 ~~a certain event or expiration of a given date loses its form or value. "Intangible gifts" include, but are~~
 1299 ~~not limited to, entertainment, food, and tickets or other access to social or recreational events. A "gift"~~
 1300 ~~does not include merit or need-based scholarships.~~

1301 "Governmental agency" means each component part of the legislative, executive or judicial branches
 1302 of state and local government, including each office, department, authority, post, commission,
 1303 committee, and each institution or board created by law to exercise some regulatory or sovereign power
 1304 or duty as distinguished from purely advisory powers or duties.

1305 "Immediate family" means (i) a spouse and (ii) any ~~other person~~ *child* residing in the same
 1306 household as the legislator, who is a dependent of the legislator ~~or of whom the legislator is a~~
 1307 ~~dependent.~~ "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether
 1308 or not related by blood or marriage, if such person receives from the legislator, ~~or provides to the~~
 1309 ~~legislator,~~ more than one-half of his financial support.

1310 "Legislator" means a member of the General Assembly.

1311 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his

1312 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership
 1313 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may
 1314 reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal property or a
 1315 business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any
 1316 combination thereof, paid or provided by a business that exceeds, or may reasonably be anticipated to
 1317 exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds
 1318 \$10,000 \$5,000 in value and excluding ownership in a business, income, or salary, other compensation,
 1319 fringe benefits or benefits from the use of property; or (v) personal liability incurred or assumed on
 1320 behalf of a business if the liability exceeds three percent of the asset value of the business.

1321 "Personal interest in a contract" means a personal interest which a legislator has in a contract with a
 1322 governmental agency, whether due to his being a party to the contract or due to a personal interest in a
 1323 business which is a party to the contract.

1324 "Personal interest in a transaction" means a personal interest of a legislator in any matter considered
 1325 by the General Assembly. Such personal interest exists when an officer or employee or a member of his
 1326 immediate family has a personal interest in property or a business, or represents any individual or
 1327 business and such property, business or represented individual or business (i) is the subject of the
 1328 transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result
 1329 of the action of the agency considering the transaction. A "personal interest in a transaction" exists only
 1330 if the legislator or member of his immediate family or an individual or business represented by the
 1331 legislator is affected in a way that is substantially different from the general public or from persons
 1332 comprising a profession, occupation, trade, business or other comparable and generally recognizable
 1333 class or group of which he or the individual or business he represents is a member.

1334 "Transaction" means any matter considered by the General Assembly, whether in a committee,
 1335 subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which
 1336 official action is taken or contemplated.

1337 **[§ 30-103. Prohibited conduct.**

1338 No legislator shall:

1339 1. Solicit or accept money or other thing of value for services performed within the scope of his
 1340 official duties, except the compensation, expenses or other remuneration paid to him by the General
 1341 Assembly. This prohibition shall not apply to the acceptance of special benefits which may be
 1342 authorized by law;

1343 2. Offer or accept any money or other thing of value for or in consideration of obtaining
 1344 employment, appointment, or promotion of any person with any governmental or advisory agency;

1345 3. Offer or accept any money or other thing of value for or in consideration of the use of his public
 1346 position to obtain a contract for any person or business with any governmental or advisory agency;

1347 4. Use for his own economic benefit or that of another party confidential information which he has
 1348 acquired by reason of his public position and which is not available to the public;

1349 5. Accept any money, loan, gift, favor, service, or business or professional opportunity that
 1350 reasonably tends to influence him in the performance of his official duties. This subdivision shall not
 1351 apply to any political contribution actually used for political campaign or constituent service purposes
 1352 and reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;

1353 6. Accept any business or professional opportunity when he knows that there is a reasonable
 1354 likelihood that the opportunity is being afforded him to influence him in the performance of his official
 1355 duties;

1356 7. During the one year after the termination of his service as a legislator, represent a client or act in
 1357 a representative capacity on behalf of any person or group, for compensation, on any matter before the
 1358 General Assembly or any agency of the legislative branch of government. The prohibitions of this
 1359 subdivision shall apply only to persons engaged in activities that would require registration as a lobbyist
 1360 under § 2.2-422. Any person subject to the provisions of this subdivision may apply to the Attorney
 1361 General, as provided in § 30-122, for an advisory opinion as to the application of the restriction imposed
 1362 by this subdivision on any post-public employment position or opportunity;

1363 8. Accept any honoraria for any appearance, speech, or article in which the legislator provides
 1364 expertise or opinions related to the performance of his official duties. The term "honoraria" shall not
 1365 include any payment for or reimbursement to such person for his actual travel, lodging, or subsistence
 1366 expenses incurred in connection with such appearance, speech, or article or in the alternative a payment
 1367 of money or anything of value not in excess of the per diem deduction allowable under § 162 of the
 1368 Internal Revenue Code, as amended from time to time;

1369 9. Accept appointment to serve on a body or board of any corporation, company or other legal
 1370 entity, vested with the management of the corporation, company or entity, and on which two other
 1371 members of the General Assembly already serve, which is operated for profit and regulated by the State
 1372 Corporation Commission as (i) a financial institution, (ii) a mortgage lender or broker, (iii) any business

1373 under Chapter 5 (§ 13.1-501 et seq.) of Title 13.1, (iv) any business under Title 38.2, or (v) any
1374 business under Title 56;

1375 10. Accept a gift from a person who has interests that may be substantially affected by the
1376 performance of the legislator's official duties under circumstances where the timing and nature of the gift
1377 would cause a reasonable person to question the legislator's impartiality in the matter affecting the
1378 donor. Violations of this subdivision shall not be subject to criminal law penalties; ~~or~~

1379 11. Accept gifts from sources on a basis so frequent as to raise an appearance of the use of his
1380 public office for private gain. Violations of this subdivision shall not be subject to criminal law
1381 penalties; *or*

1382 12. *Accept compensation or reimbursement for expenses for attendance or services performed at a*
1383 *conference for which the conference agenda or materials are not readily available to the public.]*

1384 **§ 30-103.1. Certain gifts prohibited.**

1385 A. No legislator or candidate for the General Assembly required to file the disclosure form
1386 prescribed in § 30-111 shall solicit, accept, or receive within any calendar year any single gift with a
1387 value in excess of \$250 from any lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter
1388 4 of Title 2.2. The provisions of this section apply to gifts reportable on Schedule E-2 of the disclosure
1389 form prescribed in § 30-111. The provisions of this section do not apply to items reportable on Schedule
1390 D-1, D-2, or E-1 of the disclosure form prescribed in § 30-111.

1391 B. The \$250 limitation imposed in accordance with this section shall be adjusted by the Virginia
1392 Conflict of Interest and Ethics Advisory Council every five years, as of January 1 of that year, in an
1393 amount equal to the annual increases for that five-year period in the United States Average Consumer
1394 Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics
1395 of the U.S. Department of Labor.

1396 **§ 30-110. Disclosure.**

1397 A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure
1398 statement of his personal interests and such other information as is specified on the form set forth in
1399 § 30-111 and thereafter shall file such a statement ~~annually on or before January 8~~ *semiannually by June*
1400 *1 for the preceding six-month period complete through the last day of April and by December 1 for the*
1401 *preceding six-month period complete through the last day of October.* When the filing deadline falls on
1402 a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a
1403 Saturday, Sunday, or legal holiday. Disclosure forms shall be provided by the ~~clerk of the appropriate~~
1404 ~~house to each legislator and legislator-elect not later than November 30 of each year~~ *Virginia Conflict of*
1405 *Interest and Ethics Advisory Council at least 30 days prior to the filing deadline.* Members of the
1406 Senate shall file their disclosure forms with the Clerk of the Senate and members of the House of
1407 Delegates shall file their disclosure forms with the Clerk of the House of Delegates *Virginia Conflict of*
1408 *Interest and Ethics Advisory Council.* The disclosure forms of the members of the General Assembly
1409 shall be maintained as public records for five years in the office of the ~~clerk of the appropriate house~~
1410 *Virginia Conflict of Interest and Ethics Advisory Council.*

1411 B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as
1412 required by §§ 24.2-500 through 24.2-503.

1413 C. Any legislator who has a personal interest in any transaction pending before the General
1414 Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the
1415 rules of his house shall disclose his interest in accordance with the applicable rule of his house.

1416 **§ 30-111. Disclosure form.**

1417 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be
1418 substantially as follows:

1419 STATEMENT OF ECONOMIC INTERESTS.

1420 Name
1421 Office or position held or sought
1422 Home address
1423 Names of members of immediate family

1424 DEFINITIONS AND EXPLANATORY MATERIAL.

1425 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1426 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1427 whether or not for profit.

1428 "Close financial association" means an association in which the filer shares significant financial
1429 involvement with an individual and the filer would reasonably be expected to be aware of the
1430 individual's business activities and would have access to the necessary records either directly or through
1431 the individual. "Close financial association" does not mean an association based on (i) the receipt of
1432 retirement benefits or deferred compensation from a business by which the legislator is no longer
1433 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent

1434 contractor of a business that represents an entity before any state governmental agency when the
1435 legislator has had no communications with the state governmental agency.

1436 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1437 fixed or determined in the future with the occurrence of some certain event.

1438 "Dependent" means any person, whether or not related by blood or marriage, who receives from the
1439 legislator, or provides to the legislator, more than one-half of his financial support.

1440 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1441 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
1442 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
1443 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass
1444 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from
1445 relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom
1446 the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother,
1447 or sister; or the donee's brother's or sister's spouse both tangible and intangible gifts. A "tangible gift"
1448 means a physical item, object, currency, or other negotiable instrument of value that upon the
1449 happening of a certain event or expiration of a given date retains its form or value. An "intangible gift"
1450 means a physical item or object of value that upon the happening of a certain event or expiration of a
1451 given date loses its form or value. "Intangible gifts" include, but are not limited to, entertainment, food,
1452 and tickets or other access to social or recreational events. A "gift" does not include merit or
1453 need-based scholarships.

1454 "Immediate family" means (i) a spouse and (ii) any other person child residing in the same
1455 household as the legislator, who is a dependent of the legislator or of whom the legislator is a
1456 dependent.

1457 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
1458 services, consulting services, or public relations services, whether gratuitous or for compensation,
1459 between a member or member-elect and any person who is, or has been within the prior calendar year,
1460 registered as a lobbyist with the Secretary of the Commonwealth Virginia Conflict of Interest and Ethics
1461 Advisory Council, or (ii) a greater than three percent ownership interest by a member or member-elect in
1462 a business that employs, or engages as an independent contractor, any person who is, or has been within
1463 the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth Council. The
1464 disclosure of a lobbyist relationship shall not (i) constitute a waiver of any attorney-client or other
1465 privilege, (ii) require a waiver of any attorney-client or other privilege for a third party, or (iii) be
1466 required where a member or member-elect is employed or engaged by a person and such person also
1467 employs or engages a person in a lobbyist relationship so long as the member or member-elect has no
1468 financial interest in the lobbyist relationship.

1469 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
1470 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
1471 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
1472 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
1473 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
1474 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1475 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
1476 Statement must be provided on the basis of the best knowledge, information and belief of the individual
1477 filing the Statement as of the date of this report unless otherwise stated.

1478 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

1479 You may attach additional explanatory information.

1480 1. Offices and Directorships.

1481 Are you or a member of your immediate family a paid officer or paid director of a business?

1482 EITHER check NO / / OR check YES / / and complete Schedule A.

1483 2. Personal Liabilities.

1484 Do you or a member of your immediate family owe more than \$10,000 \$5,000 to any one creditor
1485 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens
1486 on property at least equal in value to the loan.)

1487 EITHER check NO / / OR check YES / / and complete Schedule B.

1488 3. Securities.

1489 Do you or a member of your immediate family, directly or indirectly, separately or together, own
1490 securities valued in excess of \$10,000 \$5,000 invested in one business? Account for mutual funds,
1491 limited partnerships and trusts.

1492 EITHER check NO / / OR check YES / / and complete Schedule C.

1493 4. Payments for Talks, Meetings, and Publications.

1494 During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of

1495 value with a combined value exceeding \$200 for a single talk, meeting, or published work in your
1496 capacity as a legislator? Do not include payments and reimbursements from the Commonwealth for
1497 meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such
1498 meetings.

1499 EITHER check NO / / OR check YES / / and complete Schedule D.

1500 5. 5A. Entertainment and Intangible Gifts.

1501 During the past ~~12~~ six months did a business, government, or individual other than a relative or
1502 personal friend (i) furnish you *or a member of your immediate family* with any ~~gift or~~ entertainment at a
1503 single event, *or intangible gift* and the value received ~~by you~~ exceeded \$50 ~~in value~~ or (ii) furnish you
1504 *or a member of your immediate family* with ~~gifts or~~ such entertainment *or gifts* in any combination and
1505 the total value received ~~by you~~ exceeded \$100 ~~in total value~~, and for which you *or the member of your*
1506 *immediate family* neither paid nor rendered services in exchange? Account for entertainment events only
1507 if the average value per person attending the event exceeded \$50 ~~in value~~. Account for all business
1508 entertainment (except if related to ~~your~~ the private profession or occupation of you *or the member of*
1509 *your immediate family who received such business entertainment*) even if unrelated to your official
1510 duties. [*For the purposes of this Item, "personal friend" does not include any lobbyist, any lobbyist's*
1511 *principal, or any business, government, or individual that is transacting or seeking to transact business*
1512 *with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from*
1513 *the date of the gift that the filer knows or has reason to know is a lobbyist, lobbyist's principal, or*
1514 *business, government, or individual that is transacting or seeking to transact business with the*
1515 *Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of*
1516 *the gift. For the purposes of this Item, "personal friend" does not include any lobbyist, any lobbyist's*
1517 *principal, or any business, government, or individual that is presently transacting or seeking to transact*
1518 *business with the Commonwealth, its agencies or its political subdivisions within twelve (12) months*
1519 *from the date of the gift and the recipient knows, or has sufficient reason to know at the time of the*
1520 *disclosure, about that real or potential business.]*

1521 EITHER check NO / / OR check YES / / and complete Schedule ~~E~~ E-1.

1522 5B. Tangible Gifts.

1523 During the past six months did a business, government, or individual other than a relative or
1524 personal friend (i) furnish you *or a member of your immediate family* with any tangible gift and the
1525 value received exceeded \$50 or (ii) furnish you *or a member of your immediate family* with such gifts in
1526 any combination and the total value received exceeded \$100, and for which you *or the member of your*
1527 *immediate family* neither paid nor rendered services in exchange? [*For the purposes of this Item,*
1528 *"personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or*
1529 *individual that is transacting or seeking to transact business with the Commonwealth or its agencies,*
1530 *departments, or political subdivisions within 12 months from the date of the gift that the filer knows or*
1531 *has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is*
1532 *transacting or seeking to transact business with the Commonwealth or its agencies, departments, or*
1533 *political subdivisions within 12 months from the date of the gift. For the purposes of this Item,*
1534 *"personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or*
1535 *individual that is presently transacting or seeking to transact business with the Commonwealth, its*
1536 *agencies or its political subdivisions within twelve (12) months from the date of the gift and the*
1537 *recipient knows, or has sufficient reason to know at the time of the disclosure, about that real or*
1538 *potential business.]*

1539 EITHER check NO / / OR check YES / / and complete Schedule E-2.

1540 6. Salary and Wages.

1541 List each employer that pays you or a member of your immediate family salary or wages in excess
1542 of ~~\$10,000~~ \$5,000 annually. (Exclude any salary received as a member of the General Assembly
1543 pursuant to § 30-19.11.)

1544 If no reportable salary or wages, check here / /.

1545 _____
1546 _____
1547 _____

1548 7. Business Interests and Lobbyist Relationships.

1549 7A. Do you or a member of your immediate family, separately or together, operate your own
1550 business, or own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

1551 EITHER check NO / / OR check YES / / and complete Schedule F-1.

1552 7B. Do you have a lobbyist relationship as that term is defined above?

1553 EITHER check NO / / OR check YES / / and complete Schedule F-2.

1554 8. Payments for Representation and Other Services.

1555 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
1556 judges, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000,

1557 excluding compensation for other services to such businesses and representation consisting solely of the
1558 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1559 EITHER check NO // OR check YES // and complete Schedule G-1.

1560 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
1561 association (partners, associates or others) represent any businesses before any state governmental agency
1562 for which total compensation was received during the past ~~12~~ six months in excess of \$1,000?

1563 EITHER check NO // OR check YES // and complete Schedule G-2.

1564 8C. Did you or persons with whom you have a close financial association furnish services to
1565 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
1566 persons with whom you have a close financial association and such businesses for which total
1567 compensation in excess of \$1,000 was received during the past ~~12~~ six months? Services reported under
1568 this provision shall not include services involving the representation of businesses that are reported
1569 under question 8A or 8B above.

1570 EITHER check NO // OR check YES // and complete Schedule G-3.

1571 9. Real Estate.

1572 Do you or a member of your immediate family hold an interest, including a partnership interest,
1573 valued at ~~\$10,000~~ or more than \$5,000 in real property (other than your principal residence) for which
1574 you have not already listed the full address on Schedule F? Account for real estate held in trust.

1575 EITHER check NO // OR check YES // and complete Schedule H.

1576 10. Real Estate Contracts with State Governmental Agencies.

1577 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000
1578 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,
1579 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six
1580 months, with a state governmental agency?

1581 If the real estate contract provides for the leasing of the property to a state governmental agency, do
1582 you or a member of your immediate family hold an interest in the real estate, including a corporate,
1583 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
1584 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
1585 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
1586 business unless the ownership interest exceeds three percent of the total equity of the business.

1587 EITHER check NO // OR check YES // and complete Schedule I.

1588 11. Payments by the Commonwealth for Meetings.

1589 During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of
1590 value with a combined value exceeding \$200 from the Commonwealth for a single meeting attended
1591 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
1592 meetings attended in the Commonwealth.

1593 EITHER check NO // OR check YES // and complete Schedule D-2.

1594 For Statements filed in January 2016 and each two years thereafter, complete the following
1595 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

1596 I certify that I completed ethics training as required by § 30-129.1. YES // or NO //.

1597 Statements of Economic Interests are open for public inspection.

1598 AFFIRMATION.

1599 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
1600 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
1601 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
1602 will satisfy such request or be subjected to disciplinary action of my house.

1603 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1604 Signature _____

1605 Commonwealth of Virginia

1606 _____ of _____ to wit:

1607 ~~The foregoing disclosure form was acknowledged before me~~

1608 ~~This _____ day of _____, 20____, by _____~~

1609 _____ Notary Public

1610 ~~My commission expires _____~~

1611 (Return only if needed to complete Statement.)

1612 SCHEDULES

1613 to

1614 STATEMENT OF ECONOMIC INTERESTS.

1615 NAME _____

1616 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1617 Identify each business of which you or a member of your immediate family is a paid officer or paid

1618 director.

1619

1620

1621

Name of Business	Address of Business	Position Held <i>and by Whom</i>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

1622

1623

1624

1625

1626

1627

RETURN TO ITEM 2

1628

SCHEDULE B - PERSONAL LIABILITIES.

1629

Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000.

1630

Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

1631

Report contingent liabilities below and indicate which debts are contingent.

1632

1. My personal debts are as follows:

1633

1634

1635

1636

Check one

1637

Check

~~\$10,001~~

1638

appropriate

\$5,001 to

More than

1639

categories

\$50,000

\$50,000

1640

Banks

1641

Savings institutions

1642

Other loan or finance companies

1643

Insurance companies

1644

Stock, commodity or other brokerage

1645

companies

1646

Other businesses:

1647

(State principal business activity for each

1648

creditor *and its name*.)

1649

1650

1651

Individual creditors:

1652

(State principal business or occupation of

1653

each creditor *and its name*.)

1654

1655

1656

2. The personal debts of the members of my immediate family are as follows:

1657

1658

1659

1660

Check one

1661

Check

~~\$10,001~~

1662

appropriate

\$5,001 to

More than

1663

categories

\$50,000

\$50,000

1664

Banks

1665

Savings institutions

1666

Other loan or finance companies

1667

Insurance companies

1668

Stock, commodity or other brokerage

1669

companies

1670

Other businesses:

1671

(State principal business activity for each

1672

creditor *and its name*.)

1673

1674

1675 Individual creditors:
 1676 (State principal business or occupation of
 1677 each creditor and its name.) _____
 1678 _____
 1679 _____
 1680 _____

RETURN TO ITEM 3

1681 SCHEDULE C - SECURITIES.

1683 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 1684 mutual funds, limited partnerships, certificates of deposit,
 1685 and commodity futures contracts. money market funds, annuity
 1686 contracts, and insurance policies.

1687 Identify each business or Virginia governmental entity in which you or a member of your immediate
 1688 family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 \$5,000.
 1689 Name each entity and type of security individually.

1690 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 1691 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 1692 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 1693 in trust.

1694 If no reportable securities, check here / /.

1695 _____

1696

1697 Check one

1698 \$10,001

1699 Type of Security \$5,001 \$50,001 More

1700 Name of Issuer ~~Type of~~ (stocks, bonds, to to than

1701 Name of Issuer ~~Entity~~ mutual funds, etc.) \$50,000 \$250,000 \$250,000

1702 _____

1703 _____

1704 _____

1705 _____

1706 _____

RETURN TO ITEM 4

1707 SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1708 List each source from which you received during the past ~~12~~ six months lodging, transportation,
 1709 money, or any other thing of value (~~excluding meals or drinks coincident with a meeting~~) with a
 1710 combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or
 1711 publication of a work in your capacity as a legislator. Do not list payments or reimbursements by the
 1712 Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you
 1713 donated it to charity. Do not list information about a payment if you returned it within 60 days or if you
 1714 received it from an employer already listed under Item 6 or from a source of income listed on Schedule
 1715 F.
 1716

1717 If no payment must be listed, check here / /.

1718 _____

1719

1720 Type of Payment

1721 (e.g., Honoraria,

1722 Travel reimburse-

1723 Payer Approximate Value Circumstances ment, etc.)

1724 _____

1725 _____

1726 _____

1727 _____

1728 _____

RETURN TO ITEM-5 5A

1730 SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

1731 List each meeting for which the Commonwealth provided payments or reimbursements during the
 1732 past ~~12~~ six months to you for lodging, transportation, money, or any other thing of value (~~excluding~~

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1733 meals or drinks coincident with a meeting) with a combined value exceeding \$200 for your participation
1734 in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for
1735 meetings or travel within the Commonwealth.

1736 If no payment must be listed, check here / /.

1737 _____

1738 _____

1739 _____ Type of Payment

1740 _____ (e.g., Travel

1741 _____ reimbursement,

1742 Payer _____ Approximate Value _____ Circumstances _____ etc.)

1743 _____

1744 _____

1745 _____

1746 _____

1747 _____

1748 RETURN TO ITEM 5A

1749 SCHEDULE E E-1 - ENTERTAINMENT AND INTANGIBLE GIFTS.

1750 List each business, governmental entity, or individual that, during the past 12 six months, (i)
1751 furnished you or a member of your immediate family with any gift or entertainment at a single event, or
1752 intangible gift and the value received by you exceeded \$50; in value or (ii) furnished you or a member
1753 of your immediate family with gifts or such entertainment or intangible gifts in any combination and the
1754 total value received by you exceeded \$100 in total value, and for which you or the member of your
1755 immediate family neither paid nor rendered services in exchange. List each such gift or event.

1756 Do not list entertainment events unless the average value per person attending the event exceeded
1757 \$50 in value. Do not list business entertainment related to your the private profession or occupation of
1758 you or the member of your immediate family who received such business entertainment. Do not list gifts
1759 or other things of value given by a relative or personal friend for reasons clearly unrelated to your
1760 public position. Do not list campaign contributions publicly reported as required by Chapter 9.3
1761 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia. [For the purposes of this Schedule, "personal
1762 friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or
1763 individual that is transacting or seeking to transact business with the Commonwealth or its agencies,
1764 departments, or political subdivisions within 12 months from the date of the gift that the filer knows or
1765 has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is
1766 transacting or seeking to transact business with the Commonwealth or its agencies, departments, or
1767 political subdivisions within 12 months from the date of the gift. For the purposes of this Schedule,
1768 "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or
1769 individual that is presently transacting or seeking to transact business with the Commonwealth, its
1770 agencies or its political subdivisions within twelve (12) months from the date of the gift and the
1771 recipient knows, or has sufficient reason to know at the time of the disclosure, about that real or
1772 potential business.]

1773 _____

1774 _____

1775 _____ Name of Business, _____ City or

1776 _____ Exact _____

1777 _____ Name of _____ Organization, or _____ County _____ Gift or _____ Approximate

1778 _____ Recipient _____ Individual _____ and State _____ Event _____ Value

1779 _____

1780 _____

1781 _____

1782 _____

1783 _____

1784 RETURN TO ITEM-6 5B

1785 SCHEDULE E-2 - TANGIBLE GIFTS.

1786 List each business, governmental entity, or individual that, during the past 12 months, (i) furnished
1787 you with any tangible gift and the value received by you exceeded \$50 or (ii) furnished you with such
1788 gifts in any combination and the total value received by you exceeded \$100, and for which you neither
1789 paid nor rendered services in exchange. List each such gift. Do not list gifts given by a relative or
1790 personal friend for reasons clearly unrelated to your public position. [For the purposes of this
1791 Schedule, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business,
1792 government, or individual that is transacting or seeking to transact business with the Commonwealth or

1793 its agencies, departments, or political subdivisions within 12 months from the date of the gift that the
1794 filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or
1795 individual that is transacting or seeking to transact business with the Commonwealth or its agencies,
1796 departments, or political subdivisions within 12 months from the date of the gift. For the purposes of
1797 this Schedule, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business,
1798 government, or individual that is presently transacting or seeking to transact business with the
1799 Commonwealth, its agencies or its political subdivisions within twelve (12) months from the date of the
1800 gift and the recipient knows, or has sufficient reason to know at the time of the disclosure, about that
1801 real or potential business.]

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RETURN TO ITEM 6

SCHEDULE F-1 - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of ~~\$10,000~~ \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business Corporation, Partnership, Farm;	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	Gross income \$50,001 to \$250,000	More than \$250,000

RETURN TO ITEM 8

SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

- (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth Virginia Conflict of Interest and Ethics Advisory Council, or
- (ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the of the Commonwealth Council.

List each person or business	Describe each relationship	Dates of relationship	Payments to Lobbyist \$10,000 or less	More than \$10,000

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1851 _____
 1852 _____
 1853 _____
 1854 _____
 1855 _____
 1856 _____

1857 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A
 1858 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF
 1859 ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE
 1860 REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A
 1861 PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST
 1862 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL
 1863 INTEREST IN THE LOBBYIST RELATIONSHIP.

1864 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1865 List the businesses you represented before any state governmental agency, excluding any court or
 1866 judge, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000,
 1867 excluding compensation for other services to such businesses and representation consisting solely of the
 1868 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

1869 Identify each business, the nature of the representation and the amount received by dollar category
 1870 from each such business. You may state the type, rather than name, of the business if you are required
 1871 by law not to reveal the name of the business represented by you.

1872 _____

1873

Name		Type	Pur- pose of	Name	\$1,001	\$10,001	\$50,001	\$100,001	
of		of	Repre-	of	to	to	to	to	\$250,001
Busi-		Busi-	enta-	Agency	\$10,000	\$50,000	\$100,000	\$250,000	and over
ness		ness	tion						
1874	_____	_____	_____	_____	_____	_____	_____	_____	_____
1875	_____	_____	_____	_____	_____	_____	_____	_____	_____
1876	_____	_____	_____	_____	_____	_____	_____	_____	_____
1877	_____	_____	_____	_____	_____	_____	_____	_____	_____
1878	_____	_____	_____	_____	_____	_____	_____	_____	_____
1879	_____	_____	_____	_____	_____	_____	_____	_____	_____
1880	_____	_____	_____	_____	_____	_____	_____	_____	_____
1881	_____	_____	_____	_____	_____	_____	_____	_____	_____
1882	_____	_____	_____	_____	_____	_____	_____	_____	_____
1883	_____	_____	_____	_____	_____	_____	_____	_____	_____
1884	_____	_____	_____	_____	_____	_____	_____	_____	_____

1885 If you have received \$250,001 or more from a single business within the reporting period, indicate
 1886 the amount received, rounded to the nearest \$10,000. Amount Received: _____.

1887 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1888 List the businesses that have been represented before any state governmental agency, excluding any
 1889 court or judge, by persons who are your partners, associates or others with whom you have a close
 1890 financial association and who received total compensation in excess of \$1,000 for such representation
 1891 during the past ~~12~~ six months, excluding representation consisting solely of the filing of mandatory
 1892 papers and subsequent representation regarding the mandatory papers filed by your partners, associates
 1893 or others with whom you have a close financial association.

1894 Identify such businesses by type and also name the state governmental agencies before which such
 1895 person appeared on behalf of such businesses.

1896 _____

1897

Type of Business	Name of State Governmental Agency
1898 _____	_____
1899 _____	_____
1900 _____	_____
1901 _____	_____
1902 _____	_____
1903 _____	_____

1904 SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

1905 Indicate below types of businesses that operate in Virginia to which services were furnished by you
 1906 or persons with whom you have a close financial association pursuant to an agreement between you and
 1907 such businesses, or between persons with whom you have a close financial association and such
 1908 businesses and for which total compensation in excess of \$1,000 was received during the past ~~12~~ six
 1909 months. Services reported in this Schedule shall not include services involving the representation of

1910 businesses that are reported in Schedule G-1 or G-2 above.

1911 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
 1912 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 1913 falling within each category.

1914							
1915							
1916		Check					
1917		if	Type				
1918		ser-	of	Value of Compensation			
1919		vices	ser-				
1920		were	vice	\$1,001	\$10,001	\$50,001	\$100,001
1921		ren-	ren-	to	to	to	to
1922		dered	dered	\$10,000	\$50,000	\$100,000	\$250,000
							\$250,001
							and over
1923	Electric utilities	_____	_____	_____	_____	_____	_____
1924	Gas utilities	_____	_____	_____	_____	_____	_____
1925	Telephone utilities	_____	_____	_____	_____	_____	_____
1926	Water utilities	_____	_____	_____	_____	_____	_____
1927	Cable television	_____	_____	_____	_____	_____	_____
1928	companies	_____	_____	_____	_____	_____	_____
1929	Interstate	_____	_____	_____	_____	_____	_____
1930	transportation	_____	_____	_____	_____	_____	_____
1931	companies	_____	_____	_____	_____	_____	_____
1932	Intrastate	_____	_____	_____	_____	_____	_____
1933	transportation	_____	_____	_____	_____	_____	_____
1934	companies	_____	_____	_____	_____	_____	_____
1935	Oil or gas retail	_____	_____	_____	_____	_____	_____
1936	companies	_____	_____	_____	_____	_____	_____
1937	Banks	_____	_____	_____	_____	_____	_____
1938	Savings	_____	_____	_____	_____	_____	_____
1939	institutions	_____	_____	_____	_____	_____	_____
1940	Loan or finance	_____	_____	_____	_____	_____	_____
1941	companies	_____	_____	_____	_____	_____	_____
1942	Manufacturing	_____	_____	_____	_____	_____	_____
1943	companies (state	_____	_____	_____	_____	_____	_____
1944	type of product,	_____	_____	_____	_____	_____	_____
1945	e.g., textile,	_____	_____	_____	_____	_____	_____
1946	furniture, etc.)	_____	_____	_____	_____	_____	_____
1947	Mining companies	_____	_____	_____	_____	_____	_____
1948	Life insurance	_____	_____	_____	_____	_____	_____
1949	companies	_____	_____	_____	_____	_____	_____
1950	Casualty insurance	_____	_____	_____	_____	_____	_____
1951	companies	_____	_____	_____	_____	_____	_____
1952	Other insurance	_____	_____	_____	_____	_____	_____
1953	companies	_____	_____	_____	_____	_____	_____
1954	Retail companies	_____	_____	_____	_____	_____	_____
1955	Beer, wine or	_____	_____	_____	_____	_____	_____
1956	liquor companies	_____	_____	_____	_____	_____	_____
1957	or distributors	_____	_____	_____	_____	_____	_____
1958	Trade associations	_____	_____	_____	_____	_____	_____
1959	Professional	_____	_____	_____	_____	_____	_____
1960	associations	_____	_____	_____	_____	_____	_____
1961	Associations of	_____	_____	_____	_____	_____	_____
1962	public employees	_____	_____	_____	_____	_____	_____
1963	or officials	_____	_____	_____	_____	_____	_____
1964	Counties, cities	_____	_____	_____	_____	_____	_____
1965	or towns	_____	_____	_____	_____	_____	_____
1966	Labor organizations	_____	_____	_____	_____	_____	_____

ENGROSSED

SB649ES1

1967 Other _____
1968 _____

RETURN TO ITEM 9

1969
1970 SCHEDULE H - REAL ESTATE.

1971 List real estate other than your principal residence in which you or a member of your immediate
1972 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
1973 \$40,000 \$5,000 or more. Each parcel must be listed individually.

1974	1975	1976	1977
		Describe the type of real estate you own in each	
1978	List the location (state, and county or city where you own real estate	location (business, recreational, apartment, commercial, open land, etc.)	If the real estate is owned or recorded in a name other than your own, list that name
1982	_____	_____	_____
1983	_____	_____	_____
1984	_____	_____	_____
1985	_____	_____	_____
1986	_____	_____	_____
1987	_____	_____	_____

RETURN TO ITEM 10

1988
1989 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1990 List all contracts, whether pending or completed within the past ~~12~~ six months, with a state
1991 governmental agency for the sale or exchange of real estate in which you or a member of your
1992 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,
1993 or land contract, valued at *more than* \$10,000 ~~or more~~. List all contracts with a state governmental
1994 agency for the lease of real estate in which you or a member of your immediate family holds such an
1995 interest valued at *more than* \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not
1996 apply to an interest derived through an ownership interest in a business unless the ownership interest
1997 exceeds three percent of the total equity of the business.

1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
		List your real estate interest and the person or entity, including the type of entity, which is party to the contract.						Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.		State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.										
		_____						_____	_____		_____										
		_____						_____	_____		_____										
		_____						_____	_____		_____										
		_____						_____	_____		_____										

2020 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of
2021 Economic Interests shall be subject to disciplinary action for such violations by the house in which the
2022 legislator sits.

2023 C. In accordance with the rules of each house, the *The* Statement of Economic Interests of all
2024 members of each house shall be reviewed by *the Council*. If a legislator's Statement is found to be

2025 inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement
 2026 correcting the indicated deficiencies, and a time shall be set within which such amendment shall be
 2027 filed. If the Statement of Economic Interests, in either its original or amended form, is found to be
 2028 adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the
 2029 information disclosed thereon.

2030 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
 2031 request the house in which those members sit, in accordance with the rules of that house, to review the
 2032 Statement of Economic Interests of another member of that house in order to determine the adequacy of
 2033 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
 2034 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
 2035 whose Statement is in issue. Should it be determined that the Statement requires correction,
 2036 augmentation or revision, the legislator involved shall be directed to make the changes required within
 2037 such time as shall be set under the rules of each house.

2038 If a legislator, after having been notified in writing in accordance with the rules of the house in
 2039 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
 2040 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
 2041 sits. No legislator shall vote on any question relating to his own Statement.

2042 **§ 30-114. Filing of complaints; procedures; disposition.**

2043 A. In response to the signed and sworn complaint of any citizen of the Commonwealth, which is
 2044 subscribed by the maker as true under penalty of perjury, submitted to the Panel, the Panel shall inquire
 2045 into any alleged violation of Articles 2 (§ 30-102 et seq.) through 5 (§ 30-109 et seq.) of this chapter by
 2046 any member of the respective house of the General Assembly in his current term or his immediate prior
 2047 term. Complaints shall be filed with the ~~Director of the Division of Legislative Services Virginia~~
 2048 *Conflict of Interest and Ethics Advisory Council*, ~~who~~ which shall promptly (i) submit the complaint to
 2049 the chairman of the appropriate Panel and (ii) forward a copy of the complaint to the legislator named
 2050 in the complaint. The chairman shall promptly notify the Panel of the complaint. No complaint shall be
 2051 filed with the Panel 60 or fewer days before a primary election or other nominating event or before a
 2052 general election in which the cited legislator is running for office, and the Panel shall not accept or act
 2053 on any complaint received during this period.

2054 B. The Panel shall determine, during its preliminary investigation, whether the facts stated in the
 2055 complaint taken as true are sufficient to show a violation of Articles 2 (§ 30-102 et seq.) through 5
 2056 (§ 30-109 et seq.) of this chapter. If the facts, as stated in the complaint, fail to give rise to such a
 2057 violation, then the Panel shall dismiss the complaint. If the facts, as stated in the complaint, give rise to
 2058 such a violation, then the Panel shall request that the complainant appear and testify under oath as to the
 2059 complaint and the allegations therein. After hearing the testimony and reviewing any other evidence
 2060 provided by the complainant, the Panel shall dismiss the complaint if the Panel fails to find by a
 2061 preponderance of the evidence that such violation has occurred. If the Panel finds otherwise, it shall
 2062 proceed with the inquiry.

2063 C. If after such preliminary investigation, the Panel determines to proceed with an inquiry into the
 2064 conduct of any legislator, the Panel (i) shall immediately notify in writing the individual who filed the
 2065 complaint and the cited legislator as to the fact of the inquiry and the charges against the legislator and
 2066 (ii) shall schedule one or more hearings on the matter. The legislator shall have the right to present
 2067 evidence, cross-examine witnesses, face and examine the accuser, and be represented by counsel at any
 2068 hearings. In its discretion, the Panel may grant the legislator any other rights or privileges not
 2069 specifically enumerated in this subsection. Once the Panel has determined to proceed with an inquiry, its
 2070 meetings and hearings shall be open to the public.

2071 D. Once the Panel determines to proceed with an inquiry into the conduct of any legislator, the Panel
 2072 shall complete its investigations and dispose of the matter as provided in § 30-116 notwithstanding the
 2073 resignation of the legislator during the course of the Panel's proceedings.

2074 **§ 30-117. Confidentiality of proceedings.**

2075 All proceedings during the investigation of any complaint by the Panel shall be confidential. This
 2076 rule of confidentiality shall apply to Panel members and their staff and, the Committee on Privileges and
 2077 Elections and its staff, and the *Virginia Conflict of Interest and Ethics Advisory Council*.

2078 **§ 30-118. Staff for Panel.**

2079 The Panel may hire staff and outside counsel to assist the Panel and to conduct examinations of
 2080 witnesses, subject to the approval of the President Pro Tempore of the Senate for the Senate Ethics
 2081 Advisory Panel and subject to the approval of the Speaker of the House of Delegates for the House
 2082 Ethics Advisory Panel. ~~The Panel may have the Director of the Division of Legislative Services, and~~
 2083 ~~such additional staff as he may assign, assist the Panel during its preliminary investigation and during its~~
 2084 ~~proceedings.~~

2085 **§ 30-124. Advisory opinions.**

2086 A legislator shall not be prosecuted or disciplined for a violation of this chapter if his alleged
 2087 violation resulted from his good faith reliance on a written opinion of a committee on standards of
 2088 conduct established pursuant to § 30-120, a formal opinion of the Virginia Conflict of Interest and
 2089 Ethics Advisory Council established pursuant to § 30-348, or an opinion of the Attorney General as
 2090 provided in § 30-122, and the opinion was made after his full disclosure of the facts.

2091 *Article 6.*

2092 *Ethics Orientation Sessions.*

2093 **§ 30-129.1. Orientation sessions on ethics and conflicts of interests.**

2094 *The Virginia Conflict of Interest and Ethics Advisory Council shall conduct an orientation session for*
 2095 *new and returning General Assembly members preceding each even-numbered year regular session.*
 2096 *Attendance at the full orientation session shall be mandatory for newly elected members. Attendance at*
 2097 *a refresher session lasting at least two hours shall be mandatory for returning members and may be*
 2098 *accomplished by online participation. There shall be no penalty for the failure of a member to attend*
 2099 *the full or refresher orientation session, but the member must disclose his attendance pursuant to*
 2100 *§ 30-111.*

2101 **§ 30-129.2. Content of orientation sessions.**

2102 *The orientation session shall provide information and training for the members on ethics and*
 2103 *conflicts of interests, on the provisions of the General Assembly Conflicts of Interests Act (§ 30-100 et*
 2104 *seq.), on relevant federal law provisions, and on related issues involving lobbying. Refresher sessions*
 2105 *may be offered online.*

2106 **§ 30-129.3. Orientation session preparations.**

2107 *Those conducting the orientation sessions may call on other agencies in the legislative or executive*
 2108 *branches for assistance, may invite experts to assist in the sessions, and shall apply for mandatory*
 2109 *continuing education credits for the sessions for members who are licensed professionals.*

2110 **CHAPTER 55.**

2111 **VIRGINIA CONFLICT OF INTEREST AND ETHICS ADVISORY COUNCIL.**

2112 **§ 30-348. Virginia Conflict of Interest and Ethics Advisory Council; membership; terms; quorum;**
 2113 **expenses.**

2114 *A. The Virginia Conflict of Interest and Ethics Advisory Council (the Council) is hereby created as*
 2115 *an advisory council in the legislative branch to encourage and facilitate compliance with the State and*
 2116 *Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) and the General Assembly Conflicts of*
 2117 *Interests Act (§ 30-100 et seq.) (hereafter the Acts) and the lobbying laws in Article 3 (§ 2.2-418 et seq.)*
 2118 *of Chapter 4 of Title 2.2 (hereafter Article 3).*

2119 *B. The Council shall consist of 14 members as follows: four members appointed by the Speaker of*
 2120 *the House of Delegates, two of whom shall be [former] members of the House of Delegates and two of*
 2121 *whom shall be nonlegislative citizen members; four members appointed by the Senate Committee on*
 2122 *Rules, two of whom shall be [former] members of the Senate and two of whom shall be nonlegislative*
 2123 *citizen members; four members appointed by the Governor, two of whom shall be executive branch*
 2124 *employees and two of whom shall be nonlegislative citizen members; one member designated by the*
 2125 *Attorney General; and one member appointed by the Joint Rules Committee from a list of three*
 2126 *nominees submitted by the Virginia Association of Counties and a list of three nominees submitted by*
 2127 *the Virginia Municipal League.*

2128 *C. All appointments following the initial staggering of terms shall be for terms of four years, except*
 2129 *that appointments to fill vacancies shall be for the unexpired terms in the same manner as the original*
 2130 *appointment. No nonlegislative citizen member shall be eligible to serve for more than two successive*
 2131 *four-year terms. However, after the expiration of a term of three years or less, or after the expiration of*
 2132 *the remainder of a term to which appointed to fill a vacancy, two additional terms may be served by*
 2133 *such member if appointed thereto. Legislative members and other state government officials shall serve*
 2134 *terms coincident with their terms of office. Legislative members may be reappointed for successive*
 2135 *terms.*

2136 *D. The members of the Council shall elect from among their membership a chairman and a*
 2137 *vice-chairman for two-year terms. The chairman and vice-chairman may not succeed themselves to the*
 2138 *same position. The Council shall hold meetings quarterly or upon the call of the chairman. A majority*
 2139 *of the Council shall constitute a quorum.*

2140 *E. Members of the Council shall receive no compensation for their services but shall be reimbursed*
 2141 *for all reasonable and necessary expenses incurred in the performance of their duties as provided in*
 2142 *§§ 2.2-2813, 2.2-2825, and 30-19.12, as appropriate. Funding for expenses of the members shall be*
 2143 *provided from existing appropriations to the Council.*

2144 **§ 30-349. Powers and duties of the Council.**

2145 *The Council shall:*

2146 *1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local*
 2147 *government officers and employees and legislators pursuant to the Acts. The Council's review shall*

2148 include the reading of all disclosure forms for completeness and accuracy and be followed by requests
2149 for amendments to assure the completeness of and correction of errors in the forms;

2150 2. Accept any disclosure forms by computer or electronic means in accordance with the standards
2151 approved by the Council and using software meeting standards approved by it. The Council shall
2152 provide software to filers without charge and may prescribe the method of execution and certification of
2153 electronically filed forms and the procedures for receiving forms in the office of the Secretary;

2154 3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising
2155 disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, and 30-111. Such database shall be available to
2156 the public through the Council's official website;

2157 4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,
2158 including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to
2159 any person or to any agency of state or local government, in an expeditious manner. Informal advice
2160 given by the Council shall be confidential, protected by the attorney-client privilege, and excluded from
2161 the provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

2162 5. Conduct training seminars and educational programs for lobbyists, state and local government
2163 officers and employees and legislators, and other interested persons on the requirements of Article 3
2164 and the Acts and provide ethics orientation sessions for legislators in compliance with Article 6
2165 (§ 30-129.1 et seq.) of Chapter 13;

2166 6. Publish such educational materials as it deems appropriate on the provisions of Article 3 and the
2167 Acts;

2168 7. Review actions taken in the General Assembly with respect to the discipline of its members for the
2169 purpose of offering nonbinding advice;

2170 8. Request from any agency of state or local government such assistance, services, and information
2171 as will enable the Council to effectively carry out its responsibilities. Information provided to the
2172 Council by an agency of state or local government shall not be released to any other party unless
2173 authorized by such agency; and

2174 9. Report on or before December 1 of each year on its activities and findings regarding Article 3
2175 and the Acts, including recommendations for changes in the laws, to the General Assembly and the
2176 Governor. The annual report shall be submitted by the chairman as provided in the procedures of the
2177 Division of Legislative Automated Systems for the processing of legislative documents and reports and
2178 shall be published as a state document.

2179 **§ 30-350. Staff.**

2180 The Council shall designate its executive director. Staff assistance to the Council shall be provided
2181 by the Division of Legislative Services. Staff shall perform those duties assigned to it by the Council,
2182 including those duties enumerated in § 30-349.

2183 **§ 30-351. Cooperation of agencies of state and local government.**

2184 Every department, division, board, bureau, commission, authority, or political subdivision of the
2185 Commonwealth shall cooperate with, and provide such assistance to, the Council as the Council may
2186 request.

2187 **2. That the initial terms of the nonlegislative citizen members of the Virginia Conflict of Interest**
2188 **and Ethics Advisory Council appointed pursuant to this act shall be staggered as follows: (i) two**
2189 **members, one appointed by the Speaker of the House of Delegates and one appointed by the**
2190 **Senate Committee on Rules, for a term of two years; (ii) two members, one appointed by the**
2191 **Speaker of the House of Delegates and one appointed by the Governor, for a term of three years;**
2192 **(iii) two members, one member appointed by the Senate Committee on Rules and one appointed**
2193 **by the Governor, for a term of four years; and (iv) the designee of the Attorney General and the**
2194 **appointed representative of the Virginia Association of Counties and Virginia Municipal League**
2195 **for a term of one year. Thereafter, the terms of members shall be for four years.**

2196 **3. That the provisions of this act may result in a net increase in periods of imprisonment or**
2197 **commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation is \$0**
2198 **for periods of imprisonment in state adult correctional facilities and is \$0 for periods of**
2199 **commitment to the custody of the Department of Juvenile Justice.**