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SENATE BILL NO. 1424

AMENDMENT IN THE NATURE OF A SUBSTITUTE

(Proposed by the Joint Conference Committee on February 27, 2015)

(Patrons Prior to Substitute—Senators Norment, Petersen and Stuart [SB 696], Marsden [SB 735], Watkins [SBs 752 and 812], Edwards [SB 1267], Wexton [SB 1278], and Ebbin [SB 1289])

A BILL to amend and reenact §§ 2.2-115, 2.2-206.2, 2.2-419, 2.2-420, 2.2-424, 2.2-426, 2.2-430, 2.2-431, 2.2-3101, 2.2-3103.1, 2.2-3104, 2.2-3104.01, 2.2-3106, 2.2-3114 through 2.2-3118, 2.2-3121, 2.2-3124, 30-101, 30-103.1, 30-110, 30-111, 30-124, 30-126, 30-355, and 30-356 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 2.2-3103.2, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.2, and by adding a section numbered 30-356.1, relating to the State and Local Government Conflict of Interests Act, the General Assembly Conflicts of Interests Act, and the Virginia Conflict of Interest and Ethics Advisory Council; certain gifts prohibited; approvals required for certain travel.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-115, 2.2-206.2, 2.2-419, 2.2-420, 2.2-424, 2.2-426, 2.2-430, 2.2-431, 2.2-3101, 2.2-3103.1, 2.2-3104, 2.2-3104.01, 2.2-3106, 2.2-3114 through 2.2-3118, 2.2-3121, 2.2-3124, 30-101, 30-103.1, 30-110, 30-111, 30-124, 30-126, 30-355, and 30-356 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 2.2-3103.2, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.2, and by adding a section numbered 30-356.1 as follows:

§ 2.2-115. Commonwealth's Development Opportunity Fund.

A. As used in this section, unless the context requires otherwise:

"New job" means employment of an indefinite duration, created as the direct result of the private investment, for which the firm pays the wages and standard fringe benefits for its employee, requiring a minimum of either (i) 35 hours of the employee's time a week for the entire normal year of the firm's operations, which "normal year" must consist of at least 48 weeks or (ii) 1,680 hours per year.

Seasonal or temporary positions, positions created when a job function is shifted from an existing location in the Commonwealth to the location of the economic development project, positions with suppliers, and multiplier or spin-off jobs shall not qualify as new jobs. The term "new job" shall include positions with contractors provided that all requirements included within the definition of the term are met.

"Prevailing average wage" means that amount determined by the Virginia Employment Commission to be the average wage paid workers in the city or county of the Commonwealth where the economic development project is located. The prevailing average wage shall be determined without regard to any fringe benefits.

"Private investment" means the private investment required under this section.

B. There is created the Governor's Commonwealth's Development Opportunity Fund (the Fund) to be used by the Governor to attract economic development prospects and secure the expansion of existing industry in the Commonwealth. The Fund shall consist of any funds appropriated to it by the general appropriation act and revenue from any other source, public or private. The Fund shall be established on the books of the Comptroller, and any funds remaining in the Fund at the end of a biennium shall not revert to the general fund but shall remain in the Fund. Interest earned on the Fund shall be credited to the Fund. The Governor shall report to the Chairmen of the House Committees on Appropriations and Finance and the Senate Committee on Finance as funds are awarded in accordance with this section.

C. Funds shall be awarded from the Fund by the Governor as grants or loans to political subdivisions. The criteria for making such grants or loans shall include (i) job creation, (ii) private capital investment, and (iii) anticipated additional state tax revenue expected to accrue to the state and affected localities as a result of the capital investment and jobs created. Loans shall be approved by the Governor and made in accordance with guidelines established by the Virginia Economic Development Partnership and approved by the Comptroller. Loans shall be interest-free unless otherwise determined by the Governor and shall be repaid to the Fund. The Governor may establish the interest rate to be charged; otherwise, any interest charged shall be at market rates as determined by the State Treasurer and shall be indicative of the duration of the loan. The Virginia Economic Development Partnership shall be responsible for monitoring repayment of such loans and reporting the receivables to the Comptroller as required.

Beginning with the five fiscal years from fiscal year 2006-2007 through fiscal year 2010-2011, and for every five fiscal years' period thereafter, in general, no less than one-third of the moneys appropriated to the Fund in every such five-year period shall be awarded to counties and cities having

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60 an annual average unemployment rate that is greater than the final statewide average unemployment rate
61 for the calendar year that immediately precedes the calendar year of the award. However, if such
62 one-third requirement will not be met because economic development prospects in such counties and
63 cities are unable to fulfill the applicable minimum private investment and new jobs requirements set
64 forth in this section, then any funds remaining in the Fund at the end of the five-year period that would
65 have otherwise been awarded to such counties and cities shall be made available for awards in the next
66 five fiscal years' period.

67 D. Funds may be used for public and private utility extension or capacity development on and off
68 site; public and private installation, extension, or capacity development of high-speed or broadband
69 Internet access, whether on or off site; road, rail, or other transportation access costs beyond the funding
70 capability of existing programs; site acquisition; grading, drainage, paving, and any other activity
71 required to prepare a site for construction; construction or build-out of publicly or privately owned
72 buildings; training; or grants or loans to an industrial development authority, housing and redevelopment
73 authority, or other political subdivision for purposes directly relating to any of the foregoing. However,
74 in no case shall funds from the Fund be used, directly or indirectly, to pay or guarantee the payment for
75 any rental, lease, license, or other contractual right to the use of any property.

76 It shall be the policy of the Commonwealth that moneys in the Fund shall not be used for any
77 economic development project in which a business relocates or expands its operations in one or more
78 Virginia localities and simultaneously closes its operations or substantially reduces the number of its
79 employees in another Virginia locality. The Secretary of Commerce and Trade shall enforce this policy
80 and for any exception thereto shall promptly provide written notice to the Chairmen of the Senate
81 Finance and House Appropriations Committees, which notice shall include a justification for any
82 exception to such policy.

83 E. 1. a. Except as provided in this subdivision, no grant or loan shall be awarded from the Fund
84 unless the project involves a minimum private investment of \$5 million and creates at least 50 new jobs
85 for which the average wage, excluding fringe benefits, is no less than the prevailing average wage. For
86 projects, including but not limited to projects involving emerging technologies, for which the average
87 wage of the new jobs created, excluding fringe benefits, is at least twice the prevailing average wage for
88 that locality or region, the Governor shall have the discretion to require no less than one-half the
89 number of new jobs as set forth for that locality in this subdivision.

90 b. Notwithstanding the provisions of subdivision a, a grant or loan may be awarded from the Fund if
91 the project involves a minimum private investment of \$100 million and creates at least 25 new jobs for
92 which the average wage, excluding fringe benefits, is no less than the prevailing average wage.

93 2. Notwithstanding the provisions of subdivision 1 a, in localities (i) with an annual unemployment
94 rate for the most recent calendar year for which such data is available that is greater than the final
95 statewide average unemployment rate for that calendar year or (ii) with a poverty rate for the most
96 recent calendar year for which such data is available that exceeds the statewide average poverty rate for
97 that year, a grant or loan may be awarded from the Fund pursuant to subdivision 1 a if the project
98 involves a minimum private investment of \$2.5 million and creates at least 25 new jobs for which the
99 average wage, excluding fringe benefits, is no less than 85 percent of the prevailing average wage.

100 3. Notwithstanding the provisions of subdivisions 1 a and 2, in localities (i) with an annual
101 unemployment rate for the most recent calendar year for which such data is available that is greater than
102 the final statewide average unemployment rate for that calendar year and (ii) with a poverty rate for the
103 most recent calendar year for which such data is available that exceeds the statewide average poverty
104 rate for that year, a grant or loan may be awarded from the Fund pursuant to such subdivisions if the
105 project involves a minimum private investment of \$1.5 million and creates at least 15 new jobs for
106 which the average wage, excluding fringe benefits, is no less than 85 percent of the prevailing average
107 wage.

108 4. For projects that are eligible under subdivision 2 or 3, the average wage of the new jobs,
109 excluding fringe benefits, shall be no less than 85 percent of the prevailing average wage. In addition,
110 for projects in such localities, the Governor may award a grant or loan for a project paying less than 85
111 percent of the prevailing average wage but still providing customary employee benefits, only after the
112 Secretary of Commerce and Trade has made a written finding that the economic circumstances in the
113 area are sufficiently distressed (i.e., high unemployment or underemployment and negative economic
114 forecasts) that assistance to the locality to attract the project is nonetheless justified. However, the
115 minimum private investment and number of new jobs required to be created as set forth in this
116 subsection shall still be a condition of eligibility for an award from the Fund. Such written finding shall
117 promptly be provided to the chairs of the Senate Committee on Finance and the House Committee on
118 Appropriations.

119 F. 1. The Virginia Economic Development Partnership shall assist the Governor in developing
120 objective guidelines and criteria that shall be used in awarding grants or making loans from the Fund.
121 The guidelines may require that as a condition of receiving any grant or loan incentive that is based on

122 employment goals, a recipient company must provide copies of employer quarterly payroll reports that
 123 have been provided to the Virginia Employment Commission to verify the employment status of any
 124 position included in the employment goal. The guidelines may include a requirement for the affected
 125 locality or localities to provide matching funds which may be cash or in-kind, at the discretion of the
 126 Governor. The guidelines and criteria shall include provisions for geographic diversity and a cap on the
 127 amount of funds to be provided to any individual project. At the discretion of the Governor, this cap
 128 may be waived for qualifying projects of regional or statewide interest. In developing the guidelines and
 129 criteria, the Virginia Economic Development Partnership shall use the measure for Fiscal Stress
 130 published by the Commission on Local Government of the Department of Housing and Community
 131 Development for the locality in which the project is located or will be located as one method of
 132 determining the amount of assistance a locality shall receive from the Fund.

133 2. a. Notwithstanding any provision in this section or in the guidelines, each political subdivision that
 134 receives a grant or loan from the Fund shall enter into a contract with each business beneficiary of
 135 funds from the Fund. A person or entity shall be a business beneficiary of funds from the Fund if grant
 136 or loan moneys awarded from the Fund by the Governor are paid to a political subdivision and (i)
 137 subsequently distributed by the political subdivision to the person or entity or (ii) used by the political
 138 subdivision for the benefit of the person or entity but never distributed to the person or entity.

139 b. The contract between the political subdivision and the business beneficiary shall provide in detail
 140 (i) the fair market value of all funds that the Commonwealth has committed to provide, (ii) the fair
 141 market value of all matching funds (or in-kind match) that the political subdivision has agreed to
 142 provide, (iii) how funds committed by the Commonwealth (including but not limited to funds from the
 143 Fund committed by the Governor) and funds that the political subdivision has agreed to provide are to
 144 be spent, (iv) the minimum private investment to be made and the number of new jobs to be created
 145 agreed to by the business beneficiary, (v) the average wage (excluding fringe benefits) agreed to be paid
 146 in the new jobs, (vi) the prevailing average wage, and (vii) the formula, means, or processes agreed to
 147 be used for measuring compliance with the minimum private investment and new jobs requirements,
 148 including consideration of any layoffs instituted by the business beneficiary over the course of the
 149 period covered by the contract.

150 The contract shall state the date by which the agreed upon private investment and new job
 151 requirements shall be met by the business beneficiary of funds from the Fund and may provide for the
 152 political subdivision to grant up to a 15-month extension of such date if deemed appropriate by the
 153 political subdivision subsequent to the execution of the contract. Any extension of such date granted by
 154 the political subdivision shall be in writing and promptly delivered to the business beneficiary, and the
 155 political subdivision shall simultaneously provide a copy of the extension to the Virginia Economic
 156 Development Partnership.

157 The contract shall provide that if the private investment and new job contractual requirements are not
 158 met by the expiration of the date stipulated in the contract, including any extension granted by the
 159 political subdivision, the business beneficiary shall be liable to the political subdivision for repayment of
 160 a portion of the funds provided under the contract. The contract shall include a formula for purposes of
 161 determining the portion of such funds to be repaid. The formula shall, in part, be based upon the fair
 162 market value of all funds that have been provided by the Commonwealth and the political subdivision
 163 and the extent to which the business beneficiary has met the private investment and new job contractual
 164 requirements. Any such funds repaid to the political subdivision that relate to the award from the
 165 Governor's Commonwealth's Development Opportunity Fund shall promptly be paid over by the political
 166 subdivision to the Commonwealth by payment remitted to the State Treasurer. Upon receipt by the State
 167 Treasurer of such payment, the Comptroller shall deposit such repaid funds into the Governor's
 168 Commonwealth's Development Opportunity Fund.

169 c. The contract shall be amended to reflect changes in the funds committed by the Commonwealth or
 170 agreed to be provided by the political subdivision.

171 d. Notwithstanding any provision in this section or in the guidelines, whenever layoffs instituted by a
 172 business beneficiary over the course of the period covered by a contract cause the net total number of
 173 the new jobs created to be fewer than the number agreed to, then the business beneficiary shall return
 174 the portion of any funds received pursuant to the repayment formula established by the contract.

175 3. Notwithstanding any provision in this section or in the guidelines, prior to executing any such
 176 contract with a business beneficiary, the political subdivision shall provide a copy of the proposed
 177 contract to the Attorney General. The Attorney General shall review the proposed contract (i) for
 178 enforceability as to its provisions and (ii) to ensure that it is in appropriate legal form. The Attorney
 179 General shall provide any written suggestions to the political subdivision within seven days of his
 180 receipt of the copy of the contract. The Attorney General's suggestions shall be limited to the
 181 enforceability of the contract's provisions and the legal form of the contract.

182 4. Notwithstanding any provision in this section or in the guidelines, a political subdivision shall not

183 expend, distribute, pledge, use as security, or otherwise use any award from the Fund unless and until
 184 such contract as described herein is executed with the business beneficiary.

185 G. Within the 30 days immediately following June 30 and December 30 of each year, the Governor
 186 shall provide a report to the Chairmen of the House Committees on Appropriations and Finance and the
 187 Senate Committee on Finance which shall include, but is not limited to, the following information
 188 regarding grants and loans awarded from the Fund during the immediately preceding six-month period
 189 for economic development projects: the name of the company that is the business beneficiary of the
 190 grant or loan and the type of business in which it engages; the location (county, city, or town) of the
 191 project; the amount of the grant or loan committed from the Fund and the amount of all other funds
 192 committed by the Commonwealth from other sources and the purpose for which such grants, loans, or
 193 other funds will be used; the amount of all moneys or funds agreed to be provided by political
 194 subdivisions and the purposes for which they will be used; the number of new jobs agreed to be created
 195 by the business beneficiary; the amount of investment in the project agreed to be made by the business
 196 beneficiary; the timetable for the completion of the project and new jobs created; the prevailing average
 197 wage; and the average wage (excluding fringe benefits) agreed to be paid in the new jobs.

198 H. The Governor shall provide grants and commitments from the Fund in an amount not to exceed
 199 the dollar amount contained in the Fund. If the Governor commits funds for years beyond the fiscal
 200 years covered under the existing appropriation act, the State Treasurer shall set aside and reserve the
 201 funds the Governor has committed, and the funds shall remain in the Fund for those future fiscal years.
 202 No grant or loan shall be payable in the years beyond the existing appropriation act unless the funds are
 203 currently available in the Fund.

204 *I. Any person or entity submitting an application for a grant or loan from the Fund shall certify, on*
 205 *a form acceptable to the Virginia Economic Development Partnership, that it shall not provide any*
 206 *contribution, gift, or other item with a value greater than \$100 to the Governor or to his campaign*
 207 *committee or a political action committee established on his behalf during (i) the period in which the*
 208 *person or entity's application for such award is pending and (ii) the one-year period immediately after*
 209 *any such award is made. Any person or entity who so certifies and who receives an award from the*
 210 *Fund shall repay, if such person or entity provided or provides such a contribution, gift, or other item*
 211 *of value during these periods, the amount of the award received within 90 days after receipt of written*
 212 *notice from the Virginia Economic Development Partnership. In addition, any person or entity that*
 213 *knowingly provided or provides such a contribution, gift, or other item of value during these periods in*
 214 *violation of this subsection shall be subject to a civil penalty of \$500 or up to two times the amount of*
 215 *the contribution or gift, whichever is greater, and the contribution, gift, or other item shall be returned*
 216 *to the donor. The attorney for the Commonwealth shall initiate civil proceedings to enforce the civil*
 217 *penalties. Any civil penalties collected shall be payable to the State Treasurer for deposit to the general*
 218 *fund and shall be used exclusively to fund the Virginia Conflict of Interest and Ethics Advisory Council.*
 219 *For purposes of this subsection, "entity" includes individuals who are officers, directors, or owners of or*
 220 *who have a controlling ownership interest in such entity.*

221 **§ 2.2-206.2. Economic incentive grant programs; responsibilities of the Secretary.**

222 A. By July 15 of each year, the agencies listed in subdivisions B 1 through 7 shall report the
 223 information outlined in subsection C to the Secretary of Commerce and Trade for the three prior
 224 calendar or fiscal years, as applicable, so that the Secretary may develop and issue a report on the
 225 effectiveness of economic development incentive grant programs administered by the Commonwealth in
 226 meeting performance goals and stimulating economic activity.

227 By September 15 of each year, the Secretary shall submit the draft report to the Joint Legislative
 228 Audit and Review Commission for its review of the accuracy of the information contained in the report
 229 and the effectiveness of the evaluation methods.

230 The Joint Legislative Audit and Review Commission shall provide its comments on the content of
 231 the report and the Secretary's analysis to the Secretary, and such comments shall be included as an
 232 appendix to the final report, which shall be submitted to the Chairmen of the House Appropriations and
 233 Senate Finance Committees by November 15 of each year.

234 B. The report shall include a review of allocations from the following economic development
 235 incentive programs and funds for the previous three calendar or fiscal years, as applicable, as follows:

236 1. Virginia Economic Development Partnership: Advanced Shipbuilding Training Facility Grant
 237 Program, Aerospace Engine Manufacturing Performance Grant Program, Clean Energy Manufacturing
 238 Incentive Grant Program, Governor's Commonwealth's Development Opportunity Fund, Investment
 239 Partnership Grant subfund, Major Eligible Employer Grant subfund, Semiconductor Memory or Logic
 240 Wafer Manufacturing Performance Grant Program, Specialized Biotechnology Research Performance
 241 Grant Program, Economic Development Incentive Grant subfund, and any customized incentive grants;

242 2. Virginia Economic Development Partnership Authority: Virginia Jobs Investment Program;

243 3. Department of Housing and Community Development: Enterprise Zone Job Creation and Real
 244 Property Investment Grant Programs;

245 4. Tobacco Indemnification and Community Revitalization Commission: Tobacco Region Opportunity
246 Fund;

247 5. Virginia Tourism Authority: Governor's Motion Picture Opportunity Fund;

248 6. Virginia Port Authority: Port of Virginia Economic and Infrastructure Development Grant
249 Program; and

250 7. Innovation and Entrepreneurship Investment Authority: Growth Acceleration Program.

251 C. The report shall assess the effectiveness of allocations made for each program listed in subsection
252 B. Each agency administering programs outlined in subsection B shall submit the applicable data
253 regarding jobs, wages, capital investment, and any other related information requested by the Secretary
254 of Commerce and Trade for purposes of evaluating economic development incentive programs in
255 meeting their performance goals and stimulating economic activity.

256 For each program, the report shall include (i) an explanation of the overall goals of the program,
257 describing whether the program is focused on job creation and capital investment or investments are
258 governed by ancillary goals of community development and revitalization or the development of a
259 particular industry sector in the Commonwealth; (ii) for each of the previous three calendar or fiscal
260 years, as applicable, summary information, including the total amount of grant funding made available
261 for the program, the total dollar amount of the grants awarded, the total number of grants awarded, the
262 average dollar amount approved per job and average wage expected, where applicable, and any grant
263 amounts repaid; (iii) for each of the three previous calendar or fiscal years, as applicable, for projects
264 that have reached completion or a performance milestone, an aggregate comparison of the projects'
265 performance measures, including the actual number of jobs created, the actual average wages paid, and
266 the actual amount of capital investment, with the expected number of jobs, assumed average wage, and
267 planned capital investment when the grant awards were made, and the proportion of projects that met or
268 exceeded the project-specific goals relevant to the program; (iv) for each of the three previous calendar
269 or fiscal years, as applicable, for all projects that have reached completion or a performance milestone,
270 an aggregate assessment of the projects' actual rate of return on the Commonwealth's investment
271 compared with the expected rate of return when the grant awards were made; (v) for each of the three
272 previous calendar or fiscal years, as applicable, for all projects that have reached completion or a
273 performance milestone, an aggregate estimate of the projects' total economic impact measured by the
274 Virginia Economic Development Partnership Authority on the basis of estimated state tax revenues
275 generated directly or indirectly by the projects, where applicable; and (vi) for all projects that reached
276 completion five calendar or fiscal years, as applicable, prior to the year of the report, an aggregate final
277 comparison of jobs reported by companies at the time of completion and jobs at the end of the most
278 recent calendar year, and an aggregate final comparison of the projects' rate of return at the time of
279 completion and a five-year rate of return based on the most recent job levels.

280 **§ 2.2-419. Definitions.**

281 As used in this article, unless the context requires a different meaning:

282 "Anything of value" means:

- 283 1. A pecuniary item, including money, or a bank bill or note;
- 284 2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment
285 of money;
- 286 3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of
287 indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
- 288 4. A stock, bond, note, or other investment interest in an entity;
- 289 5. A receipt given for the payment of money or other property;
- 290 6. A right in action;
- 291 7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
- 292 8. A loan or forgiveness of indebtedness;
- 293 9. A work of art, antique, or collectible;
- 294 10. An automobile or other means of personal transportation;
- 295 11. Real property or an interest in real property, including title to realty, a fee simple or partial
296 interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial
297 interest in realty;
- 298 12. An honorarium or compensation for services;
- 299 13. A rebate or discount in the price of anything of value unless the rebate or discount is made in
300 the ordinary course of business to a member of the public without regard to that person's status as an
301 executive or legislative official, or the sale or trade of something for reasonable compensation that
302 would ordinarily not be available to a member of the public;
- 303 14. A promise or offer of employment; or
- 304 15. Any other thing of value that is pecuniary or compensatory in value to a person.
- 305 "Anything of value" does not mean a campaign contribution properly received and reported pursuant

306 to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

307 "Compensation" means:

308 1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift,
309 pledge, or transfer of money or anything of value; or

310 2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of
311 indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of
312 value, for services rendered or to be rendered.

313 "Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the
314 amount actually expended for the expenses and it is substantiated by an itemization of expenses.

315 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
316 § 30-355.

317 "Executive action" means the proposal, drafting, development, consideration, amendment, adoption,
318 approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or
319 official of legislation or executive orders issued by the Governor. "Executive action" includes
320 procurement transactions.

321 "Executive agency" means an agency, board, commission, or other body in the executive branch of
322 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'
323 Compensation Commission, and the Virginia Lottery.

324 "Executive official" means:

325 1. The Governor;

326 2. The Lieutenant Governor;

327 3. The Attorney General;

328 4. Any officer or employee of the office of the Governor or, Lieutenant Governor, or Attorney
329 General other than a clerical or secretarial employee;

330 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
331 executive agency; or

332 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
333 however selected.

334 "Expenditure" means:

335 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
336 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
337 of value for any purpose;

338 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
339 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
340 persons;

341 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
342 payment of expenses incurred at the request or suggestion of the lobbyist;

343 4. A payment that directly benefits an executive or legislative official or a member of the official's
344 immediate family;

345 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
346 of an employee for or in connection with direct communication with an executive or legislative official;

347 6. A payment for or in connection with soliciting or urging other persons to enter into direct
348 communication with an executive or legislative official; or

349 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
350 this chapter.

351 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
352 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

353 "Fair market value" means the price that a good or service would bring between a willing seller and
354 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the
355 actual price paid for the good or service shall be given consideration.

356 "Gift" means anything of value to the extent that a consideration of equal or greater value is not
357 received, including any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other
358 item having monetary value, and includes services as well as gifts of transportation, local travel,
359 lodgings, and meals, whether provided in-kind or by purchase of a ticket, payment in advance, or
360 reimbursement after the expense has been incurred.

361 "Gift" does not mean:

362 1. Printed informational or promotional material;

363 2. A gift that is not used and, no later than 60 days after receipt, is returned to the donor or
364 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
365 tax purposes;

366 3. A gift, devise, or inheritance from an individual's spouse, child, parent, grandparent, brother, sister,
367 parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of

368 that individual, if the donor is not acting as the agent or intermediary for someone other than a person
369 covered by this subdivision; or

370 4. A gift of a value of \$50 or less;

371 5. Any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or
372 pass is used;

373 6. Any food or beverages provided to an individual at an event at which the individual is performing
374 official duties related to his public service;

375 7. Any food and beverages received at or registration or attendance fees waived for any event at
376 which the individual is a featured speaker, presenter, or lecturer;

377 8. An unsolicited award of appreciation or recognition in the form of a plaque, trophy, wall
378 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;

379 9. Any gift from an individual's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to
380 whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild,
381 brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's
382 brother's or sister's spouse;

383 10. Travel provided to facilitate attendance by a legislator at a regular or special session of the
384 General Assembly, a meeting of a legislative committee or commission, or a national conference where
385 attendance is approved by the House or Senate Committee on Rules; or

386 11. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any
387 board, commission, authority, or other entity, or any charitable organization established pursuant to
388 § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been
389 appointed or elected or is a member by virtue of his office or employment.

390 "Immediate family" means (i) the spouse and (ii) any ~~child~~ other person who resides in the same
391 household as the executive or legislative official and who is a dependent of the official.

392 "Legislative action" means:

393 1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval,
394 passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion,
395 report, nomination, appointment, or other matter by the General Assembly or a legislative official;

396 2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by
397 the General Assembly; or

398 3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering
399 amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of
400 the Governor.

401 "Legislative official" means:

402 1. A member or member-elect of the General Assembly;

403 2. A member of a committee, subcommittee, commission, or other entity established by and
404 responsible to the General Assembly or either house of the General Assembly; or

405 3. Persons employed by the General Assembly or an entity established by and responsible to the
406 General Assembly.

407 "Lobbying" means:

408 1. Influencing or attempting to influence executive or legislative action through oral or written
409 communication with an executive or legislative official; or

410 2. Solicitation of others to influence an executive or legislative official.

411 "Lobbying" does not mean:

412 1. Requests for appointments, information on the status of pending executive and legislative actions,
413 or other ministerial contacts if there is no attempt to influence executive or legislative actions;

414 2. Responses to published notices soliciting public comment submitted to the public official
415 designated in the notice to receive the responses;

416 3. The solicitation of an association by its members to influence legislative or executive action; or

417 4. Communications between an association and its members and communications between a principal
418 and its lobbyists.

419 "Lobbyist" means:

420 1. An individual who is employed and receives payments, or who contracts for economic
421 consideration, including reimbursement for reasonable travel and living expenses, for the purpose of
422 lobbying;

423 2. An individual who represents an organization, association, or other group for the purpose of
424 lobbying; or

425 3. A local government employee who lobbies.

426 "Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or
427 attempts to influence executive or legislative action. An organization whose employees conduct lobbying
428 activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or

429 association that employs or retains others to conduct lobbying activities on behalf of its membership, the
 430 principal is the coalition or association and not its individual members.

431 "Local government" means:

432 1. Any county, city, town, or other local or regional political subdivision;

433 2. Any school division;

434 3. Any organization or entity that exercises governmental powers that is established pursuant to an
 435 interstate compact; or

436 4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of
 437 this definition.

438 "Local government employee" means a public employee of a local government.

439 "Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company,
 440 syndicate, business trust, estate, company, corporation, association, club, committee, organization, or
 441 group of persons acting in concert.

442 "*Procurement transaction*" means all functions that pertain to obtaining all goods, services, or
 443 construction on behalf of an executive agency, including description of requirements, selection and
 444 solicitation of sources, preparation and award of contract, and all phases of contract administration.

445 "*Secretary*" means the Secretary of the Commonwealth.

446 "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the
 447 fair market value cannot be determined, the actual amount paid for the item or items shall be given
 448 consideration.

449 "*Widely attended event*" means an event at which at least 25 persons have been invited to attend or
 450 there is a reasonable expectation that at least 25 persons will attend the event and the event is open to
 451 the public or is open to individuals (i) who share a common interest, (ii) who are members of a public,
 452 civic, charitable, or professional organization, (iii) who are from a particular industry or profession, or
 453 (iv) who represent persons interested in a particular issue.

454 **§ 2.2-420. Exemptions.**

455 The registration and reporting provisions of this article shall not apply to:

456 1. The Governor, Lieutenant Governor, Attorney General, and their immediate staffs or the
 457 Governor's Secretaries and their immediate staffs, acting in an official capacity;

458 2. Members of the General Assembly and other legislative officials and legislative employees acting
 459 in an official capacity;

460 3. Local elected officials acting in an official capacity;

461 4. Any employee of a state executive agency acting in an official capacity;

462 5. A duly elected or appointed official or employee of the United States acting in an official
 463 capacity;

464 6. An individual who limits lobbying solely to (i) formal testimony before a public meeting of an
 465 executive agency or legislative body and registers the appearance in the records of the agency or body
 466 and (ii) testimony and information compelled by action of an executive agency or legislative body;

467 7. A person who receives \$500 or less in compensation and reimbursements, excluding personal
 468 living and travel expenses that are not reimbursed from any other source, in a calendar year for his
 469 lobbying activities;

470 8. A person who receives no compensation or anything of value for lobbying, and does not expend
 471 more than \$500, excluding personal living and travel expenses that are not reimbursed from any other
 472 source, in lobbying in the calendar year; or

473 9. An employee of a business, other entity, or local government whose job duties do not regularly
 474 include ~~influencing or attempting to influence legislative or executive action~~ lobbying.

475 **§ 2.2-424. Registration fees.**

476 The Secretary shall collect an annual registration fee of ~~fifty dollars~~ \$100 from the lobbyist for each
 477 principal for whom, or on whose behalf, the lobbyist will act. *This fee shall be deposited into the*
 478 *general fund and used exclusively to fund the Council.*

479 **§ 2.2-426. Lobbyist reporting; penalty.**

480 A. Each lobbyist shall file with the ~~Virginia Conflict of Interest and Ethics Advisory Council~~ a
 481 separate semiannual report of expenditures, including gifts, for each principal for whom he lobbies by
 482 December 15 for the preceding six-month period complete through the last day of October and June 15
 483 for the preceding six-month period complete through the last day of April.

484 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be
 485 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the
 486 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting
 487 requirements of this section.

488 C. The report shall be on a form provided by the ~~Virginia Conflict of Interest and Ethics Advisory~~
 489 ~~Council~~, which shall be substantially as follows *similar to the following* and shall be accompanied by
 490 instructions provided by the Council. *All reports shall be submitted electronically and in accordance*

491 with the standards approved by the Council pursuant to the provisions of § 30-356.

492 LOBBYIST'S DISCLOSURE STATEMENT

493 PART I:

494 (1) PRINCIPAL: _____

495 In Part I, item 2a, provide the name of the individual
496 authorizing your employment as a lobbyist. The lobbyist filing
497 this statement MAY NOT list his name in item 2a.

498 (2a) Name: _____

499 (2b) Permanent Business Address: _____

500 (2c) Business Telephone: _____

501 (3) Provide a list of executive and legislative actions (with as
502 much specificity as possible) for which you lobbied and a
503 description of activities conducted.

504 _____

505 _____

506 _____

507 (4) INCORPORATED FILINGS: If you are filing an incorporated
508 disclosure statement, please complete the following:

509 Individual filing financial information: _____

510 Individuals to be included in the filing: _____

511 _____

512 (5) Please indicate which schedules will be attached to your
513 disclosure statement:

514 [] Schedule A: Entertainment Expenses

515 [] Schedule B: Gifts

516 [] Schedule C: Other Expenses

517 (6) EXPENDITURE TOTALS:

518 a) ENTERTAINMENT \$ _____

519 b) GIFTS \$ _____

520 c) COMMUNICATIONS \$ _____

521 d) PERSONAL LIVING AND TRAVEL EXPENSES \$ _____

522 e) COMPENSATION OF LOBBYISTS \$ _____

523 f) HONORARIA \$ _____

524 g) OTHER \$ _____

525 TOTAL \$ _____

526 PART II:

527 (1a) NAME OF LOBBYIST: _____

528 (1b) Permanent Business Address: _____

529 (1c) Business Telephone: _____

530 (2) As a lobbyist, you are (check one)

531 [] EMPLOYED (on the payroll of the principal)

532 [] RETAINED (not on the payroll of the principal, however
533 compensated)

534 [] NOT COMPENSATED (not compensated; expenses may be reimbursed)

535 (3) List all lobbyists other than yourself who registered to
536 represent your principal.

537 _____

538 _____

539 _____

540 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,
541 provide your job title.

542 _____

543 PLEASE NOTE: Some lobbyists are not individually compensated for
544 lobbying activities. This may occur when several members of a firm
545 represent a single principal. The principal, in turn, makes a single
546 payment to the firm. If this describes your situation, do not answer

547 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

548 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?
549 (If you have job responsibilities other than those involving
550 lobbying, you may have to prorate to determine the part of your
551 salary attributable to your lobbying activities.) Transfer your
552 answer to this item to Part I, item 6e.

553 (5b) Explain how you arrived at your answer to Part II, item 5a.
554 _____
555 _____
556 _____

557 PART III:

558 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT
559 complete this section.

560 (1) List all members of your firm, organization, association,
561 corporation, or other entity who furnished lobbying services to
562 your principal.
563 _____
564 _____
565 _____

566 (2) Indicate the total amount paid to your firm, organization,
567 association, corporation or other entity for services rendered.
568 Transfer your answer to this item to Part I, item 6e_____

569 SCHEDULE A

570 ENTERTAINMENT EXPENSES

571 PLEASE NOTE: Any single entertainment event included in the expense
572 totals of the principal, with a value greater than \$50, should be
573 itemized below. Transfer any totals from this schedule to Part I,
574 item 6a. (Please duplicate as needed.)

575 Date and Location of Event:
576 _____
577 _____

578 Description of Event (including whether or not it meets the criteria
579 of a widely attended event):
580 _____
581 _____

582 Total Number of Persons Attending:
583

584 Names of Legislative and Executive Officials or Members of Their
585 Immediate Families Attending: (List names only if the average value
586 for each person attending the event was greater than \$50.)
587 _____
588 _____
589 _____
590 _____

591	Food	\$ _____
592	Beverages	\$ _____
593	Transportation of Legislative and Executive Officials	
594	or Members of Their Immediate Families	\$ _____
595	Lodging of Legislative and Executive Officials or	
596	Members of Their Immediate Families	\$ _____
597	Performers, Speakers, Etc.	\$ _____
598	Displays	\$ _____
599	Rentals	\$ _____
600	Service Personnel	\$ _____
601	Miscellaneous	\$ _____
602	TOTAL	\$ _____

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GIFTS

PLEASE NOTE: Any single gift reported in the expense totals of the principal, with a value greater than \$50, should be itemized below. (Report meals, entertainment and travel under Schedule A.) Transfer any totals from this schedule to Part I, item 6b. (Please duplicate as needed.)

Date of gift:	Description of gift:	Name of each legislative or executive official or member of his immediate family who is a recipient of a gift:	Cost of individual gift:
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
TOTAL COST TO PRINCIPAL			\$ _____

SCHEDULE C
OTHER EXPENSES

PLEASE NOTE: This section is provided for any lobbying-related expenses not covered in Part I, items 6a - 6f. An example of an expenditure to be listed on schedule C would be the rental of a bill box during the General Assembly session. Transfer the total from this schedule to Part I, item 6g. (Please duplicate as needed.)

DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
TOTAL "OTHER" EXPENSES		\$ _____

PART IV: STATEMENTS

The following items are mandatory and if they are not properly completed, the entire filing will be rejected and returned to the lobbyist:

- (1) All signatures on the statement must be ORIGINAL in the format specified in the instructions provided by the Council that accompany this form. No stamps, or other reproductions of the individual's signature will be accepted.
- (2) An individual MAY NOT sign the disclosure statement as lobbyist and principal officer.

STATEMENT OF LOBBYIST

I, the undersigned registered lobbyist, do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

Signature of lobbyist

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Date

STATEMENT OF PRINCIPAL

I, the undersigned principal (or an authorized official thereof), do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

Signature of principal

Date

D. A person who ~~signs~~ knowingly and intentionally makes a false statement of a material fact on the disclosure statement knowing it to contain a material misstatement of fact is guilty of a Class 5 felony.

E. Each lobbyist shall send to each legislative and executive official who is required to be identified by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a summary of the information pertaining to that official. Copies or summaries shall be provided to the official by November 21 for the preceding six-month period complete through the last day of October and by May 21 for the preceding six-month period complete through the last day of April.

§ 2.2-430. Termination.

A lobbyist may terminate a lobbyist registration at any time by filing a report required under § 2.2-426 including information through the last day of lobbying activity. A termination report shall indicate that the lobbyist intends to use the report as the final accounting of lobbying activity and shall include the effective date of the termination.

§ 2.2-431. Penalties; filing of substituted statement.

A. Every lobbyist failing to file the statement prescribed by § 2.2-426 within the time prescribed therein shall be assessed a civil penalty of ~~fifty dollars~~ \$50, and every individual failing to file the statement within ~~ten~~ 10 days after the time prescribed herein shall be assessed an additional civil penalty of ~~fifty dollars~~ \$50 per day from the eleventh day of such default until the statement is filed. The Council shall notify the Secretary of any lobbyist's failure to file the statement within the time prescribed, and the penalties shall be assessed and collected by the Secretary. The Attorney General shall assist the Secretary in collecting the penalties, upon request.

B. Every lobbyist's principal whose lobbyist fails to file the statement prescribed by § 2.2-426 shall be assessed a civil penalty of ~~fifty dollars~~ \$50, and shall be assessed an additional civil penalty of ~~fifty dollars~~ \$50 per day from the eleventh day of such default until the statement is filed. The Council shall notify the Secretary of any lobbyist's failure to file the statement within the time prescribed, and the penalties shall be assessed and collected by the Secretary. The Attorney General shall assist the Secretary in collecting the penalties, upon request.

C. No individual who has failed to file the statement required by § 2.2-426 or who has failed to pay all penalties assessed pursuant to this section, shall register or act as a lobbyist as long as he remains in default.

D. Whenever any lobbyist is or will be in default under § 2.2-426, and the reasons for such default are or will be beyond his control, or the control of his principal, or both, the Secretary may suspend the assessment of any penalty otherwise assessable and accept a substituted statement, upon the submission of sworn proofs that shall satisfy him that the default has been beyond the control of the lobbyist or his principal, and that the substituted statement contains the most accurate and complete information available after the exercise of due diligence.

E. Penalties collected pursuant to this section shall be payable to the State Treasurer for deposit to the general fund and shall be used exclusively to fund the Council.

§ 2.2-3101. Definitions.

As used in this chapter, unless the context requires a different meaning:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.

"Affiliated business entity relationship" means a relationship, other than a parent-subsidary relationship, that exists when (i) one business entity has a controlling ownership interest in the other business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or (iii) there is shared management or control between the business entities. Factors that may be considered in determining the existence of an affiliated business entity relationship include that the same person or substantially the same person owns or manages the two entities, there are common or commingled funds

721 or assets, the business entities share the use of the same offices or employees, or otherwise share
722 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship
723 between the entities.

724 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
725 association, trust or foundation, or any other individual or entity carrying on a business or profession,
726 whether or not for profit.

727 "*Candidate*" means a person who seeks or campaigns for an office of the Commonwealth or one of
728 its governmental units in a general, primary, or special election and who is qualified to have his name
729 placed on the ballot for the office. The candidate shall become subject to the provisions of this chapter
730 upon the filing of a statement of qualification pursuant to § 24.2-501. The State Board of Elections or
731 general registrar shall notify each such candidate of the provisions of this chapter. Notification made by
732 the general registrar shall consist of information developed by the State Board of Elections.

733 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
734 behalf of a governmental agency that involves the payment of money appropriated by the General
735 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
736 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
737 contract of which it is a part is with the officer's or employee's own governmental agency.

738 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
739 § 30-355.

740 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise
741 limited by the context of its use.

742 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
743 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
744 investment company or advisor registered under the federal Investment Advisors Act or Investment
745 Company Act of 1940.

746 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
747 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
748 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
749 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
750 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
751 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
752 institution of higher education, or other educational program pursuant to such school, institution, or
753 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
754 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
755 (v) any gift related to the private profession or occupation of an officer or employee or of a member of
756 his immediate family; ~~or~~ (vi) *food or beverages consumed while attending an event at which the filer is*
757 *performing official duties related to his public service;* (vii) *food and beverages received at or*
758 *registration or attendance fees waived for any event at which the filer is a featured speaker, presenter,*
759 *or lecturer;* (viii) *unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall*
760 *memento, or similar item that is given in recognition of public, civic, charitable, or professional service;*
761 *(ix) a devise or inheritance;* (x) *travel disclosed pursuant to the Campaign Finance Disclosure Act*
762 *(§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its*
763 *territories, or any state or any political subdivision of such state;* (xii) *travel provided to facilitate*
764 *attendance by a legislator at a regular or special session of the General Assembly, a meeting of a*
765 *legislative committee or commission, or a national conference where attendance is approved by the*
766 *House or Senate Committee on Rules;* (xiii) *travel related to an official meeting of the Commonwealth,*
767 *its political subdivisions, or any board, commission, authority, or other entity, or any charitable*
768 *organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity,*
769 *to which such person has been appointed or elected or is a member by virtue of his office or*
770 *employment;* or (xiv) gifts from relatives or personal friends. For the purpose of this definition, "relative"
771 means the donee's spouse, child, uncle, aunt, niece, ~~or~~ nephew, or first cousin; a person to whom the
772 donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, ~~or~~
773 sister, *step-parent, step-grandparent, step-grandchild, step-brother, or step-sister;* or the donee's brother's
774 or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that
775 the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et
776 seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or
777 employee of a local governmental or advisory agency, a person, organization, or business who is a party
778 to or is seeking to become a party to a contract with the local agency of which he is an officer or an
779 employee; or (d) for an officer or employee of a state governmental or advisory agency, a person,
780 organization, or business who is a party to or is seeking to become a party to a contract with the
781 Commonwealth. For purposes of this definition, "person, organization, or business" includes individuals

782 who are officers, directors, or owners of or who have a controlling ownership interest in such
783 organization or business.

784 "Governmental agency" means each component part of the legislative, executive or judicial branches
785 of state and local government, including each office, department, authority, post, commission,
786 committee, and each institution or board created by law to exercise some regulatory or sovereign power
787 or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by
788 the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

789 "Immediate family" means (i) a spouse and (ii) any ~~child~~ *other person* who resides in the same
790 household as the officer or employee and who is a dependent of the officer or employee.

791 "Officer" means any person appointed or elected to any governmental or advisory agency including
792 local school boards, whether or not he receives compensation or other emolument of office. Unless the
793 context requires otherwise, "officer" includes members of the judiciary.

794 "Parent-subsidiary relationship" means a relationship that exists when one corporation directly or
795 indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

796 "Personal interest" means a financial benefit or liability accruing to an officer or employee or to a
797 member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the
798 ownership interest exceeds three percent of the total equity of the business; (ii) annual income that
799 exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property
800 or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or
801 any combination thereof, paid or provided by a business or governmental agency that exceeds, or may
802 reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the
803 interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other
804 compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or
805 assumed on behalf of a business if the liability exceeds three percent of the asset value of the business;
806 or (vi) an option for ownership of a business or real or personal property if the ownership interest will
807 consist of clause (i) or (iv) above.

808 "Personal interest in a contract" means a personal interest that an officer or employee has in a
809 contract with a governmental agency, whether due to his being a party to the contract or due to a
810 personal interest in a business that is a party to the contract.

811 "Personal interest in a transaction" means a personal interest of an officer or employee in any matter
812 considered by his agency. Such personal interest exists when an officer or employee or a member of his
813 immediate family has a personal interest in property or a business or governmental agency, or represents
814 or provides services to any individual or business and such property, business or represented or served
815 individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable
816 direct or indirect benefit or detriment as a result of the action of the agency considering the transaction.
817 Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a)
818 an elected member of a local governing body serves without remuneration as a member of the board of
819 trustees of a not-for-profit entity and such elected member or member of his immediate family has no
820 personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a
821 local governing body is appointed by such local governing body to serve on a governmental agency, or
822 an officer, employee, or elected member of a separate local governmental agency formed by a local
823 governing body is appointed to serve on a governmental agency, and the personal interest in the
824 transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or
825 benefits provided by the local governing body or the separate governmental agency to the officer,
826 employee, elected member, or member of his immediate family.

827 "State and local government officers and employees" shall not include members of the General
828 Assembly.

829 "State filer" means those officers and employees required to file a disclosure statement of their
830 personal interests pursuant to subsection A or B of § 2.2-3114.

831 "Transaction" means any matter considered by any governmental or advisory agency, whether in a
832 committee, subcommittee, or other entity of that agency or before the agency itself, on which official
833 action is taken or contemplated.

834 § 2.2-3103.1. Certain gifts prohibited.

835 A. For purposes of this section:

836 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain
837 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
838 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
839 disclosure form prescribed in § 2.2-3117.

840 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
841 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
842 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
843 prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any

844 intangible gift.

845 "Person, organization, or business" includes individuals who are officers, directors, or owners of or
846 who have a controlling ownership interest in such organization or business.

847 "Widely attended event" means an event at which at least 25 persons have been invited to attend or
848 there is a reasonable expectation that at least 25 persons will attend the event and the event is open to
849 the public or is open to individuals (i) who share a common interest, (ii) who are members of a public,
850 civic, charitable, or professional organization, (iii) who are from a particular industry or profession, or
851 (iv) who represent persons interested in a particular issue.

852 B. ~~A~~ No officer or employee of a local governmental or advisory agency or candidate required to
853 file the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any
854 calendar year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts
855 with an aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
856 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
857 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become
858 a party to a contract with the local agency of which he is an officer or an employee; (ii) shall report any
859 tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause
860 (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and
861 publications on Schedule D of such disclosure form or a member of his immediate family shall solicit,
862 accept, or receive any single gift for himself or a member of his immediate family with a value in excess
863 of \$100 from any person that he or a member of his immediate family knows or has reason to know is
864 (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's principal
865 as defined in § 2.2-419; or (iii) a person, organization, or business who is or is seeking to become a
866 party to a contract with the local agency of which he is an officer or employee.

867 C. ~~A~~ No officer or employee of a state governmental or advisory agency or candidate required to
868 file the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any
869 calendar year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts
870 with an aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
871 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
872 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become
873 a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or
874 less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure
875 form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D of such
876 disclosure form or a member of his immediate family shall solicit, accept, or receive any single gift any
877 single gift for himself or a member of his immediate family with a value in excess of \$100 from any
878 person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist
879 registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's principal as defined in
880 § 2.2-419; or (iii) a person, organization, or business who is or is seeking to become a party to a
881 contract with the state governmental or advisory agency of which he is an officer or an employee or
882 over which he has the authority to direct such agency's activities.

883 D. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a
884 member of his immediate family may accept or receive a gift of food and beverages with a value in
885 excess of \$100 when such gift is accepted or received while in attendance at a widely attended event.
886 Such gifts shall be reported on the disclosure form prescribed in § 2.2-3117.

887 E. Notwithstanding the provisions of subsections B and C, such officer or employee or a member of
888 his immediate family may accept or receive a gift from a foreign dignitary with a value exceeding \$100
889 for which the fair market value or a gift of greater or equal value has not been provided or exchanged.
890 Such gift shall be accepted on behalf of the Commonwealth or a locality and archived in accordance
891 with guidelines established by the Library of Virginia. Such gift shall be disclosed as having been
892 accepted on behalf of the Commonwealth or a locality, but the value of such gift shall not be required
893 to be disclosed.

894 F. Notwithstanding the provisions of subsection B or C, such officer, employee, or candidate or a
895 member of his immediate family may accept or receive certain gifts with a value in excess of \$100 from
896 a person listed in subsection B or C if such gift was provided to such officer, employee, or candidate or
897 a member of his immediate family on the basis of a personal friendship. Notwithstanding any other
898 provision of law, a person listed in subsection B or C may be a personal friend of such officer,
899 employee, or candidate or his immediate family for purposes of this subsection. In determining whether
900 a person listed in subsection B or C is a personal friend, the following factors shall be considered: (i)
901 the circumstances under which the gift was offered; (ii) the history of the relationship between the
902 person and the donor, including the nature and length of the friendship and any previous exchange of
903 gifts between them; (iii) to the extent known to the person, whether the donor personally paid for the
904 gift or sought a tax deduction or business reimbursement for the gift; and (iv) whether the donor has

905 *given the same or similar gifts to other persons required to file the disclosure form prescribed in*
 906 *§ 2.2-3117 or 30-111.*

907 *G. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a*
 908 *member of his immediate family may accept or receive gifts of travel, including travel-related*
 909 *transportation, lodging, hospitality, food or beverages, or other thing of value, with a value in excess of*
 910 *\$100 that is paid for or provided by a person listed in subsection B or C when the officer, employee, or*
 911 *candidate has submitted a request for approval of such travel to the Council and has received the*
 912 *approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form*
 913 *prescribed in § 2.2-3117.*

914 *H. During the pendency of a civil action in any state or federal court to which the Commonwealth is*
 915 *a party, the Governor or the Attorney General or any employee of the Governor or the Attorney General*
 916 *who is subject to the provisions of this chapter shall not solicit, accept, or receive any tangible gift from*
 917 *any person that he knows or has reason to know is a person, organization, or business who that is a*
 918 *party to such civil action. A person, organization, or business who that is a party to such civil action*
 919 *shall not knowingly give any tangible gift to the Governor or the Attorney General or any of their*
 920 *employees who are subject to the provisions of this chapter.*

921 *E. 1. The ~~\$250~~ \$100 limitation imposed in accordance with this section shall be adjusted by the*
 922 *Council every five years, as of January 1 of that year, in an amount equal to the annual increases for*
 923 *that five-year period in the United States Average Consumer Price Index for all items, all urban*
 924 *consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor,*
 925 *rounded to the nearest whole dollar.*

926 *F. For purposes of this section, "person, organization, or business" includes individuals who are*
 927 *officers, directors, or owners of or who have a controlling ownership interest in such organization or*
 928 *business.*

929 **§ 2.2-3103.2. Return of gifts.**

930 *No person shall be in violation of any provision of this chapter prohibiting the acceptance of a gift if*
 931 *(i) the gift is not used by such person and the gift or its equivalent in money is returned to the donor or*
 932 *delivered to a charitable organization within a reasonable period of time upon the discovery of the*
 933 *value of the gift and is not claimed as a charitable contribution for federal income tax purposes or (ii)*
 934 *consideration is given by the donee to the donor for the value of the gift within a reasonable period of*
 935 *time upon the discovery of the value of the gift provided that such consideration reduces the value of*
 936 *the gift to an amount not in excess of \$100 as provided in subsection B or C of § 2.2-3103.1.*

937 **§ 2.2-3104. Prohibited conduct for certain officers and employees of state government.**

938 *For one year after the termination of public employment or service, no state officer or employee*
 939 *shall, before the agency of which he was an officer or employee, represent a client or act in a*
 940 *representative capacity on behalf of any person or group, for compensation, on matters related to*
 941 *legislation, executive orders, or regulations promulgated by the agency of which he was an officer or*
 942 *employee. This prohibition shall be in addition to the prohibitions contained in § 2.2-3103.*

943 *For the purposes of this section, "state officer or employee" shall mean (i) the Governor, Lieutenant*
 944 *Governor, Attorney General, and officers appointed by the Governor, whether confirmation by the*
 945 *General Assembly or by either house thereof is required or not, who are regularly employed on a*
 946 *full-time salaried basis; those officers and employees of executive branch agencies who report directly to*
 947 *the agency head; and those at the level immediately below those who report directly to the agency head*
 948 *and are at a payband 6 or higher and (ii) the officers and professional employees of the legislative*
 949 *branch designated by the joint rules committee of the General Assembly. For the purposes of this*
 950 *section, the General Assembly and the legislative branch agencies shall be deemed one agency.*

951 *To the extent this prohibition applies to the Governor's Secretaries, "agency" means all agencies*
 952 *assigned to the Secretary by law or by executive order of the Governor.*

953 *Any person subject to the provisions of this section may apply to the Council or Attorney General,*
 954 *as provided in § 2.2-3121 or 2.2-3126, for an advisory opinion as to the application of the restriction*
 955 *imposed by this section on any post-public employment position or opportunity.*

956 **§ 2.2-3104.01. Prohibited conduct; bids or proposals under the Virginia Public Procurement**
 957 **Act, Public-Private Transportation Act, and Public-Private Education Facilities and Infrastructure**
 958 **Act; loans or grants from the Commonwealth's Development Opportunity Fund.**

959 *A. Neither the Governor, his political action committee, or the Governor's Secretaries, if the*
 960 *Secretary is responsible to the Governor for an executive branch agency with jurisdiction over the*
 961 *matters at issue, shall knowingly solicit or accept a contribution, gift, or other item with a value greater*
 962 *than \$50 from any bidder, offeror, or private entity, or from an officer or director of such bidder,*
 963 *offeror, or private entity, who has submitted a bid or proposal to an executive branch agency that is*
 964 *directly responsible to the Governor pursuant to the Virginia Public Procurement Act (§ 2.2-4300 et*
 965 *seq.), the Public-Private Transportation Act of 1995 (§ 33.2-1800 et seq.), or the Public-Private*
 966 *Education Facilities and Infrastructure Act of 2002 (§ 56-575.1 et seq.) (i) during the period between the*

967 submission of the bid and the award of the public contract under the Virginia Public Procurement Act or
968 (ii) following the submission of a proposal under the Public-Private Transportation Act of 1995 or the
969 Public-Private Education Facilities and Infrastructure Act of 2002 until the execution of a comprehensive
970 agreement thereunder.

971 B. *Neither the Governor, his campaign committee, nor a political action committee established on his*
972 *behalf shall knowingly solicit or accept a contribution, gift, or other item with a value greater than*
973 *\$100 from any person or entity that has submitted an application for a grant or loan from the*
974 *Commonwealth's Development Opportunity Fund during the period in which the person or entity's*
975 *application for such an award is pending and for the one-year period immediately after any such award*
976 *is made. For purposes of this subsection, "entity" includes individuals who are officers, directors, or*
977 *owners of or who have a controlling ownership interest in such entity.*

978 C. The provisions of this section shall apply only for public contracts, proposals, or comprehensive
979 agreements where the stated or expected value of the contract is \$5 million or more *or for grants or*
980 *loans from the Commonwealth's Development Opportunity Fund regardless of the value of the grant or*
981 *loan. The provisions of this section shall not apply to contracts awarded as the result of competitive*
982 *sealed bidding as set forth in § 2.2-4302.1.*

983 C. D. Any person who knowingly violates this section shall be subject to a civil penalty of \$500 or
984 up to two times the amount of the contribution or gift, whichever is greater, *and the contribution, gift,*
985 *or other item shall be returned to the donor. The attorney for the Commonwealth shall initiate civil*
986 *proceedings to enforce the civil penalties. Any civil penalties collected shall be payable to the State*
987 *Treasurer for deposit to the general fund and shall be used exclusively to fund the Council.*

988 **§ 2.2-3106. Prohibited contracts by officers and employees of state government and Eastern**
989 **Virginia Medical School.**

990 A. No officer or employee of any governmental agency of state government or Eastern Virginia
991 Medical School shall have a personal interest in a contract with the governmental agency of which he is
992 an officer or employee, other than his own contract of employment.

993 B. No officer or employee of any governmental agency of state government or Eastern Virginia
994 Medical School shall have a personal interest in a contract with any other governmental agency of state
995 government unless such contract is (i) awarded as a result of competitive sealed bidding or competitive
996 negotiation as set forth in § 2.2-4302.1 or 2.2-4302.2 or (ii) is awarded after a finding, in writing, by the
997 administrative head of the governmental agency that competitive bidding or negotiation is contrary to the
998 best interest of the public.

999 C. The provisions of this section shall not apply to:

1000 1. An employee's personal interest in additional contracts of employment with his own governmental
1001 agency that accrue to him because of a member of his immediate family, provided the employee does
1002 not exercise any control over the employment or the employment activities of the member of his
1003 immediate family and the employee is not in a position to influence those activities;

1004 2. The personal interest of an officer or employee of a state institution of higher education or the
1005 Eastern Virginia Medical School in additional contracts of employment with his own governmental
1006 agency that accrue to him because of a member of his immediate family, provided (i) the officer or
1007 employee and the immediate family member are engaged in teaching, research or administrative support
1008 positions at the educational institution or the Eastern Virginia Medical School, (ii) the governing board
1009 of the educational institution finds that it is in the best interests of the institution or the Eastern Virginia
1010 Medical School and the Commonwealth for such dual employment to exist, and (iii) after such finding,
1011 the governing board of the educational institution or the Eastern Virginia Medical School ensures that
1012 the officer or employee, or the immediate family member, does not have sole authority to supervise,
1013 evaluate or make personnel decisions regarding the other;

1014 3. An officer's or employee's personal interest in a contract of employment with any other
1015 governmental agency of state government;

1016 4. Contracts for the sale by a governmental agency or the Eastern Virginia Medical School of
1017 services or goods at uniform prices available to the general public;

1018 5. An employee's personal interest in a contract between a public institution of higher education in
1019 Virginia or the Eastern Virginia Medical School and a publisher or wholesaler of textbooks or other
1020 educational materials for students, which accrues to him solely because he has authored or otherwise
1021 created such textbooks or materials;

1022 6. An employee's personal interest in a contract with his or her employing public institution of higher
1023 education to acquire the collections or scholarly works owned by the employee, including manuscripts,
1024 musical scores, poetry, paintings, books or other materials, writings, or papers of an academic, research,
1025 or cultural value to the institution, provided the president of the institution approves the acquisition of
1026 such collections or scholarly works as being in the best interests of the institution's public mission of
1027 service, research, or education;

1028 7. Subject to approval by the board of visitors, an employee's personal interest in a contract between
1029 the Eastern Virginia Medical School or a public institution of higher education in Virginia that operates
1030 a school of medicine or dentistry and a not-for-profit nonstock corporation that operates a clinical
1031 practice within such public institution of higher education or the Eastern Virginia Medical School and of
1032 which such employee is a member or employee;

1033 8. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract
1034 for research and development or commercialization of intellectual property between a public institution
1035 of higher education in Virginia or the Eastern Virginia Medical School and a business in which the
1036 employee has a personal interest, if (i) the employee's personal interest has been disclosed to and
1037 approved by such public institution of higher education or the Eastern Virginia Medical School prior to
1038 the time at which the contract is entered into; (ii) the employee promptly files a disclosure statement
1039 pursuant to § 2.2-3117 and thereafter files such statement annually on or before ~~January~~ *December* 15;
1040 (iii) the institution has established a formal policy regarding such contracts, approved by the State
1041 Council of Higher Education or, in the case of the Eastern Virginia Medical School, a formal policy
1042 regarding such contracts in conformity with any applicable federal regulations that has been approved by
1043 its board of visitors; and (iv) no later than December 31 of each year, the institution or the Eastern
1044 Virginia Medical School files an annual report with the Secretary of the Commonwealth disclosing each
1045 open contract entered into subject to this provision, the names of the parties to each contract, the date
1046 each contract was executed and its term, the subject of each contractual arrangement, the nature of the
1047 conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for
1048 administering each contract, the details of the institution's or the Eastern Virginia Medical School's
1049 commitment or investment of resources or finances for each contract, and any other information
1050 requested by the Secretary of the Commonwealth; or

1051 9. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract
1052 between a public institution of higher education in Virginia or the Eastern Virginia Medical School and
1053 a business in which the employee has a personal interest, if (i) the personal interest has been disclosed
1054 to the institution or the Eastern Virginia Medical School prior to the time the contract is entered into;
1055 (ii) the employee files a disclosure statement pursuant to § 2.2-3117 and thereafter annually on or before
1056 ~~January~~ *December* 15; (iii) the employee does not participate in the institution's or the Eastern Virginia
1057 Medical School's decision to contract; (iv) the president of the institution or the Eastern Virginia
1058 Medical School finds and certifies in writing that the contract is for goods and services needed for
1059 quality patient care, including related medical education or research, by the institution's medical center
1060 or the Eastern Virginia Medical School, its affiliated teaching hospitals and other organizations necessary
1061 for the fulfillment of its mission, including the acquisition of drugs, therapies and medical technologies;
1062 and (v) no later than December 31 of each year, the institution or the Eastern Virginia Medical School
1063 files an annual report with the Secretary of the Commonwealth disclosing each open contract entered
1064 subject to this provision, the names of the parties to each contract, the date each contract was executed
1065 and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the
1066 institution's or the Eastern Virginia Medical School's employee responsible for administering each
1067 contract, the details of the institution's or the Eastern Virginia Medical School's commitment or
1068 investment of resources or finances for each contract, and any other information requested by the
1069 Secretary of the Commonwealth.

1070 D. Notwithstanding the provisions of subdivisions C 8 and C 9, if the research and development or
1071 commercialization of intellectual property or the employee's personal interest in a contract with a
1072 business is subject to policies and regulations governing conflicts of interest promulgated by any agency
1073 of the United States government, including the adoption of policies requiring the disclosure and
1074 management of such conflicts of interests, the policies established by the Eastern Virginia Medical
1075 School pursuant to such federal requirements shall constitute compliance with subdivisions C 8 and C 9,
1076 upon notification by the Eastern Virginia Medical School to the Secretary of the Commonwealth by
1077 January 31 of each year of evidence of their compliance with such federal policies and regulations.

1078 E. The board of visitors may delegate the authority granted under subdivision C 8 to the president of
1079 the institution. If the board elects to delegate such authority, the board shall include this delegation of
1080 authority in the formal policy required by clause (iii) of subdivision C 8. In those instances where the
1081 board has delegated such authority, on or before December 1 of each year, the president of the relevant
1082 institution shall file a report with the relevant board of visitors disclosing each open contract entered
1083 into subject to this provision, the names of the parties to each contract, the date each contract was
1084 executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest,
1085 the institution's or the Eastern Virginia Medical School's employee responsible for administering each
1086 contract, the details of the institution's or the Eastern Virginia Medical School's commitment or
1087 investment of resources or finances for each contract, the details of how revenues are to be dispersed,
1088 and any other information requested by the board of visitors.

1089 **§ 2.2-3114. Disclosure by state officers and employees.**

1090 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of
 1091 the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,
 1092 members of the State Corporation Commission, members of the Virginia Workers' Compensation
 1093 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees
 1094 of the Virginia Retirement System, *members of the Virginia Alcoholic Beverage Control Board*, and
 1095 members of the Virginia Lottery Board and other persons occupying such offices or positions of trust or
 1096 employment in state government, including members of the governing bodies of authorities, as may be
 1097 designated by the Governor, or, ~~in the case of~~ officers or employees of the legislative branch, *as may be*
 1098 *designated* by the Joint Rules Committee of the General Assembly, shall file with the Council, as a
 1099 condition to assuming office or employment, a disclosure statement of their personal interests and such
 1100 other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a
 1101 statement semiannually by December 15 for the preceding six-month period complete through the last
 1102 day of October and by June 15 for the preceding six-month period complete through the last day of
 1103 April. When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement
 1104 shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.

1105 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in
 1106 the executive branch of state government, other than the Commonwealth Transportation Board, members
 1107 of the Board of Trustees of the Virginia Retirement System, and the Virginia Lottery Board, shall file
 1108 with the Council, as a condition to assuming office, a disclosure form of their personal interests and
 1109 such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such
 1110 form annually on or before December 15. When the filing deadline falls on a Saturday, Sunday, or legal
 1111 holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal
 1112 holiday. Nonsalaried citizen members of other boards, commissions and councils, including advisory
 1113 boards and authorities, may be required to file a disclosure form if so designated by the Governor, in
 1114 which case the form shall be that set forth in § 2.2-3118.

1115 C. The disclosure forms required by subsections A and B shall be ~~provided~~ *made available* by the
 1116 Council to each officer and employee so designated, including officers appointed by legislative
 1117 authorities at least 30 days prior to the filing deadline. Disclosure forms shall be filed ~~and electronically~~
 1118 *with the Council in accordance with the standards approved by it pursuant to § 30-356. All forms shall*
 1119 *be maintained as public records for five years in the office of the Council. Such forms shall be made*
 1120 *public no later than six weeks after filing.*

1121 D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a
 1122 disclosure statement of their personal interests as required by § 24.2-502.

1123 E. Any officer or employee of state government who has a personal interest in any transaction before
 1124 the governmental or advisory agency of which he is an officer or employee and who is disqualified
 1125 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to
 1126 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
 1127 name and address of the business and the address or parcel number for the real estate if the interest
 1128 involves a business or real estate, and his disclosure shall also be reflected in the public records of the
 1129 agency for five years in the office of the administrative head of the officer's or employee's governmental
 1130 agency or advisory agency or, if the agency has a clerk, in the clerk's office.

1131 F. An officer or employee of state government who is required to declare his interest pursuant to
 1132 subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the
 1133 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
 1134 member of a business, profession, occupation, or group the members of which are affected by the
 1135 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
 1136 interest. The officer or employee shall either make his declaration orally to be recorded in written
 1137 minutes for his agency or file a signed written declaration with the clerk or administrative head of his
 1138 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
 1139 public inspection such declaration for a period of five years from the date of recording or receipt. If
 1140 reasonable time is not available to comply with the provisions of this subsection prior to participation in
 1141 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
 1142 next business day.

1143 G. An officer or employee of state government who is required to declare his interest pursuant to
 1144 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
 1145 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
 1146 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
 1147 the public interest. The officer or employee shall either make his declaration orally to be recorded in
 1148 written minutes for his agency or file a signed written declaration with the clerk or administrative head
 1149 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
 1150 available for public inspection such declaration for a period of five years from the date of recording or

1151 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
 1152 participation in the transaction, the officer or employee shall prepare and file the required declaration by
 1153 the end of the next business day.

1154 *H. Notwithstanding any other provision of law, chairs of departments at a public institution of higher*
 1155 *education in the Commonwealth shall not be required to file the disclosure form prescribed by*
 1156 *§ 2.2-3117 or 2.2-3118.*

1157 **§ 2.2-3114.1. Filings of statements of economic interests by General Assembly members.**

1158 The filing of a current statement of economic interests by a General Assembly member,
 1159 member-elect, or candidate for the General Assembly pursuant to §§ 30-110 and 30-111 of the General
 1160 Assembly Conflicts of Interests Act (§ 30-100 et seq.) shall suffice for the purposes of this chapter
 1161 (~~§ 2.2-3100 et seq.~~). The Secretary of the Commonwealth may obtain from the ~~Clerk of the House of~~
 1162 ~~Delegates or the Senate, as appropriate, Council~~ a copy of the statement of a General Assembly member
 1163 who is appointed to a position for which a statement is required pursuant to § 2.2-3114. No General
 1164 Assembly member, member-elect, or candidate shall be required to file a separate statement of economic
 1165 interests for the purposes of § 2.2-3114.

1166 **§ 2.2-3115. Disclosure by local government officers and employees.**

1167 A. The members of every governing body and school board of each county and city and of towns
 1168 with populations in excess of 3,500 shall file ~~with the Council~~, as a condition to assuming office or
 1169 employment, a disclosure statement of their personal interests and other information as is specified on
 1170 the form set forth in § 2.2-3117 and thereafter shall file such a statement semiannually by December 15
 1171 for the preceding six-month period complete through the last day of October and by June 15 for the
 1172 preceding six-month period complete through the last day of April.

1173 The members of the governing body of any authority established in any county or city, or part or
 1174 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any
 1175 fiscal year, shall file ~~with the Virginia Conflict of Interest and Ethics Advisory Council~~, as a condition
 1176 to assuming office, a disclosure statement of their personal interests and other information as is specified
 1177 on the form set forth in § 2.2-3118 and thereafter shall file such a statement annually on or before
 1178 December 15, unless the governing body of the jurisdiction that appoints the members requires that the
 1179 members file the form set forth in § 2.2-3117 semiannually by December 15 for the preceding six-month
 1180 period complete through the last day of October and by June 15 for the preceding six-month period
 1181 complete through the last day of April.

1182 Persons occupying such positions of trust appointed by governing bodies and persons occupying such
 1183 positions of employment with governing bodies as may be designated to file by ordinance of the
 1184 governing body shall file ~~with the Virginia Conflict of Interest and Ethics Advisory Council~~, as a
 1185 condition to assuming office or employment, a disclosure statement of their personal interests and other
 1186 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement
 1187 semiannually by December 15 for the preceding six-month period complete through the last day of
 1188 October and by June 15 for the preceding six-month period complete through the last day of April.

1189 Persons occupying such positions of trust appointed by school boards and persons occupying such
 1190 positions of employment with school boards as may be designated to file by an adopted policy of the
 1191 school board shall file ~~with the Virginia Conflict of Interest and Ethics Advisory Council~~, as a condition
 1192 to assuming office or employment, a disclosure statement of their personal interests and other
 1193 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement
 1194 semiannually by December 15 for the preceding six-month period complete through the last day of
 1195 October and by June 15 for the preceding six-month period complete through the last day of April.

1196 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by
 1197 the governing body shall file ~~with the Virginia Conflict of Interest and Ethics Advisory Council~~, as a
 1198 condition to assuming office, a disclosure form of their personal interests and such other information as
 1199 is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before
 1200 December 15.

1201 C. No person shall be mandated to file any disclosure not otherwise required by this article.

1202 D. The disclosure forms required by subsections A and B shall be ~~provided~~ *made available* by the
 1203 Virginia Conflict of Interest and Ethics Advisory Council ~~to the clerks of the governing bodies and~~
 1204 ~~school boards~~ at least 30 days prior to the filing deadline, and the clerks of the governing body and
 1205 school board shall distribute the forms to designated individuals at least 20 days prior to the filing
 1206 deadline. Forms shall be filed and maintained as public records for five years in the office of the
 1207 ~~Virginia Conflict of Interest and Ethics Advisory Council~~ *clerk of the respective governing body or*
 1208 *school board*. Forms filed by members of governing bodies of authorities shall be filed and maintained
 1209 as public records for five years in the office of the ~~Virginia Conflict of Interest and Ethics Advisory~~
 1210 ~~Council~~ *clerk of the governing body of the county or city*. *Such forms shall be made public no later*
 1211 *than six weeks after filing.*

1212 E. Candidates for membership in the governing body or school board of any county, city or town

1213 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests
1214 as required by § 24.2-502.

1215 F. Any officer or employee of local government who has a personal interest in any transaction before
1216 the governmental or advisory agency of which he is an officer or employee and who is disqualified
1217 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to
1218 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
1219 name and address of the business and the address or parcel number for the real estate if the interest
1220 involves a business or real estate, and his disclosure shall be reflected in the public records of the
1221 agency for five years in the office of the administrative head of the officer's or employee's governmental
1222 or advisory agency.

1223 G. In addition to any disclosure required by subsections A and B, in each county and city and in
1224 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,
1225 real estate assessors, and all county, city and town managers or executive officers shall make annual
1226 disclosures of all their interests in real estate located in the county, city or town in which they are
1227 elected, appointed, or employed. Such disclosure shall include any business in which such persons own
1228 an interest, or from which income is received, if the primary purpose of the business is to own, develop
1229 or derive compensation through the sale, exchange or development of real estate in the county, city or
1230 town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter
1231 shall be filed annually with the ~~Virginia Conflict of Interest and Ethics Advisory Council~~ *clerk of the*
1232 *governing body of such county, city, or town* on or before December 15. Such disclosures shall be filed
1233 and maintained as public records for five years. *Such forms shall be made public no later than six weeks*
1234 *after filing.* Forms for the filing of such reports shall be ~~prepared and distributed~~ *made available* by the
1235 Virginia Conflict of Interest and Ethics Advisory Council to the clerk of each governing body.

1236 H. An officer or employee of local government who is required to declare his interest pursuant to
1237 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the
1238 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
1239 member of a business, profession, occupation, or group the members of which are affected by the
1240 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
1241 interest. The officer or employee shall either make his declaration orally to be recorded in written
1242 minutes of his agency or file a signed written declaration with the clerk or administrative head of his
1243 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
1244 public inspection such declaration for a period of five years from the date of recording or receipt. If
1245 reasonable time is not available to comply with the provisions of this subsection prior to participation in
1246 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
1247 next business day. The officer or employee shall also orally disclose the existence of the interest during
1248 each meeting of the governmental or advisory agency at which the transaction is discussed and such
1249 disclosure shall be recorded in the minutes of the meeting.

1250 I. An officer or employee of local government who is required to declare his interest pursuant to
1251 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
1252 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
1253 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
1254 the public interest. The officer or employee shall either make his declaration orally to be recorded in
1255 written minutes for his agency or file a signed written declaration with the clerk or administrative head
1256 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
1257 available for public inspection such declaration for a period of five years from the date of recording or
1258 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
1259 participation in the transaction, the officer or employee shall prepare and file the required declaration by
1260 the end of the next business day.

1261 **§ 2.2-3116. Disclosure by certain constitutional officers.**

1262 For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for
1263 the Commonwealth, clerk of the circuit court and commissioner of the revenue of each county and city;
1264 ~~shall be deemed to be local officers and~~ shall be required to file *with the Council, as a condition to*
1265 *assuming office,* the Statement of Economic Interests set forth in § 2.2-3117. These officers shall file
1266 statements ~~pursuant to § 2.2-3115 and candidates~~ *semiannually by December 15 for the preceding*
1267 *six-month period complete through the last day of October and by June 15 for the preceding six-month*
1268 *period complete through the last day of April. Candidates* shall file statements as required by
1269 § 24.2-502. *Statements shall be filed electronically with the Council in accordance with the standards*
1270 *approved by it pursuant to § 30-356.* These officers shall be subject to the prohibition on certain gifts
1271 set forth in subsection B of § 2.2-3103.1.

1272 **§ 2.2-3117. Disclosure form.**

1273 The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and

1274 subsections A and E of § 2.2-3115 shall be substantially as follows: similar to the following. Except as
1275 otherwise provided in § 2.2-3115, all completed forms shall be filed electronically with the Council in
1276 accordance with the standards approved by it pursuant to § 30-356. Any person who knowingly and
1277 intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty
1278 of a Class 5 felony.

1279 STATEMENT OF ECONOMIC INTERESTS.

- 1280 Name
- 1281 Office or position held or sought
- 1282 Address

1283 Names of members of immediate family

1284 DEFINITIONS AND EXPLANATORY MATERIAL.

1285 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1286 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1287 whether or not for profit.

1288 "Close financial association" means an association in which the person filing shares significant
1289 financial involvement with an individual and the filer would reasonably be expected to be aware of the
1290 individual's business activities and would have access to the necessary records either directly or through
1291 the individual. "Close financial association" does not mean an association based on (i) the receipt of
1292 retirement benefits or deferred compensation from a business by which the person filing this statement is
1293 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an
1294 independent contractor of a business that represents an entity before any state governmental agency
1295 when the person filing has had no communications with the state governmental agency.

1296 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1297 fixed or determined in the future with the occurrence of some certain event.

1298 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1299 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
1300 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
1301 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
1302 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
1303 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
1304 institution of higher education, or other educational program pursuant to such school, institution, or
1305 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
1306 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
1307 (v) any gift related to the private profession or occupation of an officer or employee or of a member of
1308 his immediate family; ~~or~~ (vi) food or beverages consumed while attending an event at which the filer is
1309 performing official duties related to his public service; (vii) food and beverages received at or
1310 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter,
1311 or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall
1312 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
1313 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act
1314 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its
1315 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate
1316 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a
1317 legislative committee or commission, or a national conference where attendance is approved by the
1318 House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth,
1319 its political subdivisions, or any board, commission, authority, or other entity, or any charitable
1320 organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity,
1321 to which such person has been appointed or elected or is a member by virtue of his office or
1322 employment; or (xiv) gifts from relatives or personal friends. "Relative" means the donee's spouse, child,
1323 uncle, aunt, niece, ~~or~~ nephew, or first cousin; a person to whom the donee is engaged to be married; the
1324 donee's or his spouse's parent, grandparent, grandchild, brother, ~~or~~ sister, step-parent, step-grandparent,
1325 step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend"
1326 does not include any person that the filer knows or has reason to know is (a) a lobbyist registered
1327 pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in
1328 § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person,
1329 organization, or business who is a party to or is seeking to become a party to a contract with the local
1330 agency of which he is an officer or an employee; or (d) for an officer or employee of a state
1331 governmental or advisory agency, a person, organization, or business who is a party to or is seeking to
1332 become a party to a contract with the Commonwealth. "Person, organization, or business" includes
1333 individuals who are officers, directors, or owners of or who have a controlling ownership interest in
1334 such organization or business.

1335 "Immediate family" means (i) a spouse and (ii) any ~~child~~ *other person* who resides in the same
1336 household as the officer or employee and who is a dependent of the officer or employee.

1337 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
1338 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
1339 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
1340 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
1341 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
1342 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1343 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
1344 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
1345 filing the Statement as of the date of this report unless otherwise stated.

1346 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

1347 You may attach additional explanatory information.

1348 1. Offices and Directorships.

1349 Are you or a member of your immediate family a paid officer or paid director of a business?

1350 EITHER check NO // OR check YES // and complete Schedule A.

1351 2. Personal Liabilities.

1352 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
1353 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
1354 at least equal in value to the loan.)

1355 EITHER check NO // OR check YES // and complete Schedule B.

1356 3. Securities.

1357 Do you or a member of your immediate family, directly or indirectly, separately or together, own
1358 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
1359 partnerships and trusts.

1360 EITHER check NO // OR check YES // and complete Schedule C.

1361 4. Payments for Talks, Meetings, and Publications.

1362 During the past six months did you receive in your capacity as an officer or employee of your
1363 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200
1364 \$100 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where
1365 your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant
1366 to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills
1367 relative to your duties as an officer or employee of your agency?

1368 EITHER check NO // OR check YES // and complete Schedule D.

1369 5. Gifts.

1370 During the past six months did a business, government, or individual other than a relative or personal
1371 friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single
1372 event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family
1373 with gifts or entertainment in any combination and the total value received exceeded \$100 \$50, and for
1374 which you or the member of your immediate family neither paid nor rendered services in exchange?
1375 Account for entertainment events only if the average value per person attending the event exceeded \$50.
1376 Account for all business entertainment (except if related to the private profession or occupation of you
1377 or the member of your immediate family who received such business entertainment) even if unrelated to
1378 your official duties.

1379 EITHER check NO // OR check YES // and complete Schedule E.

1380 6. Salary and Wages.

1381 List each employer that pays you or a member of your immediate family salary or wages in excess
1382 of \$5,000 annually. (Exclude state or local government or advisory agencies.)

1383 If no reportable salary or wages, check here //.

1384 _____
1385 _____
1386 _____

1387 7. Business Interests.

1388 Do you or a member of your immediate family, separately or together, operate your own business, or
1389 own or control an interest in excess of \$5,000 in a business?

1390 EITHER check NO // OR check YES // and complete Schedule F.

1391 8. Payments for Representation and Other Services.

1392 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
1393 state governmental agencies, excluding courts or judges, for which you received total compensation
1394 during the past six months in excess of \$1,000, excluding compensation for other services to such
1395 businesses and representation consisting solely of the filing of mandatory papers and subsequent

1396 representation regarding the mandatory papers? (Officers and employees of local governmental and
1397 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

1398 EITHER check NO // OR check YES // and complete Schedule G-1.

1399 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
1400 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
1401 any businesses before any state governmental agency for which total compensation was received during
1402 the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory
1403 agencies do NOT need to answer this question or complete Schedule G-2.)

1404 EITHER check NO // OR check YES // and complete Schedule G-2.

1405 8C. Did you or persons with whom you have a close financial association furnish services to
1406 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
1407 persons with whom you have a close financial association and such businesses for which total
1408 compensation in excess of \$1,000 was received during the past six months? Services reported under this
1409 provision shall not include services involving the representation of businesses that are reported under
1410 item 8A or 8B.

1411 EITHER check NO // OR check YES // and complete Schedule G-3.

1412 9. Real Estate.

1413 9A. State Officers and Employees.

1414 Do you or a member of your immediate family hold an interest, including a partnership interest,
1415 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
1416 already listed the full address on Schedule F? Account for real estate held in trust.

1417 EITHER check NO // OR check YES // and complete Schedule H-1.

1418 9B. Local Officers and Employees.

1419 Do you or a member of your immediate family hold an interest, including a partnership interest, or
1420 option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal
1421 residence) for which you have not already listed the full address on Schedule F? Account for real estate
1422 held in trust.

1423 EITHER check NO // OR check YES // and complete Schedule H-2.

1424 10. Real Estate Contracts with Governmental Agencies.

1425 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
1426 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
1427 estate is the subject of a contract, whether pending or completed within the past six months, with a
1428 governmental agency? If the real estate contract provides for the leasing of the property to a
1429 governmental agency, do you or a member of your immediate family hold an interest in the real estate
1430 valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in
1431 Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest
1432 derived through an ownership interest in a business unless the ownership interest exceeds three percent
1433 of the total equity of the business.

1434 EITHER check NO // OR check YES // and complete Schedule I.

1435 Statements of Economic Interests are open for public inspection.

1436 AFFIRMATION BY ALL FILERS.

1437 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1438 Signature

1439 (Return only if needed to complete Statement.)

1440 SCHEDULES

1441 to

1442 STATEMENT OF ECONOMIC INTERESTS.

1443 NAME

1444 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1445 Identify each business of which you or a member of your immediate family is a paid officer or paid
1446 director.

1447 _____

1448 _____

1449

1450

1451

1452

1453

1454

1455

1456

Name of Business	Address of Business	Position Held and by Whom
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

1457 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
1458 report debts to any government. Do not report loans secured by recorded liens on property at least equal
1459 in value to the loan.

1460 Report contingent liabilities below and indicate which debts are contingent.

1461 1. My personal debts are as follows:

1462	<hr/>		
1463			
1464	Check	Check one	
1465	appropriate	\$5,001 to	More than
1466	categories	\$50,000	\$50,000
1467	Banks	_____	_____
1468	Savings institutions	_____	_____
1469	Other loan or finance companies	_____	_____
1470	Insurance companies	_____	_____
1471	Stock, commodity or other brokerage companies	_____	_____
1472	Other businesses:		
1473	(State principal business activity for each		
1474	creditor and its name.)		
1475	_____	_____	_____
1476	_____	_____	_____
1477	_____	_____	_____
1478	Individual creditors:		
1479	(State principal business or occupation of		
1480	each creditor and its name.)		
1481	_____	_____	_____
1482	_____	_____	_____
1483	_____	_____	_____

1485 2. The personal debts of the members of my immediate family are as follows:

1486	<hr/>		
1487			
1488	Check	Check one	
1489	appropriate	\$5,001 to	More than
1490	categories	\$50,000	\$50,000
1491	Banks	_____	_____
1492	Savings institutions	_____	_____
1493	Other loan or finance companies	_____	_____
1494	Insurance companies	_____	_____
1495	Stock, commodity or other brokerage companies	_____	_____
1496	Other businesses:		
1497	(State principal business activity for each		
1498	creditor and its name.)		
1499	_____	_____	_____
1500	_____	_____	_____
1501	_____	_____	_____
1502	Individual creditors:		
1503	(State principal business or occupation of		
1504	each creditor and its name.)		
1505	_____	_____	_____
1506	_____	_____	_____
1507	_____	_____	_____

1508 _____

1509 SCHEDULE C - SECURITIES.

1510 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures
1511 contracts.

1512 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and
1513

RETURN TO ITEM 3

1514 insurance policies.

1515 Identify each business or Virginia governmental entity in which you or a member of your immediate
1516 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name
1517 each issuer and type of security individually.

1518 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
1519 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
1520 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
1521 in trust.

1522 If no reportable securities, check here //.

1523 _____

1524 _____

1525 _____

1526 _____

1527 _____

1528 _____

1529 _____

1530 _____

1531 _____

1532 _____

1533 _____

1534 _____

RETURN TO ITEM 4

1535 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1536 List each source from which you received during the past six months in your capacity as an officer
1537 or employee of your agency lodging, transportation, money, or any other thing of value with combined
1538 value exceeding \$200 \$100 (i) for your presentation of a single talk, participation in one meeting, or
1539 publication of a work or (ii) for your attendance at a meeting, conference, or event where your
1540 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
1541 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
1542 to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other
1543 thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii)
1544 (a), or (ii) (b) shall be listed as a gift on Schedule E.

1545 List payments or reimbursements by an advisory or governmental agency only for meetings or travel
1546 outside the Commonwealth.

1547 List a payment even if you donated it to charity.

1548 Do not list information about a payment if you returned it within 60 days or if you received it from
1549 an employer already listed under Item 6 or from a source of income listed on Schedule F.

1550 If no payment must be listed, check here //.

1551 _____

1552 _____

1553 _____

1554 _____

1555 _____

1556 _____

1557 _____

1558 _____

1559 _____

1560 _____

1561 _____

1562 _____

RETURN TO ITEM 5

1563 SCHEDULE E - GIFTS.

1564 List each business, governmental entity, or individual that, during the past six months, (i) furnished
1565 you or a member of your immediate family with any gift or entertainment at a single event, and the
1566 value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or
1567 entertainment in any combination and the total value received exceeded \$100 \$50, and for which you or
1568 the member of your immediate family neither paid nor rendered services in exchange. List each such
1569 gift or event. Do not list entertainment events unless the average value per person attending the event
1570 exceeded \$50. Do not list business entertainment related to the private profession or occupation of you
1571 or the member of your immediate family who received such business entertainment. Do not list gifts or
1572 other things of value given by a relative or personal friend for reasons clearly unrelated to your public
1573 position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et

1574 seq.) of Title 24.2 of the Code of Virginia.

1575	_____				
1576	_____				
1577		Name of Business,	City or	Exact	
1578	Name of	Organization, or	County	Gift or	Approximate
1579	Recipient	Individual	and State	Event	Value
1580	_____	_____	_____	_____	_____
1581	_____	_____	_____	_____	_____
1582	_____	_____	_____	_____	_____
1583	_____	_____	_____	_____	_____
1584	_____				

RETURN TO ITEM 6

1585
1586 SCHEDULE F - BUSINESS INTERESTS.

1587 Complete this Schedule for each self-owned or family-owned business (including rental property, a
1588 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
1589 family, separately or together, own an interest having a value in excess of \$5,000.

1590 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
1591 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
1592 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
1593 Account for business interests held in trust.

1594	_____				
1595	_____				
1596	Name of Business,			Gross Income	
1597	Corporation,				
1598	Partnership,	City or	Nature of Enterprise	\$50,001	More
1599	Farm; Address of	County	(farming, law, rental	\$50,000	to than
1600	Rental Property	and State	property, etc.)	or less	\$250,000 \$250,000
1601	_____	_____	_____	_____	_____
1602	_____	_____	_____	_____	_____
1603	_____	_____	_____	_____	_____
1604	_____	_____	_____	_____	_____
1605	_____				

RETURN TO ITEM 8

1606 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1607 List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any
1608 state governmental agency, excluding any court or judge, for which you received total compensation
1609 during the past six months in excess of \$1,000, excluding compensation for other services to such
1610 businesses and representation consisting solely of the filing of mandatory papers and subsequent
1611 representation regarding the mandatory papers filed by you.

1612 Identify each business, the nature of the representation and the amount received by dollar category
1613 from each such business. You may state the type, rather than name, of the business if you are required
1614 by law not to reveal the name of the business represented by you.

1615 Only STATE officers and employees should complete this Schedule.
1616

1617	_____								
1618	_____								
1619		Pur-		Amount Received					
1620		pose							
1621	Name	Type	of	Name					
1622	of	of	Repre-	of	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
1623	Busi-	Busi-	enta-	Agen-	to	to	to	to	and
1624	ness	ness	tion	cy	\$10,000	\$50,000	\$100,000	\$250,000	over
1625	_____	_____	_____	_____	_____	_____	_____	_____	_____
1626	_____	_____	_____	_____	_____	_____	_____	_____	_____
1627	_____	_____	_____	_____	_____	_____	_____	_____	_____
1628	_____	_____	_____	_____	_____	_____	_____	_____	_____
1629	_____								

1630 If you have received \$250,001 or more from a single business within the reporting period, indicate
1631 the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

1632

1633 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1634 List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419,
1635 before any state governmental agency, excluding any court or judge, by persons who are your partners,
1636 associates or others with whom you have a close financial association and who received total
1637 compensation in excess of \$1,000 for such representation during the past six months, excluding
1638 representation consisting solely of the filing of mandatory papers and subsequent representation
1639 regarding the mandatory papers filed by your partners, associates or others with whom you have a close
1640 financial association.

1641 Identify such businesses by type and also name the state governmental agencies before which such
1642 person appeared on behalf of such businesses.

1643 Only STATE officers and employees should complete this Schedule.

1644 _____

1645 _____

1646 Type of business Name of state governmental agency

1647 _____

1648 _____

1649 _____

1650 _____

1651 _____

1652 SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

1653 Indicate below types of businesses that operate in Virginia to which services were furnished by you
1654 or persons with whom you have a close financial association pursuant to an agreement between you and
1655 such businesses, or between persons with whom you have a close financial association and such
1656 businesses and for which total compensation in excess of \$1,000 was received during the past six
1657 months. Services reported in this Schedule shall not include services involving the representation of
1658 businesses that are reported in Schedule G-1 or G-2.

1659 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
1660 service rendered and (iii) the value by dollar category of the compensation received for all businesses
1661 falling within each category.

1662 _____

1663 _____

1664 Check Value of Compensation

1665 if Type

1666 ser- of

1667 vices ser-

1668 were vice \$1,001 \$10,001 \$50,001 \$100,001 \$250,001

1669 ren- ren- to to to to and

1670 dered dered \$10,000 \$50,000 \$100,000 \$250,000 over

1671 Electric utilities _____

1672 Gas utilities _____

1673 Telephone utilities _____

1674 Water utilities _____

1675 Cable television _____

1676 companies _____

1677 Interstate _____

1678 transportation _____

1679 companies _____

1680 Intrastate _____

1681 transportation _____

1682 companies _____

1683 Oil or gas retail _____

1684 companies _____

1685 Banks _____

1686 Savings institutions _____

1687 Loan or finance _____

1688 companies _____

1689 Manufacturing _____

- 1690 companies (state
- 1691 type of product,
- 1692 e.g., textile,
- 1693 furniture, etc.) _____
- 1694 Mining companies _____
- 1695 Life insurance
- 1696 companies _____
- 1697 Casualty insurance
- 1698 companies _____
- 1699 Other insurance
- 1700 companies _____
- 1701 Retail companies _____
- 1702 Beer, wine or liquor
- 1703 companies or
- 1704 distributors _____
- 1705 Trade associations _____
- 1706 Professional
- 1707 associations _____
- 1708 Associations of
- 1709 public employees
- 1710 or officials _____
- 1711 Counties, cities
- 1712 or towns _____
- 1713 Labor organizations _____
- 1714 Other _____
- 1715 _____

RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

1721		
1722		
1723	Describe the type of real	
1724	estate you own in each	If the real estate is
1725	location (business, recre-	owned or recorded in
1726	ational, apartment, com-	a name other than your
1727	mercial, open land, etc.).	own, list that name.
1728	_____	_____
1729	_____	_____
1730	_____	_____
1731	_____	_____
1732	_____	_____
1733	_____	_____

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

1739		
1740		
1741	Describe the type	
1742	of real estate	
1743	you own in	
1744	each location	If the real estate
1745	List each location (business,	is owned or rec-
1746	(state, and county recreational,	orded in a name

1747	or city) where	apartment, com-	other than your	List the names
1748	you own real	mercial, open	own, list that	of any co-owners,
1749	estate.	land, etc.).	name.	if applicable.
1750	_____	_____	_____	_____
1751	_____	_____	_____	_____
1752	_____	_____	_____	_____
1753	_____	_____	_____	_____
1754	_____	_____	_____	_____

1755 _____
 1756 **SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.**

1757 List all contracts, whether pending or completed within the past six months, with a governmental
 1758 agency for the sale or exchange of real estate in which you or a member of your immediate family
 1759 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,
 1760 valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in
 1761 which you or a member of your immediate family holds such an interest valued at more than \$1,000.
 1762 This requirement to disclose an interest in a lease does not apply to an interest derived through an
 1763 ownership interest in a business unless the ownership interest exceeds three percent of the total equity of
 1764 the business.

1765 State officers and employees report contracts with state agencies.

1766 Local officers and employees report contracts with local agencies.

1767 _____
 1768 _____

1769 List your real estate
 1770 interest and the
 1771 person or entity,
 1772 including the type
 1773 of entity, which
 1774 is party to
 1775 the contract.

1776 Describe any
 1777 management role and
 1778 the percentage
 1779 ownership
 1780 interest you or your
 1781 immediate family
 1782 member has in the real
 1783 estate or entity.

List each governmental
 agency which is a
 party to the contract
 and indicate the
 county or city where
 the real estate
 is located.

State the annual
 income from the
 contract, and the
 amount, if any, of
 income you or any
 immediate family
 member derives
 annually from the
 contract.

1784	_____	_____	_____
1785	_____	_____	_____
1786	_____	_____	_____
1787	_____	_____	_____
1788	_____	_____	_____
1789	_____	_____	_____

1790 **§ 2.2-3118. Disclosure form; certain citizen members.**

1791 A. The financial disclosure form to be used for filings required pursuant to subsection B of
 1792 § 2.2-3114 and subsection B of § 2.2-3115 shall be filed in accordance with the provisions of § 30-356.
 1793 The financial disclosure form shall be substantially as follows: *similar to the following. Except as*
 1794 *otherwise provided in § 2.2-3115, all completed forms shall be filed electronically with the Council in*
 1795 *accordance with the standards approved by it pursuant to § 30-356.*

1796 **DEFINITIONS AND EXPLANATORY MATERIAL.**

1797 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 1798 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 1799 whether or not for profit.

1800 "Close financial association" means an association in which the person filing shares significant
 1801 financial involvement with an individual and the filer would reasonably be expected to be aware of the
 1802 individual's business activities and would have access to the necessary records either directly or through
 1803 the individual. "Close financial association" does not mean an association based on (i) the receipt of
 1804 retirement benefits or deferred compensation from a business by which the person filing this statement is
 1805 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an

1806 independent contractor of a business that represents an entity before any state governmental agency
1807 when the person filing has no communications with the state governmental agency.

1808 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1809 fixed or determined in the future with the occurrence of some certain event.

1810 "Immediate family" means (i) a spouse and (ii) any ~~child~~ other person who resides in the same
1811 household as the filer and who is a dependent of the filer.

1812 "Personal interest" means, for the purposes of this form only, a personal and financial benefit or
1813 liability accruing to a filer or a member of his immediate family. Such interest shall exist by reason of
1814 (i) ownership in real or personal property, tangible or intangible; (ii) ownership in a business; (iii)
1815 income from a business; or (iv) personal liability on behalf of a business; however, unless the ownership
1816 interest in a business exceeds three percent of the total equity of the business, or the liability on behalf
1817 of a business exceeds three percent of the total assets of the business, or the annual income, and/or
1818 property or use of such property, from the business exceeds \$10,000 or may reasonably be anticipated to
1819 exceed \$10,000, such interest shall not constitute a "personal interest."

1820 Name

1821 Office or position held or to be held

1822

1823 Address

1824 I. FINANCIAL INTERESTS

1825 My personal interests and those of my immediate family are as follows:

1826 Include all forms of personal interests held at the time of filing: real estate, stocks, bonds, equity
1827 interests in proprietorships and partnerships. You may exclude:

1828 1. Deposits and interest bearing accounts in banks, savings institutions and other institutions
1829 accepting such deposits or accounts;

1830 2. Interests in any business, other than a news medium, representing less than three percent of the
1831 total equity value of the business;

1832 3. Liability on behalf of any business representing less than three percent of the total assets of such
1833 business; and

1834 4. Income (other than from salary) less than \$10,000 annually from any business. You need not state
1835 the value of any interest. You must state the name or principal business activity of each business in
1836 which you have a personal interest.

1837 A. My personal interests are:

1838 1. Residence, address, or, if no address, location

1839 2. Other real estate, address, or, if no address, location

1840 3. Name or principal business activity of each business in which stock, bond or equity interest is
1841 held

1842 B. The personal interests of my immediate family are:

1843 1. Real estate, address, or, if no address, location

1844 2. Name or principal business activity of each business in which stock, bond or equity interest is
1845 held

1846 II. OFFICES, DIRECTORSHIPS AND SALARIED EMPLOYMENTS

1847 The paid offices, paid directorships and salaried employments which I hold or which members of my
1848 immediate family hold and the businesses from which I or members of my immediate family receive
1849 retirement benefits are as follows:

1850 (You need not state any dollar amounts.)

1851 A. My paid offices, paid directorships and salaried employments are:

1852 _____

1853 _____

1854 Position held Name of business

1855 _____

1856 _____

1857 _____

1858 _____

1859 B. The paid offices, paid directorships and salaried employments of members of my immediate
1860 family are:

1861 _____

1862 _____

1863 Position held Name of business

1864 _____

1865 _____

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1923

III. BUSINESSES TO WHICH SERVICES WERE FURNISHED

A. The businesses I have represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which I have received total compensation in excess of \$1,000 during the preceding year, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers, are as follows:

Identify businesses by name and name the state governmental agencies before which you appeared on behalf of such businesses.

Name of business	Name of governmental agency
------------------	-----------------------------

B. The businesses that, to my knowledge, have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons with whom I have a close financial association and who received total compensation in excess of \$1,000 during the preceding year, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers, are as follows:

Identify businesses by type and name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of business	Name of state governmental agency
------------------	-----------------------------------

C. All other businesses listed below that operate in Virginia to which services were furnished pursuant to an agreement between you and such businesses and for which total compensation in excess of \$1,000 was received during the preceding year:

Check each category of business to which services were furnished.

- Electric utilities _____
- Gas utilities _____
- Telephone utilities _____
- Water utilities _____
- Cable television companies _____
- Intrastate transportation companies _____
- Interstate transportation companies _____
- Oil or gas retail companies _____
- Banks _____
- Savings institutions _____
- Loan or finance companies _____
- Manufacturing companies (state type _____
- of product, e.g., textile, furniture, _____
- etc.) _____
- Mining companies _____
- Life insurance companies _____
- Casualty insurance companies _____
- Other insurance companies _____
- Retail companies _____
- Beer, wine or liquor companies or _____
- distributors _____
- Trade associations _____

- 1924 Professional associations _____
- 1925 Associations of public employees or _____
- 1926 officials _____
- 1927 Counties, cities or towns _____
- 1928 Labor organizations _____

1929
1930 **IV. COMPENSATION FOR EXPENSES**

1931 The persons, associations, or other sources other than my governmental agency from which I or a
1932 member of my immediate family received remuneration in excess of \$200 \$100 during the preceding
1933 year, in cash or otherwise, as honorariums or payment of expenses in connection with my attendance at
1934 any meeting or other function to which I was invited in my official capacity are as follows:

1935 _____

1936 _____

1937	Description	Amount of remuneration
1938	Name of Source	of occasion
1939	_____	_____
1940	_____	_____
1941	_____	_____
1942	_____	_____

1943 B. The provisions of Part III A and B of the disclosure form prescribed by this section shall not be
1944 applicable to officers and employees of local governmental and local advisory agencies.

1945 C. Except for real estate located within the county, city or town in which the officer or employee
1946 serves or a county, city or town contiguous to the county, city or town in which the officer or employee
1947 serves, officers and employees of local governmental or advisory agencies shall not be required to
1948 disclose under Part I of the form any other interests in real estate.

1949 **§ 2.2-3121. Advisory opinions.**

1950 A. A state officer or employee shall not be prosecuted for a knowing violation of this chapter if the
1951 alleged violation resulted from his good faith reliance on a written opinion of the Attorney General or
1952 the Virginia Conflict of Interest and Ethics Advisory Council made in response to his written request for
1953 such opinion and the opinion was made after a full disclosure of the facts *regardless of whether such*
1954 *opinion is later withdrawn provided the alleged violation occurred prior to the withdrawal of the*
1955 *opinion.*

1956 B. A local officer or employee shall not be prosecuted for a knowing violation of this chapter if the
1957 alleged violation resulted from his good faith reliance on a written opinion of the attorney for the
1958 Commonwealth, *his city, county, or town attorney*, or the Council made in response to his written
1959 request for such opinion and the opinion was made after a full disclosure of the facts *regardless of*
1960 *whether such opinion is later withdrawn provided the alleged violation occurred prior to the withdrawal*
1961 *of the opinion.* The written opinion shall be a public record and shall be released upon request.

1962 C. ~~If any officer or employee serving at the local level of government is charged with a knowing~~
1963 ~~violation of this chapter, and the alleged violation resulted from his reliance upon a written opinion of~~
1964 ~~his city, county or town attorney, made after a full disclosure of the facts, that such action was not in~~
1965 ~~violation of this chapter, then the officer or employee shall have the right to introduce a copy of the~~
1966 ~~opinion at his trial as evidence that he did not knowingly violate this chapter.~~

1967 **§ 2.2-3124. Civil penalty from violation of this chapter.**

1968 A. In addition to any other fine or penalty provided by law, an officer or employee who knowingly
1969 violates any provision of §§ 2.2-3103 through 2.2-3112 shall be subject to a civil penalty in an amount
1970 equal to the amount of money or thing of value received as a result of such violation. If the thing of
1971 value received by the officer or employee in violation of §§ 2.2-3103 through 2.2-3112 increases in
1972 value between the time of the violation and the time of discovery of the violation, the greater value shall
1973 determine the amount of the civil penalty. Further, all money or other things of value received as a
1974 result of such violation shall be forfeited in accordance with the provisions of § 19.2-386.33.

1975 B. *An officer or employee required to file the disclosure form prescribed by § 2.2-3117 who fails to*
1976 *file such form within the time period prescribed shall be assessed a civil penalty in an amount equal to*
1977 *\$250. The Council shall notify the Attorney General of any state officer's or employee's failure to file*
1978 *the required form and the Attorney General shall assess and collect the civil penalty. The clerk of the*
1979 *school board or the clerk of the governing body of the county, city, or town shall notify the attorney for*
1980 *the Commonwealth for the locality in which the officer or employee was elected or is employed of any*
1981 *local officer's or employee's failure to file the required form and the attorney for the Commonwealth*
1982 *shall assess and collect the civil penalty. The Council shall notify the Attorney General and the clerk*
1983 *shall notify the attorney for the Commonwealth within 30 days of the deadline for filing. All civil*

SENATE SUBSTITUTES

SB1424S3

1984 *penalties collected pursuant to this subsection shall be deposited into the general fund and used*
 1985 *exclusively to fund the Council.*

1986 **§ 30-101. Definitions.**

1987 As used in this chapter, unless the context requires a different meaning:

1988 "Advisory agency" means any board, commission, committee or post which does not exercise any
 1989 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
 1990 the purpose of making studies or recommendations, or advising or consulting with a governmental
 1991 agency.

1992 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 1993 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 1994 whether or not for profit.

1995 "*Candidate*" means a person who seeks or campaigns for election to the General Assembly in a
 1996 general, primary, or special election and who is qualified to have his name placed on the ballot for the
 1997 office. The candidate shall become subject to the provisions of this section upon the filing of a statement
 1998 of qualification pursuant to § 24.2-501. The State Board of Elections shall notify each such candidate of
 1999 the provisions of this chapter.

2000 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
 2001 behalf of a governmental agency that involves the payment of money appropriated by the General
 2002 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
 2003 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
 2004 contract of which it is a part is with the legislator's own governmental agency.

2005 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
 2006 § 30-355.

2007 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
 2008 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
 2009 investment company or advisor registered under the federal Investment Advisors Act or Investment
 2010 Company Act of 1940.

2011 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
 2012 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
 2013 whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the
 2014 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
 2015 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
 2016 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
 2017 institution of higher education, or other educational program pursuant to such school, institution, or
 2018 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
 2019 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
 2020 (v) any gift related to the private profession or occupation of a legislator or of a member of his
 2021 immediate family; ~~or~~ (vi) *food or beverages consumed while attending an event at which the filer is*
 2022 *performing official duties related to his public service;* (vii) *food and beverages received at or*
 2023 *registration or attendance fees waived for any event at which the filer is a featured speaker, presenter,*
 2024 *or lecturer;* (viii) *unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall*
 2025 *memento, or similar item that is given in recognition of public, civic, charitable, or professional service;*
 2026 *(ix) a devise or inheritance;* (x) *travel disclosed pursuant to the Campaign Finance Disclosure Act*
 2027 *(§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its*
 2028 *territories, or any state or any political subdivision of such state;* (xii) *travel provided to facilitate*
 2029 *attendance by a legislator at a regular or special session of the General Assembly, a meeting of a*
 2030 *legislative committee or commission, or a national conference where attendance is approved by the*
 2031 *House or Senate Committee on Rules;* (xiii) *travel related to an official meeting of the Commonwealth,*
 2032 *its political subdivisions, or any board, commission, authority, or other entity, or any charitable*
 2033 *organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity,*
 2034 *to which such person has been appointed or elected or is a member by virtue of his office or*
 2035 *employment;* or (xiv) gifts from relatives or personal friends. For the purpose of this definition, "relative"
 2036 means the donee's spouse, child, uncle, aunt, niece, ~~or~~ nephew, *or first cousin;* a person to whom the
 2037 donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, ~~or~~
 2038 sister, *step-parent, step-grandparent, step-grandchild, step-brother, or step-sister;* or the donee's brother's
 2039 or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that
 2040 the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et
 2041 seq.) of Chapter 4 of Title 2.2; or (b) a lobbyist's principal as defined in § 2.2-419; ~~or~~ (c) a person,
 2042 organization, or business who is a party to or is seeking to become a party to a contract with the
 2043 Commonwealth. For purposes of this definition, "person, organization, or business" includes individuals
 2044 who are officers, directors, or owners of or who have a controlling ownership interest in such
 2045 organization or business.

2046 "Governmental agency" means each component part of the legislative, executive or judicial branches
 2047 of state and local government, including each office, department, authority, post, commission,
 2048 committee, and each institution or board created by law to exercise some regulatory or sovereign power
 2049 or duty as distinguished from purely advisory powers or duties.

2050 "Immediate family" means (i) a spouse and (ii) any ~~child~~ *other person* who resides in the same
 2051 household as the legislator and who is a dependent of the legislator.

2052 "Legislator" means a member of the General Assembly.

2053 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his
 2054 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership
 2055 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may
 2056 reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business;
 2057 (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination
 2058 thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be
 2059 anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds
 2060 \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe
 2061 benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a
 2062 business if the liability exceeds three percent of the asset value of the business; or (vi) an option for
 2063 ownership of a business or real or personal property if the ownership interest will consist of clause (i) or
 2064 (iv).

2065 "Personal interest in a contract" means a personal interest that a legislator has in a contract with a
 2066 governmental agency, whether due to his being a party to the contract or due to a personal interest in a
 2067 business that is a party to the contract.

2068 "Personal interest in a transaction" means a personal interest of a legislator in any matter considered
 2069 by the General Assembly. Such personal interest exists when an officer or employee or a member of his
 2070 immediate family has a personal interest in property or a business, or represents or provides services to
 2071 any individual or business and such property, business or represented or served individual or business (i)
 2072 is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or
 2073 detriment as a result of the action of the agency considering the transaction. A "personal interest in a
 2074 transaction" exists only if the legislator or member of his immediate family or an individual or business
 2075 represented or served by the legislator is affected in a way that is substantially different from the general
 2076 public or from persons comprising a profession, occupation, trade, business or other comparable and
 2077 generally recognizable class or group of which he or the individual or business he represents or serves is
 2078 a member.

2079 "Transaction" means any matter considered by the General Assembly, whether in a committee,
 2080 subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which
 2081 official action is taken or contemplated.

2082 **§ 30-103.1. Certain gifts prohibited.**

2083 A. For purposes of this section:

2084 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain
 2085 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
 2086 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
 2087 disclosure form prescribed in § 30-111.

2088 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
 2089 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
 2090 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
 2091 prescribed in § 30-111. "Tangible gift" does not include payments or reimbursements received for any
 2092 intangible gift.

2093 "*Widely attended event*" means an event at which at least 25 persons have been invited to attend or
 2094 there is a reasonable expectation that at least 25 persons will attend the event and the event is open to
 2095 the public or is open to individuals (i) who share a common interest, (ii) who are members of a public,
 2096 civic, charitable, or professional organization, (iii) who are from a particular industry or profession, or
 2097 (iv) who represent persons interested in a particular issue.

2098 B. A No legislator or candidate for the General Assembly required to file the disclosure form
 2099 prescribed in § 30-111 (i) shall not solicit, accept, or receive within any calendar year any single
 2100 tangible gift with a value in excess of \$250 or a combination of tangible gifts with an aggregate value
 2101 in excess of \$250 from any person that he knows or has reason to know is (a) a lobbyist registered
 2102 pursuant to Article 3 (§ 2-2-418 et seq.) of Chapter 4 of Title 2-2; (b) a lobbyist's principal as defined in
 2103 § 2-2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to
 2104 a contract with the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or less or any
 2105 intangible gift received from any person listed in clause (i) on Schedule E of such disclosure form; and
 2106 (iii) shall report any payments for talks, meetings, and publications on Schedule D-1 of such disclosure

2107 form. For purposes of this subsection, "person, organization, or business" includes individuals who are
 2108 officers, directors, or owners of or who have a controlling ownership interest in such organization or
 2109 business or a member of his immediate family shall solicit, accept, or receive any single gift with a
 2110 value in excess of \$100 from any person that he or a member of his immediate family knows or has
 2111 reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title
 2112 2.2 or (ii) a lobbyist's principal as defined in § 2.2-419.

2113 C. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his
 2114 immediate family may accept or receive a gift of food or beverages with a value in excess in \$100 when
 2115 such gift is accepted or received while in attendance at a widely attended event. Such gifts shall be
 2116 reported on the disclosure form prescribed in § 30-111.

2117 D. Notwithstanding the provisions of subsection B, a legislator or a member of his immediate family
 2118 may accept or receive a gift from a foreign dignitary with a value exceeding \$100 for which the fair
 2119 market value or a gift of greater or equal value has not been provided or exchanged. Such gift shall be
 2120 accepted on behalf of the Commonwealth and archived in accordance with guidelines established by the
 2121 Library of Virginia. Such gift shall be disclosed as having been accepted on behalf of the
 2122 Commonwealth, but the value of such gift shall not be required to be disclosed.

2123 E. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his
 2124 immediate family may accept or receive certain gifts with a value in excess of \$100 from a person listed
 2125 in subsection B if such gift was provided to the legislator or candidate or a member of his immediate
 2126 family on the basis of a personal friendship. Notwithstanding any other provision of law, a person listed
 2127 in subsection B may be a personal friend of the legislator or candidate or his immediate family for
 2128 purposes of this subsection. In determining whether a person listed in subsection B is a personal friend,
 2129 the following factors shall be considered: (i) the circumstances under which the gift was offered; (ii) the
 2130 history of the relationship between the person and the donor, including the nature and length of the
 2131 friendship and any previous exchange of gifts between them; (iii) to the extent known to the person,
 2132 whether the donor personally paid for the gift or sought a tax deduction or business reimbursement for
 2133 the gift; and (iv) whether the donor has given the same or similar gifts to other persons required to file
 2134 the disclosure form prescribed in § 2.2-3117 or 30-111.

2135 F. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his
 2136 immediate family may accept or receive gifts of travel, including travel-related transportation, lodging,
 2137 hospitality, food or beverages, or other thing of value, with a value in excess of \$100 that is paid for or
 2138 provided by a person listed in subsection B when the legislator or candidate has submitted a request for
 2139 approval of such travel to the Council and has received the approval of the Council pursuant to
 2140 § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

2141 G. The \$250 \$100 limitation imposed in accordance with this section shall be adjusted by the
 2142 Council every five years, as of January 1 of that year, in an amount equal to the annual increases for
 2143 that five-year period in the United States Average Consumer Price Index for all items, all urban
 2144 consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor,
 2145 rounded to the nearest whole dollar.

2146 **§ 30-103.2. Return of gifts.**

2147 No person shall be in violation of any provision of this chapter prohibiting the acceptance of a gift if
 2148 the gift is not used by such person and the gift or its equivalent in money is returned to the donor or
 2149 delivered to a charitable organization within a reasonable period of time upon the discovery of the
 2150 value of the gift and is not claimed as a charitable contribution for federal income tax purposes or (ii)
 2151 consideration is given by the donee to the donor for the value of the gift within a reasonable period of
 2152 time upon the discovery of the value of the gift provided that such consideration reduces the value of
 2153 the gift to an amount not in excess of \$100 as provided in subsection B of § 30-103.1.

2154 **§ 30-110. Disclosure.**

2155 A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure
 2156 statement of his personal interests and such other information as is specified on the form set forth in
 2157 § 30-111 and thereafter shall file such a statement semiannually by December 15 for the preceding
 2158 six-month period complete through the last day of October and by June 15 for the preceding six-month
 2159 period complete through the last day of April. When the filing deadline falls on a Saturday, Sunday, or
 2160 legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or
 2161 legal holiday. Disclosure forms shall be ~~provided~~ made available by the Virginia Conflict of Interest and
 2162 Ethics Advisory Council at least 30 days prior to the filing deadline. ~~Members of the Senate and~~
 2163 ~~members of the House of Delegates shall file their disclosure~~ Disclosure forms shall be filed
 2164 electronically with the Virginia Conflict of Interest and Ethics Advisory Council in accordance with the
 2165 standards approved by it pursuant to § 30-356. The disclosure forms of the members of the General
 2166 Assembly shall be maintained as public records for five years in the office of the Virginia Conflict of
 2167 Interest and Ethics Advisory Council. Such forms shall be made public no later than six weeks after
 2168 filing.

2169 B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as
2170 required by §§ 24.2-500 through 24.2-503.

2171 C. Any legislator who has a personal interest in any transaction pending before the General
2172 Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the
2173 rules of his house shall disclose his interest in accordance with the applicable rule of his house.

2174 **§ 30-111. Disclosure form.**

2175 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be
2176 substantially as follows: *similar to the following. All completed forms shall be filed electronically with*
2177 *the Council in accordance with the standards approved by it pursuant to § 30-356.*

2178 STATEMENT OF ECONOMIC INTERESTS.

2179 Name

2180 Office or position held or sought

2181 Address

2182 Names of members of immediate family

2183 DEFINITIONS AND EXPLANATORY MATERIAL.

2184 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
2185 association, trust or foundation, or any other individual or entity carrying on a business or profession,
2186 whether or not for profit.

2187 "Close financial association" means an association in which the filer shares significant financial
2188 involvement with an individual and the filer would reasonably be expected to be aware of the
2189 individual's business activities and would have access to the necessary records either directly or through
2190 the individual. "Close financial association" does not mean an association based on (i) the receipt of
2191 retirement benefits or deferred compensation from a business by which the legislator is no longer
2192 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent
2193 contractor of a business that represents an entity before any state governmental agency when the
2194 legislator has had no communications with the state governmental agency.

2195 "Contingent liability" means a liability that is not presently fixed or determined, but may become
2196 fixed or determined in the future with the occurrence of some certain event.

2197 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
2198 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
2199 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
2200 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
2201 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
2202 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
2203 institution of higher education, or other educational program pursuant to such school, institution, or
2204 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
2205 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
2206 (v) any gift related to the private profession or occupation of a legislator or of a member of his
2207 immediate family; ~~or~~ (vi) *food or beverages consumed while attending an event at which the filer is*
2208 *performing official duties related to his public service;* (vii) *food and beverages received at or*
2209 *registration or attendance fees waived for any event at which the filer is a featured speaker, presenter,*
2210 *or lecturer;* (viii) *unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall*
2211 *memento, or similar item that is given in recognition of public, civic, charitable, or professional service;*
2212 *(ix) a devise or inheritance;* (x) *travel disclosed pursuant to the Campaign Finance Disclosure Act*
2213 *(§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its*
2214 *territories, or any state or any political subdivision of such state;* (xii) *travel provided to facilitate*
2215 *attendance by a legislator at a regular or special session of the General Assembly, a meeting of a*
2216 *legislative committee or commission, or a national conference where attendance is approved by the*
2217 *House or Senate Committee on Rules;* (xiii) *travel related to an official meeting of the Commonwealth,*
2218 *its political subdivisions, or any board, commission, authority, or other entity, or any charitable*
2219 *organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity,*
2220 *to which such person has been appointed or elected or is a member by virtue of his office or*
2221 *employment;* or (xiv) gifts from relatives or personal friends. "Relative" means the donee's spouse, child,
2222 uncle, aunt, niece, ~~or~~ nephew, or first cousin; a person to whom the donee is engaged to be married; the
2223 donee's or his spouse's parent, grandparent, grandchild, brother, ~~or~~ sister, *step-parent, step-grandparent,*
2224 *step-grandchild, step-brother, or step-sister;* or the donee's brother's or sister's spouse. "Personal friend"
2225 does not include any person that the filer knows or has reason to know is (a) a lobbyist registered
2226 pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; or (b) a lobbyist's principal as defined
2227 in § 2.2-419; ~~or (c) a person, organization, or business who is a party to or is seeking to become a party~~
2228 ~~to a contract with the Commonwealth. "Person, organization, or business" includes individuals who are~~
2229 ~~officers, directors, or owners of or who have a controlling ownership interest in such organization or~~

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2230 business.

2231 "Immediate family" means (i) a spouse and (ii) any ~~child~~ *other person* who resides in the same
2232 household as the legislator and who is a dependent of the legislator.

2233 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
2234 services, consulting services, or public relations services, whether gratuitous or for compensation,
2235 between a member or member-elect and any person who is, or has been within the prior calendar year,
2236 registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent
2237 ownership interest by a member or member-elect in a business that employs, or engages as an
2238 independent contractor, any person who is, or has been within the prior calendar year, registered as a
2239 lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a)
2240 constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client
2241 or other privilege for a third party, or (c) be required where a member or member-elect is employed or
2242 engaged by a person and such person also employs or engages a person in a lobbyist relationship so
2243 long as the member or member-elect has no financial interest in the lobbyist relationship.

2244 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
2245 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
2246 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
2247 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
2248 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
2249 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

2250 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
2251 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
2252 filing the Statement as of the date of this report unless otherwise stated.

2253 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

2254 You may attach additional explanatory information.

2255 1. Offices and Directorships.

2256 Are you or a member of your immediate family a paid officer or paid director of a business?

2257 EITHER check NO // OR check YES // and complete Schedule A.

2258 2. Personal Liabilities.

2259 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
2260 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
2261 at least equal in value to the loan.)

2262 EITHER check NO // OR check YES // and complete Schedule B.

2263 3. Securities.

2264 Do you or a member of your immediate family, directly or indirectly, separately or together, own
2265 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
2266 partnerships and trusts.

2267 EITHER check NO // OR check YES // and complete Schedule C.

2268 4. Payments for Talks, Meetings, and Publications.

2269 During the past six months did you receive in your capacity as a legislator lodging, transportation,
2270 money, or anything else of value with a combined value exceeding ~~\$200~~ \$100 (i) for a single talk,
2271 meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the
2272 meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a
2273 legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative
2274 to your duties as a legislator? Do not include payments and reimbursements from the Commonwealth
2275 for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such
2276 meetings.

2277 EITHER check NO // OR check YES // and complete Schedule D.

2278 5. Gifts.

2279 During the past six months did a business, government, or individual other than a relative or personal
2280 friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single
2281 event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family
2282 with gifts or entertainment in any combination and the total value received exceeded ~~\$400~~ \$50, and for
2283 which you or the member of your immediate family neither paid nor rendered services in exchange?
2284 Account for entertainment events only if the average value per person attending the event exceeded \$50.
2285 Account for all business entertainment (except if related to the private profession or occupation of you
2286 or the member of your immediate family who received such business entertainment) even if unrelated to
2287 your official duties.

2288 EITHER check NO // OR check YES // and complete Schedule E.

2289 6. Salary and Wages.

2290 List each employer that pays you or a member of your immediate family salary or wages in excess
2291 of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to

2292 § 30-19.11.)

2293 If no reportable salary or wages, check here // .

2294 _____

2295 _____

2296 _____

2297 7. Business Interests and Lobbyist Relationships.

2298 7A. Do you or a member of your immediate family, separately or together, operate your own
2299 business, or own or control an interest in excess of \$5,000 in a business?

2300 EITHER check NO // OR check YES // and complete Schedule F-1.

2301 7B. Do you have a lobbyist relationship as that term is defined above?

2302 EITHER check NO // OR check YES // and complete Schedule F-2.

2303 8. Payments for Representation and Other Services.

2304 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
2305 judges, for which you received total compensation during the past six months in excess of \$1,000,
2306 excluding compensation for other services to such businesses and representation consisting solely of the
2307 filing of mandatory papers and subsequent representation regarding the mandatory papers?

2308 EITHER check NO // OR check YES // and complete Schedule G-1.

2309 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
2310 association (partners, associates or others) represent any businesses before any state governmental agency
2311 for which total compensation was received during the past six months in excess of \$1,000?

2312 EITHER check NO // OR check YES // and complete Schedule G-2.

2313 8C. Did you or persons with whom you have a close financial association furnish services to
2314 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
2315 persons with whom you have a close financial association and such businesses for which total
2316 compensation in excess of \$1,000 was received during the past six months? Services reported under this
2317 provision shall not include services involving the representation of businesses that are reported under
2318 question 8A or 8B above.

2319 EITHER check NO // OR check YES // and complete Schedule G-3.

2320 9. Real Estate.

2321 Do you or a member of your immediate family hold an interest, including a partnership interest,
2322 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
2323 already listed the full address on Schedule F? Account for real estate held in trust.

2324 EITHER check NO // OR check YES // and complete Schedule H.

2325 10. Real Estate Contracts with State Governmental Agencies.

2326 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
2327 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
2328 estate is the subject of a contract, whether pending or completed within the past six months, with a state
2329 governmental agency?

2330 If the real estate contract provides for the leasing of the property to a state governmental agency, do
2331 you or a member of your immediate family hold an interest in the real estate, including a corporate,
2332 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
2333 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
2334 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
2335 business unless the ownership interest exceeds three percent of the total equity of the business.

2336 EITHER check NO // OR check YES // and complete Schedule I.

2337 11. Payments by the Commonwealth for Meetings.

2338 During the past six months did you receive lodging, transportation, money, or anything else of value
2339 with a combined value exceeding \$200 \$100 from the Commonwealth for a single meeting attended
2340 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
2341 meetings attended in the Commonwealth.

2342 EITHER check NO // OR check YES // and complete Schedule D-2.

2343 For Statements filed in ~~January~~ June 2016 and each two years thereafter, complete the following
2344 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

2345 I certify that I completed ethics training as required by § 30-129.1. YES // or NO // .

2346 Statements of Economic Interests are open for public inspection.

2347 AFFIRMATION.

2348 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
2349 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
2350 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
2351 will satisfy such request or be subjected to disciplinary action of my house.

2352 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

2353 Signature _____ (Such signature shall be deemed to constitute a
2354 valid notarization and shall have the same effect as if performed by a notary public.)
2355 (Return only if needed to complete Statement.)

2356 SCHEDULES
2357 to
2358 STATEMENT OF ECONOMIC INTERESTS.

2359 NAME _____
2360 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

2361 Identify each business of which you or a member of your immediate family is a paid officer or paid
2362 director.

2363 _____

2364 _____

2365 Name of Business Address of Business Position Held and by Whom

2366 _____

2367 _____

2368 _____

2369 _____

2370 _____

2371 _____ RETURN TO ITEM 2

2372 SCHEDULE B - PERSONAL LIABILITIES.

2373 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
2374 report debts to any government. Do not report loans secured by recorded liens on property at least equal
2375 in value to the loan.

2376 Report contingent liabilities below and indicate which debts are contingent.

2377 1. My personal debts are as follows:

2378 _____

2379 _____

2380 Check Check one

2381 appropriate \$5,001 to More than

2382 categories \$50,000 \$50,000

2383 Banks _____

2384 Savings institutions _____

2385 Other loan or finance companies _____

2386 Insurance companies _____

2387 Stock, commodity or other brokerage _____

2388 companies _____

2389 Other businesses: _____

2390 (State principal business activity for each _____

2391 creditor and its name.) _____

2392 _____

2393 _____

2394 _____

2395 Individual creditors: _____

2396 (State principal business or occupation of _____

2397 each creditor and its name.) _____

2398 _____

2399 _____

2400 _____

2401 _____

2402 2. The personal debts of the members of my immediate family are as follows:

2403 _____

2404 _____

2405 Check Check one

2406 appropriate \$5,001 to More than

2407 categories \$50,000 \$50,000

2408 Banks _____

2409 Savings institutions _____

2410 Other loan or finance companies _____

2411 Insurance companies _____

2412 Stock, commodity or other brokerage _____

2413 companies _____

2414 Other businesses: _____

2415 (State principal business activity for each _____

2416 creditor and its name.) _____

2417 _____

2418 _____

2419 _____

2420 Individual creditors: _____

2421 (State principal business or occupation of _____

2422 each creditor and its name.) _____

2423 _____

2424 _____

2425 _____

2426 _____

RETURN TO ITEM 3

2427

2428 **SCHEDULE C - SECURITIES.**

2429 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures

2430 contracts.

2431 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and

2432 insurance policies.

2433 Identify each business or Virginia governmental entity in which you or a member of your immediate

2434 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name

2435 each issuer and type of security individually.

2436 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia

2437 or its authorities, agencies, or local governments. Do not list organizations that do not do business in

2438 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held

2439 in trust.

2440 If no reportable securities, check here // .

2441 _____

2442 _____

2443

2444	Type of Security	2445 Check one		
		2446 \$5,001	2447 \$50,001	2448 More
2449	(stocks, bonds, mutual	to	to	than
2450	funds, etc.)	\$50,000	\$250,000	\$250,000
2451	_____	_____	_____	_____
2452	_____	_____	_____	_____
2453	_____	_____	_____	_____
2454	_____	_____	_____	_____
2455	_____	_____	_____	_____
2456	_____	_____	_____	_____
2457	_____	_____	_____	_____
2458	_____	_____	_____	_____
2459	_____	_____	_____	_____
2460	_____	_____	_____	_____
2461	_____	_____	_____	_____
2462	_____	_____	_____	_____
2463	_____	_____	_____	_____
2464	_____	_____	_____	_____
2465	_____	_____	_____	_____
2466	_____	_____	_____	_____
2467	_____	_____	_____	_____
2468	_____	_____	_____	_____

RETURN TO ITEM 4

2453 **SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.**

2454 List each source from which you received during the past six months in your capacity as a legislator

2455 lodging, transportation, money, or any other thing of value with a combined value exceeding \$200 \$100

2456 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii)

2457 for your attendance at a meeting, conference, or event where your attendance at the meeting, conference,

2458 or event was designed to (a) educate you on issues relevant to your duties as a legislator, including

2459 issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a

2460 legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does

2461 not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on Schedule E. Do not list

2462 payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or

2463 reimbursements.) List a payment even if you donated it to charity. Do not list information about a

2464 payment if you returned it within 60 days or if you received it from an employer already listed under

2465 Item 6 or from a source of income listed on Schedule F.

2466 If no payment must be listed, check here // .

2467 _____

2468 _____

2469				Type of Payment
2470				(e.g., Honoraria,
2471				Travel reimburse-
2472	Payer	Approximate Value	Circumstances	ment, etc.)
2473	_____	_____	_____	_____
2474	_____	_____	_____	_____
2475	_____	_____	_____	_____
2476	_____	_____	_____	_____
2477	_____	_____	_____	_____

RETURN TO ITEM 5

SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

List each meeting for which the Commonwealth provided payments or reimbursements during the past six months to you for lodging, transportation, money, or any other thing of value with a combined value exceeding \$200 \$100 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here / /.

2485				Type of Payment
2486				(e.g., Travel
2487				reimbursement,
2488				etc.)
2489	Payer	Approximate Value	Circumstances	
2490	_____	_____	_____	_____
2491	_____	_____	_____	_____
2492	_____	_____	_____	_____
2493	_____	_____	_____	_____
2494	_____	_____	_____	_____
2495	_____	_____	_____	_____

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100 \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

2509				
2510				
2511	Name of Business,	City or	Exact	
2512	Name of	Organization, or	County	Gift or
2513	Recipient	Individual	and State	Event
2514				Approximate
2515				Value
2516	_____	_____	_____	_____
2517	_____	_____	_____	_____
2518	_____	_____	_____	_____

RETURN TO ITEM 6

SCHEDULE F-1 - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

2528	_____					
2529	_____					
2530	Name of					
2531	Business					
2532	Corporation,					
2533	Partnership,		Nature of		Gross income	
2534	Farm;		Enterprise			
2535	Address of	City or	(farming,	\$50,001	More	
2536	Rental	County	law, rental	\$50,000	to	than
2537	Property	and State	property, etc.)	or less	\$250,000	\$250,000
2538	_____	_____	_____	_____	_____	_____
2539	_____	_____	_____	_____	_____	_____
2540	_____	_____	_____	_____	_____	_____
2541	_____	_____	_____	_____	_____	_____
2542	_____					

RETURN TO ITEM 8

SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

- 2546 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or
- 2548 (ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

2551	_____				
2552	_____				
2553					
2554	Payments to Lobbyist				
2555	List each person	Describe each	Dates of	\$10,000	More than
2556	or business	relationship	relationship	or less	\$10,000
2557	_____	_____	_____	_____	_____
2558	_____	_____	_____	_____	_____
2559	_____	_____	_____	_____	_____
2560	_____	_____	_____	_____	_____
2561	_____	_____	_____	_____	_____
2562	_____				

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

2578	_____									
2579	_____									
2580			Pur-							
2581			pose	Amount Received						
2582	Name	Type	of	Name	\$1,001	\$10,001	\$50,001	\$100,001		
2583	of	of	Repre-	of	to	to	to	to	\$250,001	
2584	Busi-	Busi-	senta-	Agency	\$10,000	\$50,000	\$100,000	\$250,000	and over	
2585	ness	ness	tion							

2586 _____
 2587 _____
 2588 _____
 2589 _____
 2590 _____

2591 If you have received \$250,001 or more from a single business within the reporting period, indicate
 2592 the amount received, rounded to the nearest \$10,000. Amount Received: _____.

2593 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

2594 List the businesses that have been represented before any state governmental agency, excluding any
 2595 court or judge, by persons who are your partners, associates or others with whom you have a close
 2596 financial association and who received total compensation in excess of \$1,000 for such representation
 2597 during the past six months, excluding representation consisting solely of the filing of mandatory papers
 2598 and subsequent representation regarding the mandatory papers filed by your partners, associates or others
 2599 with whom you have a close financial association.

2600 Identify such businesses by type and also name the state governmental agencies before which such
 2601 person appeared on behalf of such businesses.

2602 _____

2603 _____

2604 Type of Business Name of State Governmental Agency

2605 _____

2606 _____

2607 _____

2608 _____

2609 _____

2610 SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

2611 Indicate below types of businesses that operate in Virginia to which services were furnished by you
 2612 or persons with whom you have a close financial association pursuant to an agreement between you and
 2613 such businesses, or between persons with whom you have a close financial association and such
 2614 businesses and for which total compensation in excess of \$1,000 was received during the past six
 2615 months. Services reported in this Schedule shall not include services involving the representation of
 2616 businesses that are reported in Schedule G-1 or G-2 above.

2617 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
 2618 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 2619 falling within each category.

2620 _____

2621 _____

2622 Check

2623 if Type

2624 ser- of Value of Compensation

2625 vices ser-

2626 were vice \$1,001 \$10,001 \$50,001 \$100,001

2627 ren- ren- to to to to \$250,001

2628 dered dered \$10,000 \$50,000 \$100,000 \$250,000 and over

2629 Electric utilities _____

2630 Gas utilities _____

2631 Telephone utilities _____

2632 Water utilities _____

2633 Cable television _____

2634 companies _____

2635 Interstate _____

2636 transportation _____

2637 companies _____

2638 Intrastate _____

2639 transportation _____

2640 companies _____

2641 Oil or gas retail _____

2642 companies _____

2643 Banks _____

- 2644 Savings
- 2645 institutions _____
- 2646 Loan or finance
- 2647 companies _____
- 2648 Manufacturing
- 2649 companies (state
- 2650 type of product,
- 2651 e.g., textile,
- 2652 furniture, etc.) _____
- 2653 Mining companies _____
- 2654 Life insurance
- 2655 companies _____
- 2656 Casualty insurance
- 2657 companies _____
- 2658 Other insurance
- 2659 companies _____
- 2660 Retail companies _____
- 2661 Beer, wine or
- 2662 liquor companies
- 2663 or distributors _____
- 2664 Trade associations _____
- 2665 Professional
- 2666 associations _____
- 2667 Associations of
- 2668 public employees
- 2669 or officials _____
- 2670 Counties, cities
- 2671 or towns _____
- 2672 Labor organizations _____
- 2673 Other _____
- 2674 _____

RETURN TO ITEM 9

2675
2676 SCHEDULE H - REAL ESTATE.

2677 List real estate other than your principal residence in which you or a member of your immediate
2678 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
2679 \$5,000 or more. Each parcel shall be listed individually.

2680 _____

2681	2682 Describe the type of real	
2683	estate you own in each	
2684 List the location	location (business,	If the real estate is
2685 (state, and county	recreational, apartment,	owned or recorded in
2686 or city where you	commercial, open land,	a name other than your
2687 own real estate	etc.)	own, list that name
2688 _____	_____	_____
2689 _____	_____	_____
2690 _____	_____	_____
2691 _____	_____	_____
2692 _____	_____	_____
2693 _____	_____	_____

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2694
2695 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

2696 List all contracts, whether pending or completed within the past six months, with a state
2697 governmental agency for the sale or exchange of real estate in which you or a member of your
2698 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,
2699 or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for
2700 the lease of real estate in which you or a member of your immediate family holds such an interest

2701 valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an
2702 interest derived through an ownership interest in a business unless the ownership interest exceeds three
2703 percent of the total equity of the business.

2704

2705

2706 List your real
2707 estate interest and
2708 the person or entity,
2709 including the type of
2710 entity, which is
2711 party to the contract.

2712 Describe any
2713 management role and
2714 the percentage
2715 ownership interest
2716 you or your immediate
2717 family member has in
2718 the real estate
2719 or entity.

List each
governmental agency
which is a party to
the contract and
indicate the county
or city where the
real estate is located.

State the annual
income from the
contract, and the
amount, if any, of
income you or any
immediate family
member derives
annually from
the contract.

2720

2721

2722

2723

2724

2725

2726 B. Any legislator who *knowingly and intentionally* makes a ~~knowing misstatement~~ false statement of
2727 a material fact on the Statement of Economic Interests *is guilty of a Class 5 felony* and shall be subject
2728 to disciplinary action for such violations by the house in which the legislator sits.

2729 C. The Statement of Economic Interests of all members of each house shall be reviewed by the
2730 Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in
2731 writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall
2732 be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its
2733 original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full
2734 compliance with this section as to the information disclosed thereon.

2735 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
2736 request the house in which those members sit, in accordance with the rules of that house, to review the
2737 Statement of Economic Interests of another member of that house in order to determine the adequacy of
2738 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
2739 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
2740 whose Statement is in issue. Should it be determined that the Statement requires correction,
2741 augmentation or revision, the legislator involved shall be directed to make the changes required within
2742 such time as shall be set under the rules of each house.

2743 If a legislator, after having been notified in writing in accordance with the rules of the house in
2744 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
2745 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
2746 sits. No legislator shall vote on any question relating to his own Statement.

2747 **§ 30-124. Advisory opinions.**

2748 A legislator shall not be prosecuted or disciplined for a violation of this chapter if his alleged
2749 violation resulted from his good faith reliance on a written opinion of a committee on standards of
2750 conduct established pursuant to § 30-120, an opinion of the Attorney General as provided in § 30-122,
2751 or a formal opinion of the Virginia Conflict of Interest and Ethics Advisory Council established pursuant
2752 to § 30-355, and the opinion was made after his full disclosure of the facts *regardless of whether such*
2753 *opinion is later withdrawn provided the alleged violation occurred prior to the withdrawal of the*
2754 *opinion.*

2755 **§ 30-126. Civil penalty from violation of this chapter.**

2756 A. In addition to any other fine or penalty provided by law, any money or other thing of value
2757 derived by a legislator from a violation of §§ 30-103 through 30-108 shall be forfeited and, in the event
2758 of a knowing violation, there may also be imposed a civil penalty in an amount equal to the amount of
2759 money or thing of value forfeited to the Commonwealth. If the thing of value received by the legislator
2760 in violation of this chapter should enhance in value between the time of the violation and the time of

2761 discovery of the violation, the greater value shall determine the amount of the civil penalty.

2762 *B. A legislator who fails to file the disclosure form required by § 30-111 within the time period*
 2763 *prescribed shall be assessed a civil penalty in an amount equal to \$250. The Council shall notify the*
 2764 *Attorney General of any legislator's failure to file the required form within 30 days of the deadline for*
 2765 *filing and the Attorney General shall assess and collect the civil penalty. All civil penalties collected*
 2766 *pursuant to this subsection shall be deposited into the general fund and used exclusively to fund the*
 2767 *Council.*

2768 **§ 30-355. Virginia Conflict of Interest and Ethics Advisory Council; membership; terms;**
 2769 **quorum; expenses.**

2770 A. The Virginia Conflict of Interest and Ethics Advisory Council (the Council) is hereby created as
 2771 an advisory council in the legislative branch to encourage and facilitate compliance with the State and
 2772 Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) and the General Assembly Conflicts of
 2773 Interests Act (§ 30-100 et seq.) (hereafter the Acts) and the lobbying laws in Article 3 (§ 2.2-418 et
 2774 seq.) of Chapter 4 of Title 2.2 (hereafter Article 3).

2775 B. The Council shall consist of ~~15~~ *nine* members as follows: ~~four~~ *three* members appointed by the
 2776 Speaker of the House of Delegates, ~~one~~ *two* of whom shall be a ~~member~~ *members* of the House of
 2777 Delegates, *and* one of whom shall be a ~~former member~~ *former member* of the House of Delegates, *and* ~~two~~ *two* of whom
 2778 shall be ~~nonlegislative citizen members~~ *retired judge of a court of record*; ~~four~~ *three* members appointed
 2779 by the Senate Committee on Rules, ~~one~~ *two* of whom shall be a ~~member~~ *members* of the Senate, *and*
 2780 one of whom shall be a ~~former member~~ *former member* of the Senate, *and* ~~two~~ *two* of whom shall be ~~nonlegislative citizen~~
 2781 ~~members~~ *retired judge of a court of record*; ~~four~~ *and three* members appointed by the Governor, ~~two~~
 2782 *one* of whom shall be executive branch employees and ~~two~~ *two* of whom shall be ~~nonlegislative citizen~~
 2783 ~~members~~; ~~one~~ *one* member designated by the Attorney General; ~~one~~ *one* member appointed by the Senate
 2784 ~~Committee on Rules~~ *a retired judge of a court of record*, *one of whom shall be appointed* from a list of
 2785 three nominees submitted by the Virginia Association of Counties; *and one member appointed by the*
 2786 ~~Speaker of the House of Delegates~~ *of whom shall be appointed* from a list of three nominees submitted
 2787 by the Virginia Municipal League. *In the appointment to the Council of members of the House of*
 2788 *Delegates made by the Speaker and members of the Senate made by the Senate Committee on Rules,*
 2789 *equal representation shall be given to each of the political parties having the highest and next highest*
 2790 *number of members elected to their respective body. All members of the Council are subject to*
 2791 *confirmation by the General Assembly by a majority vote in each house of (i) the members present of*
 2792 *the majority party and (ii) the members present of the minority party.*

2793 C. All appointments following the initial staggering of terms shall be for terms of four years, except
 2794 that appointments to fill vacancies shall be for the unexpired terms in the same manner as the original
 2795 appointment. No nonlegislative citizen member shall be eligible to serve for more than two successive
 2796 four-year terms. However, after the expiration of a term of three years or less, or after the expiration of
 2797 the remainder of a term to which appointed to fill a vacancy, two additional terms may be served by
 2798 such member if appointed thereto. Legislative members and other state government officials shall serve
 2799 terms coincident with their terms of office. Legislative members may be reappointed for successive
 2800 terms.

2801 D. The members of the Council shall elect from among their membership a chairman and a
 2802 vice-chairman for two-year terms. The chairman and vice-chairman may not succeed themselves to the
 2803 same position. The Council shall hold meetings quarterly or upon the call of the chairman. A majority
 2804 of the Council *appointed* shall constitute a quorum.

2805 E. Members of the Council shall receive no compensation for their services but shall be reimbursed
 2806 for all reasonable and necessary expenses incurred in the performance of their duties as provided in
 2807 §§ 2.2-2813, 2.2-2825, and 30-19.12, as appropriate. Funding for expenses of the members shall be
 2808 provided from existing appropriations to the Council.

2809 **§ 30-356. Powers and duties of the Council.**

2810 The Council shall:

2811 1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state ~~and local~~
 2812 ~~government officers and employees and legislators pursuant to the Acts. The Council shall~~ *may* review
 2813 ~~all disclosure forms for completeness, which shall include~~ *including* reviewing the information contained
 2814 on the face of the form to determine if the disclosure form has been fully completed and comparing the
 2815 disclosures contained in any disclosure form filed by a lobbyist pursuant to § 2.2-426 with other
 2816 disclosure forms filed with the Council, ~~and be followed by requests for~~ *requesting* any amendments to
 2817 ensure the completeness of and correction of errors in the forms, if necessary. *If a disclosure form is*
 2818 *found to have not been filed or to have been incomplete as filed, the Council shall notify the filer in*
 2819 *writing and direct the filer to file a completed disclosure form within a prescribed period of time, and*
 2820 *such notification shall be confidential and is excluded from the provisions of the Virginia Freedom of*
 2821 *Information Act (§ 2.2-3700 et seq.);*

2822 2. ~~Accept any~~ Require all disclosure forms by computer or electronic means to be filed electronically
 2823 in accordance with the standards approved by the Council and using software meeting standards
 2824 approved by it. The Council shall provide software or electronic access for filing the required disclosure
 2825 forms to all filers without charge and may. The Council shall prescribe the method of execution and
 2826 certification of electronically filed forms, including the use of an electronic signature as authorized by
 2827 the Uniform Electronic Transactions Act (§ 59.1-479 et seq.); and the procedures for receiving forms in
 2828 the office of the Council;

2829 3. Accept and review any statement received from a filer disputing the receipt by such filer of a gift
 2830 that has been disclosed on the form filed by a lobbyist pursuant to Article 3;

2831 4. Beginning July 1, 2015 2016, establish and maintain a searchable electronic database comprising
 2832 disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be
 2833 available to the public through the Council's official website;

2834 4. 5. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,
 2835 including informal advice, regarding ethics and, conflicts issues arising under Article 3 or the Acts, or a
 2836 person's duties under Article 3 or the Acts to any person covered by Article 3 or the Acts or to any
 2837 agency of state or local government, in an expeditious manner. The Council may authorize a designee to
 2838 furnish formal opinions or informal advice. Formal advisory opinions are public record and shall be
 2839 published on the Council's website; however, no formal advisory opinion furnished by a designee of the
 2840 Council shall be published until such opinion has been approved by the Council. Published formal
 2841 advisory opinions may have such deletions and changes as may be necessary to protect the identity of
 2842 the person involved. Informal advice given by the Council or the Council's designee is confidential,
 2843 protected by the attorney-client privilege, and is excluded from the provisions of the Virginia Freedom
 2844 of Information Act (§ 2.2-3700 et seq.);

2845 5. 6. Conduct training seminars and educational programs for lobbyists, state and local government
 2846 officers and employees and, legislators, and other interested persons on the requirements of Article 3 and
 2847 the Acts and provide ethics orientation sessions for legislators in compliance with Article 6 (§ 30-129.1
 2848 et seq.) of Chapter 13;

2849 6. 7. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the
 2850 educational materials and approve any training or course on the requirements of Article 3 and the Acts
 2851 conducted for state and local government officers and employees;

2852 7. 8. Publish such educational materials as it deems appropriate on the provisions of Article 3 and
 2853 the Acts;

2854 8. 9. Review actions taken in the General Assembly with respect to the discipline of its members for
 2855 the purpose of offering nonbinding advice;

2856 9. 10. Request from any agency of state or local government such assistance, services, and
 2857 information as will enable the Council to effectively carry out its responsibilities. Information provided
 2858 to the Council by an agency of state or local government shall not be released to any other party unless
 2859 authorized by such agency; and

2860 11. Redact from any document or form that is to be made available to the public any residential
 2861 address, personal telephone number, or signature contained on that document or form; and

2862 10. 12. Report on or before December 1 of each year on its activities and findings regarding Article
 2863 3 and the Acts, including recommendations for changes in the laws, to the General Assembly and the
 2864 Governor. The annual report shall be submitted by the chairman as provided in the procedures of the
 2865 Division of Legislative Automated Systems for the processing of legislative documents and reports and
 2866 shall be published as a state document.

2867 **§ 30-356.1. Request for approval for certain travel.**

2868 A. The Council shall receive and review a request for the approval of travel submitted by a person
 2869 required to file the disclosure form prescribed in § 2.2-3117 or 30-111 to accept any travel-related
 2870 transportation, lodging, hospitality, food or beverage, or other thing of value that has a value exceeding
 2871 \$100 where such approval is required pursuant to subsection G of § 2.2-3103.1 or subsection F of
 2872 § 30-103.1. A request for the approval of travel shall not be required for the following, but such travel
 2873 shall be disclosed as may be required by the Acts:

2874 1. Travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.);

2875 2. Travel paid for or provided by the government of the United States, any of its territories, or any
 2876 state or any political subdivision of such state;

2877 3. Travel provided to facilitate attendance by a legislator at a regular or special session of the
 2878 General Assembly, a meeting of a legislative committee or commission, or a national conference where
 2879 attendance is approved by the House or Senate Committee on Rules; or

2880 4. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any
 2881 board, commission, authority, or other entity, or any charitable organization established pursuant to
 2882 § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been
 2883 appointed or elected or is a member by virtue of his office or employment.

2884 *B. When reviewing a request for the approval of travel, the Council shall consider the purpose of the*
 2885 *travel as it relates to the official duties of the requester. The Council shall approve any request for*
 2886 *travel that bears a reasonable relationship between the purpose of the travel and the official duties of*
 2887 *the requester. Such travel shall include any meeting, conference, or other event (i) composed primarily*
 2888 *of public officials, (ii) at which public policy related to the duties of the requester will be discussed in a*
 2889 *substantial manner, (iii) reasonably expected to educate the requester on issues relevant to his official*
 2890 *duties or to enhance the requester's knowledge and skills relative to his official duties, or (iv) at which*
 2891 *the requester has been invited to speak regarding matters reasonably related to the requester's official*
 2892 *duties.*

2893 *C. The Council shall not approve any travel requests that bear no reasonable relationship between*
 2894 *the purpose of the proposed travel and the official duties of the requestor. In making such*
 2895 *determination, the Council shall consider the duration of travel, the destination of travel, the estimated*
 2896 *value of travel, and any previous or recurring travel.*

2897 *D. Within five business days of receipt of a request for the approval of travel, the Council shall*
 2898 *grant or deny the request, unless additional information has been requested. If additional information*
 2899 *has been requested, the Council shall grant or deny the request for the approval within five business*
 2900 *days of receipt of such information. If the Council has not granted or denied the request for approval of*
 2901 *travel or requested additional information within such five-day period, such travel shall be deemed to*
 2902 *have been approved by the Council. Nothing in this subsection shall preclude a person from amending*
 2903 *or resubmitting a request for the approval of travel. The Council may authorize a designee to review*
 2904 *and grant or deny requests for the approval of travel.*

2905 *E. A request for the approval of travel shall be on a form prescribed by the Council and made*
 2906 *available on its website. Such form may be submitted by electronic means, facsimile, in-person*
 2907 *submission, or mail or commercial mail delivery.*

2908 *F. No person shall be prosecuted, assessed a civil penalty, or otherwise disciplined for acceptance of*
 2909 *a travel-related thing of value if he accepted the travel-related thing of value after receiving approval*
 2910 *under this section, regardless of whether such approval is later withdrawn provided the travel occurred*
 2911 *prior to the withdrawal of the opinion.*

2912 **§ 30-357. Staff.**

2913 *Staff assistance to the Council shall be provided by the Division of Legislative Services. Staff shall*
 2914 *perform those duties assigned to it by the Council, including those duties enumerated in § 30-356. The*
 2915 *Division of Legislative Services shall employ an executive director, who shall be subject to the*
 2916 *confirmation of the Joint Committee on Rules.*

2917 **2. That the provisions of this act requiring that the disclosure forms prescribed by §§ 2.2-426,**
 2918 **2.2-3117, 2.2-3118, and 30-111 of the Code of Virginia be submitted electronically with the**
 2919 **Virginia Conflict of Interest and Ethics Advisory Council shall become effective on July 1, 2016.**

2920 **3. That the Virginia Conflict of Interest and Ethics Advisory Council shall review the current**
 2921 **statutory disclosure forms located at §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111 of the Code of**
 2922 **Virginia and make recommendations for the revision of such forms consistent with the provisions**
 2923 **of this act. The Council shall submit its recommendations to the General Assembly on or before**
 2924 **November 15, 2016.**

2925 **4. That the provisions of this act shall become effective on January 1, 2016.**

2926 **5. That the provisions of this act may result in a net increase in periods of imprisonment or**
 2927 **commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation cannot**
 2928 **be determined for periods of imprisonment in state adult correctional facilities; therefore, Chapter**
 2929 **2 of the Acts of Assembly of 2014, Special Session I, requires the Virginia Criminal Sentencing**
 2930 **Commission to assign a minimum fiscal impact of \$50,000. Pursuant to § 30-19.1:4, the estimated**
 2931 **amount of the necessary appropriation cannot be determined for periods of commitment to the**
 2932 **custody of the Department of Juvenile Justice.**