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HOUSE BILL NO. 2070**AMENDMENT IN THE NATURE OF A SUBSTITUTE**(Proposed by the House Committee for Courts of Justice
on February 4, 2015)

(Patrons Prior to Substitute—Delegates Gilbert, Bulova [HB 1667], LeMunyon [HB 1919], McClellan [HB 1947], Minchew [HB 1689], Sickles [HB 2060], and Watts [HB 1598])

A BILL to amend and reenact §§ 2.2-115, 2.2-419, 2.2-426, 2.2-3101, 2.2-3103.1, 2.2-3114, 2.2-3115 through 2.2-3118, 30-101, 30-103.1, 30-110, 30-111, 30-355, and 30-356 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 2.2-3103.2, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.2, and by adding a section numbered 30-356.1, relating to the State and Local Government Conflict of Interests Act, the General Assembly Conflicts of Interests Act, and the Virginia Conflict of Interest and Ethics Advisory Council; certain gifts prohibited; approvals required for certain travel.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-115, 2.2-419, 2.2-426, 2.2-3101, 2.2-3103.1, 2.2-3114, 2.2-3115 through 2.2-3118, 30-101, 30-103.1, 30-110, 30-111, 30-355, and 30-356 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 2.2-3103.2, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.2, and by adding a section numbered 30-356.1 as follows:

§ 2.2-115. Governor's Development Opportunity Fund.

A. As used in this section, unless the context requires otherwise:

"New job" means employment of an indefinite duration, created as the direct result of the private investment, for which the firm pays the wages and standard fringe benefits for its employee, requiring a minimum of either (i) 35 hours of the employee's time a week for the entire normal year of the firm's operations, which "normal year" must consist of at least 48 weeks or (ii) 1,680 hours per year.

Seasonal or temporary positions, positions created when a job function is shifted from an existing location in the Commonwealth to the location of the economic development project, positions with suppliers, and multiplier or spin-off jobs shall not qualify as new jobs. The term "new job" shall include positions with contractors provided that all requirements included within the definition of the term are met.

"Prevailing average wage" means that amount determined by the Virginia Employment Commission to be the average wage paid workers in the city or county of the Commonwealth where the economic development project is located. The prevailing average wage shall be determined without regard to any fringe benefits.

"Private investment" means the private investment required under this section.

B. There is created the Governor's Development Opportunity Fund (the Fund) to be used by the Governor to attract economic development prospects and secure the expansion of existing industry in the Commonwealth. The Fund shall consist of any funds appropriated to it by the general appropriation act and revenue from any other source, public or private. The Fund shall be established on the books of the Comptroller, and any funds remaining in the Fund at the end of a biennium shall not revert to the general fund but shall remain in the Fund. Interest earned on the Fund shall be credited to the Fund. The Governor shall report to the Chairmen of the House Committees on Appropriations and Finance and the Senate Committee on Finance as funds are awarded in accordance with this section.

C. Funds shall be awarded from the Fund by the Governor as grants or loans to political subdivisions. The criteria for making such grants or loans shall include (i) job creation, (ii) private capital investment, and (iii) anticipated additional state tax revenue expected to accrue to the state and affected localities as a result of the capital investment and jobs created. Loans shall be approved by the Governor and made in accordance with guidelines established by the Virginia Economic Development Partnership and approved by the Comptroller. Loans shall be interest-free unless otherwise determined by the Governor and shall be repaid to the Fund. The Governor may establish the interest rate to be charged; otherwise, any interest charged shall be at market rates as determined by the State Treasurer and shall be indicative of the duration of the loan. The Virginia Economic Development Partnership shall be responsible for monitoring repayment of such loans and reporting the receivables to the Comptroller as required.

Beginning with the five fiscal years from fiscal year 2006-2007 through fiscal year 2010-2011, and for every five fiscal years' period thereafter, in general, no less than one-third of the moneys appropriated to the Fund in every such five-year period shall be awarded to counties and cities having an annual average unemployment rate that is greater than the final statewide average unemployment rate for the calendar year that immediately precedes the calendar year of the award. However, if such

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60 one-third requirement will not be met because economic development prospects in such counties and
61 cities are unable to fulfill the applicable minimum private investment and new jobs requirements set
62 forth in this section, then any funds remaining in the Fund at the end of the five-year period that would
63 have otherwise been awarded to such counties and cities shall be made available for awards in the next
64 five fiscal years' period.

65 D. Funds may be used for public and private utility extension or capacity development on and off
66 site; public and private installation, extension, or capacity development of high-speed or broadband
67 Internet access, whether on or off site; road, rail, or other transportation access costs beyond the funding
68 capability of existing programs; site acquisition; grading, drainage, paving, and any other activity
69 required to prepare a site for construction; construction or build-out of publicly or privately owned
70 buildings; training; or grants or loans to an industrial development authority, housing and redevelopment
71 authority, or other political subdivision for purposes directly relating to any of the foregoing. However,
72 in no case shall funds from the Fund be used, directly or indirectly, to pay or guarantee the payment for
73 any rental, lease, license, or other contractual right to the use of any property.

74 It shall be the policy of the Commonwealth that moneys in the Fund shall not be used for any
75 economic development project in which a business relocates or expands its operations in one or more
76 Virginia localities and simultaneously closes its operations or substantially reduces the number of its
77 employees in another Virginia locality. The Secretary of Commerce and Trade shall enforce this policy
78 and for any exception thereto shall promptly provide written notice to the Chairmen of the Senate
79 Finance and House Appropriations Committees, which notice shall include a justification for any
80 exception to such policy.

81 E. 1. a. Except as provided in this subdivision, no grant or loan shall be awarded from the Fund
82 unless the project involves a minimum private investment of \$5 million and creates at least 50 new jobs
83 for which the average wage, excluding fringe benefits, is no less than the prevailing average wage. For
84 projects, including but not limited to projects involving emerging technologies, for which the average
85 wage of the new jobs created, excluding fringe benefits, is at least twice the prevailing average wage for
86 that locality or region, the Governor shall have the discretion to require no less than one-half the
87 number of new jobs as set forth for that locality in this subdivision.

88 b. Notwithstanding the provisions of subdivision a, a grant or loan may be awarded from the Fund if
89 the project involves a minimum private investment of \$100 million and creates at least 25 new jobs for
90 which the average wage, excluding fringe benefits, is no less than the prevailing average wage.

91 2. Notwithstanding the provisions of subdivision 1 a, in localities (i) with an annual unemployment
92 rate for the most recent calendar year for which such data is available that is greater than the final
93 statewide average unemployment rate for that calendar year or (ii) with a poverty rate for the most
94 recent calendar year for which such data is available that exceeds the statewide average poverty rate for
95 that year, a grant or loan may be awarded from the Fund pursuant to subdivision 1 a if the project
96 involves a minimum private investment of \$2.5 million and creates at least 25 new jobs for which the
97 average wage, excluding fringe benefits, is no less than 85 percent of the prevailing average wage.

98 3. Notwithstanding the provisions of subdivisions 1 a and 2, in localities (i) with an annual
99 unemployment rate for the most recent calendar year for which such data is available that is greater than
100 the final statewide average unemployment rate for that calendar year and (ii) with a poverty rate for the
101 most recent calendar year for which such data is available that exceeds the statewide average poverty
102 rate for that year, a grant or loan may be awarded from the Fund pursuant to such subdivisions if the
103 project involves a minimum private investment of \$1.5 million and creates at least 15 new jobs for
104 which the average wage, excluding fringe benefits, is no less than 85 percent of the prevailing average
105 wage.

106 4. For projects that are eligible under subdivision 2 or 3, the average wage of the new jobs,
107 excluding fringe benefits, shall be no less than 85 percent of the prevailing average wage. In addition,
108 for projects in such localities, the Governor may award a grant or loan for a project paying less than 85
109 percent of the prevailing average wage but still providing customary employee benefits, only after the
110 Secretary of Commerce and Trade has made a written finding that the economic circumstances in the
111 area are sufficiently distressed (i.e., high unemployment or underemployment and negative economic
112 forecasts) that assistance to the locality to attract the project is nonetheless justified. However, the
113 minimum private investment and number of new jobs required to be created as set forth in this
114 subsection shall still be a condition of eligibility for an award from the Fund. Such written finding shall
115 promptly be provided to the chairs of the Senate Committee on Finance and the House Committee on
116 Appropriations.

117 F. 1. The Virginia Economic Development Partnership shall assist the Governor in developing
118 objective guidelines and criteria that shall be used in awarding grants or making loans from the Fund.
119 The guidelines may require that as a condition of receiving any grant or loan incentive that is based on
120 employment goals, a recipient company must provide copies of employer quarterly payroll reports that
121 have been provided to the Virginia Employment Commission to verify the employment status of any

position included in the employment goal. The guidelines may include a requirement for the affected locality or localities to provide matching funds which may be cash or in-kind, at the discretion of the Governor. The guidelines and criteria shall include provisions for geographic diversity and a cap on the amount of funds to be provided to any individual project. At the discretion of the Governor, this cap may be waived for qualifying projects of regional or statewide interest. In developing the guidelines and criteria, the Virginia Economic Development Partnership shall use the measure for Fiscal Stress published by the Commission on Local Government of the Department of Housing and Community Development for the locality in which the project is located or will be located as one method of determining the amount of assistance a locality shall receive from the Fund.

2. a. Notwithstanding any provision in this section or in the guidelines, each political subdivision that receives a grant or loan from the Fund shall enter into a contract with each business beneficiary of funds from the Fund. A person or entity shall be a business beneficiary of funds from the Fund if grant or loan moneys awarded from the Fund by the Governor are paid to a political subdivision and (i) subsequently distributed by the political subdivision to the person or entity or (ii) used by the political subdivision for the benefit of the person or entity but never distributed to the person or entity.

b. The contract between the political subdivision and the business beneficiary shall provide in detail (i) the fair market value of all funds that the Commonwealth has committed to provide, (ii) the fair market value of all matching funds (or in-kind match) that the political subdivision has agreed to provide, (iii) how funds committed by the Commonwealth (including but not limited to funds from the Fund committed by the Governor) and funds that the political subdivision has agreed to provide are to be spent, (iv) the minimum private investment to be made and the number of new jobs to be created agreed to by the business beneficiary, (v) the average wage (excluding fringe benefits) agreed to be paid in the new jobs, (vi) the prevailing average wage, and (vii) the formula, means, or processes agreed to be used for measuring compliance with the minimum private investment and new jobs requirements, including consideration of any layoffs instituted by the business beneficiary over the course of the period covered by the contract.

The contract shall state the date by which the agreed upon private investment and new job requirements shall be met by the business beneficiary of funds from the Fund and may provide for the political subdivision to grant up to a 15-month extension of such date if deemed appropriate by the political subdivision subsequent to the execution of the contract. Any extension of such date granted by the political subdivision shall be in writing and promptly delivered to the business beneficiary, and the political subdivision shall simultaneously provide a copy of the extension to the Virginia Economic Development Partnership.

The contract shall provide that if the private investment and new job contractual requirements are not met by the expiration of the date stipulated in the contract, including any extension granted by the political subdivision, the business beneficiary shall be liable to the political subdivision for repayment of a portion of the funds provided under the contract. The contract shall include a formula for purposes of determining the portion of such funds to be repaid. The formula shall, in part, be based upon the fair market value of all funds that have been provided by the Commonwealth and the political subdivision and the extent to which the business beneficiary has met the private investment and new job contractual requirements. Any such funds repaid to the political subdivision that relate to the award from the Governor's Development Opportunity Fund shall promptly be paid over by the political subdivision to the Commonwealth by payment remitted to the State Treasurer. Upon receipt by the State Treasurer of such payment, the Comptroller shall deposit such repaid funds into the Governor's Development Opportunity Fund.

c. The contract shall be amended to reflect changes in the funds committed by the Commonwealth or agreed to be provided by the political subdivision.

d. Notwithstanding any provision in this section or in the guidelines, whenever layoffs instituted by a business beneficiary over the course of the period covered by a contract cause the net total number of the new jobs created to be fewer than the number agreed to, then the business beneficiary shall return the portion of any funds received pursuant to the repayment formula established by the contract.

3. Notwithstanding any provision in this section or in the guidelines, prior to executing any such contract with a business beneficiary, the political subdivision shall provide a copy of the proposed contract to the Attorney General. The Attorney General shall review the proposed contract (i) for enforceability as to its provisions and (ii) to ensure that it is in appropriate legal form. The Attorney General shall provide any written suggestions to the political subdivision within seven days of his receipt of the copy of the contract. The Attorney General's suggestions shall be limited to the enforceability of the contract's provisions and the legal form of the contract.

4. Notwithstanding any provision in this section or in the guidelines, a political subdivision shall not expend, distribute, pledge, use as security, or otherwise use any award from the Fund unless and until such contract as described herein is executed with the business beneficiary.

183 G. Within the 30 days immediately following June 30 and December 30 of each year, the Governor
184 shall provide a report to the Chairmen of the House Committees on Appropriations and Finance and the
185 Senate Committee on Finance which shall include, but is not limited to, the following information
186 regarding grants and loans awarded from the Fund during the immediately preceding six-month period
187 for economic development projects: the name of the company that is the business beneficiary of the
188 grant or loan and the type of business in which it engages; the location (county, city, or town) of the
189 project; the amount of the grant or loan committed from the Fund and the amount of all other funds
190 committed by the Commonwealth from other sources and the purpose for which such grants, loans, or
191 other funds will be used; the amount of all moneys or funds agreed to be provided by political
192 subdivisions and the purposes for which they will be used; the number of new jobs agreed to be created
193 by the business beneficiary; the amount of investment in the project agreed to be made by the business
194 beneficiary; the timetable for the completion of the project and new jobs created; the prevailing average
195 wage; and the average wage (excluding fringe benefits) agreed to be paid in the new jobs.

196 H. The Governor shall provide grants and commitments from the Fund in an amount not to exceed
197 the dollar amount contained in the Fund. If the Governor commits funds for years beyond the fiscal
198 years covered under the existing appropriation act, the State Treasurer shall set aside and reserve the
199 funds the Governor has committed, and the funds shall remain in the Fund for those future fiscal years.
200 No grant or loan shall be payable in the years beyond the existing appropriation act unless the funds are
201 currently available in the Fund.

202 *I. Any person or entity submitting an application for a grant or loan from the Fund shall certify, on*
203 *a form acceptable to the Virginia Economic Development Partnership, that it shall not provide any*
204 *contribution, gift, or other item with a value greater than \$100 to the Governor or to his campaign*
205 *committee or a political action committee established on his behalf during (i) the period in which the*
206 *person or entity's application for such award is pending and (ii) the one-year period immediately after*
207 *any such award is made. Any person or entity who so certifies and who receives an award from the*
208 *Fund shall repay, if such person or entity provided or provides such a contribution, gift, or other item*
209 *of value during these periods, the amount of the award received within 90 days after receipt of written*
210 *notice from the Virginia Economic Development Partnership. In addition, any person or entity that*
211 *knowingly provided or provides such a contribution, gift, or other item of value during these periods in*
212 *violation of this subsection shall be subject to a civil penalty of \$500 or up to two times the amount of*
213 *the contribution or gift, whichever is greater. The attorney for the Commonwealth shall initiate civil*
214 *proceedings to enforce the civil penalties. Any civil penalties collected shall be payable to the State*
215 *Treasurer for deposit to the general fund. For purposes of this subsection, "entity" includes individuals*
216 *who are officers, directors, or owners of or who have a controlling ownership interest in such entity.*

217 **§ 2.2-419. Definitions.**

218 As used in this article, unless the context requires a different meaning:

219 "Anything of value" means:

- 220 1. A pecuniary item, including money, or a bank bill or note;
- 221 2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment
222 of money;
- 223 3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of
224 indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
- 225 4. A stock, bond, note, or other investment interest in an entity;
- 226 5. A receipt given for the payment of money or other property;
- 227 6. A right in action;
- 228 7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
- 229 8. A loan or forgiveness of indebtedness;
- 230 9. A work of art, antique, or collectible;
- 231 10. An automobile or other means of personal transportation;
- 232 11. Real property or an interest in real property, including title to realty, a fee simple or partial
233 interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial
234 interest in realty;
- 235 12. An honorarium or compensation for services;
- 236 13. A rebate or discount in the price of anything of value unless the rebate or discount is made in
237 the ordinary course of business to a member of the public without regard to that person's status as an
238 executive or legislative official, or the sale or trade of something for reasonable compensation that
239 would ordinarily not be available to a member of the public;
- 240 14. A promise or offer of employment; or
- 241 15. Any other thing of value that is pecuniary or compensatory in value to a person.

242 "Anything of value" does not mean a campaign contribution properly received and reported pursuant
243 to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

244 "Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or

2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.

"Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.

"Executive action" means the proposal, drafting, development, consideration, amendment, adoption, approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or official of legislation or executive orders issued by the Governor.

"Executive agency" means an agency, board, commission, or other body in the executive branch of state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers' Compensation Commission, and the Virginia Lottery.

"Executive official" means:

1. The Governor;
2. The Lieutenant Governor;
3. The Attorney General;
4. Any officer or employee of the office of the Governor or Lieutenant Governor other than a clerical or secretarial employee;

5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each executive agency; or

6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100, however selected.

"Expenditure" means:

1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything of value for any purpose;

2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person employing, retaining, or contracting for the services of the lobbyist separately or jointly with other persons;

3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct payment of expenses incurred at the request or suggestion of the lobbyist;

4. A payment that directly benefits an executive or legislative official or a member of the official's immediate family;

5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses of an employee for or in connection with direct communication with an executive or legislative official;

6. A payment for or in connection with soliciting or urging other persons to enter into direct communication with an executive or legislative official; or

7. A payment or reimbursement for categories of expenditures required to be reported pursuant to this chapter.

"Expenditure" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Fair market value" means the price that a good or service would bring between a willing seller and a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the actual price paid for the good or service shall be given consideration.

"Gift" means anything of value to the extent that a consideration of equal or greater value is not received.

"Gift" does not mean:

1. Printed informational or promotional material;

2. A gift that is not used and, no later than 60 days after receipt, is returned to the donor or delivered to a charitable organization and is not claimed as a charitable contribution for federal income tax purposes;

3. A gift, devise, or inheritance from an individual's spouse, child, parent, grandparent, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of that individual, if the donor is not acting as the agent or intermediary for someone other than a person covered by this subdivision; or

4. A gift of a value of \$50 or less.

"Immediate family" means (i) the spouse and (ii) any ~~child~~ other person who resides in the same household as the executive or legislative official and who is a dependent of the official.

"Legislative action" means:

1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval,

306 passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion,
307 report, nomination, appointment, or other matter by the General Assembly or a legislative official;

308 2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by
309 the General Assembly; or

310 3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering
311 amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of
312 the Governor.

313 "Legislative official" means:

314 1. A member or member-elect of the General Assembly;

315 2. A member of a committee, subcommittee, commission, or other entity established by and
316 responsible to the General Assembly or either house of the General Assembly; or

317 3. Persons employed by the General Assembly or an entity established by and responsible to the
318 General Assembly.

319 "Lobbying" means:

320 1. Influencing or attempting to influence executive or legislative action through oral or written
321 communication with an executive or legislative official; or

322 2. Solicitation of others to influence an executive or legislative official.

323 "Lobbying" does not mean:

324 1. Requests for appointments, information on the status of pending executive and legislative actions,
325 or other ministerial contacts if there is no attempt to influence executive or legislative actions;

326 2. Responses to published notices soliciting public comment submitted to the public official
327 designated in the notice to receive the responses;

328 3. The solicitation of an association by its members to influence legislative or executive action; or

329 4. Communications between an association and its members and communications between a principal
330 and its lobbyists.

331 "Lobbyist" means:

332 1. An individual who is employed and receives payments, or who contracts for economic
333 consideration, including reimbursement for reasonable travel and living expenses, for the purpose of
334 lobbying;

335 2. An individual who represents an organization, association, or other group for the purpose of
336 lobbying; or

337 3. A local government employee who lobbies.

338 "Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or
339 attempts to influence executive or legislative action. An organization whose employees conduct lobbying
340 activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or
341 association that employs or retains others to conduct lobbying activities on behalf of its membership, the
342 principal is the coalition or association and not its individual members.

343 "Local government" means:

344 1. Any county, city, town, or other local or regional political subdivision;

345 2. Any school division;

346 3. Any organization or entity that exercises governmental powers that is established pursuant to an
347 interstate compact; or

348 4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of
349 this definition.

350 "Local government employee" means a public employee of a local government.

351 "Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company,
352 syndicate, business trust, estate, company, corporation, association, club, committee, organization, or
353 group of persons acting in concert.

354 "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the
355 fair market value cannot be determined, the actual amount paid for the item or items shall be given
356 consideration.

357 **§ 2.2-426. Lobbyist reporting; penalty.**

358 A. Each lobbyist shall file with the Virginia Conflict of Interest and Ethics Advisory Council a
359 separate semiannual report of expenditures, including gifts, for each principal for whom he lobbies by
360 December 15 for the preceding six-month period complete through the last day of October and June 15
361 for the preceding six-month period complete through the last day of April.

362 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be
363 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the
364 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting
365 requirements of this section.

366 C. The report shall be on a form provided by the Virginia Conflict of Interest and Ethics Advisory
367 Council, which shall be substantially as follows similar to the following and shall be accompanied by

instructions provided by the Council. *All reports shall be submitted electronically and in accordance with the standards approved by the Council pursuant to the provisions of § 30-356.*

LOBBYIST'S DISCLOSURE STATEMENT

PART I:

(1) PRINCIPAL: _____

In Part I, item 2a, provide the name of the individual authorizing your employment as a lobbyist. The lobbyist filing this statement MAY NOT list his name in item 2a.

(2a) Name: _____

(2b) Permanent Business Address: _____

(2c) Business Telephone: _____

(3) Provide a list of executive and legislative actions (with as much specificity as possible) for which you lobbied and a description of activities conducted.

(4) INCORPORATED FILINGS: If you are filing an incorporated disclosure statement, please complete the following:

Individual filing financial information: _____

Individuals to be included in the filing: _____

(5) Please indicate which schedules will be attached to your disclosure statement:

[] Schedule A: Entertainment Expenses

[] Schedule B: Gifts

[] Schedule C: Other Expenses

(6) EXPENDITURE TOTALS:

a) ENTERTAINMENT \$ _____

b) GIFTS \$ _____

c) COMMUNICATIONS \$ _____

d) PERSONAL LIVING AND TRAVEL EXPENSES \$ _____

e) COMPENSATION OF LOBBYISTS \$ _____

f) HONORARIA \$ _____

g) OTHER \$ _____

TOTAL \$ _____

PART II:

(1a) NAME OF LOBBYIST: _____

(1b) Permanent Business Address: _____

(1c) Business Telephone: _____

(2) As a lobbyist, you are (check one)

[] EMPLOYED (on the payroll of the principal)

[] RETAINED (not on the payroll of the principal, however compensated)

[] NOT COMPENSATED (not compensated; expenses may be reimbursed)

(3) List all lobbyists other than yourself who registered to represent your principal.

(4) If you selected "EMPLOYED" as your answer to Part II, item 2, provide your job title.

PLEASE NOTE: Some lobbyists are not individually compensated for lobbying activities. This may occur when several members of a firm represent a single principal. The principal, in turn, makes a single

424 payment to the firm. If this describes your situation, do not answer
 425 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.
 426 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?
 427 (If you have job responsibilities other than those involving
 428 lobbying, you may have to prorate to determine the part of your
 429 salary attributable to your lobbying activities.) Transfer your
 430 answer to this item to Part I, item 6e.
 431 (5b) Explain how you arrived at your answer to Part II, item 5a.

432 _____
 433 _____
 434 _____

435 PART III:

436 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT
 437 complete this section.

438 (1) List all members of your firm, organization, association,
 439 corporation, or other entity who furnished lobbying services to
 440 your principal.

441 _____
 442 _____
 443 _____

444 (2) Indicate the total amount paid to your firm, organization,
 445 association, corporation or other entity for services rendered.
 446 Transfer your answer to this item to Part I, item 6e_____

447 SCHEDULE A

448 ENTERTAINMENT EXPENSES

449 PLEASE NOTE: Any single entertainment event included in the expense
 450 totals of the principal, with a value greater than \$50, should be
 451 itemized below. Transfer any totals from this schedule to Part I,
 452 item 6a. (Please duplicate as needed.)

453 Date and Location of Event:

454 _____
 455 _____

456 Description of Event:

457 _____
 458 _____

459 Total Number of Persons Attending:

460

461 Names of Legislative and Executive Officials or Members of Their
 462 Immediate Families Attending: (List names only if the average value
 463 for each person attending the event was greater than \$50.)

464 _____
 465 _____
 466 _____
 467 _____

468 Food	\$ _____
469 Beverages	\$ _____
470 Transportation of Legislative and Executive Officials	
471 or Members of Their Immediate Families	\$ _____
472 Lodging of Legislative and Executive Officials or	
473 Members of Their Immediate Families	\$ _____
474 Performers, Speakers, Etc.	\$ _____
475 Displays	\$ _____
476 Rentals	\$ _____
477 Service Personnel	\$ _____
478 Miscellaneous	\$ _____
479 TOTAL	\$ _____

480 SCHEDULE B

GIFTS

PLEASE NOTE: Any single gift reported in the expense totals of the principal, with a value greater than \$50, should be itemized below. (Report meals, entertainment and travel under Schedule A.) Transfer any totals from this schedule to Part I, item 6b. (Please duplicate as needed.)

Date of gift:	Description of gift:	Name of each legislative or executive official or member of his immediate family who is a recipient of a gift:	Cost of individual gift:
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
TOTAL COST TO PRINCIPAL			\$ _____

SCHEDULE C

OTHER EXPENSES

PLEASE NOTE: This section is provided for any lobbying-related expenses not covered in Part I, items 6a - 6f. An example of an expenditure to be listed on schedule C would be the rental of a bill box during the General Assembly session. Transfer the total from this schedule to Part I, item 6g. (Please duplicate as needed.)

DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
TOTAL "OTHER" EXPENSES		\$ _____

PART IV: STATEMENTS

The following items are mandatory and if they are not properly completed, the entire filing will be rejected and returned to the lobbyist:

- (1) All signatures on the statement must be ORIGINAL in the format specified in the instructions provided by the Council that accompany this form. No stamps, or other reproductions of the individual's signature will be accepted.
- (2) An individual MAY NOT sign the disclosure statement as lobbyist and principal officer.

STATEMENT OF LOBBYIST

I, the undersigned registered lobbyist, do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

Signature of lobbyist

Date

STATEMENT OF PRINCIPAL

I, the undersigned principal (or an authorized official thereof), do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

Signature of principal

Date

D. A person who ~~signs~~ *signs knowingly and intentionally makes a false statement of a material fact* on the disclosure statement ~~knowing it to contain a material misstatement of fact~~ is guilty of a Class 5 felony.

E. Each lobbyist shall send to each legislative and executive official who is required to be identified by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a summary of the information pertaining to that official. Copies or summaries shall be provided to the official by November 21 for the preceding six-month period complete through the last day of October and by May 21 for the preceding six-month period complete through the last day of April.

§ 2.2-3101. Definitions.

As used in this chapter, unless the context requires a different meaning:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.

"Affiliated business entity relationship" means a relationship, other than a parent-subsidary relationship, that exists when (i) one business entity has a controlling ownership interest in the other business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or (iii) there is shared management or control between the business entities. Factors that may be considered in determining the existence of an affiliated business entity relationship include that the same person or substantially the same person owns or manages the two entities, there are common or commingled funds or assets, the business entities share the use of the same offices or employees, or otherwise share activities, resources or personnel on a regular basis, or there is otherwise a close working relationship between the entities.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Contract" means any agreement to which a governmental agency is a party, or any agreement on behalf of a governmental agency that involves the payment of money appropriated by the General Assembly or a political subdivision, whether or not such agreement is executed in the name of the Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the contract of which it is a part is with the officer's or employee's own governmental agency.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.

"Employee" means all persons employed by a governmental or advisory agency, unless otherwise limited by the context of its use.

"Financial institution" means any bank, trust company, savings institution, industrial loan association, consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or investment company or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; ~~or~~ (vi) *unsolicited awards of appreciation or recognition in the form of a plaque,*

trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; or (vii) gifts from relatives or personal friends. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with *or who is seeking to enter a business relationship with* the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with *or who is seeking to enter a business relationship with the Commonwealth* state governmental or advisory agency of which he is an officer or an employee or over which he has the authority to direct such agency's activities. For purposes of this definition, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

"Immediate family" means (i) a spouse and (ii) any ~~child~~ other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

"Officer" means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

"Parent-subsidiary relationship" means a relationship that exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

"Personal interest" means a financial benefit or liability accruing to an officer or employee or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv) above.

"Personal interest in a contract" means a personal interest that an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

659 "State filer" means those officers and employees required to file a disclosure statement of their
660 personal interests pursuant to subsection A or B of § 2.2-3114.

661 "Transaction" means any matter considered by any governmental or advisory agency, whether in a
662 committee, subcommittee, or other entity of that agency or before the agency itself, on which official
663 action is taken or contemplated.

664 **§ 2.2-3103.1. Certain gifts prohibited.**

665 A. For purposes of this section:

666 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain
667 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
668 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
669 disclosure form prescribed in § 2.2-3117.

670 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
671 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
672 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
673 prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any
674 intangible gift.

675 "Person, organization, or business" includes individuals who are officers, directors, or owners of or
676 who have a controlling ownership interest in such organization or business.

677 "Widely attended event" means an event at which there is a reasonable expectation that at least 25
678 persons will attend the event and the event is open to the public or is open to individuals (i) who share
679 a common interest, (ii) who are members of a public, civic, charitable, or professional organization (iii)
680 who are from a particular industry or profession, or (iv) who represent persons interested in a
681 particular issue. "Widely attended event" does not include any event that is held solely for the purposes
682 of benefiting any specific legislator or candidate for the General Assembly.

683 B. ~~An~~ No officer or employee of a local governmental or advisory agency or candidate required to
684 file the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any
685 calendar year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts
686 with an aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
687 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
688 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become
689 a party to a contract with the local agency of which he is an officer or an employee; (ii) shall report any
690 tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause
691 (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and
692 publications on Schedule D of such disclosure form or a member of his immediate family shall solicit,
693 accept, or receive any single gift with a value in excess of \$100 from any person that he or a member
694 of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3
695 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's principal as defined in § 2.2-419; or (iii) a person,
696 organization, or business who is or is seeking to become a party to a contract with or who is seeking to
697 enter a business relationship with the local agency of which he is an officer or employee.

698 C. ~~An~~ No officer or employee of a state governmental or advisory agency or candidate required to
699 file the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any
700 calendar year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts
701 with an aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
702 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
703 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become
704 a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or
705 less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure
706 form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D of such
707 disclosure form or a member of his immediate family shall solicit, accept, or receive any single gift with
708 a value in excess of \$100 from any person that he or a member of his immediate family knows or has
709 reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a
710 lobbyist's principal as defined in § 2.2-419; or (iii) a person, organization, or business who is or is
711 seeking to become a party to a contract with or who is seeking to enter a business relationship with the
712 state governmental or advisory agency of which he is an officer or an employee or over which he has
713 the authority to direct such agency's activities.

714 D. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a
715 member of his immediate family may accept or receive a gift of food and beverages with a value in
716 excess of \$100 when such gift is accepted or received while in attendance at a widely attended event.
717 Such gifts shall be reported on the disclosure form prescribed in § 2.2-3117.

718 E. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a
719 member of his immediate family may accept or receive gifts of travel, including travel-related
720 transportation, lodging, hospitality, food or beverages, or other thing of value, with a value in excess of

\$100 that is paid for or provided by a person listed in subsection B or C when the officer, employee, or candidate has submitted a request for approval of such travel to the Council and has received the approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 2.2-3117.

F. During the pendency of a civil action in any state or federal court to which the Commonwealth is a party, the Governor or the Attorney General or any employee of the Governor or the Attorney General who is subject to the provisions of this chapter shall not solicit, accept, or receive any ~~tangible~~ gift from any person that he knows or has reason to know is a person, organization, or business ~~who that~~ is a party to such civil action. A person, organization, or business ~~who that~~ is a party to such civil action shall not knowingly give any ~~tangible~~ gift to the Governor or the Attorney General or any of their employees who are subject to the provisions of this chapter.

~~E. G.~~ The ~~\$250~~ *\$100* limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

~~E. For purposes of this section, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.~~

§ 2.2-3103.2. Return of gifts.

No person shall be in violation of any provision of this chapter prohibiting the acceptance of a gift if the gift is not used by such person and the gift or its equivalent in money is returned to the donor or delivered to a charitable organization and is not claimed as a charitable contribution for federal income tax purposes within a reasonable period of time upon the discovery of the value of the gift.

§ 2.2-3114. Disclosure by state officers and employees.

A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court, members of the State Corporation Commission, members of the Virginia Workers' Compensation Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees of the Virginia Retirement System, and members of the Virginia Lottery Board and other persons occupying such offices or positions of trust or employment in state government, including members of the governing bodies of authorities, as may be designated by the Governor or, in the case of officers or employees of the legislative branch, by the Joint Rules Committee of the General Assembly, shall file with the Council, as a condition to assuming office or employment, a disclosure statement of their personal interests and such other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April. When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.

B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in the executive branch of state government, other than the Commonwealth Transportation Board, members of the Board of Trustees of the Virginia Retirement System, and the Virginia Lottery Board, shall file with the Council, as a condition to assuming office, a disclosure form of their personal interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before December 15. When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Nonsalaried citizen members of other boards, commissions and councils, including advisory boards and authorities, may be required to file a disclosure form if so designated by the Governor, in which case the form shall be that set forth in § 2.2-3118.

C. The disclosure forms required by subsections A and B shall be ~~provided~~ *made available* by the Council to each officer and employee so designated, including officers appointed by legislative authorities at least 30 days prior to the filing deadline. Disclosure forms shall be filed ~~and electronically~~ *with the Council in accordance with the standards approved by it pursuant to § 30-356. All forms shall be maintained as public records for five years in the office of the Council.*

D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a disclosure statement of their personal interests as required by § 24.2-502.

E. Any officer or employee of state government who has a personal interest in any transaction before the governmental or advisory agency of which he is an officer or employee and who is disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full name and address of the business and the address or parcel number for the real estate if the interest

involves a business or real estate, and his disclosure shall also be reflected in the public records of the agency for five years in the office of the administrative head of the officer's or employee's governmental agency or advisory agency or, if the agency has a clerk, in the clerk's office.

F. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

G. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

§ 2.2-3115. Disclosure by local government officers and employees.

A. The members of every governing body and school board of each county and city and of towns with populations in excess of 3,500 shall file with the Council, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.

The members of the governing body of any authority established in any county or city, or part or combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any fiscal year, shall file with the Virginia Conflict of Interest and Ethics Advisory Council, as a condition to assuming office, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such a statement annually on or before December 15, unless the governing body of the jurisdiction that appoints the members requires that the members file the form set forth in § 2.2-3117 semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.

Persons occupying such positions of trust appointed by governing bodies and persons occupying such positions of employment with governing bodies as may be designated to file by ordinance of the governing body shall file with the Virginia Conflict of Interest and Ethics Advisory Council, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.

Persons occupying such positions of trust appointed by school boards and persons occupying such positions of employment with school boards as may be designated to file by an adopted policy of the school board shall file with the Virginia Conflict of Interest and Ethics Advisory Council, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.

B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by the governing body shall file with the Virginia Conflict of Interest and Ethics Advisory Council, as a condition to assuming office, a disclosure form of their personal interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before December 15.

C. No person shall be mandated to file any disclosure not otherwise required by this article.

D. The disclosure forms required by subsections A and B shall be ~~provided~~ *made available* by the Virginia Conflict of Interest and Ethics Advisory Council to the ~~clerks of the governing bodies and school boards~~ at least 30 days prior to the filing deadline, and the clerks of the governing body and school board shall distribute the forms to designated individuals at least 20 days prior to the filing deadline. Forms shall be filed and maintained as public records for five years in the office of the ~~Virginia Conflict of Interest and Ethics Advisory Council clerk of the respective governing body or school board~~. Forms filed by members of governing bodies of authorities shall be filed and maintained as public records for five years in the office of the ~~Virginia Conflict of Interest and Ethics Advisory Council clerk of the governing body of the county or city~~.

E. Candidates for membership in the governing body or school board of any county, city or town with a population of more than 3,500 persons shall file a disclosure statement of their personal interests as required by § 24.2-502.

F. Any officer or employee of local government who has a personal interest in any transaction before the governmental or advisory agency of which he is an officer or employee and who is disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full name and address of the business and the address or parcel number for the real estate if the interest involves a business or real estate, and his disclosure shall be reflected in the public records of the agency for five years in the office of the administrative head of the officer's or employee's governmental or advisory agency.

G. In addition to any disclosure required by subsections A and B, in each county and city and in towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals, real estate assessors, and all county, city and town managers or executive officers shall make annual disclosures of all their interests in real estate located in the county, city or town in which they are elected, appointed, or employed. Such disclosure shall include any business in which such persons own an interest, or from which income is received, if the primary purpose of the business is to own, develop or derive compensation through the sale, exchange or development of real estate in the county, city or town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter shall be filed annually with the ~~Virginia Conflict of Interest and Ethics Advisory Council clerk of the governing body of such county, city, or town~~ on or before December 15. Such disclosures shall be filed and maintained as public records for five years. Forms for the filing of such reports shall be ~~prepared and distributed~~ *made available* by the Virginia Conflict of Interest and Ethics Advisory Council to the clerk of each governing body.

H. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes of his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day. The officer or employee shall also orally disclose the existence of the interest during each meeting of the governmental or advisory agency at which the transaction is discussed and such disclosure shall be recorded in the minutes of the meeting.

I. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

§ 2.2-3116. Disclosure by certain constitutional officers.

For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for the Commonwealth, clerk of the circuit court and commissioner of the revenue of each county and city;

shall be deemed to be local officers and shall be required to file with the Council, as a condition to assuming office, the Statement of Economic Interests set forth in § 2.2-3117. These officers shall file statements pursuant to § 2.2-3115 and candidates semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April. Candidates shall file statements as required by § 24.2-502. Statements shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. These officers shall be subject to the prohibition on certain gifts set forth in subsection B of § 2.2-3103.1.

§ 2.2-3117. Disclosure form.

The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially as follows: similar to the following. All completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

STATEMENT OF ECONOMIC INTERESTS.

Name

Office or position held or sought

Address

Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; ~~or~~ (vi) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; or (vii) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with or who is seeking to enter a business relationship with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with or who is seeking to enter a business relationship with the Commonwealth state governmental or advisory agency of which he is an officer or an employee or over which he has the authority to direct such agency's activities. "Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Immediate family" means (i) a spouse and (ii) any child other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO // OR check YES // and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO // OR check YES // and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO // OR check YES // and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past six months did you receive in your capacity as an officer or employee of your agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200 \$100 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency?

EITHER check NO // OR check YES // and complete Schedule D.

5. Gifts.

During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100 \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

EITHER check NO // OR check YES // and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here //.

7. Business Interests.

Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$5,000 in a business?

EITHER check NO // OR check YES // and complete Schedule F.

8. Payments for Representation and Other Services.

8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

1027 EITHER check NO // OR check YES // and complete Schedule G-1.

1028 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
1029 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
1030 any businesses before any state governmental agency for which total compensation was received during
1031 the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory
1032 agencies do NOT need to answer this question or complete Schedule G-2.)

1033 EITHER check NO // OR check YES // and complete Schedule G-2.

1034 8C. Did you or persons with whom you have a close financial association furnish services to
1035 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
1036 persons with whom you have a close financial association and such businesses for which total
1037 compensation in excess of \$1,000 was received during the past six months? Services reported under this
1038 provision shall not include services involving the representation of businesses that are reported under
1039 item 8A or 8B.

1040 EITHER check NO // OR check YES // and complete Schedule G-3.

1041 9. Real Estate.

1042 9A. State Officers and Employees.

1043 Do you or a member of your immediate family hold an interest, including a partnership interest,
1044 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
1045 already listed the full address on Schedule F? Account for real estate held in trust.

1046 EITHER check NO // OR check YES // and complete Schedule H-1.

1047 9B. Local Officers and Employees.

1048 Do you or a member of your immediate family hold an interest, including a partnership interest, or
1049 option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal
1050 residence) for which you have not already listed the full address on Schedule F? Account for real estate
1051 held in trust.

1052 EITHER check NO // OR check YES // and complete Schedule H-2.

1053 10. Real Estate Contracts with Governmental Agencies.

1054 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
1055 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
1056 estate is the subject of a contract, whether pending or completed within the past six months, with a
1057 governmental agency? If the real estate contract provides for the leasing of the property to a
1058 governmental agency, do you or a member of your immediate family hold an interest in the real estate
1059 valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in
1060 Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest
1061 derived through an ownership interest in a business unless the ownership interest exceeds three percent
1062 of the total equity of the business.

1063 EITHER check NO // OR check YES // and complete Schedule I.

1064 Statements of Economic Interests are open for public inspection.

1065 AFFIRMATION BY ALL FILERS.

1066 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1067 Signature

1068 (Return only if needed to complete Statement.)

1069 SCHEDULES

1070 to

1071 STATEMENT OF ECONOMIC INTERESTS.

1072 NAME

1073 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1074 Identify each business of which you or a member of your immediate family is a paid officer or paid
1075 director.

1076	1077	1078	1079	1080	1081	1082	1083
Name of Business	Address of Business	Position Held and by Whom					

1084 RETURN TO ITEM 2

1085 SCHEDULE B - PERSONAL LIABILITIES.

1086 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
1087 report debts to any government. Do not report loans secured by recorded liens on property at least equal

1088 in value to the loan.

1089 Report contingent liabilities below and indicate which debts are contingent.

1090 1. My personal debts are as follows:

1091	1092	1093	1094	1095	1096	1097	1098	1099	1100	1101	1102	1103	1104	1105	1106	1107	1108	1109	1110	1111	1112	1113
		Check																				
		appropriate																				
		categories																				
		Banks																				
		Savings institutions																				
		Other loan or finance companies																				
		Insurance companies																				
		Stock, commodity or other brokerage companies																				
		Other businesses:																				
		(State principal business activity for each																				
		creditor and its name.)																				
		Individual creditors:																				
		(State principal business or occupation of																				
		each creditor and its name.)																				

1114 2. The personal debts of the members of my immediate family are as follows:

1115	1116	1117	1118	1119	1120	1121	1122	1123	1124	1125	1126	1127	1128	1129	1130	1131	1132	1133	1134	1135	1136	1137
		Check																				
		appropriate																				
		categories																				
		Banks																				
		Savings institutions																				
		Other loan or finance companies																				
		Insurance companies																				
		Stock, commodity or other brokerage companies																				
		Other businesses:																				
		(State principal business activity for each																				
		creditor and its name.)																				
		Individual creditors:																				
		(State principal business or occupation of																				
		each creditor and its name.)																				

1138 RETURN TO ITEM 3

1139 SCHEDULE C - SECURITIES.

1140 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures
1141 contracts.

1142 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and
1143 insurance policies.

1144 Identify each business or Virginia governmental entity in which you or a member of your immediate

1145 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name
1146 each issuer and type of security individually.

1147 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
1148 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
1149 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
1150 in trust.

1151 If no reportable securities, check here / /.

1152					
1153					
1154	Check one				
1155	Type of Security	\$5,001	\$50,001	More	
1156	(stocks, bonds, mutual	to	to	than	
1157	Name of Issuer	\$50,000	\$250,000	\$250,000	
1158					
1159					
1160					
1161					
1162					

1163 RETURN TO ITEM 4

1164 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1165 List each source from which you received during the past six months in your capacity as an officer

1166 or employee of your agency lodging, transportation, money, or any other thing of value with combined

1167 value exceeding \$200 ~~\$100~~ (i) for your presentation of a single talk, participation in one meeting, or

1168 publication of a work or (ii) for your attendance at a meeting, conference, or event where your

1169 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to

1170 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative

1171 to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other

1172 thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii)

1173 (a), or (ii) (b) shall be listed as a gift on Schedule E.

1174 List payments or reimbursements by an advisory or governmental agency only for meetings or travel

1175 outside the Commonwealth.

1176 List a payment even if you donated it to charity.

1177 Do not list information about a payment if you returned it within 60 days or if you received it from

1178 an employer already listed under Item 6 or from a source of income listed on Schedule F.

1179 If no payment must be listed, check here / /.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value
1204				
1205				
1206				
1207				
1208				
1209				
1210				
1211				
1212				
1213				

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,001 or less	\$50,000 to \$250,000	More than \$250,000
1224					
1225					
1226					
1227					
1228					
1229					
1230					
1231					
1232					
1233					
1234					

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
1247								
1248								
1249								
1250								
1251								
1252								
1253								
1254								
1255								
1256								
1257								
1258								

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

1262 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1263 List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419,
1264 before any state governmental agency, excluding any court or judge, by persons who are your partners,
1265 associates or others with whom you have a close financial association and who received total
1266 compensation in excess of \$1,000 for such representation during the past six months, excluding
1267 representation consisting solely of the filing of mandatory papers and subsequent representation
1268 regarding the mandatory papers filed by your partners, associates or others with whom you have a close
1269 financial association.

1270 Identify such businesses by type and also name the state governmental agencies before which such
1271 person appeared on behalf of such businesses.

1272 Only STATE officers and employees should complete this Schedule.

1273 _____

1274 _____

1275 Type of business Name of state governmental agency

1276 _____

1277 _____

1278 _____

1279 _____

1280 _____

1281 SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

1282 Indicate below types of businesses that operate in Virginia to which services were furnished by you
1283 or persons with whom you have a close financial association pursuant to an agreement between you and
1284 such businesses, or between persons with whom you have a close financial association and such
1285 businesses and for which total compensation in excess of \$1,000 was received during the past six
1286 months. Services reported in this Schedule shall not include services involving the representation of
1287 businesses that are reported in Schedule G-1 or G-2.

1288 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
1289 service rendered and (iii) the value by dollar category of the compensation received for all businesses
1290 falling within each category.

1291 _____

1292 _____

1293 Check Value of Compensation

1294 if Type

1295 ser- of

1296 vices ser-

1297 were vice \$1,001 \$10,001 \$50,001 \$100,001 \$250,001

1298 ren- ren- to to to to and

1299 dered dered \$10,000 \$50,000 \$100,000 \$250,000 over

1300 Electric utilities _____

1301 Gas utilities _____

1302 Telephone utilities _____

1303 Water utilities _____

1304 Cable television _____

1305 companies _____

1306 Interstate _____

1307 transportation _____

1308 companies _____

1309 Intrastate _____

1310 transportation _____

1311 companies _____

1312 Oil or gas retail _____

1313 companies _____

1314 Banks _____

1315 Savings institutions _____

1316 Loan or finance _____

1317 companies _____

1318 Manufacturing _____

1319 companies (state _____

1320	type of product,							
1321	e.g., textile,							
1322	furniture, etc.)	_____	_____	_____	_____	_____	_____	_____
1323	Mining companies	_____	_____	_____	_____	_____	_____	_____
1324	Life insurance							
1325	companies	_____	_____	_____	_____	_____	_____	_____
1326	Casualty insurance							
1327	companies	_____	_____	_____	_____	_____	_____	_____
1328	Other insurance							
1329	companies	_____	_____	_____	_____	_____	_____	_____
1330	Retail companies	_____	_____	_____	_____	_____	_____	_____
1331	Beer, wine or liquor							
1332	companies or							
1333	distributors	_____	_____	_____	_____	_____	_____	_____
1334	Trade associations	_____	_____	_____	_____	_____	_____	_____
1335	Professional							
1336	associations	_____	_____	_____	_____	_____	_____	_____
1337	Associations of							
1338	public employees							
1339	or officials	_____	_____	_____	_____	_____	_____	_____
1340	Counties, cities							
1341	or towns	_____	_____	_____	_____	_____	_____	_____
1342	Labor organizations	_____	_____	_____	_____	_____	_____	_____
1343	Other	_____	_____	_____	_____	_____	_____	_____
1344								

RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

1350	_____		
1351			
1352		Describe the type of real	
1353	List each location	estate you own in each	If the real estate is
1354	(state, and county	location (business, recre-	owned or recorded in
1355	or city) where you	ational, apartment, com-	a name other than your
1356	own real estate.	mercial, open land, etc.).	own, list that name.
1357	_____	_____	_____
1358	_____	_____	_____
1359	_____	_____	_____
1360	_____	_____	_____
1361	_____	_____	_____
1362			

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

1368	_____		
1369			
1370		Describe the type	
1371		of real estate	
1372		you own in	
1373		each location	If the real estate
1374	List each location	(business,	is owned or rec-
1375	(state, and county	recreational,	orded in a name
1376	or city) where	apartment, com-	other than your List the names

1377	you own real	mercial, open	own, list that	of any co-owners,
1378	estate.	land, etc.).	name.	if applicable.
1379	_____	_____	_____	_____
1380	_____	_____	_____	_____
1381	_____	_____	_____	_____
1382	_____	_____	_____	_____
1383	_____	_____	_____	_____
1384	_____	_____	_____	_____

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

1385 List all contracts, whether pending or completed within the past six months, with a governmental
 1386 agency for the sale or exchange of real estate in which you or a member of your immediate family
 1387 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,
 1388 valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in
 1389 which you or a member of your immediate family holds such an interest valued at more than \$1,000.
 1390 This requirement to disclose an interest in a lease does not apply to an interest derived through an
 1391 ownership interest in a business unless the ownership interest exceeds three percent of the total equity of
 1392 the business.

1393 State officers and employees report contracts with state agencies.

1394 Local officers and employees report contracts with local agencies.

1395 _____

1396 _____

1397 _____

1398 List your real estate

1399 interest and the

1400 person or entity,

1401 including the type

1402 of entity, which

1403 is party to

1404 the contract.

1405 Describe any

1406 management role and

1407 the percentage

1408 ownership

1409 interest you or your

1410 immediate family

1411 member has in the real

1412 estate or entity.

1413 _____

1414 _____

1415 _____

1416 _____

1417 _____

1418 _____

1419 **§ 2.2-3118. Disclosure form; certain citizen members.**

1420 A. The financial disclosure form to be used for filings required pursuant to subsection B of

1421 § 2.2-3114 and subsection B of § 2.2-3115 shall be filed in accordance with the provisions of § 30-356.

1422 The financial disclosure form shall be substantially as follows: *similar to the following. All completed*

1423 *forms shall be filed electronically with the Council in accordance with the standards approved by it*

1424 *pursuant to § 30-356.*

1425 **DEFINITIONS AND EXPLANATORY MATERIAL.**

1426 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,

1427 association, trust or foundation, or any other individual or entity carrying on a business or profession,

1428 whether or not for profit.

1429 "Close financial association" means an association in which the person filing shares significant

1430 financial involvement with an individual and the filer would reasonably be expected to be aware of the

1431 individual's business activities and would have access to the necessary records either directly or through

1432 the individual. "Close financial association" does not mean an association based on (i) the receipt of

1433 retirement benefits or deferred compensation from a business by which the person filing this statement is

1434 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an

1435 independent contractor of a business that represents an entity before any state governmental agency

1496

1497 **III. BUSINESSES TO WHICH SERVICES WERE FURNISHED**

1498 A. The businesses I have represented, excluding activity defined as lobbying in § 2.2-419, before any
 1499 state governmental agency, excluding any court or judge, for which I have received total compensation
 1500 in excess of \$1,000 during the preceding year, excluding compensation for other services to such
 1501 businesses and representation consisting solely of the filing of mandatory papers, are as follows:

1502 Identify businesses by name and name the state governmental agencies before which you appeared on
 1503 behalf of such businesses.

1504

1505

1506 Name of business

Name of governmental agency

1507

1508

1509

1510

1511 B. The businesses that, to my knowledge, have been represented, excluding activity defined as
 1512 lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons
 1513 with whom I have a close financial association and who received total compensation in excess of \$1,000
 1514 during the preceding year, excluding compensation for other services to such businesses and
 1515 representation consisting solely of the filing of mandatory papers, are as follows:

1516 Identify businesses by type and name the state governmental agencies before which such person
 1517 appeared on behalf of such businesses.

1518

1519

1520 Type of business

Name of state governmental agency

1521

1522

1523

1524

1525 C. All other businesses listed below that operate in Virginia to which services were furnished
 1526 pursuant to an agreement between you and such businesses and for which total compensation in excess
 1527 of \$1,000 was received during the preceding year:

1528 Check each category of business to which services were furnished.

1529

1530

1531 Electric utilities

1532 Gas utilities

1533 Telephone utilities

1534 Water utilities

1535 Cable television companies

1536 Intrastate transportation companies

1537 Interstate transportation companies

1538 Oil or gas retail companies

1539 Banks

1540 Savings institutions

1541 Loan or finance companies

1542 Manufacturing companies (state type

1543 of product, e.g., textile, furniture,

1544 etc.)

1545 Mining companies

1546 Life insurance companies

1547 Casualty insurance companies

1548 Other insurance companies

1549 Retail companies

1550 Beer, wine or liquor companies or

1551 distributors

1552 Trade associations

1553 Professional associations

1554 Associations of public employees or
 1555 officials _____
 1556 Counties, cities or towns _____
 1557 Labor organizations _____
 1558 _____

1559 IV. COMPENSATION FOR EXPENSES

1560 The persons, associations, or other sources other than my governmental agency from which I or a
 1561 member of my immediate family received remuneration in excess of \$200 during the preceding year, in
 1562 cash or otherwise, as honorariums or payment of expenses in connection with my attendance at any
 1563 meeting or other function to which I was invited in my official capacity are as follows:

1566	Description	Amount of remuneration
1567 Name of Source	of occasion	for each occasion
1568 _____	_____	_____
1569 _____	_____	_____
1570 _____	_____	_____

1571 _____
 1572 B. The provisions of Part III A and B of the disclosure form prescribed by this section shall not be
 1573 applicable to officers and employees of local governmental and local advisory agencies.

1574 C. Except for real estate located within the county, city or town in which the officer or employee
 1575 serves or a county, city or town contiguous to the county, city or town in which the officer or employee
 1576 serves, officers and employees of local governmental or advisory agencies shall not be required to
 1577 disclose under Part I of the form any other interests in real estate.

1578 § 30-101. Definitions.

1579 As used in this chapter, unless the context requires a different meaning:

1580 "Advisory agency" means any board, commission, committee or post which does not exercise any
 1581 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
 1582 the purpose of making studies or recommendations, or advising or consulting with a governmental
 1583 agency.

1584 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 1585 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 1586 whether or not for profit.

1587 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
 1588 behalf of a governmental agency that involves the payment of money appropriated by the General
 1589 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
 1590 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
 1591 contract of which it is a part is with the legislator's own governmental agency.

1592 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
 1593 § 30-355.

1594 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
 1595 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
 1596 investment company or advisor registered under the federal Investment Advisors Act or Investment
 1597 Company Act of 1940.

1598 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
 1599 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
 1600 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
 1601 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
 1602 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
 1603 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
 1604 institution of higher education, or other educational program pursuant to such school, institution, or
 1605 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
 1606 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
 1607 (v) any gift related to the private profession or occupation of a legislator or of a member of his
 1608 immediate family; ~~or~~ (vi) *unsolicited awards of appreciation or recognition in the form of a plaque,*
 1609 *trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or*
 1610 *professional service; or* (vii) gifts from relatives or personal friends. For the purpose of this definition,
 1611 "relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is
 1612 engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or
 1613 the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not

1614 include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to
1615 Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; *or* (b) a lobbyist's principal as defined in
1616 § 2.2-419; *or* (c) a ~~person, organization, or business who is a party to or is seeking to become a party to~~
1617 ~~a contract with the Commonwealth. For purposes of this definition, "person, organization, or business"~~
1618 ~~includes individuals who are officers, directors, or owners of or who have a controlling ownership~~
1619 ~~interest in such organization or business.~~

1620 "Governmental agency" means each component part of the legislative, executive or judicial branches
1621 of state and local government, including each office, department, authority, post, commission,
1622 committee, and each institution or board created by law to exercise some regulatory or sovereign power
1623 or duty as distinguished from purely advisory powers or duties.

1624 "Immediate family" means (i) a spouse and (ii) any ~~child~~ *other person* who resides in the same
1625 household as the legislator and who is a dependent of the legislator.

1626 "Legislator" means a member of the General Assembly.

1627 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his
1628 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership
1629 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may
1630 reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business;
1631 (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination
1632 thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be
1633 anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds
1634 \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe
1635 benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a
1636 business if the liability exceeds three percent of the asset value of the business; or (vi) an option for
1637 ownership of a business or real or personal property if the ownership interest will consist of clause (i) or
1638 (iv).

1639 "Personal interest in a contract" means a personal interest that a legislator has in a contract with a
1640 governmental agency, whether due to his being a party to the contract or due to a personal interest in a
1641 business that is a party to the contract.

1642 "Personal interest in a transaction" means a personal interest of a legislator in any matter considered
1643 by the General Assembly. Such personal interest exists when an officer or employee or a member of his
1644 immediate family has a personal interest in property or a business, or represents or provides services to
1645 any individual or business and such property, business or represented or served individual or business (i)
1646 is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or
1647 detriment as a result of the action of the agency considering the transaction. A "personal interest in a
1648 transaction" exists only if the legislator or member of his immediate family or an individual or business
1649 represented or served by the legislator is affected in a way that is substantially different from the general
1650 public or from persons comprising a profession, occupation, trade, business or other comparable and
1651 generally recognizable class or group of which he or the individual or business he represents or serves is
1652 a member.

1653 "Transaction" means any matter considered by the General Assembly, whether in a committee,
1654 subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which
1655 official action is taken or contemplated.

1656 **§ 30-103.1. Certain gifts prohibited.**

1657 A. For purposes of this section:

1658 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain
1659 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
1660 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
1661 disclosure form prescribed in § 30-111.

1662 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
1663 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
1664 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
1665 prescribed in § 30-111. "Tangible gift" does not include payments or reimbursements received for any
1666 intangible gift.

1667 "Widely attended event" means an event at which there is a reasonable expectation that at least 25
1668 persons will attend the event and the event is open to the public or is open to individuals (i) who share
1669 a common interest, (ii) who are members of a public, civic, charitable, or professional organization (iii)
1670 who are from a particular industry or profession, or (iv) who represent persons interested in a
1671 particular issue. "Widely attended event" does not include any event that is held solely for the purposes
1672 of benefiting any specific legislator or candidate for the General Assembly.

1673 B. A No legislator or candidate for the General Assembly required to file the disclosure form
1674 prescribed in § 30-111 (i) shall not solicit, accept, or receive within any calendar year any single
1675 tangible gift with a value in excess of \$250 or a combination of tangible gifts with an aggregate value

in excess of \$250 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D-1 of such disclosure form. For purposes of this subsection, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business or a member of his immediate family shall solicit, accept, or receive any single gift with a value in excess of \$100 from any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (ii) a lobbyist's principal as defined in § 2.2-419.

C. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive a gift of food or beverages with a value in excess in \$100 when such gift is accepted or received while in attendance at a widely attended event. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

D. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive gifts of travel, including travel-related transportation, lodging, hospitality, food or beverages, or other thing of value, with a value in excess of \$100 that is paid for or provided by a person listed in subsection B when the legislator or candidate has submitted a request for approval of such travel to the Council and has received the approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

E. The \$250 \$100 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

§ 30-103.2. Return of gifts.

No person shall be in violation of any provision of this chapter prohibiting the acceptance of a gift if the gift is not used by such person and the gift or its equivalent in money is returned to the donor or delivered to a charitable organization and is not claimed as a charitable contribution for federal income tax purposes within a reasonable period of time upon the discovery of the value of the gift.

§ 30-110. Disclosure.

A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is specified on the form set forth in § 30-111 and thereafter shall file such a statement semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April. When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be ~~provided made available~~ by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline. ~~Members of the Senate and members of the House of Delegates shall file their disclosure~~ Disclosure forms shall be filed electronically with the Virginia Conflict of Interest and Ethics Advisory Council in accordance with the standards approved by it pursuant to § 30-356. The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the Virginia Conflict of Interest and Ethics Advisory Council.

B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as required by §§ 24.2-500 through 24.2-503.

C. Any legislator who has a personal interest in any transaction pending before the General Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the rules of his house shall disclose his interest in accordance with the applicable rule of his house.

§ 30-111. Disclosure form.

A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially as follows: similar to the following. All completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356.

STATEMENT OF ECONOMIC INTERESTS.

Name
Office or position held or sought
Address
Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

1737 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1738 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1739 whether or not for profit.

1740 "Close financial association" means an association in which the filer shares significant financial
1741 involvement with an individual and the filer would reasonably be expected to be aware of the
1742 individual's business activities and would have access to the necessary records either directly or through
1743 the individual. "Close financial association" does not mean an association based on (i) the receipt of
1744 retirement benefits or deferred compensation from a business by which the legislator is no longer
1745 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent
1746 contractor of a business that represents an entity before any state governmental agency when the
1747 legislator has had no communications with the state governmental agency.

1748 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1749 fixed or determined in the future with the occurrence of some certain event.

1750 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1751 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
1752 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
1753 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
1754 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
1755 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
1756 institution of higher education, or other educational program pursuant to such school, institution, or
1757 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
1758 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
1759 (v) any gift related to the private profession or occupation of a legislator or of a member of his
1760 immediate family; ~~or~~ (vi) *unsolicited awards of appreciation or recognition in the form of a plaque,*
1761 *trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or*
1762 *professional service; or* (vii) gifts from relatives or personal friends. "Relative" means the donee's
1763 spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the
1764 donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or
1765 sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know
1766 is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; *or* (b) a
1767 lobbyist's principal as defined in § 2.2-419; ~~or~~ (c) ~~a person, organization, or business who is a party to~~
1768 ~~or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or~~
1769 ~~business" includes individuals who are officers, directors, or owners of or who have a controlling~~
1770 ~~ownership interest in such organization or business.~~

1771 "Immediate family" means (i) a spouse and (ii) any ~~child~~ *other person* who resides in the same
1772 household as the legislator and who is a dependent of the legislator.

1773 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
1774 services, consulting services, or public relations services, whether gratuitous or for compensation,
1775 between a member or member-elect and any person who is, or has been within the prior calendar year,
1776 registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent
1777 ownership interest by a member or member-elect in a business that employs, or engages as an
1778 independent contractor, any person who is, or has been within the prior calendar year, registered as a
1779 lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a)
1780 constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client
1781 or other privilege for a third party, or (c) be required where a member or member-elect is employed or
1782 engaged by a person and such person also employs or engages a person in a lobbyist relationship so
1783 long as the member or member-elect has no financial interest in the lobbyist relationship.

1784 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
1785 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
1786 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
1787 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
1788 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
1789 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1790 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
1791 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
1792 filing the Statement as of the date of this report unless otherwise stated.

1793 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

1794 You may attach additional explanatory information.

1795 1. Offices and Directorships.

1796 Are you or a member of your immediate family a paid officer or paid director of a business?

1797 EITHER check NO // OR check YES // and complete Schedule A.

1798 2. Personal Liabilities.

1799 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
 1800 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
 1801 at least equal in value to the loan.)

1802 EITHER check NO // OR check YES // and complete Schedule B.

1803 3. Securities.

1804 Do you or a member of your immediate family, directly or indirectly, separately or together, own
 1805 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
 1806 partnerships and trusts.

1807 EITHER check NO // OR check YES // and complete Schedule C.

1808 4. Payments for Talks, Meetings, and Publications.

1809 During the past six months did you receive in your capacity as a legislator lodging, transportation,
 1810 money, or anything else of value with a combined value exceeding ~~\$200~~ \$100 (i) for a single talk,
 1811 meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the
 1812 meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a
 1813 legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative
 1814 to your duties as a legislator? Do not include payments and reimbursements from the Commonwealth
 1815 for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such
 1816 meetings.

1817 EITHER check NO // OR check YES // and complete Schedule D.

1818 5. Gifts.

1819 During the past six months did a business, government, or individual other than a relative or personal
 1820 friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single
 1821 event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family
 1822 with gifts or entertainment in any combination and the total value received exceeded ~~\$100~~ \$50, and for
 1823 which you or the member of your immediate family neither paid nor rendered services in exchange?
 1824 Account for entertainment events only if the average value per person attending the event exceeded \$50.
 1825 Account for all business entertainment (except if related to the private profession or occupation of you
 1826 or the member of your immediate family who received such business entertainment) even if unrelated to
 1827 your official duties.

1828 EITHER check NO // OR check YES // and complete Schedule E.

1829 6. Salary and Wages.

1830 List each employer that pays you or a member of your immediate family salary or wages in excess
 1831 of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to
 1832 § 30-19.11.)

1833 If no reportable salary or wages, check here //.

1834 _____
 1835 _____
 1836 _____

1837 7. Business Interests and Lobbyist Relationships.

1838 7A. Do you or a member of your immediate family, separately or together, operate your own
 1839 business, or own or control an interest in excess of \$5,000 in a business?

1840 EITHER check NO // OR check YES // and complete Schedule F-1.

1841 7B. Do you have a lobbyist relationship as that term is defined above?

1842 EITHER check NO // OR check YES // and complete Schedule F-2.

1843 8. Payments for Representation and Other Services.

1844 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
 1845 judges, for which you received total compensation during the past six months in excess of \$1,000,
 1846 excluding compensation for other services to such businesses and representation consisting solely of the
 1847 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1848 EITHER check NO // OR check YES // and complete Schedule G-1.

1849 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
 1850 association (partners, associates or others) represent any businesses before any state governmental agency
 1851 for which total compensation was received during the past six months in excess of \$1,000?

1852 EITHER check NO // OR check YES // and complete Schedule G-2.

1853 8C. Did you or persons with whom you have a close financial association furnish services to
 1854 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
 1855 persons with whom you have a close financial association and such businesses for which total
 1856 compensation in excess of \$1,000 was received during the past six months? Services reported under this
 1857 provision shall not include services involving the representation of businesses that are reported under
 1858 question 8A or 8B above.

1859 EITHER check NO // OR check YES // and complete Schedule G-3.

1860 9. Real Estate.

1861 Do you or a member of your immediate family hold an interest, including a partnership interest,
 1862 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
 1863 already listed the full address on Schedule F? Account for real estate held in trust.

1864 EITHER check NO // OR check YES // and complete Schedule H.

1865 10. Real Estate Contracts with State Governmental Agencies.

1866 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
 1867 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
 1868 estate is the subject of a contract, whether pending or completed within the past six months, with a state
 1869 governmental agency?

1870 If the real estate contract provides for the leasing of the property to a state governmental agency, do
 1871 you or a member of your immediate family hold an interest in the real estate, including a corporate,
 1872 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
 1873 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
 1874 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
 1875 business unless the ownership interest exceeds three percent of the total equity of the business.

1876 EITHER check NO // OR check YES // and complete Schedule I.

1877 11. Payments by the Commonwealth for Meetings.

1878 During the past six months did you receive lodging, transportation, money, or anything else of value
 1879 with a combined value exceeding \$200 \$100 from the Commonwealth for a single meeting attended
 1880 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
 1881 meetings attended in the Commonwealth.

1882 EITHER check NO // OR check YES // and complete Schedule D-2.

1883 For Statements filed in ~~January~~ June 2016 and each two years thereafter, complete the following
 1884 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

1885 I certify that I completed ethics training as required by § 30-129.1. YES // or NO // .

1886 Statements of Economic Interests are open for public inspection.

1887 AFFIRMATION.

1888 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
 1889 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
 1890 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
 1891 will satisfy such request or be subjected to disciplinary action of my house.

1892 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1893 Signature _____ (Such signature shall be deemed to constitute a
 1894 valid notarization and shall have the same effect as if performed by a notary public.)

1895 (Return only if needed to complete Statement.)

1896 SCHEDULES

1897 to

1898 STATEMENT OF ECONOMIC INTERESTS.

1899 NAME _____

1900 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1901 Identify each business of which you or a member of your immediate family is a paid officer or paid
 1902 director.

1903 _____

1904 _____

1905 Name of Business Address of Business Position Held and by Whom

1906 _____

1907 _____

1908 _____

1909 _____

1910 _____

1911 RETURN TO ITEM 2

1912 SCHEDULE B - PERSONAL LIABILITIES.

1913 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
 1914 report debts to any government. Do not report loans secured by recorded liens on property at least equal
 1915 in value to the loan.

1916 Report contingent liabilities below and indicate which debts are contingent.

1917 1. My personal debts are as follows:

1918 _____

1919

1920	Check	Check one
1921	appropriate	\$5,001 to
1922	categories	\$50,000
1923	Banks	_____
1924	Savings institutions	_____
1925	Other loan or finance companies	_____
1926	Insurance companies	_____
1927	Stock, commodity or other brokerage	_____
1928	companies	_____
1929	Other businesses:	
1930	(State principal business activity for each	
1931	creditor and its name.)	
1932	_____	_____
1933	_____	_____
1934	_____	_____
1935	Individual creditors:	
1936	(State principal business or occupation of	
1937	each creditor and its name.)	
1938	_____	_____
1939	_____	_____
1940	_____	_____

1941

1942 2. The personal debts of the members of my immediate family are as follows:

1943 _____

1944 _____

1945	Check	Check one
1946	appropriate	\$5,001 to
1947	categories	\$50,000
1948	Banks	_____
1949	Savings institutions	_____
1950	Other loan or finance companies	_____
1951	Insurance companies	_____
1952	Stock, commodity or other brokerage	_____
1953	companies	_____
1954	Other businesses:	
1955	(State principal business activity for each	
1956	creditor and its name.)	
1957	_____	_____
1958	_____	_____
1959	_____	_____
1960	Individual creditors:	
1961	(State principal business or occupation of	
1962	each creditor and its name.)	
1963	_____	_____
1964	_____	_____
1965	_____	_____

1966

1967 RETURN TO ITEM 3

1968 SCHEDULE C - SECURITIES.

1969 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures

1970 contracts.

1971 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and

1972 insurance policies.

1973 Identify each business or Virginia governmental entity in which you or a member of your immediate

1974 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name

1975 each issuer and type of security individually.

1976 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia

1977 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 1978 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 1979 in trust.

1980 If no reportable securities, check here / / .

1981					
1982					
1983	Check one				
1984	Type of Security	\$5,001	\$50,001	More	
1985	(stocks, bonds, mutual	to	to	than	
1986	Name of Issuer	\$50,000	\$250,000	\$250,000	
1987					
1988					
1989					
1990					
1991					

1992 RETURN TO ITEM 4

1993 SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1994 List each source from which you received during the past six months in your capacity as a legislator
 1995 lodging, transportation, money, or any other thing of value with a combined value exceeding ~~\$200~~ \$100
 1996 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii)
 1997 for your attendance at a meeting, conference, or event where your attendance at the meeting, conference,
 1998 or event was designed to (a) educate you on issues relevant to your duties as a legislator, including
 1999 issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a
 2000 legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does
 2001 not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on Schedule E. Do not list
 2002 payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or
 2003 reimbursements.) List a payment even if you donated it to charity. Do not list information about a
 2004 payment if you returned it within 60 days or if you received it from an employer already listed under
 2005 Item 6 or from a source of income listed on Schedule F.

2006 If no payment must be listed, check here / / .

2007				
2008				
2009	Type of Payment			
2010	(e.g., Honoraria,			
2011	Travel reimburse-			
2012	Payer	Approximate Value	Circumstances	ment, etc.)
2013				
2014				
2015				
2016				
2017				

1992 RETURN TO ITEM 5

1993 SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

1994 List each meeting for which the Commonwealth provided payments or reimbursements during the
 1995 past six months to you for lodging, transportation, money, or any other thing of value with a combined
 1996 value exceeding ~~\$200~~ \$100 for your participation in your capacity as a legislator. Do not list payments
 1997 or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

1998 If no payment must be listed, check here / / .

2000				
2001				
2002	Type of Payment			
2003	(e.g., Travel			
2004	reimbursement,			
2005	Payer	Approximate Value	Circumstances	etc.)
2006				
2007				
2008				
2009				
2010				
2011				
2012				
2013				
2014				
2015				
2016				
2017				

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100 \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

SCHEDULE F-1 - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business Corporation, Partnership, Farm;	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	Gross income \$50,001 to \$250,000	More than \$250,000

RETURN TO ITEM 8

SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

(i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

Payments to
Lobbyist

HOUSE SUBSTITUTE

HB2070H1

	List each person or business	Describe each relationship	Dates of relationship	\$10,000 or less	More than \$10,000
2095					
2096					
2097					
2098					
2099					
2100					
2101					
2102					

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

		Pur- pose of	Amount Received					
Name of Busi- ness	Type of Busi- ness	Repre- senta- tion	Name of Agency	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
2122								
2123								
2124								
2125								
2126								
2127								
2128								
2129								

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000. Amount Received: _____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of Business	Name of State Governmental Agency
2144	
2145	
2146	
2147	
2148	

SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such

2154 businesses and for which total compensation in excess of \$1,000 was received during the past six
 2155 months. Services reported in this Schedule shall not include services involving the representation of
 2156 businesses that are reported in Schedule G-1 or G-2 above.

2157 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
 2158 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 2159 falling within each category.

2160								
2161								
2162		Check						
2163		if	Type					
2164		ser-	of		Value of Compensation			
2165		vices	ser-					
2166		were	vice	\$1,001	\$10,001	\$50,001	\$100,001	
2167		ren-	ren-	to	to	to	to	\$250,001
2168		dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	and over
2169	Electric utilities	_____	_____	_____	_____	_____	_____	_____
2170	Gas utilities	_____	_____	_____	_____	_____	_____	_____
2171	Telephone utilities	_____	_____	_____	_____	_____	_____	_____
2172	Water utilities	_____	_____	_____	_____	_____	_____	_____
2173	Cable television	_____	_____	_____	_____	_____	_____	_____
2174	companies	_____	_____	_____	_____	_____	_____	_____
2175	Interstate	_____	_____	_____	_____	_____	_____	_____
2176	transportation	_____	_____	_____	_____	_____	_____	_____
2177	companies	_____	_____	_____	_____	_____	_____	_____
2178	Intrastate	_____	_____	_____	_____	_____	_____	_____
2179	transportation	_____	_____	_____	_____	_____	_____	_____
2180	companies	_____	_____	_____	_____	_____	_____	_____
2181	Oil or gas retail	_____	_____	_____	_____	_____	_____	_____
2182	companies	_____	_____	_____	_____	_____	_____	_____
2183	Banks	_____	_____	_____	_____	_____	_____	_____
2184	Savings	_____	_____	_____	_____	_____	_____	_____
2185	institutions	_____	_____	_____	_____	_____	_____	_____
2186	Loan or finance	_____	_____	_____	_____	_____	_____	_____
2187	companies	_____	_____	_____	_____	_____	_____	_____
2188	Manufacturing	_____	_____	_____	_____	_____	_____	_____
2189	companies (state	_____	_____	_____	_____	_____	_____	_____
2190	type of product,	_____	_____	_____	_____	_____	_____	_____
2191	e.g., textile,	_____	_____	_____	_____	_____	_____	_____
2192	furniture, etc.)	_____	_____	_____	_____	_____	_____	_____
2193	Mining companies	_____	_____	_____	_____	_____	_____	_____
2194	Life insurance	_____	_____	_____	_____	_____	_____	_____
2195	companies	_____	_____	_____	_____	_____	_____	_____
2196	Casualty insurance	_____	_____	_____	_____	_____	_____	_____
2197	companies	_____	_____	_____	_____	_____	_____	_____
2198	Other insurance	_____	_____	_____	_____	_____	_____	_____
2199	companies	_____	_____	_____	_____	_____	_____	_____
2200	Retail companies	_____	_____	_____	_____	_____	_____	_____
2201	Beer, wine or	_____	_____	_____	_____	_____	_____	_____
2202	liquor companies	_____	_____	_____	_____	_____	_____	_____
2203	or distributors	_____	_____	_____	_____	_____	_____	_____
2204	Trade associations	_____	_____	_____	_____	_____	_____	_____
2205	Professional	_____	_____	_____	_____	_____	_____	_____
2206	associations	_____	_____	_____	_____	_____	_____	_____
2207	Associations of	_____	_____	_____	_____	_____	_____	_____
2208	public employees	_____	_____	_____	_____	_____	_____	_____
2209	or officials	_____	_____	_____	_____	_____	_____	_____
2210	Counties, cities	_____	_____	_____	_____	_____	_____	_____

2211	or towns	_____	_____	_____	_____	_____	_____
2212	Labor organizations	_____	_____	_____	_____	_____	_____
2213	Other	_____	_____	_____	_____	_____	_____
2214		_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

2215 SCHEDULE H - REAL ESTATE.

2216 List real estate other than your principal residence in which you or a member of your immediate
 2217 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
 2218 \$5,000 or more. Each parcel shall be listed individually.
 2219

2220	_____		
2221			
2222		Describe the type of real	
2223		estate you own in each	
2224	List the location	location (business,	If the real estate is
2225	(state, and county	recreational, apartment,	owned or recorded in
2226	or city where you	commercial, open land,	a name other than your
2227	own real estate	etc.)	own, list that name
2228	_____	_____	_____
2229	_____	_____	_____
2230	_____	_____	_____
2231	_____	_____	_____
2232	_____	_____	_____
2233	_____		

RETURN TO ITEM 10

2234 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

2235 List all contracts, whether pending or completed within the past six months, with a state
 2236 governmental agency for the sale or exchange of real estate in which you or a member of your
 2237 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,
 2238 or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for
 2239 the lease of real estate in which you or a member of your immediate family holds such an interest
 2240 valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an
 2241 interest derived through an ownership interest in a business unless the ownership interest exceeds three
 2242 percent of the total equity of the business.
 2243

2244	_____		
2245			
2246	List your real		
2247	estate interest and		
2248	the person or entity,		
2249	including the type of		
2250	entity, which is		
2251	party to the contract.		
2252	Describe any		State the annual
2253	management role and	List each	income from the
2254	the percentage	governmental agency	contract, and the
2255	ownership interest	which is a party to	amount, if any, of
2256	you or your immediate	the contract and	income you or any
2257	family member has in	indicate the county	immediate family
2258	the real estate	or city where the	member derives
2259	or entity.	real estate is located.	annually from
2260	_____	_____	the contract.
2261	_____	_____	_____
2262	_____	_____	_____
2263	_____	_____	_____
2264	_____	_____	_____
2265	_____		

2266 B. Any legislator who *knowingly and intentionally* makes a ~~knowing misstatement~~ false statement of
 2267 a material fact on the Statement of Economic Interests is *guilty of a Class 5 felony* and shall be subject
 2268 to disciplinary action for such violations by the house in which the legislator sits.

C. The Statement of Economic Interests of all members of each house shall be reviewed by the Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

§ 30-355. Virginia Conflict of Interest and Ethics Advisory Council; membership; terms; quorum; expenses.

A. The Virginia Conflict of Interest and Ethics Advisory Council (the Council) is hereby created as an advisory council in the legislative branch to encourage and facilitate compliance with the State and Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) and the General Assembly Conflicts of Interests Act (§ 30-100 et seq.) (hereafter the Acts) and the lobbying laws in Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 (hereafter Article 3).

B. The Council shall consist of 15 members as follows: four members appointed by the Speaker of the House of Delegates, one of whom shall be a member of the House of Delegates, one of whom shall be a former member of the House of Delegates, and two of whom shall be nonlegislative citizen members; four members appointed by the Senate Committee on Rules, one of whom shall be a member of the Senate, one of whom shall be a former member of the Senate, and two of whom shall be nonlegislative citizen members; four members appointed by the Governor, two of whom shall be executive branch employees and two of whom shall be nonlegislative citizen members; one member designated by the Attorney General; one member appointed by the Senate Committee on Rules from a list of three nominees submitted by the Virginia Association of Counties; and one member appointed by the Speaker of the House of Delegates from a list of three nominees submitted by the Virginia Municipal League. ~~All members of the Council are subject to confirmation by the General Assembly by a majority vote in each house of (i) the members present of the majority party and (ii) the members present of the minority party. In the appointment of the members of the Council made by (i) the Speaker, excluding the member appointed from a list of nominees submitted by the Virginia Municipal League, (ii) the Senate Committee on Rules, excluding the member appointed from a list of nominees submitted by the Virginia Association of Counties, and (iii) the Governor, equal representation shall be given to each of the political parties having the highest and next highest number of votes in the Commonwealth for Governor at the last preceding gubernatorial election with the Speaker, the Senate Committee on Rules, and the Governor each appointing two members from each political party.~~

C. All appointments following the initial staggering of terms shall be for terms of four years, except that appointments to fill vacancies shall be for the unexpired terms in the same manner as the original appointment. No nonlegislative citizen member shall be eligible to serve for more than two successive four-year terms. However, after the expiration of a term of three years or less, or after the expiration of the remainder of a term to which appointed to fill a vacancy, two additional terms may be served by such member if appointed thereto. Legislative members and other state government officials shall serve terms coincident with their terms of office. Legislative members may be reappointed for successive terms.

D. The members of the Council shall elect from among their membership a chairman and a vice-chairman for two-year terms. The chairman and vice-chairman may not succeed themselves to the same position. The Council shall hold meetings quarterly or upon the call of the chairman. A majority of the Council shall constitute a quorum.

E. Members of the Council shall receive no compensation for their services but shall be reimbursed for all reasonable and necessary expenses incurred in the performance of their duties as provided in §§ 2.2-2813, 2.2-2825, and 30-19.12, as appropriate. Funding for expenses of the members shall be provided from existing appropriations to the Council.

§ 30-356. Powers and duties of the Council.

2330 The Council shall:

2331 1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local
 2332 government officers and employees and legislators pursuant to the Acts. The Council ~~shall~~ *may* review
 2333 all disclosure forms for completeness, ~~which shall include~~ *including* reviewing the information contained
 2334 on the face of the form to determine if the disclosure form has been fully completed and comparing the
 2335 disclosures contained in any disclosure form filed by a lobbyist pursuant to § 2.2-426 with other
 2336 disclosure forms filed with the Council, and be followed by requests for amendments to ensure the
 2337 completeness of and correction of errors in the forms, if necessary;

2338 2. ~~Accept any~~ *Require all* disclosure forms ~~by computer or electronic means to be filed electronically~~
 2339 in accordance with the standards approved by the Council ~~and using software meeting standards~~
 2340 ~~approved by it~~. The Council shall provide software *or electronic access for filing the required disclosure*
 2341 *forms to all filers without charge and may. The Council shall* prescribe the method of execution and
 2342 certification of electronically filed forms, including the use of an electronic signature as authorized by
 2343 the Uniform Electronic Transactions Act (§ 59.1-479 et seq.); ~~and the procedures for receiving forms in~~
 2344 ~~the office of the Council;~~

2345 3. ~~Accept and review any statement received from a filer disputing the receipt by such filer of a gift~~
 2346 ~~that has been disclosed on the form filed by a lobbyist pursuant to Article 3;~~

2347 4. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising
 2348 disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be
 2349 available to the public through the Council's official website;

2350 4. 5. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,
 2351 including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to any
 2352 person or to any agency of state or local government, in an expeditious manner. *The Council may*
 2353 *authorize a designee to furnish formal opinions or informal advice. Formal advisory opinions are public*
 2354 *record and shall be published on the Council's website; however, no formal advisory opinion furnished*
 2355 *by a designee of the Council shall be published until such opinion has been approved by the Council.*
 2356 *Published formal advisory opinions may have such deletions and changes as may be necessary to*
 2357 *protect the identity of the person involved.* Informal advice given by the Council *or the Council's*
 2358 *designee* is confidential, protected by the attorney-client privilege, and is excluded from the provisions
 2359 of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

2360 5. 6. Conduct training seminars and educational programs for lobbyists, state and local government
 2361 officers and employees ~~and~~, legislators, and other interested persons on the requirements of Article 3 and
 2362 the Acts and provide ethics orientation sessions for legislators in compliance with Article 6 (§ 30-129.1
 2363 et seq.) of Chapter 13;

2364 6. 7. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the
 2365 educational materials and approve any training or course on the requirements of Article 3 and the Acts
 2366 conducted for state and local government officers and employees;

2367 7. 8. Publish such educational materials as it deems appropriate on the provisions of Article 3 and
 2368 the Acts;

2369 8. 9. Review actions taken in the General Assembly with respect to the discipline of its members for
 2370 the purpose of offering nonbinding advice;

2371 9. 10. Request from any agency of state or local government such assistance, services, and
 2372 information as will enable the Council to effectively carry out its responsibilities. Information provided
 2373 to the Council by an agency of state or local government shall not be released to any other party unless
 2374 authorized by such agency; and

2375 10. 11. Report on or before December 1 of each year on its activities and findings regarding Article
 2376 3 and the Acts, including recommendations for changes in the laws, to the General Assembly and the
 2377 Governor. The annual report shall be submitted by the chairman as provided in the procedures of the
 2378 Division of Legislative Automated Systems for the processing of legislative documents and reports and
 2379 shall be published as a state document.

2380 **§ 30-356.1. Request for approval for certain travel.**

2381 A. The Council shall receive and review a request for the approval of travel submitted by a person
 2382 required to file the disclosure form prescribed in § 2.2-3117 or 30-111 to accept any travel-related
 2383 transportation, lodging, hospitality, food or beverage, or other thing of value that has a value exceeding
 2384 \$100 where such approval is required pursuant to subsection E of § 2.2-3103.1 or subsection D of
 2385 § 30-103.1. A request for the approval of travel shall not be required for travel disclosed pursuant to
 2386 the Campaign Finance Disclosure Act (§ 24.2-945 et seq.). A request for the approval of travel shall not
 2387 be required for travel related to an official meeting of the Commonwealth, its political subdivisions, or
 2388 any board, commission, authority, or other entity to which such person has been appointed or elected by
 2389 virtue of his office or employment, but such travel shall be disclosed as may be required by the Acts.

2390 B. When reviewing a request for the approval of travel, the Council shall consider the purpose of the
 2391 travel as it relates to the official duties of the requester. The Council shall approve any request for

travel that bears a reasonable relationship between the purpose of the travel and the official duties of the requester. Such travel shall include any meeting, conference, or other event (i) composed primarily of public officials, (ii) at which public policy related to the duties of the requester will be discussed in a substantial manner, (iii) reasonably expected to educate the requester on issues relevant to his official duties or to enhance the requester's knowledge and skills relative to his official duties, or (iv) at which the requester has been invited to speak regarding matters reasonably related to the requester's official duties.

C. The Council shall not approve any travel requests that bear no reasonable relationship between the purpose of the proposed travel and the official duties of the requestor. In making such determination, the Council shall consider the duration of travel, the destination of travel, the estimated value of travel, and any previous or recurring travel.

D. Within five business days of receipt of a request for the approval of travel, the Council shall grant or deny the request, unless additional information has been requested. If additional information has been requested, the Council shall grant or deny the request for the approval of travel within five business days of receipt of such information. Nothing in this subsection shall preclude a person from amending or resubmitting a request for the approval of travel. The Council may authorize a designee to review and grant or deny requests for the approval of travel.

E. A request for the approval of travel shall be on a form prescribed by the Council and made available on its website. Such form may be submitted by electronic means, facsimile, in-person submission, or mail or commercial mail delivery.

F. No person shall be prosecuted, assessed a civil penalty, or otherwise disciplined for acceptance of a travel-related thing of value if he accepted the travel-related thing of value after receiving approval under this section.

2. That the provisions of this act requiring filers file disclosure forms with the Virginia Conflict of Interest and Ethics Advisory Council by electronic means shall become effective on July 1, 2016.

3. That the provisions of this act may result in a net increase in periods of imprisonment or commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation cannot be determined for periods of imprisonment in state adult correctional facilities; therefore, Chapter 2 of the Acts of Assembly of 2014, Special Session I, requires the Virginia Criminal Sentencing Commission to assign a minimum fiscal impact of \$50,000. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation cannot be determined for periods of commitment to the custody of the Department of Juvenile Justice.