

15101176D

HOUSE BILL NO. 2070

Offered January 14, 2015

Prefiled January 14, 2015

A *BILL to amend and reenact §§ 2.2-3103, 2.2-3103.1, 2.2-3117, 30-103, 30-103.1, 30-111, and 30-357 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 30-356.1, relating to the State and Local Government Conflict of Interests Act, the General Assembly Conflicts of Interests Act, and the Virginia Conflict of Interest and Ethics Advisory Council; certain gifts prohibited; waivers required for certain travel.*

Patrons—Gilbert, McClellan, Minchew, Toscano, Albo, Anderson, Bell, Richard P., Bell, Robert B., Berg, Cox, Edmunds, Greason, Howell, Leftwich, Morefield, Pillion, Ransone, Rust and Yancey

Referred to Committee for Courts of Justice

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-3103, 2.2-3103.1, 2.2-3117, 30-103, 30-103.1, 30-111, and 30-357 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 30-356.1 as follows:

§ 2.2-3103. Prohibited conduct.

No officer or employee of a state or local governmental or advisory agency shall:

1. Solicit or accept money or other thing of value for services performed within the scope of his official duties, except the compensation, expenses or other remuneration paid by the agency of which he is an officer or employee. This prohibition shall not apply to the acceptance of special benefits that may be authorized by law;

2. Offer or accept any money or other thing of value for or in consideration of obtaining employment, appointment, or promotion of any person with any governmental or advisory agency;

3. Offer or accept any money or other thing of value for or in consideration of the use of his public position to obtain a contract for any person or business with any governmental or advisory agency;

4. Use for his own economic benefit or that of another party confidential information that he has acquired by reason of his public position and which is not available to the public;

5. Accept any money, loan, gift, favor, service, or business or professional opportunity that reasonably tends to influence him in the performance of his official duties. This subdivision shall not apply to any political contribution actually used for political campaign or constituent service purposes and reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;

6. Accept any business or professional opportunity when he knows that there is a reasonable likelihood that the opportunity is being afforded him to influence him in the performance of his official duties;

7. Accept any honoraria for any appearance, speech, or article in which the officer or employee provides expertise or opinions related to the performance of his official duties. The term "honoraria" shall not include any payment for or reimbursement to such person for his actual travel, lodging, or subsistence expenses incurred in connection with such appearance, speech, or article or in the alternative a payment of money or anything of value not in excess of the per diem deduction allowable under § 162 of the Internal Revenue Code, as amended from time to time. The prohibition in this subdivision shall apply only to the Governor, Lieutenant Governor, Attorney General, Governor's Secretaries, and heads of departments of state government;

8. Accept a gift from a person who has interests that may be substantially affected by the performance of the officer's or employee's official duties under circumstances where the timing and nature of the gift would cause a reasonable person to question the officer's or employee's impartiality in the matter affecting the donor. Violations of this subdivision shall not be subject to criminal law penalties; or

9. Accept gifts from sources on a basis so frequent as to raise an appearance of the use of his public office for private gain. Violations of this subdivision shall not be subject to criminal law penalties; or

10. Solicit, accept, or receive any gift of travel with a value exceeding \$100 from a third party, prior to submitting to the Council a request for a waiver to accept such gift of travel and receiving such a waiver pursuant to § 30-356.1. Gifts of travel include transportation, lodging, meals, hospitality, and any other travel-related thing of value.

§ 2.2-3103.1. Certain gifts prohibited.

A. For purposes of this section:

"Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain

58 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
59 ticket, admission, or pass; transportation, lodgings, and meals that are reportable on Schedule E of the
60 disclosure form prescribed in § 2.2-3117.

61 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
62 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
63 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
64 prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any
65 intangible gift.

66 "Person, organization, or business" includes individuals who are officers, directors, or owners of or
67 who have a controlling ownership interest in such organization or business.

68 "Widely attended event" means an event in which there is a reasonable expectation that at least 25
69 persons will attend the event and the event is open to individuals from throughout a particular industry
70 or profession or who represent persons interested in a particular issue.

71 B. An officer or employee of a local governmental or advisory agency or candidate required to file
72 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar
73 year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an
74 aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
75 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
76 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become
77 a party to a contract with the local agency of which he is an officer or an employee; (ii) shall report any
78 tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause
79 (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and
80 publications on Schedule D of such disclosure form shall not solicit, accept, or receive from any person
81 that he knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.)
82 of Chapter 4; (ii) a lobbyist's principal as defined in § 2.2-419; or (iii) a person, organization, or
83 business who is or is seeking to become a party to a contract with the local agency of which he is an
84 officer or employee any single gift with a value in excess of \$100.

85 C. An officer or employee of a state governmental or advisory agency or candidate required to file
86 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar
87 year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an
88 aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
89 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
90 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become
91 a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or
92 less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure
93 form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D of such
94 disclosure form shall not solicit, accept, or receive from any person that he knows or has reason to
95 know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's
96 principal as defined in § 2.2-419; or (iii) a person, organization, or business who is or is seeking to
97 become a party to a contract with the Commonwealth any single gift with a value in excess of \$100.

98 D. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate may
99 accept or receive a gift with a value in excess of \$100 when such gift is accepted or received while in
100 attendance at a widely attended event and the gift is offered to all attendees as part of the event. Such
101 gifts shall be reported on the disclosure form prescribed in § 2.2-3117.

102 E. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate may
103 accept or receive gifts of travel, including transportation, lodging, hospitality, or other travel-related
104 thing of value, with a value in excess of \$100 that is paid for or provided by a person listed in
105 subsection B or C when he has submitted a request for a waiver to the Council and has received the
106 approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form
107 prescribed in § 2.2-3117.

108 F. During the pendency of a civil action in any state or federal court to which the Commonwealth is
109 a party, the Governor or the Attorney General or any employee of the Governor or the Attorney General
110 who is subject to the provisions of this chapter shall not solicit, accept, or receive any tangible gift from
111 any person that he knows or has reason to know is a person, organization, or business who is a party to
112 such civil action. A person, organization, or business who is a party to such civil action shall not
113 knowingly give any tangible gift to the Governor or the Attorney General or any of their employees
114 who are subject to the provisions of this chapter.

115 E. G. The \$250 \$100 limitation imposed in accordance with this section shall be adjusted by the
116 Council every five years, as of January 1 of that year, in an amount equal to the annual increases for
117 that five-year period in the United States Average Consumer Price Index for all items, all urban
118 consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor,
119 rounded to the nearest whole dollar.

120 E. For purposes of this section, "person, organization, or business" includes individuals who are
121 officers, directors, or owners of or who have a controlling ownership interest in such organization or
122 business.

123 § 2.2-3117. Disclosure form.

124 The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and
125 subsections A and E of § 2.2-3115 shall be substantially as follows:

126 STATEMENT OF ECONOMIC INTERESTS.

- 127 Name
- 128 Office or position held or sought
- 129 Address
- 130 Names of members of immediate family

131 DEFINITIONS AND EXPLANATORY MATERIAL.

132 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
133 association, trust or foundation, or any other individual or entity carrying on a business or profession,
134 whether or not for profit.

135 "Close financial association" means an association in which the person filing shares significant
136 financial involvement with an individual and the filer would reasonably be expected to be aware of the
137 individual's business activities and would have access to the necessary records either directly or through
138 the individual. "Close financial association" does not mean an association based on (i) the receipt of
139 retirement benefits or deferred compensation from a business by which the person filing this statement is
140 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an
141 independent contractor of a business that represents an entity before any state governmental agency
142 when the person filing has had no communications with the state governmental agency.

143 "Contingent liability" means a liability that is not presently fixed or determined, but may become
144 fixed or determined in the future with the occurrence of some certain event.

145 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
146 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
147 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
148 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
149 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
150 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
151 institution of higher education, or other educational program pursuant to such school, institution, or
152 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
153 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
154 (v) any gift related to the private profession or occupation of an officer or employee or of a member of
155 his immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's
156 spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the
157 donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or
158 sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know
159 is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a
160 lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or
161 advisory agency, a person, organization, or business who is a party to or is seeking to become a party to
162 a contract with the local agency of which he is an officer or an employee; or (d) for an officer or
163 employee of a state governmental or advisory agency, a person, organization, or business who is a party
164 to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or
165 business" includes individuals who are officers, directors, or owners of or who have a controlling
166 ownership interest in such organization or business.

167 "Immediate family" means (i) a spouse and (ii) any child who resides in the same household as the
168 officer or employee and who is a dependent of the officer or employee.

169 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
170 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
171 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
172 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
173 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
174 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

175 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
176 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
177 filing the Statement as of the date of this report unless otherwise stated.

178 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

179 You may attach additional explanatory information.

- 180 1. Offices and Directorships.

181 Are you or a member of your immediate family a paid officer or paid director of a business?
 182 EITHER check NO // OR check YES // and complete Schedule A.

183 2. Personal Liabilities.

184 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
 185 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
 186 at least equal in value to the loan.)

187 EITHER check NO // OR check YES // and complete Schedule B.

188 3. Securities.

189 Do you or a member of your immediate family, directly or indirectly, separately or together, own
 190 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
 191 partnerships and trusts.

192 EITHER check NO // OR check YES // and complete Schedule C.

193 4. Payments for Talks, Meetings, and Publications.

194 During the past six months did you receive in your capacity as an officer or employee of your
 195 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200
 196 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your
 197 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
 198 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
 199 to your duties as an officer or employee of your agency?

200 EITHER check NO // OR check YES // and complete Schedule D.

201 5. Gifts.

202 During the past six months did a business, government, or individual other than a relative or personal
 203 friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single
 204 event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family
 205 with gifts or entertainment in any combination and the total value received exceeded \$400 \$50, and for
 206 which you or the member of your immediate family neither paid nor rendered services in exchange?
 207 Account for entertainment events only if the average value per person attending the event exceeded \$50.
 208 Account for all business entertainment (except if related to the private profession or occupation of you
 209 or the member of your immediate family who received such business entertainment) even if unrelated to
 210 your official duties.

211 EITHER check NO // OR check YES // and complete Schedule E.

212 6. Salary and Wages.

213 List each employer that pays you or a member of your immediate family salary or wages in excess
 214 of \$5,000 annually. (Exclude state or local government or advisory agencies.)

215 If no reportable salary or wages, check here //.

216 _____
 217 _____
 218 _____

219 7. Business Interests.

220 Do you or a member of your immediate family, separately or together, operate your own business, or
 221 own or control an interest in excess of \$5,000 in a business?

222 EITHER check NO // OR check YES // and complete Schedule F.

223 8. Payments for Representation and Other Services.

224 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
 225 state governmental agencies, excluding courts or judges, for which you received total compensation
 226 during the past six months in excess of \$1,000, excluding compensation for other services to such
 227 businesses and representation consisting solely of the filing of mandatory papers and subsequent
 228 representation regarding the mandatory papers? (Officers and employees of local governmental and
 229 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

230 EITHER check NO // OR check YES // and complete Schedule G-1.

231 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
 232 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
 233 any businesses before any state governmental agency for which total compensation was received during
 234 the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory
 235 agencies do NOT need to answer this question or complete Schedule G-2.)

236 EITHER check NO // OR check YES // and complete Schedule G-2.

237 8C. Did you or persons with whom you have a close financial association furnish services to
 238 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
 239 persons with whom you have a close financial association and such businesses for which total
 240 compensation in excess of \$1,000 was received during the past six months? Services reported under this
 241 provision shall not include services involving the representation of businesses that are reported under
 242 item 8A or 8B.

243 EITHER check NO // OR check YES // and complete Schedule G-3.

244 9. Real Estate.

245 9A. State Officers and Employees.

246 Do you or a member of your immediate family hold an interest, including a partnership interest,
247 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
248 already listed the full address on Schedule F? Account for real estate held in trust.

249 EITHER check NO // OR check YES // and complete Schedule H-1.

250 9B. Local Officers and Employees.

251 Do you or a member of your immediate family hold an interest, including a partnership interest, or
252 option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal
253 residence) for which you have not already listed the full address on Schedule F? Account for real estate
254 held in trust.

255 EITHER check NO // OR check YES // and complete Schedule H-2.

256 10. Real Estate Contracts with Governmental Agencies.

257 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
258 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
259 estate is the subject of a contract, whether pending or completed within the past six months, with a
260 governmental agency? If the real estate contract provides for the leasing of the property to a
261 governmental agency, do you or a member of your immediate family hold an interest in the real estate
262 valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in
263 Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest
264 derived through an ownership interest in a business unless the ownership interest exceeds three percent
265 of the total equity of the business.

266 EITHER check NO // OR check YES // and complete Schedule I.

267 Statements of Economic Interests are open for public inspection.

268 AFFIRMATION BY ALL FILERS.

269 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

270 Signature

271 (Return only if needed to complete Statement.)

272 SCHEDULES

273 to

274 STATEMENT OF ECONOMIC INTERESTS.

275 NAME

276 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

277 Identify each business of which you or a member of your immediate family is a paid officer or paid
278 director.

279

280

281	Name of Business	Address of Business	Position Held and by Whom
282	_____	_____	_____
283	_____	_____	_____
284	_____	_____	_____
285	_____	_____	_____
286	_____	_____	_____

282

283

284

285

286

287

RETURN TO ITEM 2

288 SCHEDULE B - PERSONAL LIABILITIES.

289 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
290 report debts to any government. Do not report loans secured by recorded liens on property at least equal
291 in value to the loan.

292 Report contingent liabilities below and indicate which debts are contingent.

293 1. My personal debts are as follows:

294

295

296	Check	Check one
297	appropriate	\$5,001 to More than
298	categories	\$50,000 \$50,000
299	Banks	_____
300	Savings institutions	_____
301	Other loan or finance companies	_____

299

300

301

302 Insurance companies _____

303 Stock, commodity or other brokerage companies _____

304 Other businesses: _____

305 (State principal business activity for each _____

306 creditor and its name.) _____

307 _____

308 _____

309 _____

310 Individual creditors: _____

311 (State principal business or occupation of _____

312 each creditor and its name.) _____

313 _____

314 _____

315 _____

316 _____

317 2. The personal debts of the members of my immediate family are as follows:

318 _____

319 _____

320	Check	Check one	
321	appropriate	\$5,001 to	More than
322	categories	\$50,000	\$50,000
323	Banks	_____	_____
324	Savings institutions	_____	_____
325	Other loan or finance companies	_____	_____
326	Insurance companies	_____	_____
327	Stock, commodity or other brokerage companies	_____	_____
328	Other businesses:		
329	(State principal business activity for each		
330	creditor and its name.)		
331	_____	_____	_____
332	_____	_____	_____
333	_____	_____	_____
334	Individual creditors:		
335	(State principal business or occupation of		
336	each creditor and its name.)		
337	_____	_____	_____
338	_____	_____	_____
339	_____	_____	_____
340	_____	_____	_____

341 RETURN TO ITEM 3

342 SCHEDULE C - SECURITIES.

343 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures

344 contracts.

345 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and

346 insurance policies.

347 Identify each business or Virginia governmental entity in which you or a member of your immediate

348 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name

349 each issuer and type of security individually.

350 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia

351 or its authorities, agencies, or local governments. Do not list organizations that do not do business in

352 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held

353 in trust.

354 If no reportable securities, check here //.

355 _____

356 _____

357		Check one	
358	Type of Security	\$5,001	\$50,001
359	(stocks, bonds, mutual	to	to
			More than

360	Name of Issuer	funds, etc.)	\$50,000	\$250,000	\$250,000
361	_____	_____	_____	_____	_____
362	_____	_____	_____	_____	_____
363	_____	_____	_____	_____	_____
364	_____	_____	_____	_____	_____
365	_____	_____	_____	_____	_____

RETURN TO ITEM 4

366 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

367 List each source from which you received during the past six months in your capacity as an officer
 368 or employee of your agency lodging, transportation, money, or any other thing of value with combined
 369 value exceeding \$200 (i) for your presentation of a single talk, participation in one meeting, or
 370 publication of a work or (ii) for your attendance at a meeting, conference, or event where your
 371 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
 372 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
 373 to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other
 374 thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii)
 375 (a), or (ii) (b) shall be listed as a gift on Schedule E.

376 List payments or reimbursements by an advisory or governmental agency only for meetings or travel
 377 outside the Commonwealth.

378 List a payment even if you donated it to charity.

379 Do not list information about a payment if you returned it within 60 days or if you received it from
 380 an employer already listed under Item 6 or from a source of income listed on Schedule F.

381 If no payment must be listed, check here //.

382	_____			
383	_____			
384	_____			
385				Type of payment
386				(e.g. honoraria,
387				travel reimburse-
388	Payer	Approximate Value	Circumstances	ment, etc.)
389	_____	_____	_____	_____
390	_____	_____	_____	_____
391	_____	_____	_____	_____
392	_____	_____	_____	_____
393	_____	_____	_____	_____

RETURN TO ITEM 5

394 SCHEDULE E - GIFTS.

395 List each business, governmental entity, or individual that, during the past six months, (i) furnished
 396 you or a member of your immediate family with any gift or entertainment at a single event, and the
 397 value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or
 398 entertainment in any combination and the total value received exceeded \$100 \$50, and for which you or
 399 the member of your immediate family neither paid nor rendered services in exchange. List each such
 400 gift or event. Do not list entertainment events unless the average value per person attending the event
 401 exceeded \$50. Do not list business entertainment related to the private profession or occupation of you
 402 or the member of your immediate family who received such business entertainment. Do not list gifts or
 403 other things of value given by a relative or personal friend for reasons clearly unrelated to your public
 404 position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et
 405 seq.) of Title 24.2 of the Code of Virginia.

406	_____				
407	_____				
408	_____				
409	Name of	Name of Business,	City or	Exact	
410	Name of	Organization, or	County	Gift or	Approximate
411	Recipient	Individual	and State	Event	Value
412	_____	_____	_____	_____	_____
413	_____	_____	_____	_____	_____
414	_____	_____	_____	_____	_____
415	_____	_____	_____	_____	_____
416	_____	_____	_____	_____	_____

RETURN TO ITEM 6

417 SCHEDULE F - BUSINESS INTERESTS.

INTRODUCED

HB2070

419 Complete this Schedule for each self-owned or family-owned business (including rental property, a
420 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
421 family, separately or together, own an interest having a value in excess of \$5,000.

422 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
423 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
424 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
425 Account for business interests held in trust.

426 _____

427

428 Name of Business,			Gross Income		
429 Corporation,					
430 Partnership,	City or	Nature of Enterprise	\$50,001	More	
431 Farm; Address of	County	(farming, law, rental	\$50,000	to	than
432 Rental Property	and State	property, etc.)	or less	\$250,000	\$250,000
433	_____	_____	_____	_____	_____
434	_____	_____	_____	_____	_____
435	_____	_____	_____	_____	_____
436	_____	_____	_____	_____	_____
437	_____	_____	_____	_____	_____

438 RETURN TO ITEM 8

439 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

440 List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any
441 state governmental agency, excluding any court or judge, for which you received total compensation
442 during the past six months in excess of \$1,000, excluding compensation for other services to such
443 businesses and representation consisting solely of the filing of mandatory papers and subsequent
444 representation regarding the mandatory papers filed by you.

445 Identify each business, the nature of the representation and the amount received by dollar category
446 from each such business. You may state the type, rather than name, of the business if you are required
447 by law not to reveal the name of the business represented by you.

448 Only STATE officers and employees should complete this Schedule.

449 _____

450

				451 Amount Received				
		Pur-						
		pose						
453 Name	Type	of	Name	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
454 of	of	Repre-	of	to	to	to	to	and
455 Busi-	Busi-	enta-	Agen-	\$10,000	\$50,000	\$100,000	\$250,000	over
456 ness	ness	tion	cy					
457	_____	_____	_____	_____	_____	_____	_____	_____
458	_____	_____	_____	_____	_____	_____	_____	_____
459	_____	_____	_____	_____	_____	_____	_____	_____
460	_____	_____	_____	_____	_____	_____	_____	_____
461	_____	_____	_____	_____	_____	_____	_____	_____

462 If you have received \$250,001 or more from a single business within the reporting period, indicate
463 the amount received, rounded to the nearest \$10,000.

464 Amount Received: _____.

465 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

466 List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419,
467 before any state governmental agency, excluding any court or judge, by persons who are your partners,
468 associates or others with whom you have a close financial association and who received total
469 compensation in excess of \$1,000 for such representation during the past six months, excluding
470 representation consisting solely of the filing of mandatory papers and subsequent representation
471 regarding the mandatory papers filed by your partners, associates or others with whom you have a close
472 financial association.

473 Identify such businesses by type and also name the state governmental agencies before which such
474 person appeared on behalf of such businesses.

475 Only STATE officers and employees should complete this Schedule.

476 _____

477

478	Type of business	Name of state governmental agency
479	_____	_____
480	_____	_____
481	_____	_____
482	_____	_____

483
484 **SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.**

485 Indicate below types of businesses that operate in Virginia to which services were furnished by you
486 or persons with whom you have a close financial association pursuant to an agreement between you and
487 such businesses, or between persons with whom you have a close financial association and such
488 businesses and for which total compensation in excess of \$1,000 was received during the past six
489 months. Services reported in this Schedule shall not include services involving the representation of
490 businesses that are reported in Schedule G-1 or G-2.

491 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
492 service rendered and (iii) the value by dollar category of the compensation received for all businesses
493 falling within each category.

494		Check	Value of Compensation					
495		if	Type					
496		ser-	of					
497		vices	ser-					
498		were	vice	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
499		ren-	ren-	to	to	to	to	and
500		dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	over
503	Electric utilities	_____	_____	_____	_____	_____	_____	_____
504	Gas utilities	_____	_____	_____	_____	_____	_____	_____
505	Telephone utilities	_____	_____	_____	_____	_____	_____	_____
506	Water utilities	_____	_____	_____	_____	_____	_____	_____
507	Cable television	_____	_____	_____	_____	_____	_____	_____
508	companies	_____	_____	_____	_____	_____	_____	_____
509	Interstate	_____	_____	_____	_____	_____	_____	_____
510	transportation	_____	_____	_____	_____	_____	_____	_____
511	companies	_____	_____	_____	_____	_____	_____	_____
512	Intrastate	_____	_____	_____	_____	_____	_____	_____
513	transportation	_____	_____	_____	_____	_____	_____	_____
514	companies	_____	_____	_____	_____	_____	_____	_____
515	Oil or gas retail	_____	_____	_____	_____	_____	_____	_____
516	companies	_____	_____	_____	_____	_____	_____	_____
517	Banks	_____	_____	_____	_____	_____	_____	_____
518	Savings institutions	_____	_____	_____	_____	_____	_____	_____
519	Loan or finance	_____	_____	_____	_____	_____	_____	_____
520	companies	_____	_____	_____	_____	_____	_____	_____
521	Manufacturing	_____	_____	_____	_____	_____	_____	_____
522	companies (state	_____	_____	_____	_____	_____	_____	_____
523	type of product,	_____	_____	_____	_____	_____	_____	_____
524	e.g., textile,	_____	_____	_____	_____	_____	_____	_____
525	furniture, etc.)	_____	_____	_____	_____	_____	_____	_____
526	Mining companies	_____	_____	_____	_____	_____	_____	_____
527	Life insurance	_____	_____	_____	_____	_____	_____	_____
528	companies	_____	_____	_____	_____	_____	_____	_____
529	Casualty insurance	_____	_____	_____	_____	_____	_____	_____
530	companies	_____	_____	_____	_____	_____	_____	_____
531	Other insurance	_____	_____	_____	_____	_____	_____	_____
532	companies	_____	_____	_____	_____	_____	_____	_____
533	Retail companies	_____	_____	_____	_____	_____	_____	_____
534	Beer, wine or liquor	_____	_____	_____	_____	_____	_____	_____

- 535 companies or
- 536 distributors _____
- 537 Trade associations _____
- 538 Professional _____
- 539 associations _____
- 540 Associations of _____
- 541 public employees _____
- 542 or officials _____
- 543 Counties, cities _____
- 544 or towns _____
- 545 Labor organizations _____
- 546 Other _____
- 547 _____

RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

553 _____

554 _____

555	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
556	List each location (state, and county or city) where you own real estate.	
557	_____	_____
558	_____	_____
559	_____	_____
560	_____	_____
561	_____	_____
562	_____	_____
563	_____	_____
564	_____	_____
565	_____	_____

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

571 _____

572 _____

573	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.	
574	List each location (state, and county or city) where you own real estate.		List the names of any co-owners, if applicable.
575	_____	_____	_____
576	_____	_____	_____
577	_____	_____	_____
578	_____	_____	_____
579	_____	_____	_____
580	_____	_____	_____
581	_____	_____	_____
582	_____	_____	_____
583	_____	_____	_____
584	_____	_____	_____
585	_____	_____	_____
586	_____	_____	_____
587	_____	_____	_____

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past six months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in

593 which you or a member of your immediate family holds such an interest valued at more than \$1,000.
594 This requirement to disclose an interest in a lease does not apply to an interest derived through an
595 ownership interest in a business unless the ownership interest exceeds three percent of the total equity of
596 the business.

597 State officers and employees report contracts with state agencies.
598 Local officers and employees report contracts with local agencies.

600			
601	List your real estate		
602	interest and the		
603	person or entity,		
604	including the type		
605	of entity, which		
606	is party to		
607	the contract.		State the annual
608	Describe any		income from the
609	management role and	List each governmental	contract, and the
610	the percentage	agency which is a	amount, if any, of
611	ownership	party to the contract	income you or any
612	interest you or your	and indicate the	immediate family
613	immediate family	county or city where	member derives
614	member has in the real	the real estate	annually from the
615	estate or entity.	is located.	contract.
616	_____	_____	_____
617	_____	_____	_____
618	_____	_____	_____
619	_____	_____	_____
620	_____	_____	_____

622 **§ 30-103. Prohibited conduct.**

623 No legislator shall:

624 1. Solicit or accept money or other thing of value for services performed within the scope of his
625 official duties, except the compensation, expenses or other remuneration paid to him by the General
626 Assembly. This prohibition shall not apply to the acceptance of special benefits which may be
627 authorized by law;

628 2. Offer or accept any money or other thing of value for or in consideration of obtaining
629 employment, appointment, or promotion of any person with any governmental or advisory agency;

630 3. Offer or accept any money or other thing of value for or in consideration of the use of his public
631 position to obtain a contract for any person or business with any governmental or advisory agency;

632 4. Use for his own economic benefit or that of another party confidential information which he has
633 acquired by reason of his public position and which is not available to the public;

634 5. Accept any money, loan, gift, favor, service, or business or professional opportunity that
635 reasonably tends to influence him in the performance of his official duties. This subdivision shall not
636 apply to any political contribution actually used for political campaign or constituent service purposes
637 and reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;

638 6. Accept any business or professional opportunity when he knows that there is a reasonable
639 likelihood that the opportunity is being afforded him to influence him in the performance of his official
640 duties;

641 7. During the one year after the termination of his service as a legislator, represent a client or act in
642 a representative capacity on behalf of any person or group, for compensation, on any matter before the
643 General Assembly or any agency of the legislative branch of government. The prohibitions of this
644 subdivision shall apply only to persons engaged in activities that would require registration as a lobbyist
645 under § 2.2-422. Any person subject to the provisions of this subdivision may apply to the Attorney
646 General, as provided in § 30-122, for an advisory opinion as to the application of the restriction imposed
647 by this subdivision on any post-public employment position or opportunity;

648 8. Accept any honoraria for any appearance, speech, or article in which the legislator provides
649 expertise or opinions related to the performance of his official duties. The term "honoraria" shall not
650 include any payment for or reimbursement to such person for his actual travel, lodging, or subsistence
651 expenses incurred in connection with such appearance, speech, or article or in the alternative a payment

652 of money or anything of value not in excess of the per diem deduction allowable under § 162 of the
653 Internal Revenue Code, as amended from time to time;

654 9. Accept appointment to serve on a body or board of any corporation, company or other legal
655 entity, vested with the management of the corporation, company or entity, and on which two other
656 members of the General Assembly already serve, which is operated for profit and regulated by the State
657 Corporation Commission as (i) a financial institution, (ii) a mortgage lender or broker, (iii) any business
658 under Chapter 5 (§ 13.1-501 et seq.) of Title 13.1, (iv) any business under Title 38.2, or (v) any
659 business under Title 56;

660 10. Accept a gift from a person who has interests that may be substantially affected by the
661 performance of the legislator's official duties under circumstances where the timing and nature of the gift
662 would cause a reasonable person to question the legislator's impartiality in the matter affecting the
663 donor. Violations of this subdivision shall not be subject to criminal law penalties; or

664 11. Accept gifts from sources on a basis so frequent as to raise an appearance of the use of his
665 public office for private gain. Violations of this subdivision shall not be subject to criminal law
666 penalties; or

667 *11. Solicit, accept, or receive any gift of travel with a value exceeding \$100 from a third party, prior
668 to submitting to the Council a request for a waiver to accept such gift of travel and receiving such a
669 waiver pursuant to § 30-356.1. Gifts of travel include transportation, lodging, meals, hospitality, and
670 any other travel-related thing of value.*

671 **§ 30-103.1. Certain gifts prohibited.**

672 A. For purposes of this section:

673 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain
674 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
675 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
676 disclosure form prescribed in § 30-111.

677 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
678 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
679 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
680 prescribed in § 30-111. "Tangible gift" does not include payments or reimbursements received for any
681 intangible gift.

682 "Person, organization, or business" includes individuals who are officers, directors, or owners of or
683 who have a controlling ownership interest in such organization or business.

684 "Widely attended event" means an event in which there is a reasonable expectation that at least 25
685 persons will attend the event and the event is open to individuals from throughout a particular industry
686 or profession or who represent persons interested in a particular issue.

687 B. A legislator or candidate for the General Assembly required to file the disclosure form prescribed
688 in § 30-111 (i) shall not solicit, accept, or receive within any calendar year any single tangible gift with
689 a value in excess of \$250 or a combination of tangible gifts with an aggregate value in excess of \$250
690 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3
691 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a
692 person, organization, or business who is a party to or is seeking to become a party to a contract with the
693 Commonwealth; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift
694 received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall
695 report any payments for talks, meetings, and publications on Schedule D-1 of such disclosure form. For
696 purposes of this subsection, "person, organization, or business" includes individuals who are officers,
697 directors, or owners of or who have a controlling ownership interest in such organization or business
698 shall not solicit, accept, or receive from any person that he knows or has reason to know is (i) a
699 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (ii) a lobbyist's
700 principal as defined in § 2.2-419; or (iii) a person, organization, or business who is or is seeking to
701 become a party to a contract with the Commonwealth any single gift with a value in excess of \$100.

702 C. Notwithstanding the provisions of subsection B, a legislator or candidate may accept or receive a
703 gift with a value in excess in \$100 when such gift is accepted or received while in attendance at a
704 widely attended event and the gift is offered to all attendees as part of the event. Such gifts shall be
705 reported on the disclosure form prescribed in § 30-111.

706 D. Notwithstanding the provisions of subsection B, a legislator or candidate may accept or receive
707 gifts of travel, including transportation, lodging, hospitality, or other travel-related thing of value, with
708 a value in excess of \$100 that is paid for or provided by a person listed in subsection B when he has
709 submitted a request for a waiver to the Council and has received the approval of the Council pursuant
710 to § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

711 E. The \$250 \$100 limitation imposed in accordance with this section shall be adjusted by the
712 Council every five years, as of January 1 of that year, in an amount equal to the annual increases for
713 that five-year period in the United States Average Consumer Price Index for all items, all urban

714 consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor,
715 rounded to the nearest whole dollar.

716 **§ 30-111. Disclosure form.**

717 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be
718 substantially as follows:

719 STATEMENT OF ECONOMIC INTERESTS.

- 720 Name
- 721 Office or position held or sought
- 722 Address
- 723 Names of members of immediate family

724 DEFINITIONS AND EXPLANATORY MATERIAL.

725 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
726 association, trust or foundation, or any other individual or entity carrying on a business or profession,
727 whether or not for profit.

728 "Close financial association" means an association in which the filer shares significant financial
729 involvement with an individual and the filer would reasonably be expected to be aware of the
730 individual's business activities and would have access to the necessary records either directly or through
731 the individual. "Close financial association" does not mean an association based on (i) the receipt of
732 retirement benefits or deferred compensation from a business by which the legislator is no longer
733 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent
734 contractor of a business that represents an entity before any state governmental agency when the
735 legislator has had no communications with the state governmental agency.

736 "Contingent liability" means a liability that is not presently fixed or determined, but may become
737 fixed or determined in the future with the occurrence of some certain event.

738 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
739 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
740 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
741 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
742 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
743 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
744 institution of higher education, or other educational program pursuant to such school, institution, or
745 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
746 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
747 (v) any gift related to the private profession or occupation of a legislator or of a member of his
748 immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's spouse,
749 child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's
750 or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's
751 spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a
752 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's
753 principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is
754 seeking to become a party to a contract with the Commonwealth. "Person, organization, or business"
755 includes individuals who are officers, directors, or owners of or who have a controlling ownership
756 interest in such organization or business.

757 "Immediate family" means (i) a spouse and (ii) any child who resides in the same household as the
758 legislator and who is a dependent of the legislator.

759 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
760 services, consulting services, or public relations services, whether gratuitous or for compensation,
761 between a member or member-elect and any person who is, or has been within the prior calendar year,
762 registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent
763 ownership interest by a member or member-elect in a business that employs, or engages as an
764 independent contractor, any person who is, or has been within the prior calendar year, registered as a
765 lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a)
766 constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client
767 or other privilege for a third party, or (c) be required where a member or member-elect is employed or
768 engaged by a person and such person also employs or engages a person in a lobbyist relationship so
769 long as the member or member-elect has no financial interest in the lobbyist relationship.

770 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
771 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
772 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
773 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
774 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust

775 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.
 776 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
 777 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
 778 filing the Statement as of the date of this report unless otherwise stated.

779 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

780 You may attach additional explanatory information.

781 1. Offices and Directorships.

782 Are you or a member of your immediate family a paid officer or paid director of a business?

783 EITHER check NO // OR check YES // and complete Schedule A.

784 2. Personal Liabilities.

785 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
 786 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
 787 at least equal in value to the loan.)

788 EITHER check NO // OR check YES // and complete Schedule B.

789 3. Securities.

790 Do you or a member of your immediate family, directly or indirectly, separately or together, own
 791 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
 792 partnerships and trusts.

793 EITHER check NO // OR check YES // and complete Schedule C.

794 4. Payments for Talks, Meetings, and Publications.

795 During the past six months did you receive in your capacity as a legislator lodging, transportation,
 796 money, or anything else of value with a combined value exceeding \$200 (i) for a single talk, meeting,
 797 or published work or (ii) for a meeting, conference, or event where your attendance at the meeting,
 798 conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator,
 799 including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your
 800 duties as a legislator? Do not include payments and reimbursements from the Commonwealth for
 801 meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such
 802 meetings.

803 EITHER check NO // OR check YES // and complete Schedule D.

804 5. Gifts.

805 During the past six months did a business, government, or individual other than a relative or personal
 806 friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single
 807 event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family
 808 with gifts or entertainment in any combination and the total value received exceeded \$100 \$50, and for
 809 which you or the member of your immediate family neither paid nor rendered services in exchange?
 810 Account for entertainment events only if the average value per person attending the event exceeded \$50.
 811 Account for all business entertainment (except if related to the private profession or occupation of you
 812 or the member of your immediate family who received such business entertainment) even if unrelated to
 813 your official duties.

814 EITHER check NO // OR check YES // and complete Schedule E.

815 6. Salary and Wages.

816 List each employer that pays you or a member of your immediate family salary or wages in excess
 817 of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to
 818 § 30-19.11.)

819 If no reportable salary or wages, check here //.

820 _____
 821 _____
 822 _____

823 7. Business Interests and Lobbyist Relationships.

824 7A. Do you or a member of your immediate family, separately or together, operate your own
 825 business, or own or control an interest in excess of \$5,000 in a business?

826 EITHER check NO // OR check YES // and complete Schedule F-1.

827 7B. Do you have a lobbyist relationship as that term is defined above?

828 EITHER check NO // OR check YES // and complete Schedule F-2.

829 8. Payments for Representation and Other Services.

830 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
 831 judges, for which you received total compensation during the past six months in excess of \$1,000,
 832 excluding compensation for other services to such businesses and representation consisting solely of the
 833 filing of mandatory papers and subsequent representation regarding the mandatory papers?

834 EITHER check NO // OR check YES // and complete Schedule G-1.

835 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
 836 association (partners, associates or others) represent any businesses before any state governmental agency

837 for which total compensation was received during the past six months in excess of \$1,000?

838 EITHER check NO // OR check YES // and complete Schedule G-2.

839 8C. Did you or persons with whom you have a close financial association furnish services to
840 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
841 persons with whom you have a close financial association and such businesses for which total
842 compensation in excess of \$1,000 was received during the past six months? Services reported under this
843 provision shall not include services involving the representation of businesses that are reported under
844 question 8A or 8B above.

845 EITHER check NO // OR check YES // and complete Schedule G-3.

846 9. Real Estate.

847 Do you or a member of your immediate family hold an interest, including a partnership interest,
848 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
849 already listed the full address on Schedule F? Account for real estate held in trust.

850 EITHER check NO // OR check YES // and complete Schedule H.

851 10. Real Estate Contracts with State Governmental Agencies.

852 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
853 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
854 estate is the subject of a contract, whether pending or completed within the past six months, with a state
855 governmental agency?

856 If the real estate contract provides for the leasing of the property to a state governmental agency, do
857 you or a member of your immediate family hold an interest in the real estate, including a corporate,
858 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
859 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
860 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
861 business unless the ownership interest exceeds three percent of the total equity of the business.

862 EITHER check NO // OR check YES // and complete Schedule I.

863 11. Payments by the Commonwealth for Meetings.

864 During the past six months did you receive lodging, transportation, money, or anything else of value
865 with a combined value exceeding \$200 from the Commonwealth for a single meeting attended
866 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
867 meetings attended in the Commonwealth.

868 EITHER check NO // OR check YES // and complete Schedule D-2.

869 For Statements filed in ~~January~~ June 2016 and each two years thereafter, complete the following
870 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

871 I certify that I completed ethics training as required by § 30-129.1. YES // or NO // .

872 Statements of Economic Interests are open for public inspection.

873 AFFIRMATION.

874 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
875 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
876 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
877 will satisfy such request or be subjected to disciplinary action of my house.

878 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

879 Signature _____ (Such signature shall be deemed to constitute a
880 valid notarization and shall have the same effect as if performed by a notary public.)

881 (Return only if needed to complete Statement.)

882 SCHEDULES

883 to

884 STATEMENT OF ECONOMIC INTERESTS.

885 NAME _____

886 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

887 Identify each business of which you or a member of your immediate family is a paid officer or paid
888 director.

889 _____

890 _____

891 Name of Business Address of Business Position Held and by Whom

892 _____

893 _____

894 _____

895 _____

896 _____

RETURN TO ITEM 2

897
898
899
900
901
902
903
904
905
906
907
908
909
910
911
912
913
914
915
916
917
918
919
920
921
922
923
924
925
926
927
928
929
930
931
932
933
934
935
936
937
938
939
940
941
942
943
944
945
946
947
948
949
950
951
952
953

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	\$5,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors: (State principal business or occupation of each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	\$5,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors: (State principal business or occupation of each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 3

954 SCHEDULE C - SECURITIES.

955 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures
956 contracts.

957 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and
958 insurance policies.

959 Identify each business or Virginia governmental entity in which you or a member of your immediate
960 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name
961 each issuer and type of security individually.

962 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
963 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
964 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
965 in trust.

966 If no reportable securities, check here / / .

967 _____

968 _____

969 _____

970 _____

971 _____

972 _____

973 _____

974 _____

975 _____

976 _____

977 _____

RETURN TO ITEM 4

978 SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

980 List each source from which you received during the past six months in your capacity as a legislator
981 lodging, transportation, money, or any other thing of value with a combined value exceeding \$200 (i)
982 for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for
983 your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or
984 event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues
985 faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a
986 legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does
987 not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on Schedule E. Do not list
988 payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or
989 reimbursements.) List a payment even if you donated it to charity. Do not list information about a
990 payment if you returned it within 60 days or if you received it from an employer already listed under
991 Item 6 or from a source of income listed on Schedule F.

992 If no payment must be listed, check here / / .

993 _____

994 _____

995 _____

996 _____

997 _____

998 _____

999 _____

1000 _____

1001 _____

1002 _____

1003 _____

1004 _____

RETURN TO ITEM 5

1005 SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

1006 List each meeting for which the Commonwealth provided payments or reimbursements during the
1007 past six months to you for lodging, transportation, money, or any other thing of value with a combined
1008 value exceeding \$200 for your participation in your capacity as a legislator. Do not list payments or
1009 reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

1010 If no payment must be listed, check here / / .

1011 _____

1012 _____

1013				Type of Payment
1014				(e.g., Travel
1015				reimbursement,
1016	Payer	Approximate Value	Circumstances	etc.)
1017	_____	_____	_____	_____
1018	_____	_____	_____	_____
1019	_____	_____	_____	_____
1020	_____	_____	_____	_____

1021
1022 SCHEDULE E - GIFTS.

1023 List each business, governmental entity, or individual that, during the past six months, (i) furnished
1024 you or a member of your immediate family with any gift or entertainment at a single event, and the
1025 value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or
1026 entertainment in any combination and the total value received exceeded \$100 \$50, and for which you or
1027 the member of your immediate family neither paid nor rendered services in exchange. List each such
1028 gift or event.

1029 Do not list entertainment events unless the average value per person attending the event exceeded
1030 \$50. Do not list business entertainment related to the private profession or occupation of you or the
1031 member of your immediate family who received such business entertainment. Do not list gifts or other
1032 things of value given by a relative or personal friend for reasons clearly unrelated to your public
1033 position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et
1034 seq.) of Title 24.2 of the Code of Virginia.

1035					
1036					
1037	Name of	Name of Business,	City or	Exact	
1038	Name of	Organization, or	County	Gift or	Approximate
1039	Recipient	Individual	and State	Event	Value
1040	_____	_____	_____	_____	_____
1041	_____	_____	_____	_____	_____
1042	_____	_____	_____	_____	_____
1043	_____	_____	_____	_____	_____

1044
1045 RETURN TO ITEM 6

1046 SCHEDULE F-1 - BUSINESS INTERESTS.

1047 Complete this Schedule for each self-owned or family-owned business (including rental property, a
1048 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
1049 family, separately or together, own an interest having a value in excess of \$5,000.

1050 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
1051 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
1052 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
1053 Account for business interests held in trust.

1054						
1055						
1056	Name of					
1057	Business					
1058	Corporation,					
1059	Partnership,	Nature of		Gross income		
1060	Farm;	Enterprise				
1061	Address of	City or	(farming,	\$50,001	More	
1062	Rental	County	law, rental	to	than	
1063	Property	and State	property, etc.)	or less	\$250,000	\$250,000
1064	_____	_____	_____	_____	_____	_____
1065	_____	_____	_____	_____	_____	_____
1066	_____	_____	_____	_____	_____	_____
1067	_____	_____	_____	_____	_____	_____

1068
1069 RETURN TO ITEM 8

1070 SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

1071 Complete this Schedule for each lobbyist relationship with the following:

1072 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the
1073 Secretary of the Commonwealth, or

1074 (ii) any business in which you have a greater than three percent ownership interest and that business
1075 employs, or engages as an independent contractor, any person who is, or has been within the prior
1076 calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

1077

1078

1079

1080

1081

1082

1083

1084

1085

1086

1087

1088

List each person or business	Describe each relationship	Dates of relationship	Payments to Lobbyist	
			\$10,000 or less	More than \$10,000
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

1089

1090

1091

1092

1093

1094

1095

1096

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1097

1098

1099

1100

1101

1102

1103

1104

1105

1106

1107

1108

1109

1110

1111

1112

1113

1114

1115

1116

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000. Amount Received: _____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1120

1121

1122

1123

1124

1125

1126

1127

1128

1129

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

1244	the real estate	or city where the	annually from
1245	or entity.	real estate is located.	the contract.
1246	_____	_____	_____
1247	_____	_____	_____
1248	_____	_____	_____
1249	_____	_____	_____
1250	_____	_____	_____
1251	_____	_____	_____

1252 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of
 1253 Economic Interests shall be subject to disciplinary action for such violations by the house in which the
 1254 legislator sits.

1255 C. The Statement of Economic Interests of all members of each house shall be reviewed by the
 1256 Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in
 1257 writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall
 1258 be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its
 1259 original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full
 1260 compliance with this section as to the information disclosed thereon.

1261 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
 1262 request the house in which those members sit, in accordance with the rules of that house, to review the
 1263 Statement of Economic Interests of another member of that house in order to determine the adequacy of
 1264 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
 1265 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
 1266 whose Statement is in issue. Should it be determined that the Statement requires correction,
 1267 augmentation or revision, the legislator involved shall be directed to make the changes required within
 1268 such time as shall be set under the rules of each house.

1269 If a legislator, after having been notified in writing in accordance with the rules of the house in
 1270 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
 1271 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
 1272 sits. No legislator shall vote on any question relating to his own Statement.

1273 **§ 30-356. Powers and duties of the Council.**

1274 The Council shall:

1275 1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local
 1276 government officers and employees and legislators pursuant to the Acts. The Council shall review all
 1277 disclosure forms for completeness, which shall include reviewing the information contained on the face
 1278 of the form to determine if the disclosure form has been fully completed and comparing the disclosures
 1279 contained in any disclosure form filed by a lobbyist pursuant to § 2.2-426 with other disclosure forms
 1280 filed with the Council, and be followed by requests for amendments to ensure the completeness of and
 1281 correction of errors in the forms, if necessary;

1282 2. Accept any disclosure forms by computer or electronic means in accordance with the standards
 1283 approved by the Council and using software meeting standards approved by it. The Council shall
 1284 provide software to filers without charge and may prescribe the method of execution and certification of
 1285 electronically filed forms, including the use of an electronic signature as authorized by the Uniform
 1286 Electronic Transactions Act (§ 59.1-479 et seq.), and the procedures for receiving forms in the office of
 1287 the Council;

1288 3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising
 1289 disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be
 1290 available to the public through the Council's official website;

1291 4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,
 1292 including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to any
 1293 person or to any agency of state or local government, in an expeditious manner. *The Council may*
 1294 *authorize a designee to furnish formal advisory opinions or informal advice.* Informal advice given by
 1295 the Council *or the Council's designee* is confidential, protected by the attorney-client privilege, and is
 1296 excluded from the provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

1297 5. Conduct training seminars and educational programs for lobbyists, state and local government
 1298 officers and employees ~~and~~ legislators, and other interested persons on the requirements of Article 3 and
 1299 the Acts and provide ethics orientation sessions for legislators in compliance with Article 6 (§ 30-129.1
 1300 et seq.) of Chapter 13;

1301 6. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the
 1302 educational materials and approve any training or course on the requirements of Article 3 and the Acts
 1303 conducted for state and local government officers and employees;

1304 7. Publish such educational materials as it deems appropriate on the provisions of Article 3 and the

1305 Acts;

1306 8. Review actions taken in the General Assembly with respect to the discipline of its members for
1307 the purpose of offering nonbinding advice;

1308 9. Request from any agency of state or local government such assistance, services, and information
1309 as will enable the Council to effectively carry out its responsibilities. Information provided to the
1310 Council by an agency of state or local government shall not be released to any other party unless
1311 authorized by such agency; and

1312 10. Report on or before December 1 of each year on its activities and findings regarding Article 3
1313 and the Acts, including recommendations for changes in the laws, to the General Assembly and the
1314 Governor. The annual report shall be submitted by the chairman as provided in the procedures of the
1315 Division of Legislative Automated Systems for the processing of legislative documents and reports and
1316 shall be published as a state document.

1317 **§ 30-356.1. Waivers for certain travel.**

1318 A. For purposes of this section:

1319 "Third party" means any person, other than a relative or personal friend. "Third party" does not
1320 include the Commonwealth or one of its political subdivisions; the government of the United States or
1321 any of its territories; or another state in the United States or a political subdivision of such other state.

1322 B. The Council shall receive, review, and approve or deny requests for waivers submitted by persons
1323 required to file the disclosure form prescribed in § 2.2-3117 or 30-111 to accept any transportation,
1324 lodging, meal, hospitality, or other travel-related thing of value provided by a third party that has a
1325 value exceeding \$100.

1326 C. Within five business days of receipt of a request for a waiver, the Council shall approve or deny
1327 the waiver, unless additional information has been requested. If additional information has been
1328 requested, the Council shall approve or deny the waiver within five business days of receipt of such
1329 information. The Council may approve the waiver in whole or in part. Within seven days of approving a
1330 request for a waiver, the Council shall post the waiver on its website. The Council may authorize a
1331 designee to review and approve or deny requests for waivers. A requester may appeal the decision of
1332 the designee to the full Council and the Council shall approve or deny the waiver within five business
1333 days of receipt of notice of the appeal.

1334 D. A request for a waiver shall be on a form prescribed by the Council and made available on its
1335 website. The request shall include specific information regarding the travel, including a detailed
1336 itinerary or agenda and estimated costs. All information included in the request will be subject to public
1337 disclosure. When reviewing the request for a waiver, the Council shall consider:

1338 1. The destination and duration of the trip;

1339 2. The purpose or subject matter of the trip as it relates to the official duties of the requester, issues
1340 important to or of interest to the requester's constituents, or issues of public concern or interest; and

1341 3. Other factors as appropriate.

1342 E. No person shall be prosecuted, assessed a civil penalty, or otherwise disciplined for acceptance of
1343 a travel-related thing of value if he accepted the travel-related thing of value after receiving a waiver
1344 under this section and the waiver was granted after his full disclosure of the material facts.

1345 **2. That the provisions of this act may result in a net increase in periods of imprisonment or**
1346 **commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation cannot**
1347 **be determined for periods of imprisonment in state adult correctional facilities; therefore, Chapter**
1348 **2 of the Acts of Assembly of 2014, Special Session I, requires the Virginia Criminal Sentencing**
1349 **Commission to assign a minimum fiscal impact of \$50,000. Pursuant to § 30-19.1:4, the estimated**
1350 **amount of the necessary appropriation cannot be determined for periods of commitment to the**
1351 **custody of the Department of Juvenile Justice.**